



**Basic Texts**

**of the 1972**

**World Heritage Convention**

**2005 Edition**

**UNESCO World Heritage Centre**

7, place de Fontenoy

75352 Paris 07 SP

France

Tel.: +33 (0)1 4568 1876

Fax: +33 (0)1 4568 5570

E-mail: [wh-info@unesco.org](mailto:wh-info@unesco.org)

Links: <http://whc.unesco.org/>

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# Budapest Declaration on World Heritage

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## The World Heritage Committee:

**Noting** that in 2002, United Nations Year for Cultural Heritage, the World Heritage Committee celebrates the thirtieth anniversary of the *Convention concerning the Protection of the World Cultural and Natural Heritage* adopted by the General Conference of UNESCO in 1972;

**Considering** that in 30 years, the *Convention* has proven to be a unique instrument of international co-operation in the protection of cultural and natural heritage of outstanding universal value;

**Adopts** the Budapest Declaration on World Heritage as follows:

1. We, the members of the World Heritage Committee, recognize the universality of the 1972 *Convention concerning the Protection of the World Cultural and Natural Heritage* and the consequent need to ensure that it applies to heritage in all its diversity, as an instrument for the sustainable development of all societies through dialogue and mutual understanding;
2. The properties on the World Heritage List are assets held in trust to pass on to generations of the future as their rightful inheritance;
3. In view of the increasing challenges to our shared heritage, we will:
  - (a) **encourage** countries that have not yet joined the *Convention* to do so at the earliest opportunity, as well as join other related international heritage protection instruments;
  - (b) **invite** States Parties to the *Convention* to identify and nominate cultural and natural heritage properties representing heritage in all its diversity, for inclusion on the World Heritage List;
  - (c) **seek to ensure** an appropriate and equitable balance between conservation, sustainability and development, so that World Heritage properties can be protected through appropriate activities contributing to the social and economic development and the quality of life of our communities;

- (d) **join to cooperate** in the protection of heritage, recognizing that to harm such heritage is to harm, at the same time, the human spirit and the world's inheritance;
  - (e) promote World Heritage through communication, education, research, training and public awareness strategies;
  - (f) **seek to ensure** the active involvement of our local communities at all levels in the identification, protection and management of our World Heritage properties;
4. We, the World Heritage Committee, will cooperate and seek the assistance of all partners for the support of World Heritage. For this purpose, we invite all interested parties to cooperate and to promote the following objectives:
- (a) strengthen the **Credibility** of the World Heritage List, as a representative and geographically balanced testimony of cultural and natural properties of outstanding universal value;
  - (b) ensure the effective **Conservation** of World Heritage properties;
  - (c) promote the development of effective **Capacity-building** measures, including assistance for preparing the nomination of properties to the World Heritage List, for the understanding and implementation of the *World Heritage Convention* and related instruments;
  - (d) increase public awareness, involvement and support for World Heritage through **Communication**.
5. We will evaluate, at our 31st session in 2007, the achievements made in the pursuit of the above mentioned objectives and in support of this commitment.

**Budapest, 28 June 2002**

# **Convention concerning the Protection of the World Cultural and Natural Heritage**

**Adopted by the General Conference  
at its seventeenth session  
Paris, 16 November 1972**

This document is also available at the following Web address:

<http://whc.unesco.org/en/conventiontext> (*English*)  
<http://whc.unesco.org/fr/conventiontexte> (*French*)

# Convention concerning the Protection of the World Cultural and Natural Heritage

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The General Conference of the United Nations Educational, Scientific and Cultural Organization meeting in Paris from 17 October to 21 November 1972, at its seventeenth session,

*Noting* that the cultural heritage and the natural heritage are increasingly threatened with destruction not only by the traditional causes of decay, but also by changing social and economic conditions which aggravate the situation with even more formidable phenomena of damage or destruction,

*Considering* that deterioration or disappearance of any item of the cultural or natural heritage constitutes a harmful impoverishment of the heritage of all the nations of the world,

*Considering* that protection of this heritage at the national level often remains incomplete because of the scale of the resources which it requires and of the insufficient economic, scientific, and technological resources of the country where the property to be protected is situated,

*Recalling* that the Constitution of the Organization provides that it will maintain, increase, and diffuse knowledge by assuring the conservation and protection of the world's heritage, and recommending to the nations concerned the necessary international conventions,

*Considering* that the existing international conventions, recommendations and resolutions concerning cultural and natural property demonstrate the importance, for all the peoples of the world, of safeguarding this unique and irreplaceable property, to whatever people it may belong,

*Considering* that parts of the cultural or natural heritage are of outstanding interest and therefore need to be preserved as part of the world heritage of mankind as a whole,

*Considering* that, in view of the magnitude and gravity of the new dangers threatening them, it is incumbent on the international community as a whole to participate in the protection of the cultural and natural heritage of outstanding universal value, by the granting of collective assistance which, although not taking the place of action by the State concerned, will serve as an efficient complement thereto,

*Considering* that it is essential for this purpose to adopt new provisions in the form of a convention establishing an effective system of collective protection of the cultural and natural heritage of outstanding universal value, organized on a permanent basis and in accordance with modern scientific methods,

*Having decided*, at its sixteenth session, that this question should be made the subject of an international convention,

*Adopts* this sixteenth day of November 1972 this *Convention*.



# I. Definition of the Cultural and Natural Heritage

## Article 1

For the purpose of this *Convention*, the following shall be considered as “cultural heritage”:

- monuments: architectural works, works of monumental sculpture and painting, elements or structures of an archaeological nature, inscriptions, cave dwellings and combinations of features, which are of outstanding universal value from the point of view of history, art or science;
- groups of buildings: groups of separate or connected buildings which, because of their architecture, their homogeneity or their place in the landscape, are of outstanding universal value from the point of view of history, art or science;
- sites: works of man or the combined works of nature and man, and areas including archaeological sites which are of outstanding universal value from the historical, aesthetic, ethnological or anthropological point of view.

## Article 2

For the purposes of this *Convention*, the following shall be considered as “natural heritage”:

- natural features consisting of physical and biological formations or groups of such formations, which are of outstanding universal value from the aesthetic or scientific point of view;
- geological and physiographical formations and precisely delineated areas which constitute the habitat of threatened species of animals and plants of outstanding universal value from the point of view of science or conservation;
- natural sites or precisely delineated natural areas of outstanding universal value from the point of view of science, conservation or natural beauty.

## Article 3

It is for each State Party to this *Convention* to identify and delineate the different properties situated on its territory mentioned in Articles 1 and 2 above.

# II. National protection and international protection of the Cultural and Natural Heritage

## Article 4

Each State Party to this *Convention* recognizes that the duty of ensuring the identification, protection, conservation, presentation and transmission to future generations of the cultural and natural

heritage referred to in Articles 1 and 2 and situated on its territory, belongs primarily to that State. It will do all it can to this end, to the utmost of its own resources and, where appropriate, with any international assistance and co-operation, in particular, financial, artistic, scientific and technical, which it may be able to obtain.

## Article 5

To ensure that effective and active measures are taken for the protection, conservation and presentation of the cultural and natural heritage situated on its territory, each State Party to this *Convention* shall endeavor, in so far as possible, and as appropriate for each country:

- (a) to adopt a general policy which aims to give the cultural and natural heritage a function in the life of the community and to integrate the protection of that heritage into comprehensive planning programmes;
- (b) to set up within its territories, where such services do not exist, one or more services for the protection, conservation and presentation of the cultural and natural heritage with an appropriate staff and possessing the means to discharge their functions;
- (c) to develop scientific and technical studies and research and to work out such operating methods as will make the State capable of counteracting the dangers that threaten its cultural or natural heritage;
- (d) to take the appropriate legal, scientific, technical, administrative and financial measures necessary for the identification, protection, conservation, presentation and rehabilitation of this heritage; and
- (e) to foster the establishment or development of national or regional centres for training in the protection, conservation and presentation of the cultural and natural heritage and to encourage scientific research in this field.

## Article 6

1. Whilst fully respecting the sovereignty of the States on whose territory the cultural and natural heritage mentioned in Articles 1 and 2 is situated, and without prejudice to property right provided by national legislation, the States Parties to this *Convention* recognize that such heritage constitutes a world heritage for whose protection it is the duty of the international community as a whole to co-operate.
2. The States Parties undertake, in accordance with the provisions of this *Convention*, to give their help in the identification, protection, conservation and presentation of the cultural and natural heritage referred to in paragraphs 2 and 4 of Article 11 if the States on whose territory it is situated so request.
3. Each State Party to this *Convention* undertakes not to take any deliberate measures which might damage directly or indirectly the cultural and natural heritage referred to in Articles 1 and 2 situated on the territory of other States Parties to this *Convention*.

## Article 7

For the purpose of this *Convention*, international protection of the world cultural and natural heritage shall be understood to mean the establishment of a system of international co-operation and assistance designed to support States Parties to the *Convention* in their efforts to conserve and identify that heritage.

# III. Intergovernmental Committee for the protection of the World Cultural and Natural Heritage

## Article 8

1. An Intergovernmental Committee for the Protection of the Cultural and Natural Heritage of Outstanding Universal Value, called “the World Heritage Committee”, is hereby established within the United Nations Educational, Scientific and Cultural Organization. It shall be composed of 15 States Parties to the *Convention*, elected by States Parties to the Convention meeting in general assembly during the ordinary session of the General Conference of the United Nations Educational, Scientific and Cultural Organization. The number of States members of the Committee shall be increased to 21 as from the date of the ordinary session of the General Conference following the entry into force of this *Convention* for at least 40 States.
2. Election of members of the Committee shall ensure an equitable representation of the different regions and cultures of the world.
3. A representative of the International Centre for the Study of the Preservation and Restoration of Cultural Property (Rome Centre), a representative of the International Council of Monuments and Sites (ICOMOS) and a representative of the International Union for Conservation of Nature and Natural Resources (IUCN), to whom may be added, at the request of States Parties to the *Convention* meeting in general assembly during the ordinary sessions of the General Conference of the United Nations Educational, Scientific and Cultural Organization, representatives of other intergovernmental or non-governmental organizations, with similar objectives, may attend the meetings of the Committee in an advisory capacity.

## Article 9

1. The term of office of States members of the World Heritage Committee shall extend from the end of the ordinary session of the General Conference during which they are elected until the end of its third subsequent ordinary session.
2. The term of office of one-third of the members designated at the time of the first election shall, however, cease at the end of the first ordinary session of the General Conference following that at which they were elected; and the term of office of a further third of the members designated at the same time shall cease at the end of the second ordinary session of the General Conference following that at which they were elected. The names of these members shall be chosen by lot

by the President of the General Conference of the United Nations Educational, Scientific and Cultural Organization after the first election.

3. States members of the Committee shall choose as their representatives persons qualified in the field of the cultural or natural heritage.

#### **Article 10**

1. The World Heritage Committee shall adopt its Rules of Procedure.
2. The Committee may at any time invite public or private organizations or individuals to participate in its meetings for consultation on particular problems.
3. The Committee may create such consultative bodies as it deems necessary for the performance of its functions.

#### **Article 11**

1. Every State Party to this *Convention* shall, in so far as possible, submit to the World Heritage Committee an inventory of property forming part of the cultural and natural heritage, situated in its territory and suitable for inclusion in the list provided for in paragraph 2 of this Article. This inventory, which shall not be considered exhaustive, shall include documentation about the location of the property in question and its significance.
2. On the basis of the inventories submitted by States in accordance with paragraph 1, the Committee shall establish, keep up to date and publish, under the title of "World Heritage List," a list of properties forming part of the cultural heritage and natural heritage, as defined in Articles 1 and 2 of this *Convention*, which it considers as having outstanding universal value in terms of such criteria as it shall have established. An updated list shall be distributed at least every two years.
3. The inclusion of a property in the World Heritage List requires the consent of the State concerned. The inclusion of a property situated in a territory, sovereignty or jurisdiction over which is claimed by more than one State shall in no way prejudice the rights of the parties to the dispute.
4. The Committee shall establish, keep up to date and publish, whenever circumstances shall so require, under the title of "list of World Heritage in Danger", a list of the property appearing in the World Heritage List for the conservation of which major operations are necessary and for which assistance has been requested under this *Convention*. This list shall contain an estimate of the cost of such operations. The list may include only such property forming part of the cultural and natural heritage as is threatened by serious and specific dangers, such as the threat of disappearance caused by accelerated deterioration, large-scale public or private projects or rapid urban or tourist development projects; destruction caused by changes in the use or ownership of the land; major alterations due to unknown causes; abandonment for any reason whatsoever; the outbreak or the threat of an armed conflict; calamities and cataclysms; serious fires, earthquakes, landslides; volcanic eruptions; changes in water level, floods and tidal waves.

The Committee may at any time, in case of urgent need, make a new entry in the List of World Heritage in Danger and publicize such entry immediately.

5. The Committee shall define the criteria on the basis of which a property belonging to the cultural or natural heritage may be included in either of the lists mentioned in paragraphs 2 and 4 of this article.
6. Before refusing a request for inclusion in one of the two lists mentioned in paragraphs 2 and 4 of this article, the Committee shall consult the State Party in whose territory the cultural or natural property in question is situated.
7. The Committee shall, with the agreement of the States concerned, co-ordinate and encourage the studies and research needed for the drawing up of the lists referred to in paragraphs 2 and 4 of this article.

#### **Article 12**

The fact that a property belonging to the cultural or natural heritage has not been included in either of the two lists mentioned in paragraphs 2 and 4 of Article 11 shall in no way be construed to mean that it does not have an outstanding universal value for purposes other than those resulting from inclusion in these lists.

#### **Article 13**

1. The World Heritage Committee shall receive and study requests for international assistance formulated by States Parties to this *Convention* with respect to property forming part of the cultural or natural heritage, situated in their territories, and included or potentially suitable for inclusion in the lists mentioned referred to in paragraphs 2 and 4 of Article 11. The purpose of such requests may be to secure the protection, conservation, presentation or rehabilitation of such property.
2. Requests for international assistance under paragraph 1 of this article may also be concerned with identification of cultural or natural property defined in Articles 1 and 2, when preliminary investigations have shown that further inquiries would be justified.
3. The Committee shall decide on the action to be taken with regard to these requests, determine where appropriate, the nature and extent of its assistance, and authorize the conclusion, on its behalf, of the necessary arrangements with the government concerned.
4. The Committee shall determine an order of priorities for its operations. It shall in so doing bear in mind the respective importance for the world cultural and natural heritage of the property requiring protection, the need to give international assistance to the property most representative of a natural environment or of the genius and the history of the peoples of the world, the urgency of the work to be done, the resources available to the States on whose territory the threatened property is situated and in particular the extent to which they are able to safeguard such property by their own means.

5. The Committee shall draw up, keep up to date and publicize a list of property for which international assistance has been granted.
6. The Committee shall decide on the use of the resources of the Fund established under Article 15 of this *Convention*. It shall seek ways of increasing these resources and shall take all useful steps to this end.
7. The Committee shall co-operate with international and national governmental and non-governmental organizations having objectives similar to those of this *Convention*. For the implementation of its programmes and projects, the Committee may call on such organizations, particularly the International Centre for the Study of the Preservation and Restoration of Cultural Property (the Rome Centre), the International Council of Monuments and Sites (ICOMOS) and the International Union for Conservation of Nature and Natural Resources (IUCN), as well as on public and private bodies and individuals.
8. Decisions of the Committee shall be taken by a majority of two-thirds of its members present and voting. A majority of the members of the Committee shall constitute a quorum.

#### **Article 14**

1. The World Heritage Committee shall be assisted by a Secretariat appointed by the Director-General of the United Nations Educational, Scientific and Cultural Organization.
2. The Director-General of the United Nations Educational, Scientific and Cultural Organization, utilizing to the fullest extent possible the services of the International Centre for the Study of the Preservation and the Restoration of Cultural Property (the Rome Centre), the International Council of Monuments and Sites (ICOMOS) and the International Union for Conservation of Nature and Natural Resources (IUCN) in their respective areas of competence and capability, shall prepare the Committee's documentation and the agenda of its meetings and shall have the responsibility for the implementation of its decisions.

## **IV. Fund for the protection of the World Cultural and Natural Heritage**

#### **Article 15**

1. A Fund for the Protection of the World Cultural and Natural Heritage of Outstanding Universal Value, called "the World Heritage Fund", is hereby established.
2. The Fund shall constitute a trust fund, in conformity with the provisions of the Financial Regulations of the United Nations Educational, Scientific and Cultural Organization.
3. The resources of the Fund shall consist of:
  - (a) compulsory and voluntary contributions made by States Parties to this *Convention*,

- (b) Contributions, gifts or bequests which may be made by:
    - (i) other States;
    - (ii) the United Nations Educational, Scientific and Cultural Organization, other organizations of the United Nations system, particularly the United Nations Development Programme or other intergovernmental organizations;
    - (iii) public or private bodies or individuals;
  - (c) any interest due on the resources of the Fund;
  - (d) funds raised by collections and receipts from events organized for the benefit of the fund; and
  - (e) all other resources authorized by the Fund's regulations, as drawn up by the World Heritage Committee.
4. Contributions to the Fund and other forms of assistance made available to the Committee may be used only for such purposes as the Committee shall define. The Committee may accept contributions to be used only for a certain programme or project, provided that the Committee shall have decided on the implementation of such programme or project. No political conditions may be attached to contributions made to the Fund.

#### Article 16

1. Without prejudice to any supplementary voluntary contribution, the States Parties to this *Convention* undertake to pay regularly, every two years, to the World Heritage Fund, contributions, the amount of which, in the form of a uniform percentage applicable to all States, shall be determined by the General Assembly of States Parties to the *Convention*, meeting during the sessions of the General Conference of the United Nations Educational, Scientific and Cultural Organization. This decision of the General Assembly requires the majority of the States Parties present and voting, which have not made the declaration referred to in paragraph 2 of this Article. In no case shall the compulsory contribution of States Parties to the *Convention* exceed 1% of the contribution to the regular budget of the United Nations Educational, Scientific and Cultural Organization.
2. However, each State referred to in Article 31 or in Article 32 of this *Convention* may declare, at the time of the deposit of its instrument of ratification, acceptance or accession, that it shall not be bound by the provisions of paragraph 1 of this Article.
3. A State Party to the *Convention* which has made the declaration referred to in paragraph 2 of this Article may at any time withdraw the said declaration by notifying the Director-General of the United Nations Educational, Scientific and Cultural Organization. However, the withdrawal of the declaration shall not take effect in regard to the compulsory contribution due by the State until the date of the subsequent General Assembly of States parties to the *Convention*.
4. In order that the Committee may be able to plan its operations effectively, the contributions of States Parties to this *Convention* which have made the declaration referred to in paragraph 2 of this Article, shall be paid on a regular basis, at least every two years, and should not be less

than the contributions which they should have paid if they had been bound by the provisions of paragraph 1 of this Article.

5. Any State Party to the *Convention* which is in arrears with the payment of its compulsory or voluntary contribution for the current year and the calendar year immediately preceding it shall not be eligible as a Member of the World Heritage Committee, although this provision shall not apply to the first election. The terms of office of any such State which is already a member of the Committee shall terminate at the time of the elections provided for in Article 8, paragraph 1 of this *Convention*.

#### **Article 17**

The States Parties to this *Convention* shall consider or encourage the establishment of national public and private foundations or associations whose purpose is to invite donations for the protection of the cultural and natural heritage as defined in Articles 1 and 2 of this *Convention*.

#### **Article 18**

The States Parties to this *Convention* shall give their assistance to international fund-raising campaigns organized for the World Heritage Fund under the auspices of the United Nations Educational, Scientific and Cultural Organization. They shall facilitate collections made by the bodies mentioned in paragraph 3 of Article 15 for this purpose.

## **V. Conditions and arrangements for international assistance**

#### **Article 19**

Any State Party to this *Convention* may request international assistance for property forming part of the cultural or natural heritage of outstanding universal value situated within its territory. It shall submit with its request such information and documentation provided for in Article 21 as it has in its possession and as will enable the Committee to come to a decision.

#### **Article 20**

Subject to the provisions of paragraph 2 of Article 13, sub-paragraph (c) of Article 22 and Article 23, international assistance provided for by this *Convention* may be granted only to property forming part of the cultural and natural heritage which the World Heritage Committee has decided, or may decide, to enter in one of the lists mentioned in paragraphs 2 and 4 of Article 11.

#### **Article 21**

1. The World Heritage Committee shall define the procedure by which requests to it for international assistance shall be considered and shall specify the content of the request, which should define the operation contemplated, the work that is necessary, the expected cost thereof, the degree of urgency and the reasons why the resources of the State requesting assistance do not



allow it to meet all the expenses. Such requests must be supported by experts' reports whenever possible.

2. Requests based upon disasters or natural calamities should, by reasons of the urgent work which they may involve, be given immediate, priority consideration by the Committee, which should have a reserve fund at its disposal against such contingencies.
3. Before coming to a decision, the Committee shall carry out such studies and consultations as it deems necessary.

## **Article 22**

Assistance granted by the World Heritage Fund may take the following forms:

- (a) studies concerning the artistic, scientific and technical problems raised by the protection, conservation, presentation and rehabilitation of the cultural and natural heritage, as defined in paragraphs 2 and 4 of Article 11 of this *Convention*;
- (b) provisions of experts, technicians and skilled labour to ensure that the approved work is correctly carried out;
- (c) training of staff and specialists at all levels in the field of identification, protection, conservation, presentation and rehabilitation of the cultural and natural heritage;
- (d) supply of equipment which the State concerned does not possess or is not in a position to acquire;
- (e) low-interest or interest-free loans which might be repayable on a long-term basis;
- (f) the granting, in exceptional cases and for special reasons, of non-repayable subsidies.

## **Article 23**

The World Heritage Committee may also provide international assistance to national or regional centres for the training of staff and specialists at all levels in the field of identification, protection, conservation, presentation and rehabilitation of the cultural and natural heritage.

## **Article 24**

International assistance on a large scale shall be preceded by detailed scientific, economic and technical studies. These studies shall draw upon the most advanced techniques for the protection, conservation, presentation and rehabilitation of the natural and cultural heritage and shall be consistent with the objectives of this *Convention*. The studies shall also seek means of making rational use of the resources available in the State concerned.

## Article 25

As a general rule, only part of the cost of work necessary shall be borne by the international community. The contribution of the State benefiting from international assistance shall constitute a substantial share of the resources devoted to each programme or project, unless its resources do not permit this.

## Article 26

The World Heritage Committee and the recipient State shall define in the agreement they conclude the conditions in which a programme or project for which international assistance under the terms of this *Convention* is provided, shall be carried out. It shall be the responsibility of the State receiving such international assistance to continue to protect, conserve and present the property so safeguarded, in observance of the conditions laid down by the agreement.

# VI. Educational programmes

## Article 27

1. The States Parties to this *Convention* shall endeavor by all appropriate means, and in particular by educational and information programmes, to strengthen appreciation and respect by their peoples of the cultural and natural heritage defined in Articles 1 and 2 of the *Convention*.
2. They shall undertake to keep the public broadly informed of the dangers threatening this heritage and of the activities carried on in pursuance of this *Convention*.

## Article 28

States Parties to this *Convention* which receive international assistance under the *Convention* shall take appropriate measures to make known the importance of the property for which assistance has been received and the role played by such assistance.

# VII. Reports

## Article 29

1. The States Parties to this *Convention* shall, in the reports which they submit to the General Conference of the United Nations Educational, Scientific and Cultural Organization on dates and in a manner to be determined by it, give information on the legislative and administrative provisions which they have adopted and other action which they have taken for the application of this *Convention*, together with details of the experience acquired in this field.

2. These reports shall be brought to the attention of the World Heritage Committee.
3. The Committee shall submit a report on its activities at each of the ordinary sessions of the General Conference of the United Nations Educational, Scientific and Cultural Organization.

## VIII. Final clauses

### Article 30

This *Convention* is drawn up in Arabic, English, French, Russian and Spanish, the five texts being equally authoritative.

### Article 31

1. This *Convention* shall be subject to ratification or acceptance by States members of the United Nations Educational, Scientific and Cultural Organization in accordance with their respective constitutional procedures.
2. The instruments of ratification or acceptance shall be deposited with the Director-General of the United Nations Educational, Scientific and Cultural Organization.

### Article 32

1. This *Convention* shall be open to accession by all States not members of the United Nations Educational, Scientific and Cultural Organization which are invited by the General Conference of the Organization to accede to it.
2. Accession shall be effected by the deposit of an instrument of accession with the Director-General of the United Nations Educational, Scientific and Cultural Organization.

### Article 33

This *Convention* shall enter into force three months after the date of the deposit of the twentieth instrument of ratification, acceptance or accession, but only with respect to those States which have deposited their respective instruments of ratification, acceptance or accession on or before that date. It shall enter into force with respect to any other State three months after the deposit of its instrument of ratification, acceptance or accession.

### Article 34

The following provisions shall apply to those States Parties to this *Convention* which have a federal or non-unitary constitutional system:

- (a) with regard to the provisions of this *Convention*, the implementation of which comes under the legal jurisdiction of the federal or central legislative power, the obligations of the fed-

eral or central government shall be the same as for those States parties which are not federal States;

- (b) with regard to the provisions of this *Convention*, the implementation of which comes under the legal jurisdiction of individual constituent States, countries, provinces or cantons that are not obliged by the constitutional system of the federation to take legislative measures, the federal government shall inform the competent authorities of such States, countries, provinces or cantons of the said provisions, with its recommendation for their adoption.

### **Article 35**

1. Each State Party to this *Convention* may denounce the Convention.
2. The denunciation shall be notified by an instrument in writing, deposited with the Director-General of the United Nations Educational, Scientific and Cultural Organization.
3. The denunciation shall take effect twelve months after the receipt of the instrument of denunciation. It shall not affect the financial obligations of the denouncing State until the date on which the withdrawal takes effect.

### **Article 36**

The Director-General of the United Nations Educational, Scientific and Cultural Organization shall inform the States members of the Organization, the States not members of the Organization which are referred to in Article 32, as well as the United Nations, of the deposit of all the instruments of ratification, acceptance, or accession provided for in Articles 31 and 32, and of the denunciations provided for in Article 35.

### **Article 37**

1. This *Convention* may be revised by the General Conference of the United Nations Educational, Scientific and Cultural Organization. Any such revision shall, however, bind only the States which shall become Parties to the revising convention.
2. If the General Conference should adopt a new convention revising this *Convention* in whole or in part, then, unless the new convention otherwise provides, this *Convention* shall cease to be open to ratification, acceptance or accession, as from the date on which the new revising convention enters into force.

### **Article 38**

In conformity with Article 102 of the Charter of the United Nations, this *Convention* shall be registered with the Secretariat of the United Nations at the request of the Director-General of the United Nations Educational, Scientific and Cultural Organization.

Done in Paris, this twenty-third day of November 1972, in two authentic copies bearing the signature of the President of the seventeenth session of the General Conference and of the Director-General

of the United Nations Educational, Scientific and Cultural Organization, which shall be deposited in the archives of the United Nations Educational, Scientific and Cultural Organization, and certified true copies of which shall be delivered to all the States referred to in Articles 31 and 32 as well as to the United Nations.

# **Operational Guidelines for the Implementation of the World Heritage Convention**

**Intergovernmental Committee for the Protection  
of the World Cultural and Natural Heritage**

**World Heritage Centre**

The *Operational Guidelines* are periodically revised to reflect the decisions of the World Heritage Committee. Please verify that you are using the latest version of the *Operational Guidelines* by checking the date of the *Operational Guidelines* on the UNESCO World Heritage Centre Web address indicated below.

<http://whc.unesco.org/en/guidelines> (*English*)

<http://whc.unesco.org/fr/orientations> (*French*)

(WHC-2005/WS/1)

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## Acronyms and abbreviations

DoCoMoMo	International Committee for the Documentation and Conservation of Monuments and Sites of the Modern Movement
ICCROM	International Centre for the Study of the Preservation and Restoration of Cultural Property
ICOMOS	International Council on Monuments and Sites
IFLA	International Federation of Landscape Architects
IUCN	World Conservation Union (formerly the International Union for Conservation of Nature and Natural Resources)
IUGS	International Union of Geological Sciences
MAB	Man and the Biosphere programme of UNESCO
NGO	Non-governmental organization
TICCIH	International Committee for the Conservation of the Industrial Heritage
UNEP	United Nations Environment Programme
UNEP-WCMC	World Conservation Monitoring Centre (UNEP)
UNESCO	United Nations Educational, Scientific and Cultural Organization

# I. Introduction

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## I.A The *Operational Guidelines*

1. The *Operational Guidelines for the Implementation of the World Heritage Convention* (hereinafter referred to as the *Operational Guidelines*) aim to facilitate the implementation of the *Convention concerning the Protection of the World Cultural and Natural Heritage* (hereinafter referred to as “the *World Heritage Convention*” or “the *Convention*”), by setting forth the procedure for:
  - (a) the inscription of properties on the World Heritage List and the List of World Heritage in Danger;
  - (b) the protection and conservation of World Heritage properties;
  - (c) the granting of International Assistance under the World Heritage Fund; and
  - (d) the mobilization of national and international support in favor of the *Convention*.
2. The *Operational Guidelines* are periodically revised to reflect the decisions of the World Heritage Committee.
3. The key users of the *Operational Guidelines* are:
  - (a) the States Parties to the *World Heritage Convention*;
  - (b) the Intergovernmental Committee for the Protection of the Cultural and Natural Heritage of Outstanding Universal Value, hereinafter referred to as “the World Heritage Committee” or “the Committee”;
  - (c) the UNESCO World Heritage Centre as Secretariat to the World Heritage Committee, hereinafter referred to as “the Secretariat”;
  - (d) the Advisory Bodies to the World Heritage Committee;
  - (e) site managers, stakeholders and partners in the protection of World Heritage properties.

The historical development of the *Operational Guidelines* is available at the following Web address: <http://whc.unesco.org/en/guidelineshistorical>

## 1.B The *World Heritage Convention*

4. The cultural and natural heritage is among the priceless and irreplaceable assets, not only of each nation, but of humanity as a whole. The loss, through deterioration or disappearance, of any of these most prized assets constitutes an impoverishment of the heritage of all the peoples of the world. Parts of that heritage, because of their exceptional qualities, can be considered to be of “outstanding universal value” and as such worthy of special protection against the dangers which increasingly threaten them.
5. To ensure, as far as possible, the proper identification, protection, conservation and presentation of the world’s heritage, the Member States of UNESCO adopted the *World Heritage Convention* in 1972. The *Convention* foresees the establishment of a “World Heritage Committee” and a “World Heritage Fund”. Both the Committee and the Fund have been in operation since 1976.
6. Since the adoption of the *Convention* in 1972, the international community has embraced the concept of “sustainable development”. The protection and conservation of the natural and cultural heritage are a significant contribution to sustainable development.
7. The *Convention* aims at the identification, protection, conservation, presentation and transmission to future generations of cultural and natural heritage of outstanding universal value.
8. The criteria and conditions for the inscription of properties on the World Heritage List have been developed to evaluate the outstanding universal value of properties and to guide States Parties in the protection and management of World Heritage properties.
9. When a property inscribed on the World Heritage List is threatened by serious and specific dangers, the Committee considers placing it on the List of World Heritage in Danger. When the outstanding universal value of the property which justified its inscription on the World Heritage List is destroyed, the Committee considers deleting the property from the World Heritage List.



## I.C The States Parties to the *World Heritage Convention*

10. States are encouraged to become party to the *Convention*. Model instruments for ratification/acceptance and accession are included as Annex 1. The original signed version should be sent to the Director-General of UNESCO.
11. The list of States Parties to the *Convention* is available at the following Web address: <http://whc.unesco.org/en/statesparties>
12. States Parties to the *Convention* are encouraged to ensure the participation of a wide variety of stakeholders, including site managers, local and regional governments, local communities, non-governmental organizations (NGOs) and other interested parties and partners in the identification, nomination and protection of World Heritage properties.
13. States Parties to the *Convention* should provide the Secretariat with the names and addresses of the governmental organization(s) primarily responsible as national focal point(s) for the implementation of the *Convention*, so that copies of all official correspondence and documents can be sent by the Secretariat to these national focal points as appropriate. A list of these addresses is available at the following Web address: <http://whc.unesco.org/en/statespartiesfocalpoints>  
States Parties are encouraged to publicize this information nationally and ensure that it is up to date.
14. States Parties are encouraged to bring together their cultural and natural heritage experts at regular intervals to discuss the implementation of the *Convention*. States Parties may wish to involve representatives of the Advisory Bodies and other experts as appropriate.
15. While fully respecting the sovereignty of the States on whose territory the cultural and natural heritage is situated, States Parties to the *Convention* recognize the collective interest of the international community to cooperate in the protection of this heritage. States Parties to the *World Heritage Convention*, have the responsibility to:
  - (a) ensure the identification, nomination, protection, conservation, presentation, and transmission to future generations of the cultural and natural heritage found within their territory, and give help in these tasks to other States Parties that request it;

Article 6(1) of the *World Heritage Convention*.

Article 4 and 6(2) of the *World Heritage Convention*.

- (b) adopt general policies to give the heritage a function in the life of the community; Article 5 of the *World Heritage Convention*.
- (c) integrate heritage protection into comprehensive planning programmes;
- (d) establish services for the protection, conservation and presentation of the heritage;
- (e) develop scientific and technical studies to identify actions that would counteract the dangers that threaten the heritage;
- (f) take appropriate legal, scientific, technical, administrative and financial measures to protect the heritage;
- (g) foster the establishment or development of national or regional centres for training in the protection, conservation and presentation of the heritage and encourage scientific research in these fields;
- (h) not take any deliberate measures that directly or indirectly damage their heritage or that of another State Party to the *Convention*; Article 11(1) of the *World Heritage Convention*.
- (i) submit to the World Heritage Committee an inventory of properties suitable for inscription on the World Heritage List (referred to as a Tentative List); Article 6(3) of the *World Heritage Convention*.
- (j) make regular contributions to the World Heritage Fund, the amount of which is determined by the General Assembly of States Parties to the *Convention*; Article 16(1) of the *World Heritage Convention*.
- (k) consider and encourage the establishment of national, public and private foundations or associations to facilitate donations for the protection of World Heritage; Article 17 of the *World Heritage Convention*.
- (l) give assistance to international fund-raising campaigns organized for the World Heritage Fund; Article 18 of the *World Heritage Convention*.
- (m) use educational and information programmes to strengthen appreciation and respect by their peoples of the cultural and natural heritage defined in Articles 1 and 2 of the *Convention*, and to keep the public informed of the dangers threatening this heritage; Article 27 of the *World Heritage Convention*.

- (n) provide information to the World Heritage Committee on the implementation of the *World Heritage Convention* and state of conservation of properties.

Article 29 of the *World Heritage Convention*.  
Resolution adopted by the 11th General Assembly of States Parties (1997)

16. States Parties are encouraged to attend sessions of the World Heritage Committee and its subsidiary bodies.

Rule 8.1 of the *Rules of Procedure of the World Heritage Committee*.

## I.D The General Assembly of States Parties to the *World Heritage Convention*

17. The General Assembly of States Parties to the *World Heritage Convention* meets during the sessions of the General Conference of UNESCO. The General Assembly manages its meetings according to its *Rules of Procedure*, available at the following Web address:  
<http://whc.unesco.org/en/garules>

Article 8(1), of the *World Heritage Convention*, Rule 49 of the *Rules of Procedure of the World Heritage Committee*.

Articles 8(1), 16(1) and 29 of the *World Heritage Convention* and Rule 49 of the *Rules of Procedure of the World Heritage Committee*.

## I.E The World Heritage Committee

19. The World Heritage Committee is composed of 21 members and meets at least once a year (June/July). It establishes its Bureau, which meets during the sessions of the Committee as frequently as deemed necessary. The composition of the Committee and its Bureau is available at the following Web address: <http://whc.unesco.org/en/committeemembers>

The World Heritage Committee can be contacted through its Secretariat, the World Heritage Centre.

Article 9(1) of the *World Heritage Convention*.

Article 8(2) of the *World Heritage Convention* and the Resolutions of the 7th (1989), 12th (1999) and 13th (2001) General Assembly of States Parties to the *World Heritage Convention*.

22. A certain number of seats may be reserved for States Parties who do not have a property on the World Heritage List, upon decision of the Committee at the session that precedes the General Assembly. Rule 14.1 of the *Rules of Procedure of the General Assembly of States Parties*.
23. Committee decisions are based on objective and scientific considerations, and any appraisal made on its behalf must be thoroughly and responsibly carried out. The Committee recognizes that such decisions depend upon:
- (a) carefully prepared documentation;
  - (b) thorough and consistent procedures;
  - (c) evaluation by qualified experts; and
  - (d) if necessary, the use of expert referees.
24. The main functions of the Committee are, in co-operation with States Parties, to:
- (a) identify, on the basis of Tentative Lists and nominations submitted by States Parties, cultural and natural properties of outstanding universal value which are to be protected under the *Convention* and to inscribe those properties on the World Heritage List; Article 11(2) of the *World Heritage Convention*.
  - (b) examine the state of conservation of properties inscribed on the World Heritage List through processes of Reactive Monitoring (see Chapter IV) and Periodic Reporting (see Chapter V); Articles 11(7) and 29 of the *World Heritage Convention*.
  - (c) decide which properties inscribed on the World Heritage List are to be inscribed on, or removed from the List of World Heritage in Danger; Article 11(4) and 11(5) of the *World Heritage Convention*.
  - (d) decide whether a property should be deleted from the World Heritage List (see Chapter IV);
  - (e) define the procedure by which requests for International Assistance are to be considered and carry out studies and consultations as necessary before coming to a decision (see Chapter VII); Article 21(1) and 21(3) of the *World Heritage Convention*.
  - (f) determine how the resources of the World Heritage Fund can be used most advantageously to assist States Parties in the protection of their properties of outstanding universal value; Article 13(6) of the *World Heritage Convention*.
  - (g) seek ways to increase the World Heritage Fund;

- (h) submit a report on its activities every two years to the General Assembly of States Parties and to the UNESCO General Conference;
- (i) review and evaluate periodically the implementation of the *Convention*;
- (j) revise and adopt the *Operational Guidelines*.

Article 29(3) of the *World Heritage Convention* and Rule 49 of the Rules of procedure of the World Heritage Committee.

25. In order to facilitate the implementation of the *Convention*, the Committee develops Strategic Objectives; they are periodically reviewed and revised to ensure that new threats placed on World Heritage are addressed effectively.

The first “Strategic Orientations” adopted by the Committee in 1992 are contained in Annex II of document WHC-92/CONF.002/12

26. The current Strategic Objectives (also referred to as “the 4 Cs”) are the following:

In 2002 the World Heritage Committee revised its Strategic Objectives. The *Budapest Declaration on World Heritage (2002)* is available at the following Web address: <http://whc.unesco.org/en/budapestdeclaration>

1. Strengthen the **Credibility** of the World Heritage List;
2. Ensure the effective **Conservation** of World Heritage Properties;
3. Promote the development of effective **Capacity-building** in States Parties;
4. Increase public awareness, involvement and support for World Heritage through **Communication**.

## I.F The Secretariat to the World Heritage Committee (World Heritage Centre)

UNESCO World Heritage Centre  
7, place de Fontenoy  
75352 Paris 07 SP  
France  
Tel: +33 (0) 1 4568 1571  
Fax: +33 (0) 1 4568 5570  
E-mail: [wh-info@unesco.org](mailto:wh-info@unesco.org)  
www: <http://whc.unesco.org/>

27. The World Heritage Committee is assisted by a Secretariat appointed by the Director-General of UNESCO. The function of the Secretariat is currently assumed by the World Heritage Centre, established in 1992 specifically for this purpose. The Director-General designated the Director of the World Heritage Centre as Secretary to the Committee. The Secretariat assists and collaborates with the States Parties and the Advisory Bodies. The Secretariat works in close co-operation with other sectors and field offices of UNESCO.

Article 14 of the *World Heritage Convention*.

Rule 43 of *Rules of Procedure of the World Heritage Committee*.

Circular Letter 16 of 21 October 2003  
<http://whc.unesco.org/circs/circ03-16e.pdf>

28. The Secretariat's main tasks are:

- (a) the organization of the meetings of the General Assembly and the Committee; Article 14.2 of the *World Heritage Convention*.
- (b) the implementation of decisions of the World Heritage Committee and resolutions of the General Assembly and reporting to them on their execution; Article 14.2 of the *World Heritage Convention* and the *Budapest Declaration on World Heritage (2002)*
- (c) the receipt, registration, checking the completeness, archiving and transmission to the relevant Advisory Bodies of nominations to the World Heritage List;
- (d) the co-ordination of studies and activities as part of the Global Strategy for a Representative, Balanced and Credible World Heritage List;
- (e) the organization of Periodic Reporting and co-ordination of Reactive Monitoring;
- (f) the co-ordination of International Assistance;
- (g) the mobilisation of extra-budgetary resources for the conservation and management of World Heritage properties;
- (h) the assistance to States Parties in the implementation of the Committee's programmes and projects; and
- (i) the promotion of World Heritage and the *Convention* through the dissemination of information to States Parties, the Advisory Bodies and the general public.

29. These activities follow the decisions and Strategic Objectives of the Committee and the resolutions of the General Assembly of the States Parties and are conducted in close co-operation with the Advisory Bodies.

## I.G Advisory Bodies to the World Heritage Committee

30. The Advisory Bodies to the World Heritage Committee are ICCROM (the International Centre for the Study of the Preservation and Restoration of Cultural Property), ICOMOS (the International Council on Monuments and Sites), and IUCN - the World Conservation Union. Article 8.3 of the *World Heritage Convention*

31. The roles of the Advisory Bodies are to:

- (a) advise on the implementation of the *World Heritage Convention* in the field of their expertise; Article 13.7 of the *World Heritage Convention*.
- (b) assist the Secretariat, in the preparation of the Committee's documentation, the agenda of its meetings and the implementation of the Committee's decisions;
- (c) assist with the development and implementation of the Global Strategy for a Representative, Balanced and Credible World Heritage List, the Global Training Strategy, Periodic Reporting, and the strengthening of the effective use of the World Heritage Fund;
- (d) monitor the state of conservation of World Heritage properties and review requests for International Assistance; Article 14.2 of the *World Heritage Convention*.
- (e) in the case of ICOMOS and IUCN evaluate properties nominated for inscription on the World Heritage List and present evaluation reports to the Committee; and
- (f) attend meetings of the World Heritage Committee and the Bureau in an advisory capacity. Article 8.3 of the *World Heritage Convention*.

ICCROM

- 32. ICCROM (the International Centre for the Study of the Preservation and Restoration of Cultural Property) is an international intergovernmental organization with headquarters in Rome, Italy. Established by UNESCO in 1956, ICCROM's statutory functions are to carry out research, documentation, technical assistance, training and public awareness programmes to strengthen conservation of immovable and moveable cultural heritage. ICCROM  
Via di S. Michele, 13  
I-00153 Rome, Italy  
Tel: +39 06 585531  
Fax: +39 06 5855 3349  
E-mail: [iccrom@iccrom.org](mailto:iccrom@iccrom.org)  
<http://www.iccrom.org/>
- 33. The specific role of ICCROM in relation to the *Convention* includes: being the priority partner in training for cultural heritage, monitoring the state of conservation of World Heritage cultural properties, reviewing requests for International Assistance submitted by States Parties, and providing input and support for capacity-building activities.

ICOMOS

- 34. ICOMOS (the International Council on Monuments and Sites) is a non-governmental organization with headquarters in Paris, France. Founded in 1965, its role is to promote the application of theory, methodology and scientific techniques to the conservation of the architectural and archaeological heritage. Its work is based on the principles of the 1964 International Charter on the Conservation and Restoration of Monuments and Sites (the Venice Charter). ICOMOS  
49-51, rue de la Fédération  
75015 Paris, France  
Tel: +33 (0)1 45 67 67 70  
Fax: +33 (0)1 45 66 06 22  
E-mail: [secretariat@icomos.org](mailto:secretariat@icomos.org)  
<http://www.icomos.org/>

35. The specific role of ICOMOS in relation to the *Convention* includes: evaluation of properties nominated for inscription on the World Heritage List, monitoring the state of conservation of World Heritage cultural properties, reviewing requests for International Assistance submitted by States Parties, and providing input and support for capacity-building activities.

#### IUCN

36. IUCN – The World Conservation Union (formely the International Union for the Conservation of Nature and Natural Resources) was founded in 1948 and brings together national governments, NGOs, and scientists in a worldwide partnership. Its mission is to influence, encourage and assist societies throughout the world to conserve the integrity and diversity of nature and to ensure that any use of natural resources is equitable and ecologically sustainable. IUCN has its headquarters in Gland, Switzerland.

IUCN  
The World  
Conservation Union  
rue Mauverney 28  
CH-1196 Gland,  
Switzerland  
Tel: + 41 22 999 0001  
Fax: +41 22 999 0010  
E-mail: mail@hq.iucn.org  
<http://www.iucn.org>

37. The specific role of IUCN in relation to the *Convention* includes: evaluation of properties nominated for inscription on the World Heritage List, monitoring the state of conservation of World Heritage natural properties, reviewing requests for International Assistance submitted by States Parties, and providing input and support for capacity-building activities.

## I.H Other organizations

38. The Committee may call on other international and non-governmental organizations with appropriate competence and expertise to assist in the implementation of the programmes and projects.

### I.I Partners in the protection of World Heritage

39. A partnership approach to nomination, management and monitoring provides a significant contribution to the protection of World Heritage properties and the implementation of the *Convention*.
40. Partners in the protection and conservation of World Heritage can be those individuals and other stakeholders, especially local communities, governmental, non-governmental and private organizations and owners who have an interest and involvement in the conservation and management of a World Heritage property.



## I.J Other Conventions, Recommendations and Programmes

41. The World Heritage Committee recognizes the benefits of closer co-ordination of its work with other UNESCO programmes and their relevant Conventions. For a list of relevant global conservation instruments, Conventions and programmes see paragraph 44.
42. The World Heritage Committee with the support of the Secretariat will ensure appropriate co-ordination and information-sharing between the *World Heritage Convention* and other Conventions, programmes and international organizations related to the conservation of cultural and natural heritage.
43. The Committee may invite representatives of the intergovernmental bodies under related Conventions to attend its meetings as observers. It may appoint a representative to observe meetings of the other intergovernmental bodies upon receipt of an invitation.
44. Selected global Conventions and programmes relating to the protection of cultural and natural heritage

### UNESCO Conventions and Programmes

*Convention for the Protection of Cultural Property in the Event of Armed Conflict (1954)*

*Protocol I (1954)*

*Protocol II (1999)*

[http://www.unesco.org/culture/laws/hague/html\\_eng/page1.shtml](http://www.unesco.org/culture/laws/hague/html_eng/page1.shtml)

*Convention on the Means of Prohibiting and Preventing the Illicit Import, Export and Transfer of Ownership of Cultural Property (1970)*

[http://www.unesco.org/culture/laws/1970/html\\_eng/page1.shtml](http://www.unesco.org/culture/laws/1970/html_eng/page1.shtml)

*Convention concerning the Protection of the World Cultural and Natural Heritage (1972)*

[http://www.unesco.org/whc/world\\_he.htm](http://www.unesco.org/whc/world_he.htm)

*Convention on the Protection of the Underwater Cultural Heritage (2001)*

[http://www.unesco.org/culture/laws/underwater/html\\_eng/convention.shtml](http://www.unesco.org/culture/laws/underwater/html_eng/convention.shtml)

*Convention for the Safeguarding of the Intangible Cultural Heritage (2003)*

<http://unesdoc.unesco.org/images/0013/001325/132540e.pdf>

*Man and the Biosphere (MAB) Programme*

<http://www.unesco.org/mab/>

## Other Conventions

*Convention on Wetlands of International Importance especially as Waterfowl Habitat (Ramsar) (1971)*

[http://www.ramsar.org/key\\_conv\\_e.htm](http://www.ramsar.org/key_conv_e.htm)

*Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) (1973)*

<http://www.cites.org/eng/disc/text.shtml>

*Convention on the Conservation of Migratory Species of Wild Animals (CMS) (1979)*

[http://www.unep-wcmc.org/cms/cms\\_conv.htm](http://www.unep-wcmc.org/cms/cms_conv.htm)

*United Nations Convention on the Law of the Sea (UNCLOS) (1982)*

[http://www.un.org/Depts/los/convention\\_agreements/texts/unclos/closindx.htm](http://www.un.org/Depts/los/convention_agreements/texts/unclos/closindx.htm)

*Convention on Biological Diversity (1992)*

<http://www.biodiv.org/convention/articles.asp>

*UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects (Rome, 1995)*

<http://www.unidroit.org/english/conventions/culturalproperty/c-cult.htm>

*United Nations Framework Convention on Climate Change (New York, 1992)*

[http://unfccc.int/essential\\_background/convention/background/items/1350.php](http://unfccc.int/essential_background/convention/background/items/1350.php)

## II. The World Heritage List

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### II.A Definition of World Heritage

#### Cultural and Natural Heritage

45. Cultural and natural heritage are defined in Articles 1 and 2 of the *World Heritage Convention*.

#### Article 1

*For the purposes of this Convention, the following shall be considered as “cultural heritage”:*

- monuments: architectural works, works of monumental sculpture and painting, elements or structures of an archaeological nature, inscriptions, cave dwellings and combinations of features, which are of outstanding universal value from the point of view of history, art or science;*
- groups of buildings: groups of separate or connected buildings which, because of their architecture, their homogeneity or their place in the landscape, are of outstanding universal value from the point of view of history, art or science;*
- sites: works of man or the combined works of nature and of man, and areas including archaeological sites which are of outstanding universal value from the historical, aesthetic, ethnological or anthropological points of view.*

#### Article 2

*For the purposes of this Convention, the following shall be considered as “natural heritage”:*

- natural features consisting of physical and biological formations or groups of such formations, which are of outstanding universal value from the aesthetic or scientific point of view;*
- geological and physiographical formations and precisely delineated areas which constitute the habitat of threatened species of animals and plants of outstanding universal value from the point of view of science or conservation;*
- natural sites or precisely delineated natural areas of outstanding universal value from the point of view of science, conservation or natural beauty.*

### Mixed Cultural and Natural Heritage

46. Properties shall be considered as “mixed cultural and natural heritage” if they satisfy a part or the whole of the definitions of both cultural and natural heritage laid out in Articles 1 and 2 of the *Convention*.

### Cultural landscapes

47. Cultural landscapes are cultural properties and represent the “combined works of nature and of man” designated in Article 1 of the *Convention*. They are illustrative of the evolution of human society and settlement over time, under the influence of the physical constraints and/or opportunities presented by their natural environment and of successive social, economic and cultural forces, both external and internal. Annex 3

### Movable Heritage

48. Nominations of immovable heritage which are likely to become movable will not be considered.

### Outstanding universal value

49. Outstanding universal value means cultural and/or natural significance which is so exceptional as to transcend national boundaries and to be of common importance for present and future generations of all humanity. As such, the permanent protection of this heritage is of the highest importance to the international community as a whole. The Committee defines the criteria for the inscription of properties on the World Heritage List.
50. States Parties are invited to submit nominations of properties of cultural and/or natural value considered to be of “outstanding universal value” for inscription on the World Heritage List.
51. At the time of inscription of a property on the World Heritage List, the Committee adopts a Statement of Outstanding Universal Value (see paragraph 154) which will be the key reference for the future effective protection and management of the property.
52. The *Convention* is not intended to ensure the protection of all properties of great interest, importance or value, but only for a select list of the most outstanding of these from an international viewpoint. It is not to be assumed that a property of national and/or regional importance will automatically be inscribed on the World Heritage List.

53. Nominations presented to the Committee shall demonstrate the full commitment of the State Party to preserve the heritage concerned, within its means. Such commitment shall take the form of appropriate policy, legal, scientific, technical, administrative and financial measures adopted and proposed to protect the property and its outstanding universal value.

## II.B A Representative, Balanced and Credible World Heritage List

54. The Committee seeks to establish a representative, balanced and credible World Heritage List in conformity with the four Strategic Objectives adopted by the Committee at its 26th session (Budapest, 2002).

*Budapest Declaration on World Heritage (2002)* at <http://whc.unesco.org/en/budapestdeclaration>

### The Global Strategy for a Representative, Balanced and Credible World Heritage List

55. The Global Strategy for a Representative, Balanced and Credible World Heritage List is designed to identify and fill the major gaps in the World Heritage List. It does this by encouraging more countries to become States Parties to the *Convention* and to develop Tentative Lists as defined in paragraph 62 and nominations of properties for inscription on the World Heritage List (see <http://whc.unesco.org/en/globalstrategy>)

The report of the Expert Meeting on the «Global Strategy» and thematic studies for a representative World Heritage List (20-22 June 1994) was adopted by the World Heritage Committee at its 18th session (Phuket, 1994).

The Global Strategy was initially developed with reference to cultural heritage. At the request of the World Heritage Committee, the Global Strategy was subsequently expanded to also include reference to natural heritage and combined cultural and natural heritage.

56. States Parties and the Advisory Bodies are encouraged to participate in the implementation of the Global Strategy in co-operation with the Secretariat and other partners. Regional and thematic Global Strategy meetings and comparative and thematic studies are organized for this purpose. The results of these meetings and studies are available to assist States Parties in preparing Tentative Lists and nominations. The reports of the expert meetings and studies presented to the World Heritage Committee are available at the following Web address: <http://whc.unesco.org/en/globalstrategy>

57. All efforts should be made to maintain a reasonable balance between cultural and natural heritage on the World Heritage List.

58. No formal limit is imposed on the total number of properties to be inscribed on the World Heritage List.

Other measures

59. To promote the establishment of a representative, balanced and credible World Heritage List, States Parties are requested to consider whether their heritage is already well represented on the List and if so to slow down their rate of submission of further nominations by:

Resolution adopted by the 12th General Assembly of States Parties (1999).

- (a) spacing voluntarily their nominations according to conditions that they will define, and/or;
- (b) proposing only properties falling into categories still under-represented, and/or;
- (c) linking each of their nominations with a nomination presented by a State Party whose heritage is under-represented; or
- (d) deciding, on a voluntary basis, to suspend the presentation of new nominations.

60. States Parties whose heritage of outstanding universal value is under-represented on the World Heritage List are requested to:

Resolution adopted by the 12th General Assembly of States Parties (1999).

- (a) give priority to the preparation of their Tentative Lists and nominations;
- (b) initiate and consolidate partnerships at the regional level based on the exchange of technical expertise;
- (c) encourage bilateral and multilateral co-operation so as to increase their expertise and the technical capacities of institutions in charge of the protection, safeguarding and management of their heritage; and,
- (d) participate, as much as possible, in the sessions of the World Heritage Committee.

61. The Committee has decided, on an experimental and transitory basis, to apply the following mechanism at its 30th session (2006):
- Decisions 24 COM VI.2.3.3,  
28 COM 13.1  
and 7 EXT.COM 4B.1
- (a) examine up to two complete nominations per State Party, provided that at least one of such nominations concerns a natural property; and,
  - (b) set at 45 the annual limit on the number of nominations it will review, inclusive of nominations deferred and referred by previous sessions of the Committee, extensions (except minor modifications of limits of the property), transboundary and serial nominations,
  - (c) the following order of priorities will be applied:
    - (i) nominations of properties submitted by States Parties with no properties inscribed on the List;
    - (ii) nominations of properties from any State Party that illustrate un-represented or less represented categories of natural and cultural categories;
    - (iii) other nominations;
    - (iv) when applying this priority system, date of receipt of full and complete nominations by the Secretariat shall be used as secondary determining factor within the category where the number of nomination fixed by the Committee has been reached.

This decision will be re-examined at the Committee's 31st session (2007).

## II.C Tentative Lists

### Procedure and Format

62. A Tentative List is an inventory of those properties situated on its territory which each State Party considers suitable for inscription on the World Heritage List. States Parties should therefore include, in their Tentative Lists, the names of those properties which they consider to be cultural and/or natural heritage of outstanding universal value and which they intend to nominate during the following years.
- Articles 1, 2 and 11(1)  
of the *World Heritage Convention*.
63. Nominations to the World Heritage List are not considered unless the nominated property has already been included on the State Party's Tentative List.
- Decision 24 COM  
para. 1.2.3.2

64. States Parties are encouraged to prepare their Tentative Lists with the participation of a wide variety of stakeholders, including site managers, local and regional governments, local communities, NGOs and other interested parties and partners.
65. States Parties shall submit Tentative Lists to the Secretariat, preferably at least one year prior to the submission of any nomination. States Parties are encouraged to re-examine and re-submit their Tentative List at least every ten years.
66. States Parties are requested to submit their Tentative Lists in English or French using the standard format in Annex 2, containing the name of the properties, their geographical location, a brief description of the properties, and justification of their outstanding universal value.
67. The original duly signed version of the completed Tentative List shall be submitted by the State Party, to:

**UNESCO World Heritage Centre**

7, place de Fontenoy

75352 Paris 07 SP

France

Tel: +33 (0) 1 4568 1136

E-mail: [wh-tentativelists@unesco.org](mailto:wh-tentativelists@unesco.org)

68. If all information has been provided, the Tentative List will be registered by the Secretariat and transmitted to the relevant Advisory Bodies for information. A summary of all Tentative Lists is presented annually to the Committee. The Secretariat, in consultation with the States Parties concerned, updates its records, in particular by removing from the Tentative Lists the inscribed properties and nominated properties which were not inscribed. Decision 7 EXT.COM 4A

69. The Tentative Lists of States Parties are available at the following Web address: <http://whc.unesco.org/en/tentativelists> Decision 27 COM 8A

Tentative Lists as a planning and evaluation tool

70. Tentative Lists are a useful and important planning tool for States Parties, the World Heritage Committee, the Secretariat, and the Advisory Bodies, as they provide an indication of future nominations.



71. States Parties are encouraged to consult the analyses of both the World Heritage List and Tentative Lists prepared at the request of the Committee by ICOMOS and IUCN to identify the gaps in the World Heritage List. These analyses could enable States Parties to compare themes, regions, geo-cultural groupings and bio-geographic provinces for prospective World Heritage properties.
- Decision 24 COM para. VI.2.3.2(ii)  
Documents WHC-04/28.COM/13.B I and II  
<http://whc.unesco.org/archive/2004/whc04-28com-13b1e.pdf> and  
<http://whc.unesco.org/archive/2004/whc04-28com-13b2e.pdf>
72. In addition, States Parties are encouraged to consult the specific thematic studies carried out by the Advisory Bodies (see paragraph 147). These studies are informed by a review of the Tentative Lists submitted by States Parties and by reports of meetings on the harmonization of Tentative Lists, as well as by other technical studies performed by the Advisory Bodies and qualified organizations and individuals. A list of those studies already completed is available at the following Web address: <http://whc.unesco.org/en/globalstrategy>
- Thematic studies are different than the comparative analysis to be prepared by States Parties when nominating properties for inscription in the World Heritage List (see paragraph 132).
73. States Parties are encouraged to harmonize their Tentative Lists at regional and thematic levels. Harmonization of Tentative Lists is the process whereby States Parties, with the assistance of the Advisory Bodies, collectively assess their respective Tentative List to review gaps and identify common themes. The outcome of harmonization can result in improved Tentative Lists, new nominations from States Parties and co-operation amongst groups of States Parties in the preparation of nominations.
- Assistance and Capacity-Building for States Parties in the preparation of Tentative Lists
74. To implement the Global Strategy, cooperative efforts in capacity-building and training may be necessary to assist States Parties to acquire and/or consolidate their expertise in the preparation, updating and harmonisation of their Tentative List and the preparation of nominations.
75. International Assistance may be requested by States Parties for the purpose of preparing, updating and harmonizing Tentative Lists (see Chapter VII).
76. The Advisory Bodies and the Secretariat will use the opportunity of evaluation missions to hold regional training workshops to assist under-represented States in the methods of preparation of their Tentative List and nominations.
- Decision 24COM VI.2.3.5(ii)

## II.D Criteria for the assessment of outstanding universal value

These criteria were formerly presented as two separate sets of criteria - criteria (i)-(vi) for cultural heritage and (i)-(iv) for natural heritage. The 6th extraordinary session of the World Heritage Committee decided to merge the ten criteria (Decision 6 EXT.COM 5.1)

77. The Committee considers a property as having outstanding universal value (see paragraphs 49-53) if the property meets one or more of the following criteria. Nominated properties shall therefore:
- (i) represent a masterpiece of human creative genius;
  - (ii) exhibit an important interchange of human values, over a span of time or within a cultural area of the world, on developments in architecture or technology, monumental arts, town-planning or landscape design;
  - (iii) bear a unique or at least exceptional testimony to a cultural tradition or to a civilization which is living or which has disappeared;
  - (iv) be an outstanding example of a type of building, architectural or technological ensemble or landscape which illustrates (a) significant stage(s) in human history;
  - (v) be an outstanding example of a traditional human settlement, land-use, or sea-use which is representative of a culture (or cultures), or human interaction with the environment especially when it has become vulnerable under the impact of irreversible change;
  - (vi) be directly or tangibly associated with events or living traditions, with ideas, or with beliefs, with artistic and literary works of outstanding universal significance. (The Committee considers that this criterion should preferably be used in conjunction with other criteria) ;
  - (vii) contain superlative natural phenomena or areas of exceptional natural beauty and aesthetic importance;
  - (viii) be outstanding examples representing major stages of earth's history, including the record of life, significant on-going geological processes in the development of landforms, or significant geomorphic or physiographic features;

- (ix) be outstanding examples representing significant on-going ecological and biological processes in the evolution and development of terrestrial, fresh water, coastal and marine ecosystems and communities of plants and animals;
  - (x) contain the most important and significant natural habitats for in-situ conservation of biological diversity, including those containing threatened species of outstanding universal value from the point of view of science or conservation.
78. To be deemed of outstanding universal value, a property must also meet the conditions of integrity and/or authenticity and must have an adequate protection and management system to ensure its safeguarding.

## II.E Integrity and/or authenticity

### Authenticity

79. Properties nominated under criteria (i) to (vi) must meet the conditions of authenticity. Annex 4 which includes the Nara Document on Authenticity, provides a practical basis for examining the authenticity of such properties and is summarized below.
80. The ability to understand the value attributed to the heritage depends on the degree to which information sources about this value may be understood as credible or truthful. Knowledge and understanding of these sources of information, in relation to original and subsequent characteristics of the cultural heritage, and their meaning, are the requisite bases for assessing all aspects of authenticity.
81. Judgments about value attributed to cultural heritage, as well as the credibility of related information sources, may differ from culture to culture, and even within the same culture. The respect due to all cultures requires that cultural heritage must be considered and judged primarily within the cultural contexts to which it belongs.
82. Depending on the type of cultural heritage, and its cultural context, properties may be understood to meet the conditions of authenticity if their cultural value (as recognized in the nomination criteria proposed) are truthfully and credibly expressed through a variety of attributes including:
- form and design;
  - materials and substance;
  - use and function;
  - traditions, techniques and management systems;

- location and setting;
  - language, and other forms of intangible heritage;
  - spirit and feeling; and
  - other internal and external factors.
83. Attributes such as spirit and feeling do not lend themselves easily to practical applications of the conditions of authenticity, but nevertheless are important indicators of character and sense of place, for example, in communities maintaining tradition and cultural continuity.
84. The use of all these sources permits elaboration of the specific artistic, historic, social, and scientific dimensions of the cultural heritage being examined. “Information sources” are defined as all physical, written, oral, and figurative sources, which make it possible to know the nature, specificities, meaning, and history of the cultural heritage.
85. When the conditions of authenticity are considered in preparing a nomination for a property, the State Party should first identify all of the applicable significant attributes of authenticity. The statement of authenticity should assess the degree to which authenticity is present in, or expressed by, each of these significant attributes.
86. In relation to authenticity, the reconstruction of archaeological remains or historic buildings or districts is justifiable only in exceptional circumstances. Reconstruction is acceptable only on the basis of complete and detailed documentation and to no extent on conjecture.

### Integrity

87. All properties nominated for inscription on the World Heritage List shall satisfy the conditions of integrity. Decision 20 COM IX.13
88. Integrity is a measure of the wholeness and intactness of the natural and/or cultural heritage and its attributes. Examining the conditions of integrity, therefore requires assessing the extent to which the property:
- (a) includes all elements necessary to express its outstanding universal value;
  - (b) is of adequate size to ensure the complete representation of the features and processes which convey the property’s significance;
  - (c) suffers from adverse effects of development and/or neglect.

This should be presented in a statement of integrity.

89. For properties nominated under criteria (i) to (vi), the physical fabric of the property and/or its significant features should be in good condition, and the impact of deterioration processes controlled. A significant proportion of the elements necessary to convey the totality of the value conveyed by the property should be included. Relationships and dynamic functions present in cultural landscapes, historic towns or other living properties essential to their distinctive character should also be maintained.
90. For all properties nominated under criteria (vii) - (x), bio-physical processes and landform features should be relatively intact. However, it is recognized that no area is totally pristine and that all natural areas are in a dynamic state, and to some extent involve contact with people. Human activities, including those of traditional societies and local communities, often occur in natural areas. These activities may be consistent with the outstanding universal value of the area where they are ecologically sustainable.
91. In addition, for properties nominated under criteria (vii) to (x), a corresponding condition of integrity has been defined for each criterion.
92. Properties proposed under criterion (vii) should be of outstanding universal value and include areas that are essential for maintaining the beauty of the property. For example, a property whose scenic value depends on a waterfall, would meet the conditions of integrity if it includes adjacent catchment and downstream areas that are integrally linked to the maintenance of the aesthetic qualities of the property.
93. Properties proposed under criterion (viii) should contain all or most of the key interrelated and interdependent elements in their natural relationships. For example, an “ice age” area would meet the conditions of integrity if it includes the snow field, the glacier itself and samples of cutting patterns, deposition and colonization (e.g. striations, moraines, pioneer stages of plant succession, etc.); in the case of volcanoes, the magmatic series should be complete and all or most of the varieties of effusive rocks and types of eruptions be represented.
94. Properties proposed under criterion (ix) should have sufficient size and contain the necessary elements to demonstrate the key aspects of processes that are essential for the long term conservation of the ecosystems and the biological diversity they contain. For example, an area of tropical rain forest would meet the conditions of integrity if it includes a certain amount of variation in elevation above sea level, changes in topography and soil types, patch systems and naturally regenerating patches; similarly a coral reef should include, for example, seagrass, mangrove or other adjacent ecosystems that regulate nutrient and sediment inputs into the reef.
- Examples of the application of the conditions of integrity to properties nominated under criteria (i) - (vi) are under development.

95. Properties proposed under criterion (x) should be the most important properties for the conservation of biological diversity. Only those properties which are the most biologically diverse and/or representative are likely to meet this criterion. The properties should contain habitats for maintaining the most diverse fauna and flora characteristic of the biogeographic province and ecosystems under consideration. For example, a tropical savannah would meet the conditions of integrity if it includes a complete assemblage of co-evolved herbivores and plants; an island ecosystem should include habitats for maintaining endemic biota; a property containing wide ranging species should be large enough to include the most critical habitats essential to ensure the survival of viable populations of those species; for an area containing migratory species, seasonal breeding and nesting sites, and migratory routes, wherever they are located, should be adequately protected.

## II.F Protection and management

96. Protection and management of World Heritage properties should ensure that the outstanding universal value, the conditions of integrity and/or authenticity at the time of inscription are maintained or enhanced in the future.
97. All properties inscribed on the World Heritage List must have adequate long-term legislative, regulatory, institutional and/or traditional protection and management to ensure their safeguarding. This protection should include adequately delineated boundaries. Similarly States Parties should demonstrate adequate protection at the national, regional, municipal, and/or traditional level for the nominated property. They should append appropriate texts to the nomination with a clear explanation of the way this protection operates to protect the property.

### Legislative, regulatory and contractual measures for protection

98. Legislative and regulatory measures at national and local levels should assure the survival of the property and its protection against development and change that might negatively impact the outstanding universal value, or the integrity and/or authenticity of the property. States Parties should also assure the full and effective implementation of such measures.

### Boundaries for effective protection

99. The delineation of boundaries is an essential requirement in the establishment of effective protection of nominated properties. Boundaries should be drawn to ensure the full expression of the outstanding universal value and the integrity and/or authenticity of the property.

100. For properties nominated under criteria (i) - (vi), boundaries should be drawn to include all those areas and attributes which are a direct tangible expression of the outstanding universal value of the property, as well as those areas which in the light of future research possibilities offer potential to contribute to and enhance such understanding.
101. For properties nominated under criteria (vii) - (x), boundaries should reflect the spatial requirements of habitats, species, processes or phenomena that provide the basis for their inscription on the World Heritage List. The boundaries should include sufficient areas immediately adjacent to the area of outstanding universal value in order to protect the property's heritage values from direct effect of human encroachments and impacts of resource use outside of the nominated area.
102. The boundaries of the nominated property may coincide with one or more existing or proposed protected areas, such as national parks or nature reserves, biosphere reserves or protected historic districts. While such established areas for protection may contain several management zones, only some of those zones may satisfy criteria for inscription.

#### Buffer zones

103. Wherever necessary for the proper conservation of the property, an adequate buffer zone should be provided.
104. For the purposes of effective protection of the nominated property, a buffer zone is an area surrounding the nominated property which has complementary legal and/or customary restrictions placed on its use and development to give an added layer of protection to the property. This should include the immediate setting of the nominated property, important views and other areas or attributes that are functionally important as a support to the property and its protection. The area constituting the buffer zone should be determined in each case through appropriate mechanisms. Details on the size, characteristics and authorized uses of a buffer zone, as well as a map indicating the precise boundaries of the property and its buffer zone, should be provided in the nomination.
105. A clear explanation of how the buffer zone protects the property should also be provided.
106. Where no buffer zone is proposed, the nomination should include a statement as to why a buffer zone is not required.
107. Although buffer zones are not normally part of the nominated property, any modifications to the buffer zone subsequent to inscription of a property on the World Heritage List should be approved by the World Heritage Committee.

### Management systems

108. Each nominated property should have an appropriate management plan or other documented management system which should specify how the outstanding universal value of a property should be preserved, preferably through participatory means.
109. The purpose of a management system is to ensure the effective protection of the nominated property for present and future generations.
110. An effective management system depends on the type, characteristics and needs of the nominated property and its cultural and natural context. Management systems may vary according to different cultural perspectives, the resources available and other factors. They may incorporate traditional practices, existing urban or regional planning instruments, and other planning control mechanisms, both formal and informal.
111. In recognizing the diversity mentioned above, common elements of an effective management system could include:
  - (a) a thorough shared understanding of the property by all stakeholders;
  - (b) a cycle of planning, implementation, monitoring, evaluation and feedback;
  - (c) the involvement of partners and stakeholders;
  - (d) the allocation of necessary resources;
  - (e) capacity-building; and
  - (f) an accountable, transparent description of how the management system functions.
112. Effective management involves a cycle of long-term and day-to-day actions to protect, conserve and present the nominated property.
113. Moreover, in the context of the implementation of the *Convention*, the World Heritage Committee has established a process of Reactive Monitoring (see Chapter IV) and a process of Periodic Reporting (see Chapter V).
114. In the case of serial properties, a management system or mechanisms for ensuring the co-ordinated management of the separate components are essential and should be documented in the nomination (see paragraphs 137-139).



115. In some circumstances, a management plan or other management system may not be in place at the time when a property is nominated for the consideration of the World Heritage Committee. The State Party concerned should then indicate when such a management plan or system would be put in place, and how it proposes to mobilize the resources required for the preparation and implementation of the new management plan or system. The State Party should also provide other document(s) (e.g. operational plans) which will guide the management of the site until such time when a management plan is finalized.
116. Where the intrinsic qualities of a property nominated are threatened by action of man and yet meet the criteria and the conditions of authenticity or integrity set out in paragraphs 78-95, an action plan outlining the corrective measures required should be submitted with the nomination file. Should the corrective measures submitted by the nominating State Party not be taken within the time proposed by the State Party, the property will be considered by the Committee for delisting in accordance with the procedure adopted by the Committee (see Chapter IV.C).
117. States Parties are responsible for implementing effective management activities for a World Heritage property. State Parties should do so in close collaboration with property managers, the agency with management authority and other partners, and stakeholders in property management.
118. The Committee recommends that States Parties include risk preparedness as an element in their World Heritage site management plans and training strategies. Decision 28 COM 10B.4

#### Sustainable use

119. World Heritage properties may support a variety of ongoing and proposed uses that are ecologically and culturally sustainable. The State Party and partners must ensure that such sustainable use does not adversely impact the outstanding universal value, integrity and/or authenticity of the property. Furthermore, any uses should be ecologically and culturally sustainable. For some properties, human use would not be appropriate.

# III. Process for the inscription of properties on the World Heritage List

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## III.A Preparation of nominations

120. The nomination document is the primary basis on which the Committee considers the inscription of the properties on the World Heritage List. All relevant information should be included in the nomination document and it should be cross-referenced to the source of information.
121. Annex 3 provides guidance to States Parties in preparing nominations of specific types of properties.
122. Before States Parties begin to prepare a nomination of a property for inscription on the World Heritage List, they should become familiar with the nomination cycle, described in Paragraph 168.
123. Participation of local people in the nomination process is essential to enable them to have a shared responsibility with the State Party in the maintenance of the property. States Parties are encouraged to prepare nominations with the participation of a wide variety of stakeholders, including site managers, local and regional governments, local communities, NGOs and other interested parties.
124. Preparatory Assistance, as described in Chapter VII.E, may be requested by States Parties for the preparation of nominations.
125. States Parties are encouraged to contact the Secretariat, which can provide assistance throughout the nomination process.
126. The Secretariat can also provide:
  - (a) assistance in identifying appropriate maps and photographs and the national agencies from which these may be obtained;
  - (b) examples of successful nominations, of management and legislative provisions;
  - (c) guidance for nominating different types of properties, such as Cultural Landscapes, Towns, Canals, and Heritage Routes (see Annex 3)

- (d) guidance for nominating serial and transboundary properties (see paragraphs 134-139).

- 127. States Parties may submit draft nominations to the Secretariat for comment and review by **30 September** of each year (see paragraph 168). This submission of a draft nomination is voluntary.
- 128. Nominations may be submitted **at any time during the year**, but only those nominations that are “complete” (see paragraph 132) and received by the Secretariat on or before **1 February** will be considered for inscription on the World Heritage List by the World Heritage Committee during the following year. Only nominations of properties included in the State Party’s Tentative List will be examined by the Committee (see paragraph 63).

### III.B Format and content of nominations

- 129. Nominations of properties for inscription on the World Heritage List should be prepared in accordance with the format set out in Annex 5.
- 130. The format includes the following sections:
  - 1. Identification of the Property
  - 2. Description of the Property
  - 3. Justification for Inscription
  - 4. State of conservation and factors affecting the property
  - 5. Protection and Management
  - 6. Monitoring
  - 7. Documentation
  - 8. Contact Information of responsible authorities
  - 9. Signature on behalf of the State Party(ies)

- 131. Nominations to the World Heritage List are evaluated on content rather than on appearance.

- 132. For a nomination to be considered as “**complete**”, the following requirements are to be met:

- 1. Identification of the Property

The boundaries of the property being proposed shall be clearly defined, unambiguously distinguishing between the nominated property and any buffer zone (when present) (see paragraphs 103-107). Maps shall be sufficiently detailed to determine precisely which area of land and/or

water is nominated. Officially up-to-date published topographic maps of the State Party annotated to show the property boundaries shall be provided if available. A nomination shall be considered “incomplete” if it does not include clearly defined boundaries.

## 2. Description of the Property

The Description of the property shall include the identification of the property, and an overview of its history and development. All component parts that are mapped shall be identified and described. In particular, where serial nominations are proposed, each of the component parts shall be clearly described.

The History and Development of the property shall describe how the property has reached its present form and the significant changes that it has undergone. This information shall provide the important facts needed to support and give substance to the argument that the property meets the criteria of outstanding universal value and conditions of integrity and/or authenticity.

## 3. Justification for Inscription

This section shall indicate the World Heritage criteria (see Paragraph 77) under which the property is proposed, together with a clearly stated argument for the use of each criterion. Based on the criteria, a proposed Statement of Outstanding Universal Value (see paragraphs 49-53 and 155) of the property prepared by the State Party shall make clear why the property is considered to merit inscription on the World Heritage List. A comparative analysis of the property in relation to similar properties, whether or not on the World Heritage List, both at the national and international levels, shall also be provided. The comparative analysis shall explain the importance of the nominated property in its national and international context. Statements of integrity and/or authenticity shall be included and shall demonstrate how the property satisfies the conditions outlined in paragraphs 78-95.

The comparative analyses prepared by States Parties when nominating properties for inscription in the World Heritage List should not be confused with the thematic studies prepared by the Advisory Bodies at the request of the Committee (paragraph 148 below)

Decision 7 EXT.COM 4A

## 4. State of conservation and factors affecting the property

This section shall include accurate information on the present state of conservation of the property (including information on its physical condition of the property and conservation measures in place). It shall also include a description of the factors affecting the property (including threats). Information provided in this section constitutes the baseline data which are necessary to monitor the state of conservation of the nominated property in the future.

## 5. Protection and management

Protection: Section 5 shall include the list of the legislative, regulatory, contractual, planning, institutional and/ or traditional measures most relevant to the protection of the property and provide a detailed analysis of the way in which this protection actually operates. Legislative, regulatory, contractual planning and/or institutional texts, or an abstract of the texts, shall also be attached in English or French.

Management: An appropriate management plan or other management system is essential and shall be provided in the nomination. Assurances of the effective implementation of the management plan or other management system are also expected.

A copy of the management plan or documentation of the management system shall be annexed to the nomination. If the management plan exists only in a language other than English or French, an English or French detailed description of its provisions shall be annexed.

A detailed analysis or explanation of the management plan or a documented management system shall be provided.

A nomination which does not include the above-mentioned documents is considered incomplete unless other documents guiding the management of the property until the finalization of the management plan are provided as outlined in paragraph 115.

## 6. Monitoring

States Parties shall include the key indicators proposed to measure and assess the state of conservation of the property, the factors affecting it, conservation measures at the property, the periodicity of their examination, and the identity of the responsible authorities.

## 7. Documentation

All necessary documentation to substantiate the nomination shall be provided. In addition to what is indicated above, this shall include photographs, 35 mm slides, image inventory and photograph authorization form. The text of the nomination shall be transmitted in printed form as well as in electronic format (Diskette or CD-Rom).

8. Contact Information of responsible authorities

Detailed contact information of responsible authorities shall be provided.

9. Signature on behalf of the State Party

The nomination shall conclude with the original signature of the official empowered to sign it on behalf of the State Party.

10. Number of printed copies required

- Nominations of cultural properties (excluding cultural landscapes): 2 copies
- Nominations of natural properties: 3 copies
- Nominations of mixed properties and cultural landscapes: 4 copies

11. Paper and electronic format

Nominations shall be presented on A4-size paper (or “letter”); and in electronic format (diskette or CD-ROM). At least one paper copy shall be presented in a loose-leaf format to facilitate photocopying, rather than in a bound volume.

12. Sending

States Parties shall submit the nomination in English or French duly signed, to:

**UNESCO World Heritage Centre**

7, place de Fontenoy

75352 Paris 07 SP

France

Tel: +33 (0) 1 4568 1136

Fax: +33 (0) 1 4568 5570

E-mail: wh-nominations@unesco.org

133. The Secretariat will retain all supporting documentation (maps, plans, photographic material, etc.) submitted with the nomination.

### III.C Requirements for the nomination of different types of properties

#### Transboundary properties

134. A nominated property may occur:

Decision 7 EXT.COM 4A

- (a) on the territory of a single State Party, or
- (b) on the territory of all concerned States Parties having adjacent borders (transboundary property).

135. Wherever possible, transboundary nominations should be prepared and submitted by States Parties jointly in conformity with Article 11.3 of the *Convention*. It is highly recommended that the States Parties concerned establish a joint management committee or similar body to oversee the management of the whole of a transboundary property.

136. Extensions to an existing World Heritage property located in one State Party may be proposed to become transboundary properties.

#### Serial properties

137. Serial properties will include component parts related because they belong to:

- (a) the same historico – cultural group;
- (b) the same type of property which is characteristic of the geographical zone;
- (c) the same geological, geomorphological formation, the same biogeographic province, or the same ecosystem type;

and provided it is the series as a whole – and not necessarily the individual parts of it – which are of outstanding universal value.

138. A serial nominated property may occur:

Decision 7 EXT.COM 4A

- (a) on the territory of a single State Party (serial national property);  
or
- (b) within the territory of different States Parties, which need not be contiguous and is nominated with the consent of all States Parties concerned (serial transnational property)

139. Serial nominations, whether from one State Party or multiple States, may be submitted for evaluation over several nomination cycles, provided that the first property nominated is of outstanding universal value in its own right. States Parties planning serial nominations phased over several nomination cycles are encouraged to inform the Committee of their intention in order to ensure better planning.

### III.D Registration of nominations

140. On receipt of nominations from States Parties, the Secretariat will acknowledge receipt, check for completeness and register nominations. The Secretariat will forward complete nominations to the relevant Advisory Bodies for evaluation. The Secretariat will request any additional information from the State Party and when required by Advisory Bodies. The timetable for registration and processing of nominations is detailed in paragraph 168.

141. The Secretariat establishes and submits at each Committee session a list of all nominations received, including the date of reception, an indication of their status “complete” or “incomplete”, as well as the date at which they are considered as “complete” in conformity with paragraph 132.

Decisions 26 COM 14 and  
28 COM 14B.57

142. A nomination passes through a cycle between the time of its submission and the decision by the World Heritage Committee. This cycle normally lasts one and a half years between submission in February of Year 1 and the decision of the Committee in June of Year 2.

### III.E Evaluation of nominations by the Advisory Bodies

143. The Advisory Bodies will evaluate whether or not properties nominated by States Parties have outstanding universal value, meet the conditions of integrity and/or authenticity and meet the requirements of protection and management. The procedures and format of ICOMOS and IUCN evaluations are described in Annex 6.



144. Evaluations of cultural heritage nominations will be carried out by ICOMOS.

145. Evaluations of natural heritage nominations will be carried out by IUCN.

146. In the case of nominations of cultural properties in the category of “cultural landscapes”, as appropriate, the evaluation will be carried out by ICOMOS in consultation with IUCN. For mixed properties, the evaluation will be carried out jointly by ICOMOS and IUCN.

147. As requested by the World Heritage Committee or as necessary, ICOMOS and IUCN will carry out **thematic studies** to evaluate proposed World Heritage properties in their regional, global or thematic context. These studies should be informed by a review of the Tentative Lists submitted by States Parties and by reports of meetings on the harmonization of Tentative Lists, as well as by other technical studies performed by the Advisory Bodies and qualified organizations and individuals. A list of those studies already completed may be found in section III of Annex 3, and on the Web addresses of the Advisory Bodies. These studies should not be confused with the **comparative analysis** to be prepared by States Parties in nominating properties for inscription on the World Heritage List (see paragraph 132).

ICOMOS:

<http://www.icomos.org/studies/>

IUCN:

<http://www.iucn.org/themes/wcpa/pubs/Worldheritage.htm>

148. The following principles must guide the evaluations and presentations of ICOMOS and IUCN. The evaluations and presentations should:

Decision 28 COM 14B.57.3

- (a) adhere to the *World Heritage Convention* and the relevant *Operational Guidelines* and any additional policies set out by the Committee in its decisions;
- (b) be objective, rigorous and scientific in their evaluations;
- (c) be conducted to a consistent standard of professionalism;
- (d) comply to standard format, both for evaluations and presentations, to be agreed with the Secretariat and include the name of the evaluator(s) who conducted the site visit;
- (e) indicate clearly and separately whether the property has outstanding universal value, meets the conditions of integrity and/or authenticity, a management plan/system and legislative protection;
- (f) evaluate each property systematically according to all relevant criteria, including its state of conservation, relatively, that is, by comparison with that of other properties of the same type, both inside and outside the State Party's territory;

- (g) include references to Committee decisions and requests concerning the nomination under consideration;
  - (h) not take into account or include any information submitted by the State Party after **31 March** in the year in which the nomination is considered. The State Party should be informed when information has arrived after the deadline and is not being taken into account in the evaluation. This deadline should be rigorously enforced; and Decision 28 COM 14B.57.3
  - (i) provide a justification for their views through a list of references (literature) consulted, as appropriate.
149. The Advisory Bodies are requested to forward to States Parties by **31 January** of each year any final question or request for information that they may have after the examination of their evaluation. Decision 7 EXT.COM 4B.1
150. The concerned States Parties are invited to send, at least two working days before the opening of the session of the Committee, a letter to the Chairperson, with copies to the Advisory Bodies, detailing the factual errors they might have identified in the evaluation of their nomination made by the Advisory Bodies. This letter will be distributed in the working languages to the members of the Committee and may be read by the Chairperson following the presentation of the evaluation. Decision 7 EXT.COM 4B.1
151. ICOMOS and IUCN make their recommendations under three categories:
- (a) properties which are **recommended for inscription** without reservation;
  - (b) properties which are **not recommended** for inscription;
  - (c) nominations which are recommended for **referral** or **deferral**.

### III.F Withdrawal of nominations

152. A State Party may **withdraw** a nomination it has submitted at any time prior to the Committee session at which it is scheduled to be examined. The State Party should inform the Secretariat in writing of its intention to withdraw the nomination. If the State Party so wishes it can resubmit a nomination for the property, which will be considered as a new nomination according to the procedures and timetable outlined in paragraph 168.

### III.G Decision of the World Heritage Committee

153. The World Heritage Committee decides whether a property should or should not be inscribed on the World Heritage List, referred or deferred.

#### Inscription

154. When deciding to inscribe a property on the World Heritage List, the Committee, guided by the Advisory Bodies, adopts a Statement of Outstanding Universal Value for the property.
155. The Statement of Outstanding Universal Value should include a summary of the Committee's determination that the property has outstanding universal value, identifying the criteria under which the property was inscribed, including the assessments of the conditions of integrity or authenticity, and of the requirements for protection and management in force. The Statement of Outstanding Universal Value shall be the basis for the future protection and management of the property.
156. At the time of inscription, the Committee may also make other recommendations concerning the protection and management of the World Heritage property.
157. The Statement of Outstanding Universal Value (including the criteria for which a specific property is inscribed on the World Heritage List) will be set out by the Committee in its reports and publications.

#### Decision not to inscribe

158. If the Committee decides that a property should **not be inscribed** on the World Heritage List, the nomination may not again be presented to the Committee except in exceptional circumstances. These exceptional circumstances may include new discoveries, new scientific information about the property, or different criteria not presented in the original nomination. In these cases, a new nomination shall be submitted.

### Referral of Nominations

159. Nominations which the Committee decides **to refer** back to the State Party for additional information may be resubmitted to the following Committee session for examination. The additional information shall be submitted to the Secretariat by **1 February** of the year in which examination by the Committee is desired. The Secretariat will immediately transmit it to the relevant Advisory Bodies for evaluation. A referred nomination which is not presented to the Committee within three years of the original Committee decision will be considered as a new nomination when it is resubmitted for examination, following the procedures and timetable outlined in paragraph 168.

### Deferral of Nominations

160. The Committee may decide **to defer** a nomination for more in-depth assessment or study, or a substantial revision by the State Party. Should the State Party decide to resubmit the deferred nomination, it shall be resubmitted to the Secretariat by **1 February**. These nominations will then be reevaluated by the relevant Advisory Bodies during the course of the full year and a half evaluation cycle according to the procedures and timetable outlined in paragraph 168.

## III.H Nominations to be processed on an emergency basis

161. The normal timetable and definition of completeness for the submission and processing of nominations will not apply in the case of properties which, in the opinion of the relevant Advisory Bodies, would **unquestionably** meet the criteria for inscription on the World Heritage List and which have suffered damage or face serious and specific dangers from natural events or human activities. Such nominations will be processed on an emergency basis and may be inscribed simultaneously on the World Heritage List and on the List of World Heritage in Danger (see paragraphs 177-191).
162. The procedure for nominations to be processed on an emergency basis is as follows:
- (a) A State Party presents a nomination with the request for processing on an emergency basis. The State Party shall have already included, or immediately include, the property on its Tentative List.

- (b) The nomination shall:
  - (i) describe and identify the property;
  - (ii) justify its outstanding universal value according to the criteria;
  - (iii) justify its integrity and/or authenticity;
  - (iv) describe its protection and management system;
  - (v) describe the nature of the emergency, including the nature and extent of the damage or danger and showing that immediate action by the Committee is necessary for the survival of the property.
- (c) The Secretariat immediately transmits the nomination to the relevant Advisory Bodies, requesting an assessment of its outstanding universal value, and of the nature of the emergency, damage and/or danger. A field visit may be necessary if the relevant Advisory Bodies consider it appropriate;
- (d) If the relevant Advisory Bodies determine that the property **unquestionably** meets the criteria for inscription, and that the requirements (see (a) above) are satisfied, the examination of the nomination will be added to the agenda of the next session of the Committee.
- (e) When reviewing the nomination the Committee will also consider:
  - (i) inscription on the List of World Heritage in Danger;
  - (ii) allocation of International Assistance to complete the nomination; and
  - (iii) follow-up missions as necessary by the Secretariat and the relevant Advisory Bodies as soon as possible after inscription.

### III.I Modifications to the boundaries, to the criteria used to justify inscription or to the name of a World Heritage property

#### Minor modifications to the boundaries

163. A minor modification is one which has not a significant impact on the extent of the property nor affects its outstanding universal value.
164. If a State Party wishes to request a minor modification to the boundaries of a property already on the World Heritage List, it shall submit this by **1 February** to the Committee through the Secretariat, which will

seek the advice of the relevant Advisory Bodies. The Committee can approve such modification, or it may consider that the modification to the boundary is sufficiently important to constitute an extension of the property, in which case the procedure for new nominations will apply.

#### Significant modifications to the boundaries

165. If a State Party wishes to significantly modify the boundary of a property already on the World Heritage List, the State Party shall submit this proposal as if it were a new nomination. This re-nomination shall be presented by **1 February** and will be evaluated in the full year and a half cycle of evaluation according to the procedures and timetable outlined in paragraph 168. This provision applies to extensions, as well as reductions.

#### Modifications to the criteria used to justify inscription on the World Heritage List

166. Where a State Party wishes to have the property inscribed under additional or different criteria other than those used for the original inscription, it shall submit this request as if it were a new nomination. This re-nomination shall be presented by **1 February** and will be evaluated in the full year and a half cycle of evaluation according to the procedures and timetable outlined in paragraph 168. Properties recommended will only be evaluated under the new criteria and will remain on the World Heritage List even if unsuccessful in having additional criteria recognized.

#### Modification to the name of a World Heritage property

167. A State Party may request that the Committee authorize a modification to the name of a property already inscribed on the World Heritage List. A request for a modification to the name shall be received by the Secretariat **at least 3 months prior to the meeting of the Committee.**

## III.J Timetable – overview

### 168. TIMETABLE

### PROCEDURES

#### 30 September (before Year 1)

Voluntary deadline for receipt of draft nominations from States Parties by the Secretariat.

#### 15 November (before Year 1)

Secretariat to respond to the nominating State Party concerning the completeness of the draft nomination, and, if incomplete, to indicate the missing information required to make the nomination complete.

#### 1 February Year 1

Deadline by which complete nominations must be received by the Secretariat to be transmitted to the relevant Advisory Bodies for evaluation.

Nominations shall be received by 17h00 GMT, or, if the date falls on a weekend by 17h00 GMT the preceding Friday.

Nominations received after this date will be examined in a future cycle.

#### 1 February – 1 March Year 1

Registration, assessment of completeness and transmission to the relevant Advisory Bodies.

The Secretariat registers each nomination, acknowledges receipt to the nominating State Party and inventories its contents. The Secretariat will inform the nominating State Party whether or not the nomination is complete.

Nominations that are not complete (see paragraph 132) will not be transmitted to the relevant Advisory Bodies for evaluation. If a nomination is incomplete, the State Party concerned will be advised of information required to complete the nomination by the deadline of 1 February of the following year in order for the nomination to be examined in a future cycle.

Nominations that are complete are transmitted to the relevant Advisory Bodies for evaluation.

**1 March Year 1**

Deadline by which the Secretariat informs the State Party of the receipt of a Nomination, whether it is considered complete and whether it has been received by 1 February.

**March Year 1 – May Year 2**

Evaluation by the Advisory Bodies

**31 January Year 2**

If necessary, the relevant Advisory Bodies may request States Parties to submit additional information during the evaluation and no later than 31 January Year 2.

**31 March Year 2**

Deadline by which additional information requested by the relevant Advisory Bodies shall be submitted by the State Party to them via the Secretariat.

Additional information shall be submitted in the same number of copies and electronic formats as specified in Paragraph 132 to the Secretariat. To avoid confusing new and old texts, if the additional information submitted concerns changes to the main text of the nomination, the State Party shall submit these changes in an amended version of the original text. The changes shall be clearly identified. An electronic version (CD-ROM or diskette) of this new text shall accompany the paper version.

**Six weeks prior to the annual  
World Heritage Committee session Year 2**

The relevant Advisory Bodies deliver their evaluations and recommendations to the Secretariat for transmission to the World Heritage Committee as well as to States Parties.

**At least two working days before  
the opening of the annual World Heritage  
Committee session Year 2**

Correction of factual errors by States Parties

The concerned States Parties can send, at least two working days before the opening of the session of the Committee, a letter to the Chairperson, with copies to the Advisory Bodies, detailing the factual errors they might have identified in the evaluation of their nomination made by the Advisory Bodies.

**Annual session of the World Heritage  
Committee (June/July) Year 2**

The Committee examines the nominations and makes its decisions.



**Immediately following the annual session of the World Heritage Committee**

Notification to the States Parties

The Secretariat notifies all States Parties whose nominations have been examined by the Committee of the relevant decisions of the Committee.

Following the decision of the World Heritage Committee to inscribe a property on the World Heritage List, the Secretariat writes to the State Party and site managers providing a map of the area inscribed and the Statement of Outstanding Universal Value (to include reference to the criteria met).

**Immediately following the annual session of the World Heritage Committee**

The Secretariat publishes the updated World Heritage List every year following the annual session of the Committee.

The name of the States Parties having nominated the properties inscribed on the World Heritage List are presented in the published form of the List under the following heading: “Contracting State having submitted the nomination of the property in accordance with the *Convention*”.

**In the month following the closure of the annual session of the World Heritage Committee**

The Secretariat forwards the published report of all the decisions of the World Heritage Committee to all States Parties.

# IV. Process for monitoring the state of conservation of World Heritage properties

## IV.A Reactive Monitoring

### Definition of Reactive Monitoring

169. Reactive Monitoring is the reporting by the Secretariat, other sectors of UNESCO and the Advisory Bodies to the Committee on the state of conservation of specific World Heritage properties that are under threat. To this end, the States Parties shall submit by **1 February** to the Committee through the Secretariat, specific reports and impact studies each time exceptional circumstances occur or work is undertaken which may have an effect on the state of conservation of the property. Reactive Monitoring is also foreseen in reference to properties inscribed, or to be inscribed, on the List of World Heritage in Danger as set out in paragraphs 177-191. Reactive Monitoring is foreseen in the procedures for the eventual deletion of properties from the World Heritage List as set out in paragraphs 192-198.

### Objective of Reactive Monitoring

170. When adopting the process of Reactive Monitoring, the Committee was particularly concerned that all possible measures should be taken to prevent the deletion of any property from the List and was ready to offer technical co-operation as far as possible to States Parties in this connection.

*Article 4 of the Convention: Each State Party to this Convention recognizes that the duty of ensuring the identification, protection, conservation, presentation and transmission to future generations of the cultural and natural heritage referred to in Articles 1 and 2 and situated on its territory, belongs primarily to that State ... .*

171. The Committee recommends that States Parties cooperate with the Advisory Bodies which have been asked by the Committee to carry out monitoring and reporting on its behalf on the progress of work undertaken for the preservation of properties inscribed on the World Heritage List.

Information received from States Parties and/or other sources

172. The World Heritage Committee invites the States Parties to the *Convention* to inform the Committee, through the Secretariat, of their intention to undertake or to authorize in an area protected under the *Convention* major restorations or new constructions which may affect the outstanding universal value of the property. Notice should be given as soon as possible (for instance, before drafting basic documents for specific projects) and before making any decisions that would be difficult to reverse, so that the Committee may assist in seeking appropriate solutions to ensure that the outstanding universal value of the property is fully preserved.

173. The World Heritage Committee requests that reports of missions to review the state of conservation of the World Heritage properties include:

Decision 27 COM 7B.106.2

- (a) an indication of threats or significant improvement in the conservation of the property since the last report to the World Heritage Committee;
- (b) any follow-up to previous decisions of the World Heritage Committee on the state of conservation of the property;
- (c) information on any threat or damage to or loss of outstanding universal value, integrity and/or authenticity for which the property was inscribed on the World Heritage List.

174. When the Secretariat receives information that a property inscribed has seriously deteriorated, or that the necessary corrective measures have not been taken within the time proposed, from a source other than the State Party concerned, it will, as far as possible, verify the source and the contents of the information in consultation with the State Party concerned and request its comments.

Decision by the World Heritage Committee

175. The Secretariat will request the relevant Advisory Bodies to forward comments on the information received.

176. The information received, together with the comments of the State Party and the Advisory Bodies, will be brought to the attention of the Committee in the form of a state of conservation report for each property, which may take one or more of the following steps:

- (a) it may decide that the property has not seriously deteriorated and that no further action should be taken;

- (b) when the Committee considers that the property has seriously deteriorated, but not to the extent that its restoration is impossible, it may decide that the property be maintained on the List, provided that the State Party takes the necessary measures to restore the property within a reasonable period of time. The Committee may also decide that technical co-operation be provided under the World Heritage Fund for work connected with the restoration of the property, proposing to the State Party to request such assistance, if it has not already been done;
- (c) when the requirements and criteria set out in paragraphs 177-182 are met, the Committee may decide to inscribe the property on the List of World Heritage in Danger according to the procedures set out in paragraphs 183-189;
- (d) when there is evidence that the property has deteriorated to the point where it has irretrievably lost those characteristics which determined its inscription on the List, the Committee may decide to delete the property from the List. Before any such action is taken, the Secretariat will inform the State Party concerned. Any comments which the State Party may make will be brought to the attention of the Committee;
- (e) when the information available is not sufficient to enable the Committee to take one of the measures described in a), b), c) or d) above, the Committee may decide that the Secretariat be authorized to take the necessary action to ascertain, in consultation with the State Party concerned, the present condition of the property, the dangers to the property and the feasibility of adequately restoring the property, and to report to the Committee on the results of its action; such measures may include the sending of a fact-finding or the consultation of specialists. In cases where emergency action is required, the Committee may authorize the financing from the World Heritage Fund of the Emergency Assistance that is required.

## IV.B The List of World Heritage in Danger

### Guidelines for the inscription of properties on the List of World Heritage in Danger

177. In accordance with Article 11, paragraph 4, of the *Convention*, the Committee may inscribe a property on the List of World Heritage in Danger when the following requirements are met:

- (a) the property under consideration is on the World Heritage List;

- (b) the property is threatened by serious and specific danger;
- (c) major operations are necessary for the conservation of the property;
- (d) assistance under the *Convention* has been requested for the property; the Committee is of the view that its assistance in certain cases may most effectively be limited to messages of its concern, including the message sent by inscription of a property on the List of World Heritage in Danger and that such assistance may be requested by any Committee member or the Secretariat.

Criteria for the inscription of properties on the List of World Heritage in Danger

178. A World Heritage property - as defined in Articles 1 and 2 of the *Convention* - can be inscribed on the List of World Heritage in Danger by the Committee when it finds that the condition of the property corresponds to at least one of the criteria in either of the two cases described below.

179. In the case of **cultural properties**:

- (a) ASCERTAINED DANGER - The property is faced with specific and proven imminent danger, such as:
  - (i) serious deterioration of materials;
  - (ii) serious deterioration of structure and/or ornamental features;
  - (iii) serious deterioration of architectural or town-planning coherence;
  - (iv) serious deterioration of urban or rural space, or the natural environment;
  - (v) significant loss of historical authenticity;
  - (vi) important loss of cultural significance.
- (b) POTENTIAL DANGER - The property is faced with threats which could have deleterious effects on its inherent characteristics. Such threats are, for example:
  - (i) modification of juridical status of the property diminishing the degree of its protection;
  - (ii) lack of conservation policy;
  - (iii) threatening effects of regional planning projects;
  - (iv) threatening effects of town planning;
  - (v) outbreak or threat of armed conflict;
  - (vi) gradual changes due to geological, climatic or other environmental factors.

**180. In the case of natural properties:**

- (a) ASCERTAINED DANGER - The property is faced with specific and proven imminent danger, such as:
  - (i) A serious decline in the population of the endangered species or the other species of outstanding universal value for which the property was legally established to protect, either by natural factors such as disease or by man-made factors such as poaching.
  - (ii) Severe deterioration of the natural beauty or scientific value of the property, as by human settlement, construction of reservoirs which flood important parts of the property, industrial and agricultural development including use of pesticides and fertilizers, major public works, mining, pollution, logging, firewood collection, etc.
  - (iii) Human encroachment on boundaries or in upstream areas which threaten the integrity of the property.
- (b) POTENTIAL DANGER - The property is faced with major threats which could have deleterious effects on its inherent characteristics. Such threats are, for example:
  - (i) a modification of the legal protective status of the area;
  - (ii) planned resettlement or development projects within the property or so situated that the impacts threaten the property;
  - (iii) outbreak or threat of armed conflict;
  - (iv) the management plan or management system is lacking or inadequate, or not fully implemented.

**181.** In addition, the factor or factors which are threatening the integrity of the property must be those which are amenable to correction by human action. In the case of cultural properties, both natural factors and man-made factors may be threatening, while in the case of natural properties, most threats will be man-made and only very rarely a natural factor (such as an epidemic disease) will threaten the integrity of the property. In some cases, the factors threatening the integrity of a property may be corrected by administrative or legislative action, such as the cancelling of a major public works project or the improvement of legal status.

**182.** The Committee may wish to bear in mind the following supplementary factors when considering the inclusion of a cultural or natural property in the List of World Heritage in Danger:

- (a) Decisions which affect World Heritage properties are taken by Governments after balancing all factors. The advice of the World Heritage Committee can often be decisive if it can be given before the property becomes threatened.

- (b) Particularly in the case of ascertained danger, the physical or cultural deteriorations to which a property has been subjected should be judged according to the intensity of its effects and analyzed case by case.
- (c) Above all in the case of potential danger to a property, one should consider that:
  - (i) the threat should be appraised according to the normal evolution of the social and economic framework in which the property is situated;
  - (ii) it is often impossible to assess certain threats - such as the threat of armed conflict - as to their effect on cultural or natural properties;
  - (iii) some threats are not imminent in nature, but can only be anticipated, such as demographic growth.
- (d) Finally, in its appraisal the Committee should take into account any cause of unknown or unexpected origin which endangers a cultural or natural property.

Procedure for the inscription of properties on the List of World Heritage in Danger

183. When considering the inscription of a property on the List of World Heritage in Danger, the Committee shall develop, and adopt, as far as possible, in consultation with the State Party concerned, a programme for corrective measures.
184. In order to develop the programme of corrective measures referred to in the previous paragraph, the Committee shall request the Secretariat to ascertain, as far as possible in co-operation with the State Party concerned, the present condition of the property, the dangers to the property and the feasibility of undertaking corrective measures. The Committee may further decide to send a mission of qualified observers from the relevant Advisory Bodies or other organizations to visit the property, evaluate the nature and extent of the threats and propose the measures to be taken.
185. The information received, together with the comments as appropriate of the State Party and the relevant Advisory Bodies or other organizations, will be brought to the attention of the Committee by the Secretariat.

186. The Committee shall examine the information available and take a decision concerning the inscription of the property on the List of World Heritage in Danger. Any such decision shall be taken by a majority of two-thirds of the Committee members present and voting. The Committee will then define the programme of corrective action to be taken. This programme will be proposed to the State Party concerned for immediate implementation.
187. The State Party concerned shall be informed of the Committee's decision and public notice of the decision shall immediately be issued by the Committee, in accordance with Article 11.4 of the *Convention*.
188. The Secretariat publishes the updated List of World Heritage in Danger in printed form and is also available at the following Web address: <http://whc.unesco.org/en/danger>
189. The Committee shall allocate a specific, significant portion of the World Heritage Fund to financing of possible assistance to World Heritage properties inscribed on the List of World Heritage in Danger.

Regular review of the state of conservation of properties on the List of World Heritage in Danger

190. The Committee shall review annually the state of conservation of properties on the List of World Heritage in Danger. This review shall include such monitoring procedures and expert missions as might be determined necessary by the Committee.
191. On the basis of these regular reviews, the Committee shall decide, in consultation with the State Party concerned, whether:
- (a) additional measures are required to conserve the property;
  - (b) to delete the property from the List of World Heritage in Danger if the property is no longer under threat;
  - (c) to consider the deletion of the property from both the List of World Heritage in Danger and the World Heritage List if the property has deteriorated to the extent that it has lost those characteristics which determined its inscription on the World Heritage List, in accordance with the procedure set out in paragraphs 192-198.



## IV.C Procedure for the eventual deletion of properties from the World Heritage List

192. The Committee adopted the following procedure for the deletion of properties from the World Heritage List in cases:
- (a) where the property has deteriorated to the extent that it has lost those characteristics which determined its inclusion in the World Heritage List; and
  - (b) where the intrinsic qualities of a World Heritage site were already threatened at the time of its nomination by action of man and where the necessary corrective measures as outlined by the State Party at the time, have not been taken within the time proposed (see paragraph 116).
193. When a property inscribed on the World Heritage List has seriously deteriorated, or when the necessary corrective measures have not been taken within the time proposed, the State Party on whose territory the property is situated should so inform the Secretariat.
194. When the Secretariat receives such information from a source other than the State Party concerned, it will, as far as possible, verify the source and the contents of the information in consultation with the State Party concerned and request its comments.
195. The Secretariat will request the relevant Advisory Bodies to forward comments on the information received.
196. The Committee will examine all the information available and will take a decision. Any such decision shall, in accordance with Article 13(8) of the *Convention*, be taken by a majority of two-thirds of its members present and voting. The Committee shall not decide to delete any property unless the State Party has been consulted on the question.
197. The State Party shall be informed of the Committee's decision and public notice of this decision shall be immediately given by the Committee.
198. If the Committee's decision entails any modification to the World Heritage List, this modification will be reflected in the next updated List that is published.

## V. Periodic reporting on the implementation of the *World Heritage Convention*

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### V.A Objectives

199. States Parties are requested to submit reports to the UNESCO General Conference through the World Heritage Committee on the legislative and administrative provisions they have adopted and other actions which they have taken for the application of the *Convention*, including the state of conservation of the World Heritage properties located on their territories.
200. States Parties may request expert advice from the Advisory Bodies and the Secretariat, which may also (with agreement of the States Parties concerned) commission further expert advice.
201. Periodic Reporting serves four main purposes:
- (a) to provide an assessment of the application of the *World Heritage Convention* by the State Party;
  - (b) to provide an assessment as to whether the outstanding universal value of the properties inscribed on the World Heritage List is being maintained over time;
  - (c) to provide up-dated information about the World Heritage properties to record the changing circumstances and state of conservation of the properties;
  - (d) to provide a mechanism for regional co-operation and exchange of information and experiences between States Parties concerning the implementation of the *Convention* and World Heritage conservation.
202. Periodic Reporting is important for more effective long term conservation of the properties inscribed, as well as to strengthen the credibility of the implementation of the *Convention*.

Article 29 of the *World Heritage Convention* and Resolutions of the 11th session of the General Assembly of States Parties (1997) and the 29<sup>th</sup> session of the UNESCO General Conference.

## V.B Procedure and format

Decision 22 COM VI.7

### 203. World Heritage Committee:

- (a) adopted the Format and Explanatory Notes set out in Annex 7;
- (b) invited States Parties to submit periodic reports every six years;
- (c) decided to examine the States Parties' periodic reports region by region according to the following table:

<u>Region</u>	<u>Examination of properties inscribed up to and including</u>	<u>Year of Examination by Committee</u>
Arab States	1992	December 2000
Africa	1993	December 2001/ July 2002
Asia and the Pacific	1994	June-July 2003
Latin America and the Caribbean	1995	June-July 2004
Europe and North America	1996/1997	June-July 2005/2006

- (d) requested the Secretariat, jointly with the Advisory Bodies, and making use of States Parties, competent institutions and expertise available within the region, to develop regional strategies for the periodic reporting process as per the timetable established under (c) above.

204. The above-mentioned regional strategies should respond to specific characteristics of the regions and should promote co-ordination and synchronization between States Parties, particularly in the case of transboundary properties. The Secretariat will consult States Parties with regard to the development and implementation of those regional strategies.

205. After the first six-year cycle of periodic reports, each region will be assessed again in the same order as indicated in the table above. Following the first six-year cycle, there may be a pause for evaluation to assess and revise the periodic reporting mechanism before a new cycle is initiated.

206. The Format for the periodic reports by the States Parties consists of two sections:

- (a) **Section I** refers to the legislative and administrative provisions which the State Party has adopted and other actions which it has taken for the application of the *Convention*, together with details of the experience acquired in this field. This particularly concerns the general obligations defined in specific articles of the *Convention*.
- (b) **Section II** refers to the state of conservation of specific World Heritage properties located on the territory of the State Party concerned. This Section should be completed for each World Heritage property.

This Format was adopted by the Committee at its 22nd session (Kyoto 1998) and may be revised following the completion of the first cycle of Periodic Reporting in 2006. For this reason, the Format has not been revised.

Explanatory Notes are provided with the Format in Annex 7.

207. In order to facilitate management of information, States Parties are requested to submit reports, in English or French, in electronic as well as in printed form to:

**UNESCO World Heritage Centre**

7, place de Fontenoy

75352 Paris 07 SP

France

Tel: +33 (0)1 45 68 15 71

Fax: +33 (0)1 45 68 55 70

E-mail: wh-info@unesco.org

## V.C Evaluation and follow-up

208. The Secretariat consolidates national reports into Regional State of the World Heritage reports, which are available in electronic format at the following Web address <http://whc.unesco.org/en/publications> and in paper version (series World Heritage Papers).

209. The World Heritage Committee carefully reviews issues raised in Periodic Reports and advises the States Parties of the regions concerned on matters arising from them.

210. The Committee requested the Secretariat with the Advisory Bodies, in consultation with the relevant States Parties, to develop long-term follow-up Regional Programmes structured according to its Strategic Objectives and to submit them for its consideration. These should accurately reflect the needs of World Heritage in the Region and facilitate the granting of International Assistance. The Committee also expressed its support to ensure direct links between the Strategic Objectives and the International Assistance.

## VI. Encouraging support for the *World Heritage Convention*

### VI.A Objectives

Article 27 of the *World Heritage Convention*

211. The objectives are:

- (a) to enhance capacity-building and research;
- (b) to raise the general public's awareness, understanding and appreciation of the need to preserve cultural and natural heritage;
- (c) to enhance the function of World Heritage in the life of the community; and
- (d) to increase the participation of local and national populations in the protection and presentation of heritage.

Article 5(a) of the *World Heritage Convention*

### VI.B Capacity-building and research

212. The Committee seeks to develop capacity-building within the States Parties in conformity with its Strategic Objectives.

Budapest Declaration on World Heritage (2002)

#### The Global Training Strategy

213. Recognizing the high level of skills and multidisciplinary approach necessary for the protection, conservation, and presentation of the World Heritage, the Committee has adopted a Global Training Strategy for World Cultural and Natural Heritage. The primary goal of the Global Training Strategy is to ensure that necessary skills are developed by a wide range of actors for better implementation of the *Convention*. In order to avoid overlap and effectively implement the Strategy, the Committee will ensure links to other initiatives such as the Global Strategy for a Representative, Balanced and Credible World Heritage List and Periodic Reporting. The Committee will annually review relevant training issues, assess training needs, review annual reports on training initiatives, and make recommendations for future training initiatives.

Global Training Strategy for World Cultural and Natural Heritage adopted by the World Heritage Committee at its 25th session (Helsinki, Finland, 2001) (see Annex X of document WHC-01/CONF.208/24).

### National training strategies and regional co-operation

214. States Parties are encouraged to ensure that their professionals and specialists at all levels are adequately trained. To this end, States Parties are encouraged to develop national training strategies and include regional co-operation for training as part of their strategies.

### Research

215. The Committee develops and coordinates international co-operation in the area of research needed for the effective implementation of the *Convention*. States Parties are also encouraged to make resources available to undertake research, since knowledge and understanding are fundamental to the identification, management, and monitoring of World Heritage properties.

### International Assistance

216. Training and Research Assistance may be requested by States Parties from the World Heritage Fund (see Chapter VII).

## VI.C Awareness-raising and education

### Awareness-raising

217. States Parties are encouraged to raise awareness of the need to preserve World Heritage. In particular, they should ensure that World Heritage status is adequately marked and promoted on-site.
218. The Secretariat provides assistance to States Parties in developing activities aimed at raising public awareness of the *Convention* and informing the public of the dangers threatening World Heritage. The Secretariat advises States Parties regarding the preparation and implementation of on-site promotional and educational projects to be funded through International Assistance. The Advisory Bodies and appropriate State agencies may also be solicited to provide advice on such projects.

### Education

219. The World Heritage Committee encourages and supports the development of educational materials, activities and programmes.

## International Assistance

220. States Parties are encouraged to develop educational activities related to World Heritage with, wherever possible, the participation of schools, universities, museums and other local and national educational authorities. *Article 27.2 of the World Heritage Convention*
221. The Secretariat, in co-operation with the UNESCO Education Sector and other partners, produces and publishes a World Heritage Educational Resource Kit, “World Heritage in Young Hands”, for use in secondary schools around the world. The Kit is adaptable for use at other educational levels. *“World Heritage in Young Hands” is available at the following Web address <http://whc.unesco.org/education/index.htm>*
222. International Assistance may be requested by States Parties from the World Heritage Fund for the purpose of developing and implementing awareness-raising and educational activities or programmes (see Chapter VII).

## VII. The World Heritage Fund and International Assistance

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### VII.A The World Heritage Fund

223. The World Heritage Fund is a trust fund, established by the *Convention* in conformity with the provisions of the Financial Regulations of UNESCO. The resources of the Fund consist of compulsory and voluntary contributions made by States Parties to the *Convention*, and any other resources authorized by the Fund's regulations. Article 15 of the *World Heritage Convention*.
224. The financial regulations for the Fund are set out in document WHC/7 available at the following Web address:  
<http://whc.unesco.org/en/financialregulations>

### VII.B Mobilization of other technical and financial resources and partnerships in support of the *World Heritage Convention*

225. To the extent possible, the World Heritage Fund should be used to mobilize additional funds for International Assistance from other sources.
226. The Committee decided that contributions offered to the World Heritage Fund for international assistance campaigns and other UNESCO projects for any property inscribed on the World Heritage List shall be accepted and used as international assistance pursuant to Section V of the *Convention*, and in conformity with the modalities established for carrying out the campaign or project.
227. States Parties are invited to provide support to the *Convention* in addition to obligatory contributions paid to the World Heritage Fund. This voluntary support can be provided through additional contributions to the World Heritage Fund or direct financial and technical contributions to properties. Article 15(3) of the *World Heritage Convention*
228. States Parties are encouraged to participate in international fundraising campaigns launched by UNESCO and aimed at protecting World Heritage.



229. States Parties and others who anticipate making contributions towards these campaigns or other UNESCO projects for World Heritage properties are encouraged to make their contributions through the World Heritage Fund.

230. States Parties are encouraged to promote the establishment of national, public and private foundations or associations aimed at raising funds to support World Heritage conservation efforts.

Article 17 of the *World Heritage Convention*

231. The Secretariat provides support in mobilizing financial and technical resources for World Heritage conservation. To this end, the Secretariat develops partnerships with public and private institutions in conformity with the Decisions and the Guidelines issued by the World Heritage Committee and UNESCO regulations.

232. The Secretariat should refer to the “Directives concerning UNESCO’s co-operation with private extra-budgetary funding sources” and “Guidelines for mobilizing private funds and criteria for selecting potential partners” to govern external fund-raising in favour of the World Heritage Fund. These documents are available at the following Web address: <http://whc.unesco.org/en/privatefunds>

“Directives concerning UNESCO’s co-operation with private extra-budgetary funding sources” (Annex to the Decision 149 EX/Dec.7.5)

“Guidelines for mobilizing private funds and criteria for selecting potential partners” (Annex to the Decision 156 EX/Dec.9.4)

## VII.C International Assistance

233. The *Convention* provides International Assistance to States Parties for the protection of the world cultural and natural heritage located on their territories and inscribed, or potentially suitable for inscription on the World Heritage List. International Assistance should be seen as supplementary to national efforts for the conservation and management of World Heritage and Tentative List properties when adequate resources cannot be secured at the national level.

See Articles 13 (1&2) and 19-26 of the *World Heritage Convention*.

234. International Assistance is primarily financed from the World Heritage Fund, established under the *World Heritage Convention*. The Committee determines International Assistance on a biennial basis.

Section IV of the *World Heritage Convention*

235. The World Heritage Committee co-ordinates and allocates types of International Assistance in response to State Party requests. These types of International Assistance, described in the summary table set out below, in order of priority are:

- (a) Emergency assistance
- (b) Preparatory assistance
- (c) Training and research assistance
- (d) Technical co-operation
- (e) Assistance for education, information and awareness raising.

## VII.D Principles and priorities for International Assistance

236. Priority is given to International Assistance for properties inscribed on the List of World Heritage in Danger. The Committee created a specific budget line to ensure that a significant portion of assistance from the World Heritage Fund is allocated to properties inscribed on the List of World Heritage in Danger. *Article 13(1) of the World Heritage Convention.*

237. States Parties in arrears of payment of their compulsory or voluntary contributions to the World Heritage Fund are not eligible for international assistance, it being understood that this provision does not apply to requests for emergency assistance. *Decision 13 COM XII.34*

238. To support its Strategic Objectives, the Committee also allocates International Assistance in conformity with the priorities set out by Regional Programmes. These Programmes are adopted as follow up to Periodic Reports and regularly reviewed by the Committee based on the needs of States Parties identified in Periodic Reports (see chapter V). *Decisions 26 COM 17.2, 26 COM 20 and 26 COM 25.3*

239. In addition to the priorities outlined in paragraphs 236-238 above, the following considerations govern the Committee's decisions in granting International Assistance:

- (a) the likelihood that the assistance will have a catalytic and multiplier effect ("seed money") and promote financial and technical contributions from other sources;

- (b) whether the International Assistance request is from a State Party which is a Least Developed Country or Low Income Country as defined by the United Nations Economic and Social Council's Committee for Development Policy;
- (c) the urgency of the protective measures to be taken at World Heritage properties;
- (d) whether the legislative, administrative and, wherever possible, financial commitment of the recipient State Party is available to the activity;
- (e) the impact of the activity on furthering the Strategic Objectives decided by the Committee; Paragraph 26
- (f) the degree to which the activity responds to needs identified through the reactive monitoring process and/or the analysis of regional Periodic Reports; Decision 20 COM XII
- (g) the exemplary value of the activity in respect to scientific research and the development of cost effective conservation techniques;
- (h) the cost of the activity and expected results; and
- (i) the educational value both for the training of experts and for the general public.

240. A balance will be maintained in the allocation of resources to activities for cultural and natural heritage. This balance is reviewed and decided upon on a regular basis by the Committee.

## VII.E Summary Table

241.

Type of international assistance	Purpose	Budget ceilings	Deadline for submission of request	Authority for approval
Emergency assistance	<p>This assistance may be requested to address ascertained or potential threats facing properties included on the List of World Heritage in Danger and the World Heritage List which have suffered severe damage or are in imminent danger of severe damage due to sudden, unexpected phenomena. Such phenomena may include land subsidence, extensive fires, explosions, flooding or man-made disasters including war. This assistance does not concern cases of damage or deterioration caused by gradual processes of decay, pollution or erosion. It addresses emergency situations strictly relating to the conservation of a World Heritage property (see Decision 28 COM 10B 2.c). It may be made available, if necessary, to more than one World Heritage property in a single State Party (see Decision 6 EXT. COM 15.2). The budget ceilings relate to a single World Heritage property.</p> <p>The assistance may be requested to:</p> <ul style="list-style-type: none"> <li>(i) undertake emergency measures for the safeguarding of the property;</li> <li>(ii) draw up an emergency plan for the property.</li> </ul>	<p>Up to US\$ 75,000</p> <p>Over US\$ 75,000</p>	<p>At any time</p> <p>1 February</p>	<p>Chairperson of the Committee</p> <p>Committee</p>
Preparatory assistance	<p>This assistance may be requested to:</p> <ul style="list-style-type: none"> <li>(i) prepare or update national Tentative Lists of properties suitable for inscription on the World Heritage List;</li> <li>(ii) organize meetings for the harmonization of national Tentative Lists within the same geo-cultural area;</li> <li>(iii) prepare nominations of properties for inscription on the World Heritage List (this may include the preparation of a comparative analysis of the property in relation to other similar properties (see 3.c of Annex 5);</li> <li>(iv) prepare requests for training and research assistance and for technical co-operation for World Heritage properties.</li> </ul> <p>Requests by States Parties whose heritage is unrepresented or under-represented in the World Heritage List will be given priority for preparatory assistance.</p>	<p>Up to US\$ 30,000</p>	<p>At any time</p>	<p>Chairperson of the Committee</p>

Type of international assistance	Purpose	Budget ceilings	Deadline for submission of request	Authority for approval
Training and research assistance	<p>This assistance may be requested for:</p> <ul style="list-style-type: none"> <li>(i) the training of staff and specialists at all levels in the fields of identification, monitoring, conservation, management and presentation of World Heritage, with an emphasis on group training;</li> <li>(ii) scientific research benefiting World Heritage properties;</li> <li>(iii) studies on the scientific and technical problems of conservation, management, and presentation of World Heritage properties.</li> </ul> <p>Note: Requests for support for individual training courses from UNESCO should be submitted on the standard "Application for fellowship" form available from the Secretariat.</p>	<p>Up to US\$ 30,000</p> <p>Over US\$ 30,000</p>	<p>At any time</p> <p>1 February</p>	<p>Chairperson of the Committee</p> <p>Committee</p>
Technical co-operation	<p>This assistance may be requested for:</p> <ul style="list-style-type: none"> <li>(i) provision of experts, technicians and skilled labour for the conservation, management, and presentation of properties inscribed on the List of World Heritage in Danger and the World Heritage List;</li> <li>(ii) supply of equipment which the State Party requires for the conservation, management, and presentation of properties inscribed on the List of World Heritage in Danger and the World Heritage List;</li> <li>(iii) low-interest or interest-free loans for undertaking activities for the conservation, management, and presentation of properties inscribed on the List of World Heritage in Danger and the World Heritage List, which may be repayable on a long-term basis.</li> </ul>	<p>Up to US\$ 30,000</p> <p>Over US\$ 30,000</p>	<p>At any time</p> <p>1 February</p>	<p>Chairperson of the Committee</p> <p>Committee</p>
Assistance for education, information and awareness raising	<p>This assistance may be requested:</p> <ul style="list-style-type: none"> <li>(i) At the regional and international levels for Programmes, activities and the holding of meetings that could: <ul style="list-style-type: none"> <li>- help to create interest in the <i>Convention</i> within the countries of a given region;</li> <li>- create a greater awareness of the different issues related to the implementation of the <i>Convention</i> to promote more active involvement in its application;</li> <li>- be a means of exchanging experiences;</li> <li>- stimulate joint education, information and promotional programmes and activities, especially when they involve the participation of young people for the benefit of World Heritage conservation.</li> </ul> </li> <li>(ii) At the national level for: <ul style="list-style-type: none"> <li>- meetings specifically organized to make the <i>Convention</i> better known, especially amongst young people, or for the creation of national World Heritage associations, in accordance with Article 17 of the <i>Convention</i>;</li> <li>- preparation and discussion of education and information material (such as brochures, publications, exhibitions, films, multimedia tools) for the general promotion of the <i>Convention</i> and the World Heritage List and not for the promotion of a particular property, and especially for young people.</li> </ul> </li> </ul>	<p>Up to US\$ 5,000</p> <p>Between US\$ 5,000 and US\$ 10,000</p>	<p>Any time</p> <p>Any time</p>	<p>Director of the World Heritage Centre</p> <p>Chairperson of the Committee</p>

## VII.F Procedure and format

242. All States Parties submitting requests for international assistance are encouraged to consult the Secretariat and the Advisory Bodies during the conceptualization, planning and elaboration of each request. To facilitate States Parties, examples of successful international assistance requests may be provided upon request.
243. The application form for International Assistance is presented in Annex 8 and the types, amounts, deadlines for submission and the authorities responsible for approval are outlined in the summary table in Chapter VII.E.
244. The request should be submitted in English or French, duly signed and transmitted by the National Commission for UNESCO, the State Party Permanent Delegation to UNESCO and/or appropriate governmental Department or Ministry:

**UNESCO World Heritage Centre**

7, place de Fontenoy

75352 Paris 07 SP

France

Tel: +33 (0) 1 4568 1276

Fax: +33 (0) 1 4568 5570

E-mail: [wh-intassistance@unesco.org](mailto:wh-intassistance@unesco.org)

245. Requests for international assistance may be submitted by electronic mail by the State Party but must be accompanied by an officially signed hard copy.
246. It is important that all information requested in this application form is provided. If appropriate or necessary, requests may be supplemented by additional information, reports, etc.

## VII.G Evaluation and approval of International Assistance requests

247. Provided that a request for assistance from a State Party is complete, the Secretariat, with the assistance of the Advisory Bodies, will process each request in a timely manner, as follows.
248. All requests for international assistance for cultural heritage are evaluated by ICOMOS and ICCROM. Decision 13 COM XII.34

249. All requests for international assistance for mixed heritage are evaluated by ICOMOS, ICCROM and IUCN.
250. All requests for international assistance for natural heritage are evaluated by IUCN.
251. The evaluation criteria used by the Advisory Bodies are outlined in Annex 9.
252. All requests for the approval of the Chairperson can be submitted at anytime to the Secretariat and approved by the Chairperson after appropriate evaluation.
253. The Chairperson is not authorized to approve requests submitted by his own country. These will be examined by the Committee.
254. All requests for the approval of the Committee should be received by the Secretariat on or before **1 February**. These requests are submitted to the Committee at its next session.

## VII.H Contractual arrangements

255. Agreements are established between UNESCO and the concerned State Party or its representative(s) for the implementation of the approved International Assistance requests in conformity with UNESCO regulations, following the work plan and budget breakdown described in the originally approved request.

## VII.I Evaluation and follow-up of International Assistance

256. The monitoring and evaluation of the implementation of the International Assistance requests will take place within 12 months of the activities' completion. The results of these evaluations will be collated and maintained by the Secretariat in collaboration with the Advisory Bodies and examined by the Committee on a regular basis.
257. The Committee reviews the implementation, evaluation and follow-up of International Assistance in order to evaluate the International Assistance effectiveness and to redefine its priorities.

## VIII. The World Heritage Emblem

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### VIII.A Preamble

258. At its second session (Washington, 1978), the Committee adopted the World Heritage Emblem, which had been designed by Mr. Michel Olyff. This Emblem symbolizes the interdependence of cultural and natural properties: the central square is a form created by man and the circle represents nature, the two being intimately linked. The Emblem is round, like the world, but at the same time it is a symbol of protection. It symbolizes the *Convention*, signifies the adherence of States Parties to the Convention, and serves to identify properties inscribed in the World Heritage List. It is associated with public knowledge about the *Convention* and is the imprimatur of the *Convention's* credibility and prestige. Above all, it is a representation of the universal values for which the *Convention* stands..
259. The Committee decided that the Emblem proposed by the artist could be used, in any colour or size, depending on the use, the technical possibilities and considerations of an artistic nature. The Emblem should always carry the text “WORLD HERITAGE . PATRIMOINE MONDIAL”. The space occupied by “PATRIMONIO MUNDIAL” can be used for its translation into the national language of the country where the Emblem is to be used.





260. In order to ensure the Emblem benefits from as much visibility as possible while preventing improper uses, the Committee at its twenty-second session (Kyoto, 1998) adopted “Guidelines and Principles for the Use of the World Heritage Emblem” as set out in the following paragraphs.
261. Although there is no mention of the Emblem in the Convention, its use has been promoted by the Committee to identify properties protected by the Convention and inscribed on the World Heritage List since its adoption in 1978.
262. The World Heritage Committee is responsible for determining the use of the World Heritage Emblem and for making policy prescriptions regarding how it may be used.
263. As requested by the Committee at its 26th session (Budapest, 2002), the World Heritage Emblem, the “World Heritage” name and its derivatives are currently being registered under Article 6ter of the Paris Convention for the Protection of Industrial Property and are therefore protected. Decision 26 COM 15
264. The Emblem also has fund-raising potential that can be used to enhance the marketing value of products with which it is associated. A balance is needed between the Emblem’s use to further the aims of the Convention and optimize knowledge of the Convention worldwide and the need to prevent its abuse for inaccurate, inappropriate, and unauthorized commercial or other purposes.
265. The Guidelines and Principles for the Use of the Emblem and modalities for quality control should not become an obstacle to co-operation for promotional activities. Authorities responsible for reviewing and deciding on uses of the Emblem need parameters on which to base their decisions.

## VIII.B Applicability

266. The Guidelines and Principles proposed herein cover all proposed uses of the Emblem by:
- (a) The World Heritage Centre;
  - (b) The UNESCO Publishing Office and other UNESCO offices;
  - (c) Agencies or National Commissions, responsible for implementing the Convention in each State Party;

- (d) World Heritage properties;
- (e) Other contracting parties, especially those operating for predominantly commercial purposes.

## VIII.C Responsibilities of States Parties

267. States Parties to the Convention should take all possible measures to prevent the use of the Emblem in their respective countries by any group or for any purpose not explicitly recognized by the Committee. States Parties are encouraged to make full use of national legislation including Trade Mark Laws.

## VIII.D Increasing proper uses of the World Heritage Emblem

268. Properties inscribed on the World Heritage List should be marked with the emblem jointly with the UNESCO logo, which should, however, be placed in such a way that they do not visually impair the property in question.

### Production of plaques to commemorate the inscription of properties on the World Heritage List

269. Once a property is inscribed on the World Heritage List, the State Party should place a plaque, whenever possible, to commemorate this inscription. These plaques are designed to inform the public of the country concerned and foreign visitors that the property visited has a particular value which has been recognized by the international community. In other words, the property is exceptional, of interest not only to one nation, but also to the whole world. However, these plaques have an additional function which is to inform the general public about the *World Heritage Convention* or at least about the World Heritage concept and the World Heritage List.

270. The Committee has adopted the following Guidelines for the production of these plaques:

- (a) the plaque should be so placed that it can easily be seen by visitors, without disfiguring the property;
- (b) the World Heritage Emblem should appear on the plaque;

- (c) the text should mention the property's outstanding universal value; in this regard it might be useful to give a short description of the property's outstanding characteristics. States Parties may, if they wish, use the descriptions appearing in the various World Heritage publications or in the World Heritage exhibit, and which may be obtained from the Secretariat;
- (d) the text should make reference to the *World Heritage Convention* and particularly to the World Heritage List and to the international recognition conferred by inscription on this List (however, it is not necessary to mention at which session of the Committee the property was inscribed); it may be appropriate to produce the text in several languages for properties which receive many foreign visitors.

271. The Committee proposes the following text as an example:

“(Name of property) has been inscribed upon the World Heritage List of the *Convention concerning the Protection of the World Cultural and Natural Heritage*. Inscription on this List confirms the outstanding universal value of a cultural or natural property which deserves protection for the benefit of all humanity.”

272. This text could be then followed by a brief description of the property concerned.

273. Furthermore, the national authorities should encourage World Heritage properties to make a broad use of the Emblem such as on their letterheads, brochures and staff uniforms.

274. Third parties which have received the right to produce communication products related to the World Heritage Convention and World Heritage properties must give the Emblem proper visibility. They should avoid creating a different Emblem or logo for that particular product.

## VIII.E Principles on the use of the World Heritage Emblem

275. The responsible authorities are henceforth requested to use the following principles in making decisions on the use of the Emblem:

- (a) The Emblem should be utilized for all projects substantially associated with the work of the *Convention*, including, to the maximum extent technically and legally possible, those already approved and adopted, in order to promote the *Convention*.

- (b) A decision to approve use of the Emblem should be linked strongly to the quality and content of the product with which it is to be associated, not on the volume of products to be marketed or the financial return expected. The main criterion for approval should be the educational, scientific, cultural, or artistic value of the proposed product related to World Heritage principles and values. Approval should not routinely be granted to place the Emblem on products that have no, or extremely little, educational value, such as cups, T-shirts, pins, and other tourist souvenirs. Exceptions to this policy will be considered for special events, such as meetings of the Committee and ceremonies at which plaques are unveiled.
- (c) Any decision with respect to authorizing the use of the Emblem must be completely unambiguous and in keeping with the explicit and implicit goals and values of the World Heritage Convention.
- (d) Except when authorized in accordance with these principles it is not legitimate for commercial entities to use the Emblem directly on their own material to show their support for World Heritage. The Committee recognizes, however, that any individual, organization, or company is free to publish or produce whatever they consider to be appropriate regarding World Heritage properties, but official authorization to do so under the World Heritage Emblem remains the exclusive prerogative of the Committee, to be exercised as prescribed in these Guidelines and Principles.
- (e) Use of the Emblem by other contracting parties should normally only be authorized when the proposed use deals directly with World Heritage properties. Such uses may be granted after approval by the national authorities of the countries concerned.
- (f) In cases where no specific World Heritage properties are involved or are not the principal focus of the proposed use, such as general seminars and/or workshops on scientific issues or conservation techniques, use may be granted only upon express approval in accordance with these Guidelines and Principles. Requests for such uses should specifically document the manner in which the proposed use is expected to enhance the work of the Convention.
- (g) Permission to use the Emblem should not be granted to travel agencies, airlines, or to any other type of business operating for predominantly commercial purposes, except under exceptional circumstances and when manifest benefit to the World Heritage generally or particular World Heritage properties can be demonstrated. Requests for such use should require approval in accordance with these Guidelines and Principles and the concurrence of the national authorities of countries specifically concerned.

The Secretariat is not to accept any advertising, travel, or other promotional considerations from travel agencies or other, similar companies in exchange or in lieu of financial remuneration for use of the Emblem.

- (h) When commercial benefits are anticipated, the Secretariat should ensure that the World Heritage Fund receives a fair share of the revenues and conclude a contract or other agreement that documents the nature of the understandings that govern the project and the arrangements for provision of income to the Fund. In all cases of commercial use, any staff time and related costs for personnel assigned by the Secretariat or other reviewers, as appropriate, to any initiative, beyond the nominal, must be fully covered by the party requesting authorization to use the Emblem.

National authorities are also called upon to ensure that their properties or the World Heritage Fund receive a fair share of the revenues and to document the nature of the understandings that govern the project and the distribution of any proceeds.

- (i) If sponsors are sought for manufacturing products whose distribution the Secretariat considers necessary, the choice of partner or partners should be consistent, at a minimum, with the criteria set forth in the “Directives concerning UNESCO’s co-operation with private extra-budgetary funding sources” and “Guidelines for mobilizing private funds and criteria for selecting potential partners” and with such further fund-raising guidance as the Committee may prescribe. The necessity for such products should be clarified and justified in written presentations that will require approval in such manner as the Committee may prescribe.

“Directives concerning UNESCO’s co-operation with private extra-budgetary funding sources” (Annex to the Decision 149 EX/Dec. 7.5) and “Guidelines for mobilizing private funds and criteria for selecting potential partners” (Annex to the Decision 156 EX/Dec. 9.4)

## VIII.F Authorization procedure for the use of the World Heritage Emblem

### Simple agreement of the national authorities

276. National authorities may grant the use of the Emblem to a national entity, provided that the project, whether national or international, involves only World Heritage properties located on the same national territory. National authorities’ decision should be guided by the Guidelines and Principles.

277. States Parties are invited to provide the Secretariat with the names and addresses of the authorities in charge of managing the use of the Emblem.

Circular letter dated 14 April 1999

<http://whc.unesco.org/circs/circ99-4e.pdf>

Agreement requiring quality control of content

278. Any other request for authorization to use the Emblem should adopt the following procedure:

- (a) A request indicating the objective of the use of the Emblem, its duration and territorial validity, should be addressed to the Director of the World Heritage Centre.
- (b) The Director of the World Heritage Centre has the authority to grant the use of the Emblem in accordance with the Guidelines and Principles. For cases not covered, or not sufficiently covered, by the Guidelines and Principles, the Director refers the matter to the Chairperson who, in the most difficult cases, might wish to refer the matter to the Committee for final decision. A yearly report on the authorized uses of the Emblem will be submitted to the World Heritage Committee.
- (c) Authorization to use the Emblem in major products to be widely distributed over an undetermined period of time is conditional upon obtaining the manufacturer's commitment to consult with countries concerned and secure their endorsement of texts and images illustrating properties situated in their territory, at no cost to the Secretariat, together with the proof that this has been done. The text to be approved should be provided in either one of the official languages of the Committee or in the language of the country concerned. A draft model to be used by States Parties to authorize the use of the Emblem to third parties appears below.

Content Approval Form:

[Name of responsible national body], officially identified as the body responsible for approving the content of the texts and photos relating to the World Heritage properties located in the territory of [name of country], hereby confirms to [name of producer] that the text and the images that it has submitted for the [name of properties] World Heritage property(ies) are [approved] [approved subject to the following changes requested] [are not approved]

(delete whatever entry does not apply, and provide, as needed, a corrected copy of the text or a signed list of corrections).

Notes:

It is recommended that the initials of the responsible national official be affixed to each page of text.

The National Authorities are given one month from their acknowledged receipt in which to authorize the content, following which the producers may consider that the content has been tacitly approved, unless the responsible National Authorities request in writing a longer period.

Texts should be supplied to the National Authorities in one of the two official languages of the Committee, or in the official language (or in one of the official languages) of the country in which the properties are located, at the convenience of both parties.

- (d) After having examined the request and considered it as acceptable, the Secretariat may establish an agreement with the partner.
- (e) If the Director of the World Heritage Centre judges that a proposed use of the Emblem is not acceptable, the Secretariat informs the requesting party of the decision in writing.

## VIII.G Right of States Parties to exert quality control

279. Authorization to use the Emblem is inextricably linked to the requirement that the national authorities may exert quality control over the products with which it is associated.

- (a) The States Parties to the *Convention* are the only parties authorized to approve the content (images and text) of any distributed product appearing under the World Heritage Emblem with regard to the properties located in their territories.
- (b) States Parties that protect the Emblem legally must review these uses.
- (c) Other States Parties may elect to review proposed uses or refer such proposals to the Secretariat. States Parties are responsible for identifying an appropriate national authority and for informing the Secretariat whether they wish to review proposed uses or to identify uses that are inappropriate. The Secretariat maintains a list of responsible national authorities.

## IX. Information sources

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### IX.A Information archived by the Secretariat

280. The Secretariat maintains a database of all documents of the World Heritage Committee and the General Assembly of States Parties to the *World Heritage Convention*. This database is available at the following Web address: <http://whc.unesco.org/en/statutorydoc>
281. The Secretariat ensures that copies of Tentative Lists, World Heritage nominations, including copies of maps and relevant information received from States Parties are archived in hard copy and in electronic format where possible. The Secretariat also arranges for the archiving of relevant information relating to inscribed properties, including evaluations and other documents developed by the Advisory Bodies, any correspondence and reports received from States Parties (including Reactive Monitoring and Periodic Reports) and correspondence and material from the Secretariat and World Heritage Committee.
282. Archived material will be kept in a form appropriate to long-term storage. Provisions will be made for the storage of paper copies and electronic copies, as relevant. Provision will be made for copies to be provided to States Parties as requested.
283. Nominations of those properties inscribed on the World Heritage List by the Committee will be made available for consultation. States Parties are urged to place a copy of the nomination on their own Web addresses and inform the Secretariat of this action. States Parties preparing nominations may wish to use such information as guides for identifying and elaborating nomination of properties within their own territories.
284. Advisory Body evaluations for each nomination and the decision of the Committee concerning each nomination are available at the following Web address: <http://whc.unesco.org/en/advisorybodies>



## IX.B Specific Information for World Heritage Committee members and other States Parties

285. The Secretariat maintains two electronic mailing lists: one for Committee members ([wh-committee@unesco.org](mailto:wh-committee@unesco.org)) and one for all States Parties ([wh-states@unesco.org](mailto:wh-states@unesco.org)). States Parties are requested to supply all appropriate e-mail addresses for the establishment of these lists. These electronic mailing lists, which supplement but do not replace the traditional means of notifying States Parties, allow the Secretariat to communicate, in a timely manner, announcements about the availability of documents, changes to meeting schedules, and other issues relevant to Committee members and other States Parties.

286. Circular letters to the States Parties are available at the following Web address: <http://whc.unesco.org/en/circularletters>

Another Web address, linked to the public Web address through restricted access, is maintained by the Secretariat and contains specific information targeted at Committee members, other States Parties and Advisory Bodies.

287. The Secretariat maintains also a database of decisions of the Committee and resolutions of the General Assembly of States Parties. These are available at the following Web address:  
<http://whc.unesco.org/en/decisions>

Decision 28 COM 9

## IX.C Information and publications available to the public

288. The Secretariat provides access to information labelled as publicly available and copyright free on World Heritage properties and other relevant matters, wherever possible.

289. Information on issues related to World Heritage is available at the Secretariat's Web address (<http://whc.unesco.org>), on the Web addresses of the Advisory Bodies and in libraries. A list of databases accessible on the web and links to relevant web addresses can be found in the Bibliography.

290. The Secretariat produces a wide variety of World Heritage publications, including the World Heritage List, the List of World Heritage in Danger, Brief Descriptions of World Heritage properties, World Heritage Papers series, newsletters, brochures and information kits. In addition, other information materials aimed specifically at experts and the general public are also developed. The list of World Heritage publications can be found in the Bibliography or at the following Web address: <http://whc.unesco.org/en/publications>.

These information materials are distributed to the public directly or through the national and international networks established by States Parties or by World Heritage partners.





**Annexes  
to the Operational Guidelines  
for the Implementation  
of the World Heritage Convention**



MODEL INSTRUMENT OF RATIFICATION / ACCEPTANCE



WHEREAS the Convention concerning the Protection of the World Cultural and Natural Heritage was adopted on 16 November 1972 by the General Conference of UNESCO at its seventeenth session;

NOW THEREFORE the Government of ..... having considered the aforesaid *Convention*, hereby [ratifies] the same and undertakes faithfully to carry out [accepts] the stipulations therein contained.

IN WITNESS WHEREOF, I have signed and sealed this instrument.

Done at ..... this ..... day of ..... 20..... .

(Seal)

*Signature of Head of State,*

*Prime Minister or*

*Minister of Foreign Affairs*

- The model instrument of ratification/acceptance is available from the UNESCO World Heritage Centre and at the following Web address: <http://whc.unesco.org/en/modelratification>.
- The original signed version of the completed form should be sent, preferably with an official translation in English or French, to: Director-General, UNESCO, 7 place de Fontenoy, 75352 Paris 07 SP, France.



## MODEL INSTRUMENT OF ACCESSION



**WHEREAS** the Convention concerning the Protection of the World Cultural and Natural Heritage was adopted on 16 November 1972 by the General Conference of UNESCO at its seventeenth session;

**NOW THEREFORE** the Government of ..... having considered the aforesaid *Convention*, hereby accedes the same and undertake faithfully to carry out the stipulations therein contained.

**IN WITNESS WHEREOF**, I have signed and sealed this instrument.

Done at ..... this ..... day of ..... 20..... .

*(Seal)*

*Signature of Head of State,*

*Prime Minister or*

*Minister of Foreign Affairs*

- 
- The model instrument of accession is available from the UNESCO World Heritage Centre and at the following Web address: <http://whc.unesco.org/en/modelratification>.
  - The original signed version of the completed form should be sent, preferably with an official translation in English or French, to: Director-General, UNESCO, 7 place de Fontenoy, 75352 Paris 07 SP, France.



## TENTATIVE LIST SUBMISSION FORMAT



STATE PARTY:

DATE OF SUBMISSION:

Submission prepared by:

Name:

E-mail:

Address:

Fax:

Institution:

Telephone:

NAME OF PROPERTY:

State, Province or Region:

Latitude and Longitude, or UTM coordinates:

DESCRIPTION:

## JUSTIFICATION FOR OUTSTANDING UNIVERSAL VALUE

**Criteria met** [see Paragraph 77 of the *Operational Guidelines*]:

(Please tick the box corresponding to the proposed criteria and justify the use of each below)

(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)
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**Statements of authenticity and/or integrity** [see Paragraphs 78-95 of the *Operational Guidelines*]:**Comparison with other similar properties:**

- The Tentative List submission format is available from the UNESCO World Heritage Centre and at the following Web address: <http://whc.unesco.org/en/tentativelists>.
- Further guidance on the preparation of Tentative Lists can be found in Paragraphs 62-67 of the Operational Guidelines.
- An example of a completed Tentative List submission format can be found at the following Web address: <http://whc.unesco.org/en/tentativelists>.
- All Tentative Lists submitted by States Parties are available at the following Web address: <http://whc.unesco.org/en/tentativelists>.
- The original signed version of the completed Tentative List submission format should be sent in English or French to: UNESCO World Heritage Centre, 7 place de Fontenoy, 75352 Paris 07 SP, France.
- States Parties are encouraged to also submit this information in-electronic format (diskette or CD-Rom) or by e-mail to [wh-tentativelists@unesco.org](mailto:wh-tentativelists@unesco.org).



## GUIDELINES ON THE INSCRIPTION OF SPECIFIC TYPES OF PROPERTIES ON THE WORLD HERITAGE LIST<sup>1</sup>



### INTRODUCTION

1. This annex provides information on specific types of properties to guide States Parties in preparing nominations of properties for inscription on the World Heritage List. The following information constitutes guidelines that should be used in association with Chapter II of the *Operational Guidelines*, which contains the criteria for inscription of properties on the World Heritage List.
2. The Committee has endorsed the findings of expert meetings on the subject of cultural landscapes, towns, canals and routes (Part I, below).
3. The reports of other expert meetings requested by the World Heritage Committee, in the framework of the Global Strategy for a representative, balanced and credible World Heritage List, are referred to in Part II.
4. Part III lists various comparative and thematic studies prepared by the Advisory Bodies.

### I. CULTURAL LANDSCAPES, TOWNS, CANALS AND ROUTES

5. The World Heritage Committee has identified and defined several specific types of cultural and natural properties and has adopted specific guidelines to facilitate the evaluation of such properties when nominated for inscription on the World Heritage List. To date, these cover the following categories, although it is likely that others may be added in due course:
  - (a) Cultural Landscapes;
  - (b) Historic Towns and Town Centres;
  - (c) Heritage Canals;
  - (d) Heritage Routes.

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1. The Committee may develop additional guidelines for other types of properties in future years.



## CULTURAL LANDSCAPES<sup>2</sup>

### Definition

6. Cultural landscapes are cultural properties and represent the “combined works of nature and of man” designated in Article 1 of the *Convention*. They are illustrative of the evolution of human society and settlement over time, under the influence of the physical constraints and/or opportunities presented by their natural environment and of successive social, economic and cultural forces, both external and internal.
7. They should be selected on the basis both of their outstanding universal value and of their representativity in terms of a clearly defined geo-cultural region and also for their capacity to illustrate the essential and distinct cultural elements of such regions.
8. The term “cultural landscape” embraces a diversity of manifestations of the interaction between humankind and its natural environment.
9. Cultural landscapes often reflect specific techniques of sustainable land-use, considering the characteristics and limits of the natural environment they are established in, and a specific spiritual relation to nature. Protection of cultural landscapes can contribute to modern techniques of sustainable land-use and can maintain or enhance natural values in the landscape. The continued existence of traditional forms of land-use supports biological diversity in many regions of the world. The protection of traditional cultural landscapes is therefore helpful in maintaining biological diversity.

### Definition and Categories

10. Cultural landscapes fall into three main categories, namely:
  - (i) The most easily identifiable is the clearly defined **landscape designed and created intentionally by man**. This embraces garden and parkland landscapes constructed for aesthetic reasons which are often (but not always) associated with religious or other monumental buildings and ensembles.
  - (ii) The second category is the **organically evolved landscape**. This results from an initial social, economic, administrative, and/or religious imperative and has developed its present form by association with and in response to its natural environment. Such landscapes reflect that process of evolution in their form and component features. They fall into two sub-categories:
    - a relict (or fossil) landscape is one in which an evolutionary process came to an end at some time in the past, either abruptly or over a period. Its significant distinguishing features are, however, still visible in material form;

2. This text was prepared by an Expert Group on Cultural Landscapes (La Petite Pierre, France, 24-26 October 1992) (see document *WHC-92/CONF.202/10/Add*). The text was subsequently approved for inclusion in the Operational Guidelines by the World Heritage Committee at its 16th session (Santa Fe 1992) (see document *WHC-92/CONF.002/12*).

- a continuing landscape is one which retains an active social role in contemporary society closely associated with the traditional way of life, and in which the evolutionary process is still in progress. At the same time it exhibits significant material evidence of its evolution over time.
- (iii) The final category is the **associative cultural landscape**. The inscription of such landscapes on the World Heritage List is justifiable by virtue of the powerful religious, artistic or cultural associations of the natural element rather than material cultural evidence, which may be insignificant or even absent.

### Inscription of Cultural Landscapes on the World Heritage List

11. The extent of a cultural landscape for inscription on the World Heritage List is relative to its functionality and intelligibility. In any case, the sample selected must be substantial enough to adequately represent the totality of the cultural landscape that it illustrates. The possibility of designating long linear areas which represent culturally significant transport and communication networks should not be excluded.
12. General criteria for protection and management are equally applicable to cultural landscapes. It is important that due attention be paid to the full range of values represented in the landscape, both cultural and natural. The nominations should be prepared in collaboration with and the full approval of local communities.
13. The existence of a category of “cultural landscape”, included on the World Heritage List on the basis of the criteria set out in Paragraph 77 of the *Operational Guidelines*, does not exclude the possibility of properties of exceptional importance in relation to both cultural and natural criteria continuing to be inscribed (see definition of mixed properties as set out in Paragraph 46). In such cases, their outstanding universal value must be justified under both sets of criteria.

## HISTORIC TOWNS AND TOWN CENTRES<sup>3</sup>

### Definition and Categories

14. Groups of urban buildings eligible for inscription on the World Heritage List fall into three main categories, namely:
  - (i) towns which are no longer inhabited but which provide unchanged archaeological evidence of the past; these generally satisfy the criterion of authenticity and their state of conservation can be relatively easily controlled;

3. This text was included in the January 1987 version of the Operational Guidelines following the discussion by the Committee at its 8th session (Buenos Aires, 1984) of the conclusions of the Meeting of Experts to Consult on Historic Towns which met in Paris from 5 to 7 September 1984 organized by ICOMOS.

- (ii) historic towns which are still inhabited and which, by their very nature, have developed and will continue to develop under the influence of socio-economic and cultural change, a situation that renders the assessment of their authenticity more difficult and any conservation policy more problematical;
- (iii) **new towns of the twentieth century** which paradoxically have something in common with both the aforementioned categories: while their original urban organization is clearly recognizable and their authenticity is undeniable, their future is unclear because their development is largely uncontrollable.

### Inscription of Historic Towns and Town Centres on the World Heritage List

15. The significance of Historic Towns and Town Centres can be examined under the factors outlined below:

(i) **Towns no longer inhabited**

The evaluation of towns that are no longer inhabited does not raise any special difficulties other than those related to archaeological properties in general: the criteria which call for uniqueness or exemplary character have led to the choice of groups of buildings noteworthy for their purity of style, for the concentrations of monuments they contain and sometimes for their important historical associations. It is important for urban archaeological sites to be listed as integral units. A cluster of monuments or a small group of buildings is not adequate to suggest the multiple and complex functions of a city which has disappeared; remains of such a city should be preserved in their entirety together with their natural surroundings whenever possible.

(ii) **Inhabited historic towns**

In the case of inhabited historic towns the difficulties are numerous, largely owing to the fragility of their urban fabric (which has in many cases been seriously disrupted since the advent of the industrial era) and the runaway speed with which their surroundings have been urbanized. To qualify for inscription, towns should compel recognition because of their architectural interest and should not be considered only on the intellectual grounds of the role they may have played in the past or their value as historical symbols under criterion (vi) for the inscription of cultural properties on the World Heritage List (see Paragraph 77 (vi) of the *Operational Guidelines*). To be eligible for inscription in the List, the spatial organization, structure, materials, forms and, where possible, functions of a group of buildings should essentially reflect the civilization or succession of civilizations which have prompted the nomination of the property. Four categories can be distinguished:

- (a) Towns which are typical of a specific period or culture, which have been almost wholly preserved and which have remained largely unaffected by subsequent developments. Here the property to be listed is the entire town together with its surroundings, which must also be protected;

- (b) Towns that have evolved along characteristic lines and have preserved, sometimes in the midst of exceptional natural surroundings, spatial arrangements and structures that are typical of the successive stages in their history. Here the clearly defined historic part takes precedence over the contemporary environment;
- (c) “Historic centres” that cover exactly the same area as ancient towns and are now enclosed within modern cities. Here it is necessary to determine the precise limits of the property in its widest historical dimensions and to make appropriate provision for its immediate surroundings;
- (d) Sectors, areas or isolated units which, even in the residual state in which they have survived, provide coherent evidence of the character of a historic town which has disappeared. In such cases surviving areas and buildings should bear sufficient testimony to the former whole.

Historic centres and historic areas should be listed only where they contain a large number of ancient buildings of monumental importance which provide a direct indication of the characteristic features of a town of exceptional interest. Nominations of several isolated and unrelated buildings which allegedly represent, in themselves, a town whose urban fabric has ceased to be discernible, should not be encouraged.

However, nominations could be made regarding properties that occupy a limited space but have had a major influence on the history of town planning. In such cases, the nomination should make it clear that it is the monumental group that is to be listed and that the town is mentioned only incidentally as the place where the property is located. Similarly, if a building of clearly outstanding universal value is located in severely degraded or insufficiently representative urban surroundings, it should, of course, be listed without any special reference to the town.

### (iii) **New towns of the twentieth century**

It is difficult to assess the quality of new towns of the twentieth century. History alone will tell which of them will best serve as examples of contemporary town planning. The examination of the files on these towns should be deferred, save under exceptional circumstances.

Under present conditions, preference should be given to the inscription in the World Heritage List of small or medium-sized urban areas which are in a position to manage any potential growth, rather than the great metropolises, on which sufficiently complete information and documentation cannot readily be provided that would serve as a satisfactory basis for their inscription in their entirety.

In view of the effects which the inscription of a town on the World Heritage List could have on its future, such entries should be exceptional. Inscription in the List implies that legislative and administrative measures have already been taken to ensure the protection of the

group of buildings and its environment. Informed awareness on the part of the population concerned, without whose active participation any conservation scheme would be impractical, is also essential.

## HERITAGE CANALS

16. The concept of “canals” is discussed in detail in the Report of the Expert Meeting on Heritage Canals (Canada, September 1994)<sup>4</sup>.

### Definition

17. A canal is a human-engineered waterway. It may be of outstanding universal value from the point of view of history or technology, either intrinsically or as an exceptional example representative of this category of cultural property. The canal may be a monumental work, the defining feature of a linear cultural landscape, or an integral component of a complex cultural landscape.

### Inscription of Heritage Canals on the World Heritage List

18. Authenticity depends holistically upon values and the relationships between these values. One distinctive feature of the canal as a heritage element is its evolution over time. This is linked to how it was used during different periods and the associated technological changes the canal underwent. The extent of these changes may constitute a heritage element.
19. The authenticity and historical interpretation of a canal encompass the connection between the real property (subject of the *Convention*), possible movable property (boats, temporary navigation items) and the associated structures (bridges, etc) and landscape.
20. The significance of canals can be examined under technological, economic, social, and landscape factors as outlined below:

- (i) **Technology**

Canals can serve a variety of purposes: irrigation, navigation, defence, water-power, flood mitigation, land-drainage and water-supply. The following are areas of technology which may be of significance:

- (a) The lining and waterproofing of the water channel;
    - (b) The engineering structures of the line with reference to comparative structural features in other areas of architecture and technology;

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4. Expert meeting on “Heritage Canals» (Canada, 15-19 September 1994) (see document *WHC-94/CONF.003/INF.10*) discussed by the World Heritage Committee at its 19th session (Berlin, 1995) (see document *WHC-95/CONF.203/16*).

- (c) The development of the sophistication of construction methods; and
- (d) The transfer of technologies.

(ii) **Economy**

Canals contribute to the economy in a variety of ways, e.g. in terms of economic development and the conveyance of goods and people. Canals were the first man-made routes for the effective carriage of bulk cargoes. Canals played and continue to play a key role in economic development through their use for irrigation. The following factors are important:

- (a) Nation building;
- (b) Agricultural development;
- (c) Industrial development;
- (d) Generation of wealth;
- (e) Development of engineering skills applied to other areas and industries; and
- (f) Tourism.

(iii) **Social factors**

The building of canals had, and their operation continues to have, social consequences:

- (a) The redistribution of wealth with social and cultural results; and
- (b) The movement of people and the interaction of cultural groups.

(iv) **Landscape**

Such large-scale engineering works had and continue to have an impact on the natural landscape. Related industrial activity and changing settlement patterns cause visible changes to landscape forms and patterns.

## HERITAGE ROUTES

21. The concept of “routes” or cultural itineraries was discussed by the expert meeting on “Routes as a Part of our Cultural Heritage” (Madrid, Spain, November 1994)<sup>5</sup>.

### Definition

22. The concept of heritage routes is shown to be a rich and fertile one, offering a privileged framework in which mutual understanding, a plural approach to history and a culture of peace can all operate.

5. Expert Meeting on “Routes as part of Our Cultural Heritage” (Madrid, 24-25 November 1994) (see document *WHC-94/CONF.003/INF.13*) discussed by the World Heritage Committee at its 19th session (Berlin, 1995) (see document *WHC-95/CONF.203/16*).

23. A heritage route is composed of tangible elements of which the cultural significance comes from exchanges and a multi-dimensional dialogue across countries or regions, and that illustrate the interaction of movement, along the route, in space and time.

### Inscription of Heritage Routes on the World Heritage List

24. The following points should be considered when determining whether a heritage route is suitable for inscription on the World Heritage List:
- (i) The requirement to hold outstanding universal value should be recalled.
  - (ii) The concept of heritage routes:
    - is based on the dynamics of movement and the idea of **exchanges**, with **continuity** in space and time;
    - refers to a **whole**, where the route has a worth over and above the sum of the elements making it up and through which it gains its cultural significance;
    - highlights exchange and dialogue **between countries or between regions**;
    - is **multi-dimensional**, with different aspects developing and adding to its prime purpose which may be religious, commercial, administrative or otherwise.
  - (iii) A heritage route may be considered as a specific, dynamic type of cultural landscape, just as recent debates have led to their acceptance within the *Operational Guidelines*.
  - (iv) The identification of a heritage route is based on a collection of strengths and tangible elements, testimony to the significance of the route itself.
  - (v) The conditions of authenticity are to be applied on the grounds of its significance and other elements making up the heritage route. It will take into account the duration of the route, and perhaps how often it is used nowadays, as well as the legitimate wishes for development of peoples affected.

These points will be considered within the natural framework of the route and its intangible and symbolic dimensions.

## II. REPORTS OF REGIONAL AND THEMATIC EXPERT MEETINGS

25. The World Heritage Committee, in the framework of the Global Strategy for a representative, balanced and credible World Heritage List has requested a number of regional and thematic expert meetings on different types of properties. The results of these meetings may guide States Parties in preparing nominations. The reports of the expert meetings presented to the World Heritage Committee are available at the following Web address: <http://whc.unesco.org/en/globalstrategy>

### III. THEMATIC AND COMPARATIVE STUDIES BY THE ADVISORY BODIES

26. To fulfil their obligations concerning evaluations of nominations of cultural and natural properties, the Advisory Bodies have undertaken comparative and thematic studies, often with partner organizations, in different subject areas in order to provide a context for their evaluations. These reports, most of which are available on their respective Web addresses, include:  
Earth's Geological History - A Contextual Framework for Assessment of World Heritage Fossil Site Nominations (September 1996)

International Canal Monuments List (1996)  
<http://www.icomos.org/studies/canals-toc.htm>

World Heritage Bridges (1996)  
<http://www.icomos.org/studies/bridges.htm>

A Global Overview of Forest Protected Areas on the World Heritage List (September 1997)  
<http://www.unep-wcmc.org/wh/reviews/forests/>

A Global Overview of Wetland and Marine Protected Areas on the World Heritage List (September 1997)  
<http://www.unep-wcmc.org/wh/reviews/wetlands/>

Human Use of World Heritage Natural Sites (September 1997)  
<http://www.unep-wcmc.org/wh/reviews/human/>

Fossil Hominid Sites (1997)  
<http://www.icomos.org/studies/hominid.htm>

The Urban Architectural Heritage of Latin America (1998)  
<http://www.icomos.org/studies/latin-towns.htm>

Les Théâtres et les Amphithéâtres antiques (1999)  
<http://www.icomos.org/studies/theatres.htm>

Railways as World Heritage Sites (1999)  
<http://www.icomos.org/studies/railways.htm>

A Global Overview of Protected Areas on the World Heritage List of Particular Importance for Biodiversity (November 2000)  
<http://www.unep-wcmc.org/wh/reviews/>

Les villages ouvriers comme éléments du patrimoine de l'industrie (2001)  
<http://www.icomos.org/studies/villages-ouvriers.htm>

A Global Strategy for Geological World Heritage (February 2002)

Rock-Art Sites of Southern Africa (2002)  
<http://www.icomos.org/studies/sarockart.htm>





## AUTHENTICITY IN RELATION TO THE WORLD HERITAGE CONVENTION



### INTRODUCTION

This Annex reproduces the Nara Document on Authenticity, drafted by the 45 participants to the Nara Conference on Authenticity in Relation to the *World Heritage Convention*, held at Nara, Japan, from 1-6 November 1994. The Nara Conference was organized in co-operation with UNESCO, ICCROM and ICOMOS.

The World Heritage Committee examined the report of the Nara meeting on Authenticity at its 18th session (Phuket, Thailand, 1994) (see document WHC-94/CONF.003/16).

Subsequent expert meetings have enriched the concept of authenticity in relation to the *World Heritage Convention* (see Bibliography of the *Operational Guidelines*).

### I. THE NARA DOCUMENT ON AUTHENTICITY

#### *Preamble*

- 1. We, the experts assembled in Nara (Japan), wish to acknowledge the generous spirit and intellectual courage of the Japanese authorities in providing a timely forum in which we could challenge conventional thinking in the conservation field, and debate ways and means of broadening our horizons to bring greater respect for cultural and heritage diversity to conservation practice.*
- 2. We also wish to acknowledge the value of the framework for discussion provided by the World Heritage Committee's desire to apply the test of authenticity in ways which accord full respect to the social and cultural values of all societies, in examining the outstanding universal value of cultural properties proposed for the World Heritage List.*
- 3. The Nara Document on Authenticity is conceived in the spirit of the Charter of Venice, 1964, and builds on it and extends it in response to the expanding scope of cultural heritage concerns and interests in our contemporary world.*
- 4. In a world that is increasingly subject to the forces of globalization and homogenization, and in a world in which the search for cultural identity is sometimes pursued through aggressive nationalism and the suppression of the cultures of minorities, the essential contribution made by the consideration of authenticity in conservation practice is to clarify and illuminate the collective memory of humanity.*

### **Cultural Diversity and Heritage Diversity**

5. *The diversity of cultures and heritage in our world is an irreplaceable source of spiritual and intellectual richness for all humankind. The protection and enhancement of cultural and heritage diversity in our world should be actively promoted as an essential aspect of human development.*
6. *Cultural heritage diversity exists in time and space, and demands respect for other cultures and all aspects of their belief systems. In cases where cultural values appear to be in conflict, respect for cultural diversity demands acknowledgment of the legitimacy of the cultural values of all parties.*
7. *All cultures and societies are rooted in the particular forms and means of tangible and intangible expression which constitute their heritage, and these should be respected.*
8. *It is important to underline a fundamental principle of UNESCO, to the effect that the cultural heritage of each is the cultural heritage of all. Responsibility for cultural heritage and the management of it belongs, in the first place, to the cultural community that has generated it, and subsequently to that which cares for it. However, in addition to these responsibilities, adherence to the international charters and conventions developed for conservation of cultural heritage also obliges consideration of the principles and responsibilities flowing from them. Balancing their own requirements with those of other cultural communities is, for each community, highly desirable, provided achieving this balance does not undermine their fundamental cultural values.*

### **Values and authenticity**

9. *Conservation of cultural heritage in all its forms and historical periods is rooted in the values attributed to the heritage. Our ability to understand these values depends, in part, on the degree to which information sources about these values may be understood as credible or truthful. Knowledge and understanding of these sources of information, in relation to original and subsequent characteristics of the cultural heritage, and their meaning, is a requisite basis for assessing all aspects of authenticity.*
10. *Authenticity, considered in this way and affirmed in the Charter of Venice, appears as the essential qualifying factor concerning values. The understanding of authenticity plays a fundamental role in all scientific studies of the cultural heritage, in conservation and restoration planning, as well as within the inscription procedures used for the World Heritage Convention and other cultural heritage inventories.*
11. *All judgements about values attributed to cultural properties as well as the credibility of related information sources may differ from culture to culture, and even within the same culture. It is thus not possible to base judgements of values and authenticity within fixed criteria. On the contrary, the respect due to all cultures requires that heritage properties must be considered and judged within the cultural contexts to which they belong.*

12. *Therefore, it is of the highest importance and urgency that, within each culture, recognition be accorded to the specific nature of its heritage values and the credibility and truthfulness of related information sources.*
13. *Depending on the nature of the cultural heritage, its cultural context, and its evolution through time, authenticity judgements may be linked to the worth of a great variety of sources of information. Aspects of the sources may include form and design, materials and substance, use and function, traditions and techniques, location and setting, and spirit and feeling, and other internal and external factors. The use of these sources permits elaboration of the specific artistic, historic, social, and scientific dimensions of the cultural heritage being examined.*

#### **Appendix 1: Suggestions for follow-up (proposed by Herb Stovel)**

1. *Respect for cultural and heritage diversity requires conscious efforts to avoid imposing mechanistic formulae or standardized procedures in attempting to define or determine authenticity of particular monuments and sites.*
2. *Efforts to determine authenticity in a manner respectful of cultures and heritage diversity requires approaches which encourage cultures to develop analytical processes and tools specific to their nature and needs. Such approaches may have several aspects in common:*
  - *efforts to ensure assessment of authenticity involve multidisciplinary collaboration and the appropriate utilisation of all available expertise and knowledge;*
  - *efforts to ensure attributed values are truly representative of a culture and the diversity of its interests, in particular monuments and sites;*
  - *efforts to document clearly the particular nature of authenticity for monuments and sites as a practical guide to future treatment and monitoring;*
  - *efforts to update authenticity assessments in light of changing values and circumstances.*
3. *Particularly important are efforts to ensure that attributed values are respected, and that their determination included efforts to build, as far as possible, a multidisciplinary and community consensus concerning these values.*
4. *Approaches should also build on and facilitate international co-operation among all those with an interest in conservation of cultural heritage, in order to improve global respect and understanding for the diverse expressions and values of each culture.*

5. *Continuation and extension of this dialogue to the various regions and cultures of the world is a prerequisite to increasing the practical value of consideration of authenticity in the conservation of the common heritage of humankind.*
6. *Increasing awareness within the public of this fundamental dimension of heritage is an absolute necessity in order to arrive at concrete measures for safeguarding the vestiges of the past. This means developing greater understanding of the values represented by the cultural properties themselves, as well as respecting the role such monuments and sites play in contemporary society.*

### **Appendix 2: Definitions**

*Conservation: all efforts designed to understand cultural heritage, know its history and meaning, ensure its material safeguard and, as required, its presentation, restoration and enhancement. (Cultural heritage is understood to include monuments, groups of buildings and sites of cultural value as defined in Article 1 of the World Heritage Convention).*

*Information sources: all material, written, oral and figurative sources which make it possible to know the nature, specifications, meaning and history of the cultural heritage.*

## **II. CHRONOLOGICAL BIBLIOGRAPHY - ON AUTHENTICITY**

Publications which preceded the Nara meeting and which helped prepare the ground for the authenticity discussion which took place in Nara:

Larsen, Knut Einar, *A note on the authenticity of historic timber buildings with particular reference to Japan*, Occasional Papers for the World Heritage Convention, ICOMOS, December 1992.

Larsen, Knut Einar, *Authenticity and Reconstruction: Architectural Preservation in Japan*, Norwegian Institute of Technology, Vols. 1-2, 1993.

Preparatory meeting for the Nara Meeting, held in Bergen, Norway, 31 January - 1 February 1994:

Larsen, Knut Einar and Marstein, Nils (ed.), *Conference on authenticity in relation to the World Heritage Convention Preparatory workshop*, Bergen, Norway, 31 January - 2 February 1994, Tapir Forlag, Trondheim 1994.

The Nara meeting, 1-6 November 1994, Nara, Japan:

Larsen, Knut Einar with an editorial group (Jokilehto, Lemaire, Masuda, Marstein, Stovel), *Nara conference on authenticity in relation to the World Heritage Convention. Conférence de Nara sur l'authenticité dans le cadre de la Convention du Patrimoine Mondial*. Nara, Japan, 1-6 November 1994, Proceedings published by UNESCO - World Heritage Centre, Agency for Cultural Affairs of Japan, ICCROM and ICOMOS, 1994.

The Nara meeting brought together 45 experts from 26 countries and international organizations from around the world. Their papers are contained in the volume cited above, as is the Nara document prepared in a working group of 12 meeting participants and edited by Raymond Lemaire and Herb Stovel. This volume of Proceedings invites members of ICOMOS and others to extend the discussions of the Nara Document issues to other regions of the world.

Significant post-Nara regional meetings (as of January 2005):

*Authenticity and Monitoring, October 17-22, 1995, Cesky Krumlov, Czech Republic, ICOMOS European Conference, 1995.*

The European ICOMOS Conference of 17-22 October, 1995 which took place in Cesky Krumlov, Czech Republic brought together 18 European members of ICOMOS to present national views of the application of authenticity concepts from 14 countries. A synthesis of presentations affirmed the importance of authenticity within the analytical processes we apply to conservation problems as a means of assuring truthful, sincere and honest approaches to conservation problems, and gave emphasis to strengthening the notion of dynamic conservation in order to apply authenticity analysis appropriately to cultural landscapes and urban settings.

*Interamerican symposium on authenticity in the conservation and management of the cultural heritage, US/ ICOMOS, The Getty Conservation Institute, San Antonio, Texas 1996.*

This Authenticity meeting which took place in San Antonio, Texas, USA in March 1996, brought together participants from ICOMOS national committees of North, Central and South America to debate the application of the concepts of Nara. The meeting adopted the *Declaration of San Antonio*, which discussed the relationship between authenticity and identity, history, materials, social value, dynamic and static sites, stewardship and economics, and contained recommendations extending “proofs” of authenticity to include *reflection of its true value, integrity, context, identity, use and function*, as well as recommendations pertinent to different typologies of sites.

Saouma-Forero, Galia, (edited by), *Authenticity and integrity in an African context: expert meeting, Great Zimbabwe, Zimbabwe, 26-29 May 2000, UNESCO - World Heritage Centre, Paris 2001.*

The Great Zimbabwe meeting organised by the World Heritage Centre (26-29 May 2000) focused attention on both authenticity and integrity in an African context. Eighteen speakers looked at issues arising in management of both cultural and natural heritage properties. The meeting resulted in the publication cited above, which includes a set of recommendations coming from meeting participants. Among recommendations were suggestions to include *management systems, language, and other forms of intangible heritage* among attributes expressing authenticity, and an emphasis given to the place of local communities in the sustainable heritage management process.

Reconstruction discussions in the context of the *World Heritage Convention* (as of January 2005):

*The Riga Charter on authenticity and historical reconstruction in relationship to cultural heritage adopted by regional conference, Riga, 24 October 2000, Latvian National Commission for UNESCO - World Heritage Centre, ICCROM.*

Incerti Medici, Elena and Stovel, Herb, *Authenticity and historical reconstruction in relationship with cultural heritage, regional conference, Riga, Latvia, October 23-24 2000: summary report*, UNESCO - World Heritage Centre, Paris, ICCROM, Rome 2001.

Stovel, Herb, *The Riga Charter on authenticity and historical reconstruction in relationship to cultural heritage, Riga, Latvia, October 2000*, in *Conservation and management of archaeological sites*, Vol. 4, n. 4, 2001.

*Alternatives to historical reconstruction in the World Heritage Cities*, Tallinn, 16-18 May 2002, Tallinn Cultural Heritage Department, Estonia National Commission for UNESCO, Estonia National Heritage Board.



FORMAT FOR THE NOMINATION OF PROPERTIES  
FOR INSCRIPTION ON THE WORLD HERITAGE LIST



**This Format must be used  
for all nominations  
submitted after 2 February 2005**

- The Nomination Format is available at the following Web address: <http://whc.unesco.org/en/nominationform>  
Further guidance on the preparation of nominations can be found in Section III of the *Operational Guidelines*
- The original signed version of the completed Nomination Format should be sent in English or French to

**UNESCO World Heritage Centre**

7, place de Fontenoy  
75352 Paris 07 SP

France

Telephone: +33 (0) 1 4568 1571

Fax: +33 (0) 1 4568 5570

E-mail: [wh-nominations@unesco.org](mailto:wh-nominations@unesco.org)

### Executive Summary

This information, to be provided by the State Party, will be updated by the Secretariat following the decision by the World Heritage Committee. It will then be returned to the State Party confirming the basis on which the property is inscribed on the World Heritage List.

<b>State Party</b>	
<b>State, Province or Region</b>	
<b>Name of Property</b>	
<b>Geographical coordinates to the nearest second</b>	
<b>Textual description of the boundary(ies) of the nominated property</b>	
<b>A4 (or “letter”) size map of the nominated property, showing boundaries and buffer zone (if present)</b>	<b>Attach A4 (or “letter”) size map</b>
<b>Justification</b> <b>Statement of Outstanding Universal Value</b> (text should clarify what is considered to be the outstanding universal value embodied by the nominated property)	
<b>Criteria under which property is nominated (itemize criteria)</b> (see Paragraph 77 of the <i>Operational Guidelines</i> )	
<b>Name and contact information of official local institution/agency</b>	Organization: Address: Tel: Fax: E-mail: Web address:



## Properties for inscription on the World Heritage List

Note: In preparing the nomination, States Parties should use this format but delete the explanatory notes.

NOMINATION FORMAT	EXPLANATORY NOTES
<b>1. Identification of the Property</b>	<p>Together with Section 2, this is the most important section in the nomination. It must make clear to the Committee precisely where the property is located and how it is geographically defined. In the case of serial nominations, insert a table that shows the name of the component part, region (if different for different components), coordinates, area and buffer zone. Other fields could also be added (page reference or map number, etc.) that differentiate the several components.</p>
<b>1.a Country (and State Party if different)</b>	
<b>1.b State, Province or Region</b>	
<b>1.c Name of Property</b>	<p>This is the official name of the property that will appear in published material about World Heritage. It should be concise. Do not exceed 200 characters, including spaces and punctuation.</p> <p>In the case of serial nominations (see Paragraphs 137-140 of the <i>Operational Guidelines</i>), give a name for the <b>ensemble</b> (e.g., <i>Baroque Churches of the Philippines</i>). Do not include the name of the components of a serial nomination, which should be included in a table as part of 1.d and 1.f.</p>
<b>1.d Geographical coordinates to the nearest second</b>	<p>In this space provide the latitude and longitude coordinates (to the nearest second) or UTM coordinates (to the nearest 10 metres) of a point at the approximate centre of the nominated property. Do not use other coordinate systems. If in doubt, please consult the Secretariat.</p> <p>In the case of serial nominations, provide a table showing the name of each property, its region (or nearest town as appropriate), and the coordinates of its centre point. Coordinate format examples:</p> <p>N 45° 06' 05" W 15° 37' 56" or  UTM Zone 18 Easting: <sup>5</sup>45670  Northing: <sup>45</sup>86750</p>

NOMINATION FORMAT	EXPLANATORY NOTES
<p><b>1.e Maps and plans, showing the boundaries of the nominated property and buffer zone</b></p>	<p>Annex to the nomination, and list below with scales and dates:</p> <p>(i) An original copy of a topographic map showing the property nominated, at the largest scale available which shows the entire property. The boundaries of the nominated property and buffer zone should be clearly marked. Either on this map, or an accompanying one, there should also be a record of the boundaries of zones of special legal protection from which the property benefits. Multiple maps may be necessary for serial nominations.</p> <p>Maps may be obtained from the addresses shown at the following Web address:  <a href="http://whc.unesco.org/en/mapagencies">http://whc.unesco.org/en/mapagencies</a></p> <p>If topographic maps are not available at the appropriate scale, other maps may be substituted. All maps should be capable of being geo-referenced, with a minimum of three points on opposite sides of the maps with complete sets of coordinates. The maps, untrimmed, should show scale, orientation, projection, datum, property name and date. If possible, maps should be sent rolled and not folded.</p> <p>Geographic Information in digital form is encouraged if possible, suitable for incorporation into a GIS (Geographic Information System). In this case the delineation of the boundaries (nominated property and buffer zone) should be presented in vector form, prepared at the largest scale possible. The State Party is invited to contact the Secretariat for further information concerning this option.</p> <p>(ii) A Location Map showing the location of the property within the State Party,</p> <p>(iii) Plans and specially prepared maps of the property showing individual features are helpful and may also be annexed.</p> <p>To facilitate copying and presentation to the Advisory Bodies and the World Heritage Committee A4 (or "letter") size reduction and a digital image file of the principal maps should be included in the nomination text if possible.</p> <p>Where no buffer zone is proposed, the nomination must include a statement as to why a buffer zone is not required for the proper conservation of the nominated property.</p>

NOMINATION FORMAT	EXPLANATORY NOTES
<p><b>1.f Area of nominated property (ha.) and proposed buffer zone (ha.)</b></p> <p>Area of nominated property: _____ ha</p> <p>Buffer zone _____ ha</p> <p>Total _____ ha</p>	<p>In the case of <b>serial nominations</b> (see Paragraphs 137-140 of the <i>Operational Guidelines</i>), insert a table that shows the name of the component part, region (if different for different components), coordinates, area and buffer zone.</p> <p>The serial nomination table should also be used to show the size of the separate nominated areas and of the buffer zone(s).</p>
<p><b>2. Description</b></p>	
<p><b>2.a Description of Property</b></p>	<p>This section should begin with a description of the nominated property at the date of nomination. It should refer to all the significant features of the property.</p> <p>In the case of a cultural property this section will include a description of whatever elements make the property culturally significant. It could include a description of any building or buildings and their architectural style, date of construction, materials, etc. This section should also describe important aspects of the setting such as gardens, parks etc. For a rock art site, for example, the description should refer to the rock art as well as the surrounding landscapes. In the case of an historic town or district, it is not necessary to describe each individual building, but important public buildings should be described individually and an account should be given of the planning or layout of the area, its street pattern and so on.</p> <p>In the case of a natural property the account should deal with important physical attributes, geology, habitats, species and population size, and other significant ecological features and processes. Species lists should be provided where practicable, and the presence of threatened or endemic taxa should be highlighted. The extent and methods of exploitation of natural resources should be described.</p> <p>In the case of cultural landscapes, it will be necessary to produce a description under all the matters mentioned above. Special attention should be paid to the interaction of man and nature.</p> <p>The entire nominated property identified in section 1 (Identification of the Property) should be described. In the case of serial nominations (see Paragraphs 137-140 of the <i>Operational Guidelines</i>), each of the component parts should be separately described.</p>

NOMINATION FORMAT	EXPLANATORY NOTES
<p><b>2.b History and Development</b></p>	<p>Describe how the property has reached its present form and condition and the significant changes that it has undergone, including recent conservation history.</p> <p>This should include some account of construction phases in the case of monuments, sites, buildings or groups of buildings. Where there have been major changes, demolitions or rebuilding since completion they should also be described.</p> <p>In the case of a natural property, the account should cover significant events in history or pre-history that have affected the evolution of the property and give an account of its interaction with humankind. This will include changes in the use of the property and its natural resources for hunting, fishing or agriculture, or changes brought about by climatic change, floods, earthquake or other natural causes.</p> <p>Such information will also be required in the case of cultural landscapes, where all aspects of the history of human activity in the area needs to be covered.</p>
<p><b>3. Justification for Inscription</b></p>	<p>This section must make clear why the property is considered to be of “outstanding universal value”.</p> <p>The whole of this section of the nomination should be written with careful reference to the criteria for inscription found in Paragraph 75 of the <i>Operational Guidelines</i>. It should not include detailed descriptive material about the property or its management, which are addressed in other sections, but should concentrate on why the property is important.</p>
<p><b>3.a Criteria under which inscription is proposed (and justification for inscription under these criteria)</b></p>	<p>See Paragraph 77 of the <i>Operational Guidelines</i>.</p> <p>Provide a separate justification for each criterion cited.</p> <p>State briefly how the property meets those criteria under which it has been nominated (where necessary, make reference to the “description” and “comparative analysis” sections below, but do not duplicate the text of these sections.).</p>

NOMINATION FORMAT	EXPLANATORY NOTES
<b>3.b Proposed Statement of Outstanding Universal Value</b>	<p>Based on the criteria used above, the proposed Statement of Outstanding Universal Value should make clear why the property is considered to merit inscription on the World Heritage List (see Paragraphs 154-157 of the <i>Operational Guidelines</i>). It may be a unique survival of a particular building form or habitat or designed town. It may be a particularly fine or early or rich survival and it may bear witness to a vanished culture, way of life or eco-system. It may comprise assemblages of threatened endemic species, exceptional eco-systems, outstanding landscapes or other natural phenomena.</p>
<b>3.c Comparative analysis (including state of conservation of similar properties)</b>	<p>The property should be compared to similar properties, whether on the World Heritage List or not. The comparison should outline the similarities the nominated property has with other properties and the reasons that make the nominated property stand out. The comparative analysis should aim to explain the importance of the nominated property both in its national and international context (see Paragraph 132)</p>
<b>3.d Integrity and/or Authenticity</b>	<p>The statement of integrity and/or authenticity should demonstrate that the property fulfils the conditions of integrity and/or authenticity set out in Section II.D of the <i>Operational Guidelines</i>, which describe these conditions in greater detail.</p> <p>In the case of a cultural property it should also record whether repairs have been carried out using materials and methods traditional to the culture, in conformity with the Nara Document (1995) (see Annex 4).</p> <p>In the case of natural properties it should record any intrusions from exotic species of fauna or flora and any human activities that could compromise the integrity of the property.</p>

NOMINATION FORMAT		EXPLANATORY NOTES
<b>4.</b>	<b>State of Conservation and factors affecting the Property</b>	
<b>4.a</b>	<b>Present state of conservation</b>	<p>The information presented in this section constitutes the base-line data necessary to monitor the state of conservation of the nominated property in the future. Information should be provided in this section on the physical condition of the property, any threats to the property and conservation measures at the property (see Paragraph 132)</p> <p>For example, in a historic town or area, buildings, monuments or other structures needing major or minor repair works, should be indicated as well as the scale and duration of any recent or forthcoming major repair projects.</p> <p>In the case of a natural property, data on species trends or the integrity of eco-systems should be provided. This is important because the nomination will be used in future years for purposes of comparison to trace changes in the condition of the property.</p> <p>For the indicators and statistical benchmarks used to monitor the state of conservation of the property see section 6 below.</p>
<b>4.b</b>	<b>Factors affecting the property</b>	<p>This section should provide information on all the factors which are likely to affect or threaten a property. It should also describe any difficulties that may be encountered in addressing such problems. Not all the factors suggested in this section are appropriate for all properties. They are indicative and are intended to assist the State Party to identify the factors that are relevant to each specific property.</p>
<b>(i)</b>	<b>Development Pressures (e.g., encroachment, adaptation, agriculture, mining)</b>	<p>Itemize types of development pressures affecting the property, e.g., pressure for demolition, rebuilding or new construction; the adaptation of existing buildings for new uses which would harm their authenticity or integrity; habitat modification or destruction following encroaching agriculture, forestry or grazing, or through poorly managed tourism or other uses; inappropriate or unsustainable natural resource exploitation; damage caused by mining; the introduction of exotic species likely to disrupt natural ecological processes, creating new centres of population on or near properties so as to harm them or their settings.</p>
<b>(ii)</b>	<b>Environmental pressures (e.g., pollution, climate change, desertification)</b>	<p>List and summarize major sources of environmental deterioration affecting building fabric, flora and fauna.</p>

NOMINATION FORMAT		EXPLANATORY NOTES
(iii)	<b>Natural disasters and risk preparedness (earthquakes, floods, fires, etc.)</b>	Itemize those disasters which present a foreseeable threat to the property and what steps have been taken to draw up contingency plans for dealing with them, whether by physical protection measures or staff training.
(iv)	<b>Visitor/tourism pressures</b>	Describe the “carrying capacity” of the property. Can it absorb the current or likely number of visitors without adverse effects?  An indication should also be given of the steps taken to manage visitors and tourists. Possible forms of deterioration due to visitor pressure are: wear on stone, timber, grass or other ground surfaces; increases in heat or humidity levels; disturbances to species habitats; or disruption of traditional cultures or ways of life.
(v)	<b>Number of inhabitants within the property and the buffer zone</b>  Estimated population located within:  Area of nominated property _____  Buffer zone _____  Total _____  Year _____	Give the best available statistics or estimate of the number of inhabitants living within the nominated property and any buffer zone. Indicate the year this estimate or count was made.
5.	<b>Protection and Management of the Property</b>	This section of the nomination is intended to provide a clear picture of the legislative, regulatory, contractual, planning, institutional and/ or traditional measures (see Paragraphs 132 of the <i>Operational Guidelines</i> ) and the management plan or other management system (Paragraphs of the <i>Operational Guidelines</i> ) that is in place to protect and manage the property as required by the <i>World Heritage Convention</i> . It should deal with policy aspects, legal status and protective measures and with the practicalities of day-to-day administration and management.
5.a	<b>Ownership</b>	Indicate the major categories of land ownership (including State, Provincial, private, community, traditional, customary and non-governmental ownership, etc.).

NOMINATION FORMAT	EXPLANATORY NOTES
<b>5.b Protective designation</b>	<p>List the relevant legal, regulatory, contractual, planning, institutional and/ or traditional status of the property: For example, national or provincial park; historic monument, protected area under national law or custom; or other designation.</p> <p>Provide the year of designation and the legislative act(s) under which the status is provided.</p> <p>If the document cannot be provided in English or French, an English or French executive summary should be provided highlighting the key provisions.</p>
<b>5.c Means of implementing protective measures.</b>	<p>Describe how the protection afforded by its legal, regulatory, contractual, planning, institutional and/ or traditional status indicated in section 5.b. actually works.</p>
<b>5.d Existing plans related to municipality and region in which the proposed property is located (e.g., regional or local plan, conservation plan, tourism development plan)</b>	<p>List the agreed plans which have been adopted with the date and agency responsible for preparation. The relevant provisions should be summarized in this section. A copy of the plan should be included as an attached document as indicated in section 7.b.</p> <p>If the plans exist only in a language other than English or French, an English or French executive summary should be provided highlighting the key provisions.</p>
<b>5.e Property management plan or other management system</b>	<p>As noted in Paragraphs 132 of the <i>Operational Guidelines</i>, an appropriate management plan or other management system is essential and shall be provided in the nomination. Assurances of the effective implementation of the management plan or other management system are also expected.</p> <p>A copy of the management plan or documentation of the management system shall be annexed to the nomination, in English or French as indicated in section 7.b.</p> <p>If the management plan exists only in a language other than English or French, an English or French detailed description of its provisions shall be annexed. Give the title, date and author of management plans annexed to this nomination.</p> <p>A detailed analysis or explanation of the management plan or a documented management system shall be provided.</p>



NOMINATION FORMAT		EXPLANATORY NOTES
5.f	<b>Sources and levels of finance</b>	Show the sources and level of funding which are available to the property on an annual basis. An estimate could also be given of the adequacy or otherwise of resources available, in particular identifying any gaps or deficiencies or any areas where assistance may be required.
5.g	<b>Sources of expertise and training in conservation and management techniques</b>	Indicate the expertise and training which are available from national authorities or other organizations to the property.
5.h	<b>Visitor facilities and statistics</b>	As well as providing any available statistics or estimates of visitor numbers or patterns over several years, this section could describe the facilities available on site for visitors, for example interpretation/explanation, whether by trails, guides, notices or publications; property museum, visitor or interpretation centre; overnight accommodation; restaurant or refreshment facilities; shops; car parking; lavatories; search and rescue.
5.i	<b>Policies and programmes related to the presentation and promotion of the property</b>	This section refers to Articles 4 and 5 of the <i>Convention</i> regarding the presentation and transmission to future generations of the cultural and natural heritage. States Parties are encouraged to provide information on the policies and programmes for the presentation and promotion of the nominated property.
5.j	<b>Staffing levels (professional, technical, maintenance)</b>	Indicate the skills and training which are available at the property.

NOMINATION FORMAT	EXPLANATORY NOTES
<b>6. Monitoring</b>	This section of the nomination is intended to provide the evidence for the state of conservation of the property which can be reviewed and reported on regularly so as to give an indication of trends over time.
<b>6.a Key indicators for measuring state of conservation</b>	<p>List in table form those key indicators that have been chosen as the measure of the state of conservation of the whole property (see section 4.a above). Indicate the periodicity of the review of these indicators and the location where the records are kept. They could be representative of an important aspect of the property and relate as closely as possible to the Statement of Outstanding Universal Value (see section 2.b above). Where possible they could be expressed numerically and where this is not possible they could be of a kind which can be repeated, for example by taking a photograph from the same point. Examples of good indicators are the:</p> <ul style="list-style-type: none"> <li>(i) number of species, or population of a keystone species on a natural property;</li> <li>(ii) percentage of buildings requiring major repair in a historic town or district;</li> <li>(iii) number of years estimated to elapse before a major conservation programme is likely to be completed;</li> <li>(iv) stability or degree of movement in a particular building or element of a building;</li> <li>(v) rate at which encroachment of any kind on a property has increased or diminished.</li> </ul>

INDICATOR	PERIODICITY	LOCATION OF RECORDS

NOMINATION FORMAT		EXPLANATORY NOTES
6.b	<b>Administrative arrangements for monitoring property</b>	Give the name and contact information of the agency(ies) responsible for the monitoring referenced in 6.a.
6.c	<b>Results of previous reporting exercises</b>	List, with a brief summary, earlier reports on the state of conservation of the property and provide extracts and references to published sources (for example, reports submitted in compliance with international agreements and programmes, e.g., Ramsar, MAB).
7.	<b>Documentation</b>	This section of the nomination is the check-list of the documentation which shall be provided to make up a complete nomination.
7.a	<b>Photographs, slides, image inventory and authorization table and other audiovisual materials</b>	<p>States Parties shall provide a sufficient number of recent images (prints, slides and, where possible, electronic formats, videos and aerial photographs) to give a good general picture of the property.</p> <p>Slides shall be in 35mm format and electronic images in jpg format at a minimum of 300 dpi (dots per inch) resolution. If film material is provided, Beta SP format is recommended for quality assurances.</p> <p>This material shall be accompanied by the image inventory and photograph and audiovisual authorization form as set out below.</p> <p>At least one photograph that may be used on the public web page illustrating the property shall be included.</p> <p>States Parties are encouraged to grant to UNESCO, in written form and free of charge, the non exclusive cession of rights to diffuse, to communicate to the public, to publish, to reproduce, to exploit, in any form and on any support, including digital, all or part of the images provided and license these rights to third parties.</p> <p>The non exclusive cession of rights does not impinge upon intellectual property rights (rights of the photographer / director of the video or copyright owner if different) and that when the images are distributed by UNESCO a credit to the photographer / director of the video is always given, if clearly provided in the form.</p> <p>All possible profits deriving from such cession of rights will go to the World Heritage Fund.</p>



NOMINATION FORMAT		EXPLANATORY NOTES
7.b	<b>Texts relating to protective designation, copies of property management plans or documented management systems and extracts of other plans relevant to the property</b>	Attach the texts as indicated in sections 5.b, 5.d and 5.e above.
7.c	<b>Form and date of most recent records or inventory of property</b>	Provide a straightforward statement giving the form and date of the most recent records or inventory of the property. Only records that are still available should be described.
7.d	<b>Address where inventory, records and archives are held</b>	Give the name and address of the agencies holding inventory records (buildings, monuments, flora or fauna species).
7.e	<b>Bibliography</b>	List the principal published references, using standard bibliographic format.
8.	<b>Contact Information of responsible authorities</b>	This section of the nomination will allow the Secretariat to provide the property with current information about World Heritage news and other issues.
8.a	<b>Preparer</b> Name: Title: Address: City, Province/State, Country: Tel: Fax: E-mail:	Provide the name, address and other contact information of the individual responsible for preparing the nomination. If an e-mail address cannot be provided, the information must include a fax number.
8.b	<b>Official Local Institution/Agency</b>	Provide the name of the agency, museum, institution, community or manager locally responsible for the management of the property. If the normal reporting institution is a national agency, please provide that contact information.
8.c	<b>Other Local Institutions</b>	List the full name, address, telephone, fax and e-mail addresses of all museums, visitor centres and official tourism offices who should receive the free <i>World Heritage Newsletter</i> about events and issues related to World Heritage.
8.d	<b>Official Web address</b> http:// Contact name: E-mail:	Please provide any existing official web addresses of the nominated property. Indicate if such web addresses are planned for the future with the contact name and e-mail address.
9.	<b>Signature on behalf of the State Party</b>	The nomination should conclude with the signature of the official empowered to sign it on behalf of the State Party.



## EVALUATION PROCEDURES OF THE ADVISORY BODIES FOR NOMINATIONS



This Annex includes:

- A. THE ICOMOS PROCEDURE FOR THE EVALUATION OF CULTURAL PROPERTIES
- B. THE IUCN PROCEDURE FOR THE EVALUATION OF NATURAL PROPERTIES
- C. ADVISORY BODY COLLABORATION - PROCEDURE FOR THE EVALUATION OF CULTURAL AND NATURAL PROPERTIES AND OF CULTURAL LANDSCAPES

For further information please also refer to Paragraphs 143-151 of the *Operational Guidelines*.

### A. THE ICOMOS PROCEDURE FOR THE EVALUATION OF CULTURAL PROPERTIES

1. In carrying out its evaluation of nominations of cultural properties ICOMOS (the International Council of Monuments and Sites) is guided by the *Operational Guidelines*; (see Paragraph 148).
2. The evaluation process (see Figure 1) involves consultation of the wide range of expertise represented by the membership of ICOMOS and its National and International Committees, as well as the many other specialist networks with which it is linked. Members are also sent on expert missions to carry out confidential on-site evaluations. This extensive consultation results in the preparation of detailed recommendations that are submitted to the World Heritage Committee at its annual meetings.

### Choice of experts

3. There is a clearly defined annual procedure for the submission of properties to the World Heritage List. Once new nominations have been checked for completeness by the UNESCO World Heritage Centre and the Advisory Bodies, the nomination dossiers are then delivered to ICOMOS, where they are handled by the ICOMOS World Heritage secretariat. The first action involved is the choice of the experts who are to be consulted. This involves two separate groups. First, there are those who can advise on the “outstanding universal value” of the nominated property. This is essentially a “library” exercise for specialist academics, and may sometimes involve non-ICOMOS members, in cases where there is no adequate expertise within the ICOMOS membership on a specific topic: an example is the occasional nomination of fossil hominid sites, where the services of palaeontologists are required.
4. The second group of experts are those with practical experience of the management, conservation, and authenticity aspects of individual properties, who are required to carry out site missions.

The process of selecting these experts makes full use of the ICOMOS network. The advice of International Scientific Committees and individual members is sought, as is that of specialist bodies with whom ICOMOS has partnership agreements, such as The International Committee for the Conservation of the Industrial Heritage (TICCIH), the International Federation of Landscape Architects (IFLA), and the International Committee for the Documentation and Conservation of Monuments and Sites of the Modern Movement (DoCoMoMo).

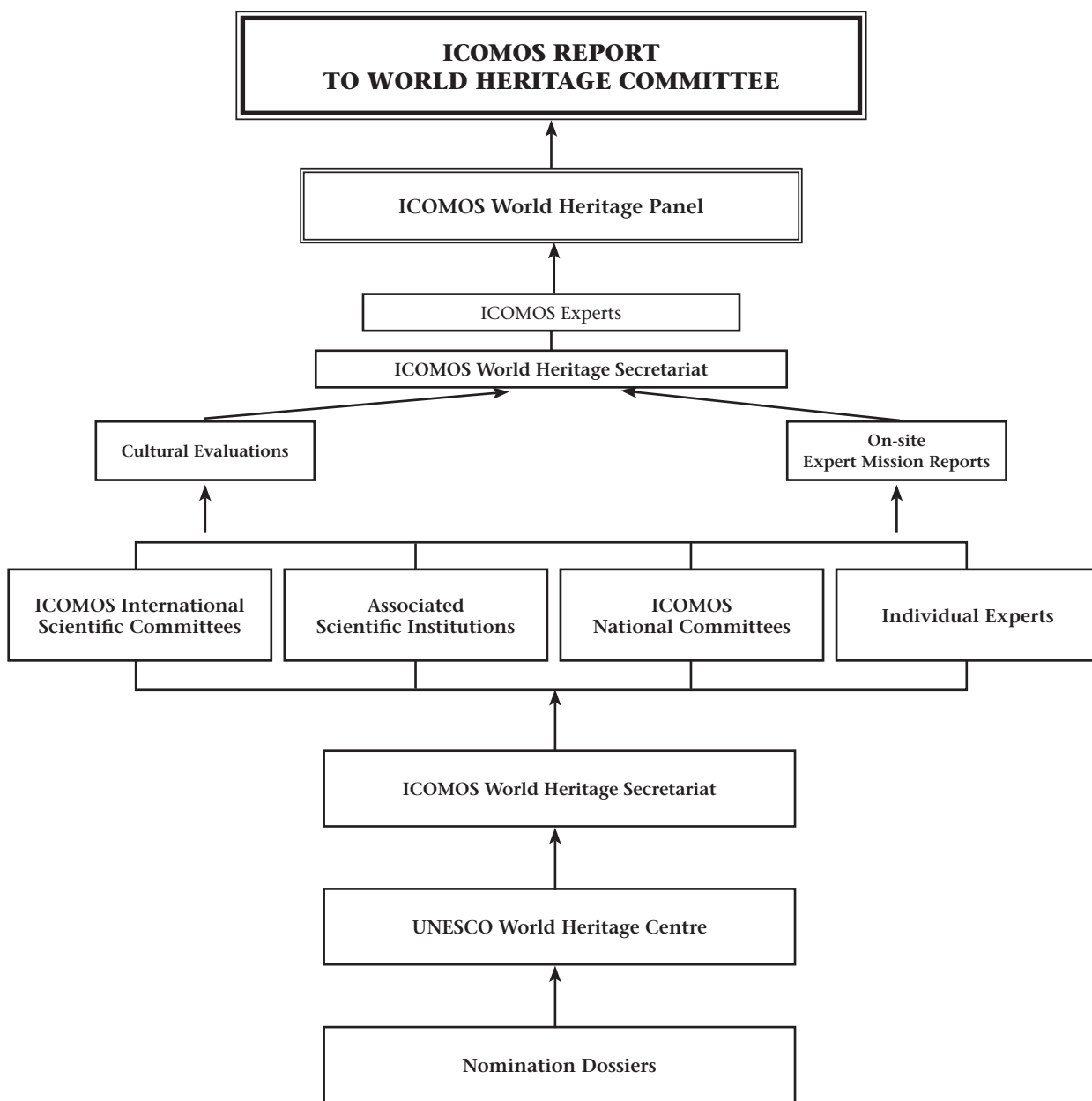
### Site missions

5. In selecting experts to carry out on-site evaluation missions, the policy of ICOMOS is wherever possible to choose someone from the region in which the nominated property is located. Such experts are required to be experienced in heritage management and conservation: they are not necessarily high academic experts in the type of property. They are expected to be able to talk to site managers on a basis of professional equality and to make informed assessments of management plans, conservation practices, visitor handling, etc. They are provided with detailed briefings, which include copies of the relevant information from the dossiers. The dates and programmes of their visits are agreed in consultation with States Parties, who are requested to ensure that ICOMOS evaluation missions are given a low profile so far as the media are concerned. ICOMOS experts submit their reports in confidence to the Executive Committee on practical aspects of the properties concerned, and premature publicity can cause embarrassment both to ICOMOS and to the World Heritage Committee.

### World Heritage Panel

6. The two reports (cultural assessment and site mission report) that emerge from these consultations are received by the ICOMOS secretariat in Paris, and from them a draft evaluation is prepared. This contains a brief description and history of the property, summaries of its legislative protection, management, and state of conservation, comments on these aspects, and recommendations to the World Heritage Committee. Draft evaluations are then presented to a two or three-day meeting of the ICOMOS World Heritage Panel. The Panel comprises the members of the Executive Committee, who come from all parts of the world and who possess a wide range of skills and experience. The Executive Committee members are supplemented by experts in certain categories of heritage that figure on the annual list of nominations but which are not represented on the Committee.
7. Each nominated property is the subject of a 10–15 minute illustrated presentation by a representative of ICOMOS, followed by discussion. Following the objective and exhaustive examination of the nominations, the collective recommendations of ICOMOS are prepared, and the evaluations are revised and printed, for presentation to the World Heritage Committee.

Figure 1: IUCN EVALUATION PROCEDURE





## B. THE IUCN PROCEDURE FOR THE EVALUATION OF NATURAL PROPERTIES

8. In carrying out its evaluation of nominations of natural properties, IUCN (the World Conservation Union) is guided by the *Operational Guidelines* (see Paragraph 148). The evaluation process (see Figure 2) involves five steps:
- (i) **Data Assembly.** Following receipt of the nomination dossier from the World Heritage Centre, a standardised data sheet is compiled on the property by the UNEP-World Conservation Monitoring Centre (UNEP-WCMC), using the Protected Area database, and verified with the State Party during the field inspection.
  - (ii) **External Review.** The nomination is normally sent for desk review to up to 15 experts knowledgeable about the property, primarily members of IUCN's specialist Commissions and networks.
  - (iii) **Field Inspection.** One or two IUCN experts visit each nominated property to clarify details about the area, to evaluate site management and to discuss the nomination with relevant authorities and stakeholders. IUCN experts, selected for their global perspective on conservation and natural history as well as their knowledge of the *Convention*, are usually members of the IUCN World Commission on Protected Areas' World Heritage Expert Network or are IUCN secretariat staff. (This field inspection is undertaken jointly with ICOMOS in certain situations - see Part C below)
  - (iv) **Other sources of information.** IUCN may also consult additional literature and receive comments from local NGOs and others.
  - (v) **IUCN World Heritage Panel Review.** The IUCN World Heritage Panel reviews all field inspection reports, reviewers' comments, the UNEP-WCMC data sheet and other background material before finalising the text of the IUCN evaluation report for each nominated property.

Each evaluation report presents a concise summary of the outstanding universal value of the property nominated, a comparison with other similar sites and a review of integrity and management issues. It concludes with the assessment of the applicability of the criteria, and a clear recommendation to the World Heritage Committee. The UNEP-WCMC data sheets are also made available to the World Heritage Committee.

### The Udvardy biogeographic classification system

9. In the evaluations, IUCN uses Udvardy's "Biogeographical Provinces of the World" (1975) biogeographic classification system. This is a classification system for freshwater and terrestrial areas of the world which enables predictions and assumptions to be made about similar biogeographical regions. The Udvardy system provides an objective means of comparing nominated properties with sites of similar climatic and ecological conditions.

10. It is stressed, however, that the Biogeographical Province concept is used as a basis for comparison only and does not imply that World Heritage properties are to be selected solely on this criterion. The guiding principle is that World Heritage properties must be of outstanding universal value.

### **Systems to identify priority areas for conservation**

11. IUCN also uses systems which identify priority areas for conservation such as the Worldwide Fund for Nature's (WWF) Global Ecoregions, WWF/IUCN's Centres of Plant Diversity, Conservation International's Biodiversity Hotspots, and Birdlife International's Endemic Bird Areas and Important Bird Areas.

### **Systems to evaluate properties for earth science value**

12. In evaluating properties which have been nominated for their geological value, IUCN consults with a range of specialised organisations such as the UNESCO Earth Sciences Division, the International Union of Speleology and the International Union of Geological Sciences (IUGS).

### **Relevant publications used in the evaluation process**

13. The evaluation process is aided by the publication of some 20 reference volumes on the world's protected areas published by IUCN, UNEP, UNEP-WCMC, Birdlife International and other publishers. These include:
- (i) Reviews of Protected Area Systems in Oceania, Africa, and Asia;
  - (ii) The four volume directory of Protected Areas of the World;
  - (iii) The World Atlas of Coral Reefs;
  - (iv) The six volume Conservation Atlas series;
  - (v) The four volume "A Global Representative System of Marine Protected Areas";
  - (vi) The three volume Centres of Plant Diversity; and
  - (vii) Important Bird Areas and Endemic Bird Areas of the World
14. These documents together provide system-wide overviews which allow comparison of the conservation importance of protected areas throughout the world. With the development of the Global Strategy work for natural heritage, IUCN is increasingly using its "global overview" papers to identify gaps in natural World Heritage coverage and properties of World Heritage potential. These can be viewed on the IUCN website at <http://iucn.org/themes/wcpa/wheritage/globalstrategy.htm>

### **Evaluation of Cultural Landscapes (see also Annex 3)**

15. IUCN has an interest in many cultural properties, especially those nominated as cultural landscapes. For that reason, it will on occasion participate in joint field inspections to nominated cultural landscapes with ICOMOS (see Part C below). IUCN's evaluation of such nominations is guided by an internal paper, "The Assessment of Natural Values in cultural landscapes", available on the IUCN web site at <http://www.iucn.org/themes/wcpa/wheritage/culturallandscape.htm>

16. In accordance with the natural qualities of certain cultural landscapes identified in Annex 3, Paragraph 11, IUCN's evaluation is concerned with the following factors:

- (a) Conservation of natural and semi-natural systems, and of wild species of fauna and flora
- (b) Conservation of biodiversity within farming systems;
- (c) Sustainable land use;
- (d) Enhancement of scenic beauty;
- (e) Ex-situ collections;
- (f) Outstanding examples of humanity's inter-relationship with nature;
- (g) Historically significant discoveries

The following table sets each of the above list in the context of the categories of cultural landscapes in Annex 3, thereby indicating where each consideration is most likely to occur (the absence of a consideration does not mean that it will *never* occur, only that this is unlikely):

<i>Cultural Landscape type (see also Annex 3)</i>	<i>Natural considerations most likely to be relevant (see Paragraph 16 above)</i>						
Designed landscape					(e)		
Organically evolving landscape – continuous	(a)	(b)	(c)	(d)			
Organically evolving landscape – fossil	(a)					(f)	
Associative landscape							(g)

### C. ADVISORY BODY COLLABORATION – THE EVALUATION OF MIXED PROPERTIES AND OF CULTURAL LANDSCAPES

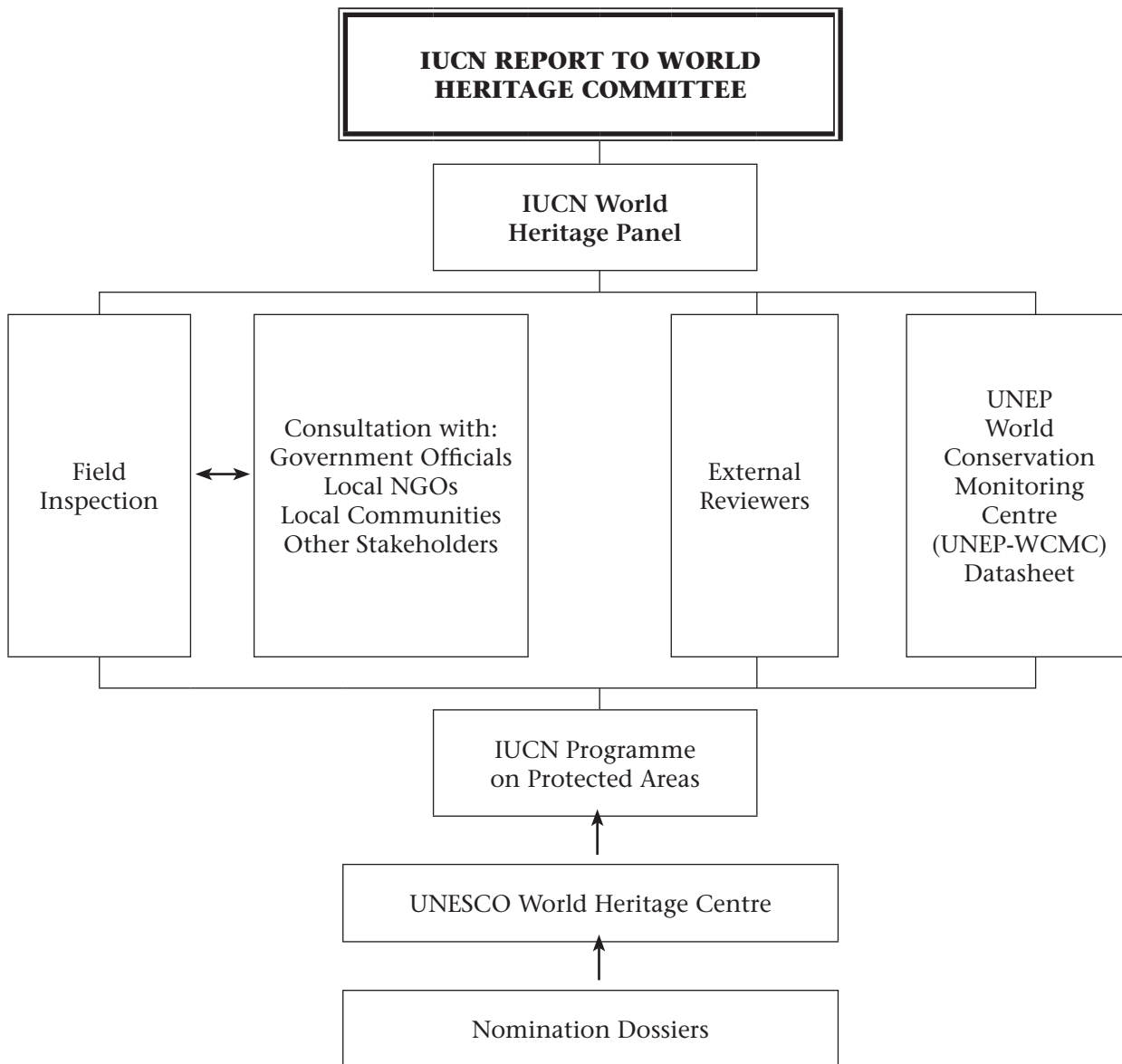
#### Mixed properties

17. Properties that are nominated as having both natural and cultural value entail a joint IUCN and ICOMOS mission to the nominated property. Following the mission, IUCN and ICOMOS prepare separate evaluation reports of the property under the relevant criteria (see A, Paragraph 5 and B, Paragraph 9 (iii) above).

#### Cultural Landscapes

18. Properties nominated as Cultural Landscapes are evaluated by ICOMOS under criteria (i)-(vi) (see Paragraph 77 of the *Operational Guidelines*). IUCN is called upon by ICOMOS to review the natural values and the management of the nominated property. This has been the subject of an agreement between the Advisory Bodies. In some cases, a joint mission is required.

**Figure 2: IUCN EVALUATION PROCEDURE**





FORMAT FOR THE PERIODIC REPORTING  
ON THE APPLICATION  
OF THE *WORLD HERITAGE CONVENTION*



- 
- The Format for Periodic Reporting is available at the following Web address:  
<http://whc.unesco.org/en/periodicreporting>.
  - Further guidance on Periodic Reporting can be found in Section V of the *Operational Guidelines*.
  - In order to facilitate management of information, States Parties are requested to submit reports, in English or French, in electronic as well as in printed form to:

**UNESCO World Heritage Centre**

7, place de Fontenoy

75352 Paris 07 SP

France

Telephone: +33 (0) 1 4568 1571

Fax: +33 (0) 1 4568 5570

<http://whc.unesco.org/en/contacts>

## FORMAT

### PERIODIC REPORTING ON THE APPLICATION OF THE *WORLD HERITAGE CONVENTION*

#### General requirements

- Information should be as precise and specific as possible. It should be quantified where possible and fully referenced.
- Information should be concise. In particular long historical accounts of sites and events which have taken place there should be avoided, especially when they can be found in readily available published sources.
- Expressions of opinion should be supported by reference to the authority on which they are made and the verifiable facts which support them.
- Periodic reports should be completed on A4 paper (210mm x 297mm), with maps and plans a maximum of A3 paper (297mm x 420mm). States Parties are also encouraged to submit the full text of the periodic reports in electronic form.

#### SECTION I: APPLICATION OF THE *WORLD HERITAGE CONVENTION* BY THE STATE PARTY

States Parties are requested to give information on the legislative and administrative provisions which they have adopted and other action which they have taken for the application of this *Convention*, together with details of the experience acquired in this field (Article 29.1 of the *World Heritage Convention*).

##### I.1 Introduction

- (i) State Party
- (ii) Year of ratification or acceptance of the *Convention*
- (iii) Organization(s) or entity(ies) responsible for the preparation of the report
- (iv) Date of the report
- (v) Signature on behalf of the State Party

##### I.2 Identification of cultural and natural heritage properties

This item refers in particular to Articles 3, 4 and 11 of the *Convention* regarding the identification of cultural and natural heritage and the nomination of properties for inscription on the World Heritage List.

**(i) National inventories**

Inventories of cultural and natural heritage of national significance form the basis for the identification of possible World Heritage properties.

Indicate which institutions are in charge of the preparation and keeping up-to-date of these national inventories and if, and to what extent, inventories, lists and/or registers at the local, state and/or national level exist and have been completed.

**(ii) Tentative List**

Article 11 of the *Convention* refers to the submission by States Parties of inventories of property suitable for inscription on the World Heritage List. These tentative lists of cultural and natural properties should be prepared with reference to Paragraphs 62-69 and Annex 2 of the *Operational Guidelines*. States Parties should report on actions taken to implement the decision of the Committee at its twenty-fourth session (Cairns, December 2000) and the twelfth General Assembly of States Parties (UNESCO Headquarters, 1999) whereby tentative lists are to be used as a planning tool to reduce the imbalances in the World Heritage List.

Provide the date of submission of the Tentative List or any revision made since its submission. States Parties are also encouraged to provide a description of the process of preparation and revision of the Tentative List, e.g. has (have) any particular institution(s) been assigned the responsibility for identifying and delineating World Heritage properties, have local authorities and local population been involved in its preparation? If so, provide exact details.

**(iii) Nominations**

The periodic report should list properties that have been nominated for inscription on the World Heritage List. States Parties are encouraged to provide an analysis of the process by which these nominations are prepared, the collaboration and cooperation with local authorities and people, the motivation, obstacles and difficulties encountered in that process and perceived benefits and lessons learnt.

**I.3 Protection, conservation and presentation of the cultural and natural heritage**

This item refers in particular to Articles 4 and 5 of the *Convention*, in which States Parties recognise their duty of ensuring the identification, protection, conservation, presentation and transmission to future generations of the cultural and natural World Heritage and that effective and active measures are taken to this effect. Additional guidance on States Parties obligations can be found in Paragraphs 10-16 of the *Operational Guidelines*.

Article 5 of the *Convention* specifies the following measures:

**(i) General policy development**

Provide information on the adoption of policies that aim to give the cultural and natural heritage a function in the life of the community. Provide information on the way the State Party

or the relevant authorities has (have) taken steps to integrate the protection of World Heritage properties into comprehensive planning programmes. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

**(ii) Status of services for protection, conservation and presentation**

Provide information on any services within the territories of the State Party which have been set up or have been substantially improved since the previous periodic report, if applicable. Particular attention should be given to services aiming at the protection, conservation and presentation of the cultural and natural heritage, indicating the appropriate staff and the means to discharge their functions. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

**(iii) Scientific and technical studies and research**

Additional guidance on research can be found in Paragraph 215 of the *Operational Guidelines*.

List significant scientific and technical studies or research projects of a generic nature that would benefit World Heritage properties, initiated or completed since the last periodic report. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

Site specific scientific studies or research projects should be reported upon under Section II.4 of this Format.

**(iv) Measures for identification, protection, conservation, presentation and rehabilitation**

Indicate appropriate legal and administrative measures that the State Party or relevant authorities have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage. Particular attention should be given to measures concerning visitor management and development in the region. The State Party is also encouraged to indicate if, on the basis of the experiences gained, policy and/or legal reform is considered necessary. It is also relevant to note which other international conventions for the protection of cultural or natural heritage have been signed or ratified by the State Party and if so, how the application of these different legal instruments is co-ordinated and integrated in national policies and planning.

Indicate relevant scientific, and technical measures that the State Party or relevant institutions within the State have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.

Indicate relevant financial measures that the State Party or relevant authorities have taken for the identification, protection, conservation, presentation and rehabilitation of cultural and natural heritage.

Information on the presentation of the heritage can refer to publications, internet web-pages, films, stamps, postcards, books etc.



Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

#### (v) Training

Additional guidance on training can be found in Paragraphs 213-214 of the *Operational Guidelines*.

Provide information on the training and educational strategies that have been implemented within the State Party for professional capacity building, as well as on the establishment or development of national or regional centres for training and education in the protection, conservation, and presentation of the cultural and natural heritage, and the degree to which such training has been integrated within existing university and educational systems.

Indicate the steps that the State Party has taken to encourage scientific research as a support to training and educational activities.

Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

### I.4 International cooperation and fund raising

This item refers particularly to Articles 4, 6, 17 and 18 of the *Convention*. Additional guidance on this issue can be found in Paragraphs 227-231 of the *Operational Guidelines*.

Provide information on the cooperation with other States Parties for the identification, protection, conservation and preservation of the World Heritage located on their territories.

Also indicate which measures have been taken to avoid damage directly or indirectly to the World Heritage on the territory of other States Parties.

Have national, public and private foundations or associations been established for, and has the State Party given assistance to, raising funds and donations for the protection of the World Heritage?

### I.5 Education, information and awareness building

This item refers particularly to Articles 27 and 28 of the *Convention* on educational programmes. Additional guidance on these matters can be found in Chapter IX of the *Operational Guidelines*.

Indicate steps that the State Party has taken to raise the awareness of decision-makers, property owners, and the general public about the protection and conservation of cultural and natural heritage.

Provide information on education (primary, secondary and tertiary) and information programmes that have been undertaken or are planned to strengthen appreciation and respect by the population, to keep the public broadly informed of the dangers threatening the heritage and of activities carried out in pursuance of the *Convention*. Does the State Party participate in the UNESCO Special Project *Young People's Participation in World Heritage Preservation and Promotion*?

Information on site-specific activities and programmes should be provided under item II.4 concerning management, below.

### **I.6 Conclusions and recommended action**

The main conclusions under each of the items of Section I of the report should be summarized and tabulated together with the proposed action(s) to be taken, the agency(ies) responsible for taking the action(s) and the timeframe for its execution:

- (i) Main conclusions
- (ii) Proposed future action(s)
- (iii) Responsible implementing agency(ies)
- (iv) Timeframe for implementation
- (v) Needs for international assistance

States Parties are also encouraged to provide in their first periodic report an analysis of the process by which they ratified the *Convention*, the motivation, obstacles and difficulties encountered in that process and perceived benefits and lessons learnt.

## **SECTION II: STATE OF CONSERVATION OF SPECIFIC WORLD HERITAGE PROPERTIES**

The preparation of periodic state of conservation reports should involve those who are responsible for the day-to-day management of the property. For transboundary properties it is recommended that reports be prepared jointly by or in close collaboration between the agencies concerned.

The first periodic report should update the information provided in the original nomination dossier. Subsequent reports will then focus on any changes that may have occurred since the previous report was submitted.

This section of the periodic report follows, therefore, the format for the nomination dossier.

The state of properties included on the List of World Heritage in Danger are reviewed by the World Heritage Committee at regular intervals, in general once every year. This review concentrates on the specific factors and considerations that led to the inscription of the property on the List of World Heritage in Danger. It will still be necessary to prepare a complete periodic report on the state of conservation of these properties.

This section should be completed for each individual World Heritage property.

## II.1 Introduction

- (i) State Party
- (ii) Name of the World Heritage property
- (iii) Geographical coordinates to the nearest second
- (iv) Date of inscription on the World Heritage List
- (v) Organization(s) or entity(ies) responsible for the preparation of the report
- (vi) Date of the report
- (vii) Signature on behalf of the State Party

## II.2 Statement of Outstanding Universal Value

At the time of inscription of a property on the World Heritage List, the World Heritage Committee indicates its outstanding universal value by deciding on the criteria for inscription. Please indicate the justification for inscription provided by the State Party, and the criteria under which the Committee inscribed the property on the World Heritage List.

In the view of the State Party, does the Statement of Outstanding Universal Value adequately reflect the outstanding universal value of the property or is a re-submission necessary? This could be considered, for example, to recognise cultural values of a World Heritage property inscribed for its outstanding natural value, or vice-versa. This may become necessary either due to the substantive revision of the criteria by the World Heritage Committee or due to better identification or knowledge of specific outstanding universal value of the property.

Another issue that might be reviewed here is whether the delimitation of the World Heritage property, and its buffer zone if appropriate, is adequate to ensure the protection and conservation of the outstanding universal value embodied in it. A revision or extension of the boundaries might be considered in response to such a review.

If a Statement of Outstanding Universal Value is not available or incomplete, it will be necessary, in the first periodic report, for the State Party to propose such a statement. The Statement of Outstanding Universal Value should reflect the criterion (criteria) on the basis of which the Committee inscribed the property on the World Heritage List. It should also address questions such as: What does the property represent, what makes the property outstanding, what are the specific values that distinguish the property, what is the relationship of the property with its setting, etc.? Such Statement of Outstanding Universal Value will be examined by the Advisory Body(ies) concerned and transmitted to the World Heritage Committee for approval, if appropriate.

## II.3 Statement of authenticity and/or integrity

Under this item it is necessary to review whether the value on the basis of which the property was inscribed on the World Heritage List, and reflected in the Statement of Outstanding Universal Value under item II.2 above, are being maintained.

This should also include the issue of authenticity and/or integrity in relation to the property. What was the evaluation of the authenticity and/or integrity of the property at the time of inscription? What is the authenticity and/or integrity of the property at present?

Please note that a more detailed analysis of the conditions of the property is required under item II.6 on the basis of key indicators for measuring its state of conservation.

## II.4 Management

Under this item, it is necessary to report on the implementation and effectiveness of protective legislation at the national, provincial or municipal level and/or contractual or traditional protection as well as of management and/or planning control for the property concerned, as well as on actions that are foreseen for the future, to preserve the value described in the Statement of Outstanding Universal Value under item II.2. Additional guidance on this issue can be found in Section III.D of the *Operational Guidelines*.

The State Party should also report on significant changes in the ownership, legal status and/or contractual or traditional protective measures, management arrangements and management plans as compared to the situation at the time of inscription or the previous periodic report. In such case, the State Party is requested to attach to the periodic report all relevant documentation, in particular legal texts, management plans and/or (annual) work plans for the management and maintenance of the property. Full name and address of the agency or person directly responsible for the property should also be provided.

The State Party could also provide an assessment of the human and financial resources that are available and required for the management of the property, as well as an assessment of the training needs for its staff.

The State Party is also invited to provide information on scientific studies, research projects, education, information and awareness building activities directly related to the property and to comment on the degree to which heritage values of the property are effectively communicated to residents, visitors and the public. Matters that could be addressed are, among other things: is there a plaque at the property indicating that the property is a World Heritage property? Are there educational programmes for schools? Are there special events and exhibitions? What facilities, visitor centre, site museum, trails, guides, information material etc. are made available to visitors? What role does the World Heritage designation play in all these programmes and activities?

Furthermore, the State Party is invited to provide statistical information, if possible on an annual basis, on income, visitor numbers, staff and other items if appropriate.

On the basis of the review of the management of the property, the State Party may wish to consider if a substantive revision of the legislative and administrative provisions for the conservation of the property is required.

## II.5 Factors affecting the property

Please comment on the degree to which the property is threatened by particular problems and risks. Factors that could be considered under this item are those that are listed in the nomination format, e.g. development pressure, environmental pressure, natural disasters and preparedness, visitor/tourism pressure, number of inhabitants.

Considering the importance of forward planning and risk preparedness, provide relevant information on operating methods that will make the State Party capable of counteracting dangers that threaten or may endanger its cultural or natural heritage. Problems and risks to be considered could include earthquakes, floods, land-slides, vibrations, industrial pollution, vandalism, theft, looting, changes in the physical context of properties, mining, deforestation, poaching, as well as changes in land-use, agriculture, road building, construction activities, tourism. Areas where improvement would be desirable, and towards which the State Party is working should be indicated.

This item should provide up-to-date information on all factors which are likely to affect or threaten the property. It should also relate those threats to measures taken to deal with them.

An assessment should also be given if the impact of these factors on the property is increasing or decreasing and what actions to address them have been effectively taken or are planned for the future.

## II.6 Monitoring

Whereas item II.3 of the periodic report provides an overall assessment of the maintenance of the outstanding universal value of the property, this item analyses in more detail the conditions of the property on the basis of key indicators for measuring its state of conservation.

If no indicators were identified at the time of inscription of the property on the World Heritage List, this should be done in the first periodic report. The preparation of a periodic report can also be an opportunity to evaluate the validity of earlier identified indicators and to revise them, if necessary.

Up-to-date information should be provided in respect to each of the key indicators. Care should be taken to ensure that this information is as accurate and reliable as possible, for example by carrying out observations in the same way, using similar equipment and methods at the same time of the year and day.

Indicate which partners if any are involved in monitoring and describe what improvement the State Party foresees or would consider desirable in improving the monitoring system.

In specific cases, the World Heritage Committee and/or its Bureau may have already examined the state of conservation of the property and made recommendations to the State Party, either at the time of inscription or afterwards. In such cases the State Party is requested to report on

the actions that have been taken in response to the observations or recommendations made by the Bureau or Committee.

## II.7 Summary of conclusions and recommended actions

The main conclusions under each of the items of the state of conservation report, in particular, whether the outstanding universal value of the property are maintained, should be summarized and tabulated together with:

- (i) Main conclusions regarding the state of the outstanding universal value of the property (see items II.2. and II.3. above)
- (ii) Main conclusions regarding the management and factors affecting the property (see Items II.4 and II.5. above)
- (iii) Proposed future action(s)
- (iv) Responsible implementing agency(ies)
- (v) Timeframe for implementation
- (vi) Needs for international assistance

The State Party is also requested to indicate what experience the State Party has obtained that could be relevant to others dealing with similar problems or issues. Please provide names and contact details of organizations or specialists who could be contacted for this purpose.



INTERNATIONAL ASSISTANCE REQUEST FORM



- 
- The International Assistance request form is available at the following Web address: [whc.unesco.org/en/intassistance](http://whc.unesco.org/en/intassistance)
  - Further guidance on International Assistance can be found in Section VII of the *Operational Guidelines*
  - The original signed version of the completed International Assistance request form should be sent in English or French to:

**UNESCO World Heritage Centre**

7, place de Fontenoy

75352 Paris 07 SP

France

Telephone: +33 (0)1 45 68 12 76

Fax: +33 (0)1 45 68 55 70

E-mail: [wh-intassistance@unesco.org](mailto:wh-intassistance@unesco.org)

1. STATE PARTY .....

2. TITLE OF ACTIVITY

.....  
.....  
.....  
.....

3. THE ACTIVITY WILL BENEFIT A PROPERTY OR PROPERTIES:

- inscribed on the List of World Heritage in Danger
- inscribed on the List of World Heritage
- nominated for inscription on the List of World Heritage (i.e. Tentative List)

If any of the above, please indicate the name of the property(ies):

.....  
.....  
.....

4. TYPE OF ACTIVITY (for more details see summary table in Paragraph 241 of the *Operational Guidelines*). Please select only one type of activity.

- Emergency Assistance
- Preparatory Assistance
- Training and Research Assistance
- Technical Co-operation
- Assistance for Education, Information and Awareness Raising

5. PREVIOUS CONTRIBUTIONS FROM THE WORLD HERITAGE FUND:

5.a If the activity is to benefit a World Heritage property or properties, have there been previous contributions from the World Heritage Fund benefiting this / these properties?

- yes       no

5.b Have similar or related activities been previously implemented within the State Party with contributions from the World Heritage Fund?

- yes       no



If yes to either 5.a or 5.b, indicate all previous contributions from the World Heritage Fund in the following format:

Type of international assistance	Year	Amount in US\$	Title of activity

**6. PLACE OF ACTIVITY:**

Will the activity be held at a World Heritage property?  yes  no

Will the activity include a field component?  yes  no

If yes, where? .....

.....

**7. DATES AND DURATION OF ACTIVITY (foreseen or determined)**

Dates: .....

Duration: .....

**8. THE ACTIVITY IS**

- local
- national
- sub-regional involving a few States Parties from a region
- regional involving most States Parties from a region
- international involving States Parties from different regions

If the activity is sub-regional, regional or international, please indicate the countries which will participate / benefit from the activity:

.....

.....

.....

.....

## 9. JUSTIFICATION FOR ACTIVITY

Indicate the problems or issues to be discussed/addressed. This description should justify the need for the activity and should provide indications on the degree of urgency of the activities to be undertaken where appropriate. If relevant, give details of ascertained or potential threat to the property(ies) concerned. Whenever possible support the justification with documentary evidence, such as reports, photographs, slides, maps, etc. Please list all documentation submitted.

If relevant, explain how the activity contributes to the implementation of:

- (i) decisions of the World Heritage Committee;
- (ii) recommendations of international expert missions undertaken at the request of the Committee, Chairperson or UNESCO;
- (iii) recommendations of the Advisory Bodies;
- (iv) recommendations of UNESCO World Heritage Centre or other UNESCO Divisions;
- (v) recommendations of management plans concerning the property;
- (vi) guidelines elaborated from results of previous activities supported by the World Heritage Fund at the World Heritage property or State Party.

## 10. OBJECTIVE(S) OF ACTIVITY(IES)

Clearly state the objectives of the activity proposed to be supported by the World Heritage Fund.

**11. PROGRAMME AND WORK PLAN OF THE ACTIVITY(IES)**

Describe the programme and work plan of the activity(ies) to be undertaken with specific reference to the objectives mentioned in Paragraph 10 above. For meetings and training activities, tentative programmes should be provided including the themes, issues and problems to be discussed.

**12. TIMETABLE OF ACTIVITY(IES)**

Provide a schedule (eg. bar-chart) covering the whole duration of the activity and giving the details such as:

- (i) preparation of the activity;
- (ii) duration of each action;
- (iii) schedule for purchase of the equipment, if relevant;
- (iv) dates on which certain funds are required to enable the successful completion of the activity(ies);
- (v) evaluation following the implementation (mandatory).

**13. PROFILES OF SPECIALISTS, TRAINERS, TECHNICIANS AND / OR SKILLED LABOUR, IF THE ACTIVITY FORESEES THE PARTICIPATION OF SUCH PERSONS**

Indicate the precise field of specialization and the work to be undertaken by each specialist as well as the duration required. The World Heritage Centre and the Advisory Bodies are available to recommend resource persons / trainers, should the State(s) Party(ies) concerned so request.

**14. PROFILES OF TRAINEES / PARTICIPANTS, IF THE ACTIVITY FORESEES THE PARTICIPATION OF SUCH PERSONS**

Indicate the target groups and beneficiaries of the activity, their professions, institutions, or field(s) of specialization.

**15. EQUIPMENT**

If provision of equipment is foreseen in the activity, provide a detailed list of the equipment to be purchased attaching copies of pro-forma invoices.

**16. EXPECTED RESULTS, OUTCOME, FOLLOW-UP**

Describe the expected results from the activity, especially with reference to the impact the activity will have to enhance the conservation, management and presentation of the World Heritage property(ies) concerned.

Describe the indicators and evidence which will demonstrate the impact the activity(ies) will have on the objectives mentioned in section 10 above.

Indicate the provisions made for reviewing the outcome of the activity at a national level, and any follow-up activities foreseen.

**17. BUDGET**

**17.a** Provide a detailed breakdown of costs in United States dollars of the individual elements within the following sections, including unit costs, if possible:

- (i) **Organization** (venue, office expenses such as photocopies, stationery, secretarial assistance, translation, interpretation, audio-visual arrangements)
- (ii) **Personnel and Consultancy Services** (fees paid to international/national resource persons, indicating fee per day/week/month, etc.)
- (iii) **Travel** (international, national or local travel)
- (iv) **Accommodation, Daily Subsistence Allowance** (per day, etc.)
- (v) **Equipment** (if relevant)
- (vi) **Reporting, evaluation, and publication** (if the publication of the proceedings of the training exercise is foreseen, translation, editing, printing, layout, distribution, and communication costs, etc.)
- (vii) **Miscellaneous** (visas, other costs).

## EXAMPLE OF DETAILED BREAKDOWN OF COSTS:

Item	Detail US\$	Subtotal US\$
<b>Organization</b> <ul style="list-style-type: none"> <li>• venue</li> <li>• office expenses</li> <li>• secretarial assistance</li> <li>• translation</li> <li>• simultaneous interpretation</li> <li>• audio-visual equipment</li> <li>• other</li> </ul>	US\$ __ /day / week / month = US\$ __ US\$ __ /day / week / month = US\$ __ US\$ __ /day / week / month = US\$ __ US\$ __ /page = US\$ __ US\$ __ /day / week = US\$ __ US\$ __ US\$ __	US\$ __
<b>Personnel / consultancy service</b> <ul style="list-style-type: none"> <li>• international expert (field of expertise)</li> <li>• national trainer</li> <li>• national coordinator</li> <li>• other</li> </ul>	US\$ __ / week for __ weeks = US\$ __ US\$ __ / week for __ weeks = US\$ __ US\$ __ / week for __ weeks = US\$ __ US\$ __ / week for __ weeks = US\$ __	US\$ __
<b>Travel</b> <ul style="list-style-type: none"> <li>• international round trip (RT) airfare</li> <li>• domestic travel costs</li> <li>• other</li> </ul>	US\$ __ / RT for __ experts = US\$ __ US\$ __ / bus / day for __ days = US\$ __	US\$ __
<b>Accommodation, Daily subsistence allowance</b> <ul style="list-style-type: none"> <li>• food</li> <li>• board</li> </ul>	US\$ __ / day for __ persons = US\$ __ US\$ __ / day for __ persons = US\$ __	US\$ __
<b>Equipment</b>	US\$ __ / unit for __ units =	US\$ __
<b>Reporting, evaluation and publication</b> <ul style="list-style-type: none"> <li>• reporting</li> <li>• evaluation</li> <li>• editing, layout</li> <li>• printing</li> <li>• distribution</li> <li>• other</li> </ul>	US\$ __ US\$ __ US\$ __ US\$ __ US\$ __ US\$ __	US\$ __
<b>Miscellaneous</b> <ul style="list-style-type: none"> <li>• visas</li> <li>• other</li> </ul>	US\$ __ for __ participants = US\$ __ US\$ __ for __ participants = US\$ __	US\$ __
<b>TOTAL</b>		US\$ __



**18. AGENCY(IES) RESPONSIBLE FOR THE IMPLEMENTATION OF THE ACTIVITY**

Please provide the name, title, address and all contact details of the person, agency(ies) who will be responsible for the implementation of the activity as well as those of any other participating agencies.

**19. SIGNATURE ON BEHALF OF STATE PARTY**

Full name .....

Title .....

Date .....





**EVALUATION CRITERIA OF THE ADVISORY BODIES  
FOR INTERNATIONAL ASSISTANCE REQUESTS**



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This Annex is under preparation





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# Rules of Procedure

Intergovernmental Committee for the Protection  
of the World Cultural and Natural Heritage

World Heritage Centre

The *Rules of Procedure* were last revised by the World Heritage Committee at its sixth extraordinary session (Paris, March 2003).

This document is also available at the following Web address:

<http://whc.unesco.org/en/committeerules> (*English*)

<http://whc.unesco.org/fr/comitereglement> (*French*)

(WHC-2004/WS/11)



# Intergovernmental Committee for the Protection of the World Cultural and Natural Heritage

Established under the *Convention concerning the Protection of the World Cultural and Natural Heritage* adopted by the General Conference of UNESCO at its seventeenth session on 16 November 1972.

## Rules of Procedure

Adopted by the Committee at its first session (Paris, 1977) and amended at its second, (Washington D.C., 1978), third (Luxor, 1979), twentieth (Mérida, 1996), twenty-fourth, (Cairns, 2000) and twenty-fifth (Helsinki, 2001) ordinary and sixth extraordinary (Paris, 2003) sessions.<sup>1, 2</sup>

All the terms used in these Rules to designate the person discharging duties or functions are to be interpreted as implying that men and women are equally eligible to fill any post or seat associated with the discharge of these duties and functions.<sup>3</sup>

- 
1. Amended by the Committee at its sixth extraordinary session (Paris, 2003).
  2. The revisions made to these Rules have resulted in a change to the numbering in certain sections and Rules. To trace the changes in the numbering see the report of the sixth extraordinary session (document *WHC-03/6 EXT. COM/8*, Annex II).
  3. Adopted by the Committee at its sixth extraordinary session (Paris, 2003). The Rules amended as a consequence are not mentioned in the footnotes.

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# I. Membership

## Rule 1. The World Heritage Committee

Convention Article 8.1 The Intergovernmental Committee for the Protection of the World Cultural and Natural Heritage called the World Heritage Committee and hereinafter referred to as the “Committee” is composed of the States Parties to the Convention elected in accordance with Article 8 of the *Convention concerning the Protection of the World Cultural and Natural Heritage* hereinafter referred to as the “*Convention*”.

# II. Sessions

## Rule 2. Ordinary and Extraordinary Sessions<sup>4</sup>

- 2.1 The Committee shall meet at least once per year in ordinary session.
- 2.2 The Committee shall meet in extraordinary session at the request of at least two-thirds of the States members.

## Rule 3. Convocation<sup>5</sup>

- 3.1 Sessions of the Committee shall be convened by the Chairperson of the Committee, hereinafter referred to as «the Chairperson», in consultation with the Director-General of UNESCO, hereinafter referred to as «the Director-General».
- 3.2 The Director-General shall notify the States members of the Committee, the date, place and provisional agenda of each session, not less than sixty days in advance in the case of an ordinary session and, if possible, not less than thirty days in advance in the case of an extraordinary session.
- 3.3 The Director-General shall, at the same time, notify to the States, organizations and individuals mentioned in Rules 6, 7 and 8, the date, place and provisional agenda of each session.

## Rule 4. Date and Place

- 4.1 The Committee shall determine at each session, in consultation with the Director-General, the date and the place of the next session. The date and/or place may be modified, if necessary, by the Bureau, in consultation with the Director-General.
- 4.2 Any State member of the Committee may invite the Committee to hold a session in its territory.

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4. Rule 2.1 amended by the Committee at its sixth extraordinary session (Paris, 2003).

5. Rule 3.1 amended by the Committee at its sixth extraordinary session (Paris, 2003).

- 4.3 In determining the place of the next session, the Committee shall give due regard to the need to ensure an equitable rotation among the different regions and cultures of the world.

### III. Participants

#### Rule 5. Delegations<sup>6</sup>

- 5.1 Each State member of the Committee shall be represented by one delegate, who may be assisted by alternates, advisers and experts.
- Convention Article 9.3 5.2 States members of the Committee shall choose as their representatives persons qualified in the field of cultural or natural heritage. They are strongly encouraged to include in their delegation persons qualified in both fields.
- 5.3 States members of the Committee shall transmit to the Secretariat in writing the names, designations and qualifications of their representatives.
- 5.4 In order to ensure a fair representation within the Committee of the various geographical and cultural areas, the Committee allocates in its budget a sum intended to cover the cost of participation, in its sessions and sessions of its Bureau, of representatives of developing countries, but only for persons who are experts in cultural or natural heritage. And, if the budget allows, representatives of developing countries who are non-members of the Committee may also be supported; their representatives too must be experts in cultural or natural heritage.
- 5.5 Requests for assistance to participate in the Bureau and Committee meetings should reach the Secretariat at least four weeks before the session concerned. These requests shall be considered within the resources available as decided by the Committee, in increasing order of GNP income per capita of each member of the Committee. In no event may the World Heritage Fund finance more than two representatives of each State member, who must in this case be one expert in the natural and one in the cultural heritage field. If financial resources permit, other requests for assistance to attend shall be considered.

#### Rule 6. Organizations attending in an advisory capacity<sup>7, 8</sup>

- Convention Article 8.3 A representative of the International Centre for the Study of the Preservation and Restoration of Cultural Property (ICCROM), a representative of the International Council on Monuments and Sites (ICOMOS) and a representative of the World Conservation

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6. Rule 5.2 amended and Rules 5.3, 5.4 and 5.5 adopted by the Committee at its sixth extraordinary session (Paris, 2003).

7. Not to confuse the Advisory Bodies (Article 8.3 of the *World Heritage Convention* and Rule 6 of the *Rules of Procedure* of the World Heritage Committee) with the consultative bodies (Article 10.3 of the *World Heritage Convention* and Rule 20 of the *Rules of Procedure* of the World Heritage Committee).

8. Rule 6 amended by the Committee at its sixth extraordinary session (Paris, 2003).

Union, formerly International Union for Conservation of Nature and Natural Resources (IUCN), to whom may be added, at the request of States Parties to the Convention meeting in General Assembly during the ordinary sessions of the General Conference of the United Nations Educational, Scientific and Cultural Organization, representatives of other intergovernmental or non-governmental organizations, with similar objectives, may attend the meetings of the Committee in an advisory capacity.

#### **Rule 7. Invitations for consultation<sup>9</sup>**

Convention Article 10.2 The Committee may at any time invite public or private organizations or individuals to participate in its sessions for consultation on particular problems.

#### **Rule 8. Observers<sup>10</sup>**

- 8.1 States Parties to the *Convention* which are not members of the Committee may attend the sessions of the Committee and its Bureau as observers. They shall be consulted by the Committee on all matters in respect of which consultation is prescribed by the *Convention*.
- 8.2 Non States Parties to the *Convention* who are Member States of UNESCO or of the United Nations may also be permitted by the Committee, upon written request, to attend the sessions of the Committee and its Bureau as observers.
- 8.3 The United Nations and organizations of the United Nations system, as well as, upon written request, other international governmental and non-governmental organizations, permanent observer missions to UNESCO and non profit-making institutions having activities in the fields covered by the *Convention*, may be authorized by the Committee to participate in the sessions of the Committee as observers.
- 8.4 The Director-General may provisionally invite any organization referred to in Rule 8.3 subject to subsequent confirmation by the Committee.

## **IV. Agenda**

#### **Rule 9. Provisional Agenda<sup>11</sup>**

Convention Article 14.2 9.1 The provisional agenda of the sessions of the Committee shall be prepared by the Director-General, utilizing to the fullest extent possible the services of the International Centre for the Study of the Preservation and the Restoration of Cultural

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9. Rule 7 amended by the Committee at its sixth extraordinary session (Paris, 2003).

10. Title and Rule 8.1 amended and Rule 8.2 adopted by the Committee at its sixth extraordinary session (Paris, 2003); Rule 8.3 amended by the Committee at its twenty-fifth ordinary session (Helsinki, 2001) and at its sixth extraordinary session (Paris, 2003).

11. Rule 9.1 amended by the Committee at its sixth extraordinary session (Paris, 2003).

Property (ICCROM), the International Council on Monuments and Sites (ICOMOS) and the World Conservation Union, formerly International Union for Conservation of Nature and Natural Resources (IUCN), in their respective areas of competence.

- 9.2 The provisional agenda of an ordinary session of the Committee shall include:
- (a) all questions, the inclusion of which has been decided by the Committee at previous sessions;
  - (b) all questions proposed by members of the Committee;
  - (c) all questions proposed by States Parties to the *Convention* not members of the Committee;
  - (d) all questions proposed by the Director-General.
- 9.3 The provisional agenda of an extraordinary session shall include only those questions for the consideration of which the session has been convened.

#### **Rule 10. Adoption of the Agenda**

The Committee shall adopt its agenda at the beginning of each session.

#### **Rule 11. Amendments, deletions and new items**

The Committee may amend, delete or add items to the agenda so adopted if so decided by a two-thirds majority of the members present and voting.

## **V. Bureau<sup>12</sup>**

#### **Rule 12. Bureau<sup>13</sup>**

12.1 The Bureau of the Committee shall consist of the Chairperson, the five Vice-Chairpersons and the Rapporteur. The Bureau shall co-ordinate the work of the Committee and fix the dates, hours and order of business of meetings. The Vice-Chairpersons and the Rapporteur shall assist the Chairperson in carrying out his duties.

12.2 The Bureau shall meet during the sessions of the Committee as frequently as deemed necessary.

#### **Rule 13. Elections<sup>14</sup>**

13.1 The Committee, at the end of each ordinary session, shall elect, from amongst those members whose term continues through the next ordinary session, a Chair-

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12. Title amended by the Committee at its sixth extraordinary session (Paris, 2003) with respect to the English version.

13. Rule 12.1 amended by the Committee at its second ordinary session (Washington D.C., 1978) and Rule 12.2 adopted by the Committee at its sixth extraordinary session (Paris, 2003).

14. Rule 13.1 amended by the Committee at its second (Washington D.C., 1978) and twentieth (Mérida 1996) ordinary sessions and at its sixth extraordinary session (Paris, 2003).



person, five Vice-Chairpersons and a Rapporteur who shall remain in office until the end of that session.

13.2 The Chairperson, the Vice-Chairpersons and the Rapporteur shall be eligible for immediate re-election for a second term of office.

13.3 In electing the Bureau, due regard shall be given to the need to ensure an equitable representation of the different regions and cultures of the world and a proper balance between the cultural and natural heritage as provided in the *Convention*.

#### **Rule 14. Duties of the Chairperson**

14.1 In addition to exercising the powers which are conferred upon him elsewhere by the present Rules, the Chairperson shall open and close each plenary meeting of the Committee. He shall direct the discussions, ensure observance of these Rules, accord the right to speak, put questions to the vote and announce decisions. He shall rule on points of order and, subject to the present Rules, shall control the proceedings and the maintenance of order. He shall not vote, but he may instruct another member of his delegation to vote on his behalf. He shall exercise all other functions given to him by the Committee.

14.2 A Vice-Chairperson acting as Chairperson shall have the same powers and duties as the Chairperson.

14.3 The Chairperson and Vice-Chairperson, or Vice-Chairpersons, of a subsidiary body of the Committee shall have the same duties with regard to the bodies over which they are called upon to preside as the Chairperson and the Vice-Chairpersons of the Committee.

#### **Rule 15. Replacement of Chairperson<sup>15</sup>**

15.1 If the Chairperson is unable to act at any session of the Committee or Bureau, or part thereof, his functions shall be exercised by a Vice-Chairperson, in the English alphabetical order of States members of the Bureau commencing with the country of the Chairperson.

15.2 If the Chairperson ceases to represent a State member of the Committee or is for any reason unable to complete his term of office, he is replaced by a Vice-Chairperson, in the English alphabetical order of States members of the Bureau commencing with the country of the Chairperson, for the remainder of the term of office.

15.3 The Chairperson shall abstain from exercising his functions for all issues relating to a property situated on the territory of the State Party of which he is a national.

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15. Rules 15.1 and 15.2 amended and Rule 15.3 adopted by the Committee at its sixth extraordinary session (Paris, 2003).

## **Rule 16. Replacement of the Rapporteur<sup>16</sup>**

- 16.1 If the Rapporteur is unable to act at any session of the Committee or Bureau, or part thereof, his functions shall be exercised by a Vice-Chairperson in the English alphabetical order of States members of the Bureau commencing with the country of the Rapporteur.
- 16.2 If the Rapporteur ceases to represent a State member of the Committee or if he is for any reason unable to complete his term of office, he is replaced by a Vice-Chairperson, in the English alphabetical order of States members of the Bureau commencing with the country of the Rapporteur, for the remainder of the term of office.

## **VI. Conduct of business**

### **Rule 17. Quorum**

- Convention Article 13.8 17.1 At plenary meetings, a quorum shall consist of a majority of the States members of the Committee.
- 17.2 At meetings of subsidiary bodies, a quorum shall consist of a majority of the States which are members of the bodies concerned.
- 17.3 Neither the Committee nor its subsidiary bodies shall decide on any matter unless a quorum is present.

### **Rule 18. Public meetings<sup>17</sup>**

Meetings shall be held in public unless decided otherwise by the Committee. This rule cannot be suspended by the Bureau.

### **Rule 19. Private meetings<sup>18</sup>**

- 19.1 When in exceptional circumstances, the Committee decides to hold a private meeting, it shall determine the persons who, in addition to the representatives of States members, shall be present.
- 19.2 Any decision taken by the Committee at a private meeting shall be presented in written form at a subsequent public meeting.
- 19.3 At each private meeting, the Committee shall decide whether the Summary Record and the working documents of that meeting shall be published. Documents

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16. Rules 16.1 and 16.2 adopted by the Committee at its third ordinary session (Luxor, 1979) and amended at its sixth extraordinary session (Paris, 2003)

17. Rule 18 amended by the Committee at its sixth extraordinary session (Paris, 2003)

18. Rules 19.2 and 19.3 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

resulting from private meetings shall be made public after a period of twenty years.

**Rule 20. Consultative bodies<sup>19</sup>**

- Convention Article 10.3 20.1 The Committee may create such consultative bodies as it deems necessary for the performance of its functions.
- 20.2 The composition and the terms of reference (including mandate and duration of office) of such consultative bodies shall be defined by the Committee at the time of their creation. These bodies can include States non members of the Committee.
- 20.3 The Committee shall also determine the extent to which the present Rules shall apply to any such consultative body.
- 20.4 Each consultative body shall elect its Chairperson and, if necessary, its Rapporteur.
- 20.5 In appointing members of consultative bodies, due regard shall be given to the need to ensure an equitable representation of the different regions and cultures of the world.

**Rule 21. Subsidiary bodies<sup>20</sup>**

- 21.1 The Committee may establish such subsidiary bodies as it deems necessary for the conduct of its work, within the limits of the technical facilities available.
- 21.2 The composition and the terms of reference (including mandate and duration of office) of such subsidiary bodies shall be defined by the Committee at the time of their creation. These bodies can only be constituted from amongst States members of the Committee.
- 21.3 These Rules shall be applicable *mutatis mutandis* to the subsidiary bodies, unless otherwise decided by the Committee.
- 21.4 Each subsidiary body shall itself elect its Chairperson and, if necessary, its Rapporteur.
- 21.5 In appointing members of subsidiary bodies, due regard shall be given to the need to ensure an equitable representation of the different regions and cultures of the world.

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19. Rules 20.2 and 20.3 amended by the Committee at its sixth extraordinary session (Paris, 2003) and Rule 20.5 adopted at the twenty-fourth ordinary session of the Committee (Cairns, 2000) and amended by the Committee at its sixth extraordinary session (Paris, 2003)

20. Rules 21.2 and 21.3 adopted and Rule 21.4 amended by the Committee at its sixth extraordinary session (Paris, 2003); Rule 21.5 adopted by the Committee at its twenty-fourth ordinary session (Cairns, 2000) and amended at its sixth extraordinary session (Paris, 2003)

## **Rule 22. Order and time-limit of speeches<sup>21</sup>**

22.1 The Chairperson shall call upon speakers in the order in which they signify their wish to speak.

22.2 The Chairperson may limit the time allowed to each speaker if the circumstances make this desirable.

22.3 The representatives of organizations, individuals and observers referred to in Rules 6, 7 and 8 may address the meeting with the prior consent of the Chairperson.

22.4 Representatives of a State Party, whether or not a member of the Committee, shall not speak to advocate the inclusion in the World Heritage List of a property nominated or the approval of an assistance request submitted by that State, but only to deal with a point of information in answer to a question. This provision also applies to other observers mentioned in Rule 8.

## **Rule 23. Text of proposals<sup>22</sup>**

At the request of any member of the Committee, supported by two other members, discussion of any substantive motion, resolution or amendment shall be suspended until the text is circulated in the working languages to all Committee members present.

## **Rule 24. Division of proposals<sup>23</sup>**

Part of a proposal shall be voted on separately if a member of the Committee requests that the proposal be divided.

## **Rule 25. Voting on amendments<sup>24</sup>**

25.1 When an amendment to a proposal is moved, the amendment shall be voted on first. When two or more amendments to a proposal are moved, the Committee shall first vote on the amendment deemed by the Chairperson to be furthest removed in substance from the original proposal, and then on the amendment next furthest removed therefrom and so on, until all the amendments have been put to the vote.

25.2 If one or more amendments are adopted, the amended proposal shall then be voted upon as a whole.

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21. Rules 22.1 and 22.2 amended and Rule 22.4 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

22. Title and Rule 23 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

23. Title and Rule 24 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

24. Rule 25.1 amended by the Committee at its sixth extraordinary session (Paris, 2003) with respect to the English version

25.3 A motion is considered an amendment to a proposal if it merely adds to, deletes from or revises part of that proposal.

#### **Rule 26. Voting on proposals**

If two or more proposals relate to the same question, the Committee shall, unless it decides otherwise, vote on the proposals in the order in which they have been submitted. The Committee may, after each vote on a proposal, decide whether to vote on the next proposal.

#### **Rule 27. Withdrawal of proposals**

A proposal may be withdrawn by its proposer at any time before voting on it has begun, provided that the proposal has not been amended. A proposal withdrawn may be reintroduced by any State member of the Committee.

#### **Rule 28. Points of order<sup>25</sup>**

28.1 During a discussion, any State member may raise a point of order; such point of order shall be immediately decided upon by the Chairperson.

28.2 An appeal may be made against the ruling of the Chairperson.-Such appeal shall be put to the vote immediately and the Chairperson's ruling shall stand unless overruled.

#### **Rule 29. Procedural motions<sup>26</sup>**

During the discussion on any matter, a member of the Committee may propose a procedural motion: suspension or adjournment of the meeting, adjournment of the debate or closure of the debate.

#### **Rule 30. Suspension or adjournment of the meeting**

During the discussion of any matter, any State member of the Committee may move the suspension or adjournment of the meeting. Such motions shall not be debated but shall be immediately put to the vote.

#### **Rule 31. Adjournment of debate**

During the discussion of any matter, any State member of the Committee may move the adjournment of the debate on the item under discussion. On moving the adjournment the State member shall indicate whether he moves the adjournment *sine die* or

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25. Rule 28.1 amended and Rule 28.2 (with respect to the English version) amended by the Committee at its sixth extraordinary session (Paris, 2003)

26. Title and Rule 29 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

to a particular time which he shall specify. In addition to the proposer of the motion, one speaker may speak in favour of, and one against, the motion.

### **Rule 32. Closure of debate**

A State member of the Committee may at any time move the closure of the debate, whether or not any other speaker has signified his wish to take part in the discussion. If application is made for permission to speak against the closure, it may be accorded to not more than two speakers. The Chairperson shall then put to the vote the motion for closure and, if the Committee is in favour of the motion, he shall declare the closure of the debate.

### **Rule 33. Order of procedural motions**

Subject to Rule 28 the following motions shall have precedence in the following order over all other proposals or motions before the meeting:

- (a) suspension of the meeting;
- (b) adjournment of the meeting;
- (c) adjournment of the debate on the question under discussion;
- (d) closure of the debate on the question under discussion.

### **Rule 34. Decisions<sup>27</sup>**

34.1 The Committee shall adopt such decisions and recommendations as it may deem appropriate.

34.2 The text of each decision shall be adopted at the end of the discussion of the agenda item.

## **VII. Voting<sup>28</sup>**

### **Rule 35. Voting rights<sup>29</sup>**

Each State member of the Committee shall have one vote in the Committee.

### **Rule 36. Conduct during voting<sup>30</sup>**

After the Chairperson has announced the beginning of voting, no one shall interrupt the voting except on a point of order in connection with the actual conduct of the voting.

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27. Title amended and Rule 34.2 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

28. Title adopted by the Committee at its sixth extraordinary session (Paris, 2003)

29. Title and Rule 35 amended by the Committee at its sixth extraordinary session (Paris, 2003)

30. Title and Rule 36 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

**Rule 37. Two-thirds majority<sup>31</sup>**

Convention Article 13.8 Decisions of the Committee on matters covered by the provisions of the Convention shall be taken by a majority of two-thirds of its members present and voting.

**Rule 38. Simple majority<sup>32</sup>**

38.1 Except where otherwise specified in the present Rules, all other decisions of the Committee shall be taken by a majority of the States members present and voting.

38.2 Decisions as to whether a particular matter is covered by the provisions of the *Convention* and decisions on any other matters not covered by the present Rules shall be taken by a majority of the States members present and voting.

**Rule 39. Counting of votes<sup>33</sup>**

For the purpose of the present Rules, the expression “States members present and voting” shall mean States members casting an affirmative or negative vote. States members abstaining from voting shall be regarded as not voting.

**Rule 40. Show of hands<sup>34</sup>**

40.1 Voting shall normally be by a show of hands.

40.2 When the result of a vote by a show of hands is in doubt, the Chairperson may take a second vote by a roll-call.

40.3 A vote by a roll-call shall also be taken if it is requested by not less than two States members before the voting takes place.

**Rule 41. Secret ballot<sup>35</sup>**

A decision shall be voted on by secret ballot whenever two or more States members shall so request or if the Chairperson so decides.

**Rule 42. Conduct of voting by secret ballot<sup>36</sup>**

42.1 Before the vote begins, the Chairperson shall appoint two tellers from amongst the Delegations of the members of the Committee to scrutinize the votes cast.

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31. Title adopted by the Committee at its sixth extraordinary session (Paris, 2003)

32. Title adopted by the Committee at its sixth extraordinary session (Paris, 2003)

33. Title adopted by the Committee at its sixth extraordinary session (Paris, 2003)

34. Title adopted and Rule 40.2 amended (with respect to the English version) by the Committee at its sixth extraordinary session (Paris, 2003)

35. Title adopted by the Committee at its sixth extraordinary session (Paris, 2003)

36. Title and Rules 42.1 and 42.2 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

42.2 When the counting of the votes is completed and the tellers have reported to the Chairperson, he shall announce the results of the ballot bearing in mind that the voting will be recorded as follows:

From the total number of members of the Committee will be deducted:

- (a) the number of members absent, if any;
- (b) the number of blank ballot papers, if any;
- (c) the number of invalid ballot papers, if any.

The remaining number will constitute the number of votes recorded.

## VIII. Secretariat of the Committee

### Rule 43. The Secretariat<sup>37</sup>

Convention Article 14.1 43.1 The Committee shall be assisted by a Secretariat appointed by the Director-General.

43.2 The Director-General, utilizing to the fullest extent possible the services of the International Centre for the Study of the Preservation and the Restoration of Cultural Property (ICCROM), the International Council of Monuments and Sites (ICOMOS) and the World Conservation Union, formerly International Union for Conservation of Nature and Natural Resources (IUCN), in their respective areas of competence and capability, shall prepare the Committee's documentation and shall have the responsibility for the implementation of its decisions.

43.3 The Director-General or his representative shall participate in the work of the Committee, the consultative and subsidiary bodies without the right to vote. He may at any time make either oral or written statements on any question under consideration.

43.4 The Director-General shall appoint an official of the Secretariat of UNESCO to act as Secretary to the Committee, and other officials who shall together constitute the Secretariat of the Committee.

43.5 The Secretariat shall receive, translate and distribute all official documents of the Committee and shall arrange for the interpretation of the discussions, as provided in Rule 44.

43.6 The Secretariat shall perform all other duties necessary for the proper conduct of the work of the Committee.

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37. Rule 43.2 amended by the Committee at its sixth extraordinary session (Paris, 2003)



## IX. Working languages and reports<sup>38</sup>

### **Rule 44. Working languages<sup>39</sup>**

- 44.1 The working languages of the Committee shall be English and French. When the conditions permit, the official languages recognized by the United Nations may be used as well as the working languages.
- 44.2 Interventions made at a meeting of the Committee in one of the working languages shall be interpreted into the other language.
- 44.3 Speakers may, however, speak in any other language, provided they make their own arrangements for interpretation of their speeches into one of the working languages.
- 44.4 The documents of the Committee shall be issued simultaneously in English and French. When the conditions permit, they shall also be issued in the official languages recognized by the United Nations.

### **Rule 45. Deadline for distribution of documents<sup>40</sup>**

The documents relating to the items on the Provisional Agenda of each session of the Committee shall be distributed at the latest six weeks before the beginning of the session in the two working languages to the Members of the Committee, and to the International Center for the Study of the Preservation and the Restoration of Cultural Property (ICCROM), the International Council on Monuments and Sites (ICOMOS) and the World Conservation Union, formerly International Union for Conservation of Nature and Natural Resources (IUCN), as well as to all other organizations invited to the session. They shall also be made available to States Parties non members of the Committee in electronic format.

### **Rule 46. Reports of the Session<sup>41</sup>**

At the closure of each session, the Committee shall adopt its report of the session comprising a list of Decisions. This shall be published in the month that follows the closure of the said session.

### **Rule 47. Summary Record<sup>42</sup>**

- 47.1 The Secretariat shall prepare a Summary Record of all the interventions made during the Plenary session of the Committee. A provisional text of the Summary

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38. Title amended by the Committee at its sixth extraordinary session (Paris, 2003)

39. Rules 44.1, 44.2 and 44.4 amended by the Committee at its sixth extraordinary session (Paris, 2003)

40. Title and Rule 45 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

41. Title and Rule 46 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

42. Title and Rules 47.1 and 47.2 adopted by the Committee at its sixth extraordinary session (Paris, 2003)

Record, which is not intended to be published, shall be submitted as soon as possible to the Members of the Committee and the representatives of organizations, individuals and observers mentioned in Rules 6, 7 and 8 so that they may indicate any corrections to their own statements.

47.2 The final version of the Summary Record shall be published as an information document within three months following the closure of the said session.

**Rule 48. Communication of the documentation<sup>43</sup>**

The Reports of the sessions, the Summary Records and all final documents, once published, shall be transmitted to the States members of the Committee, and to the International Centre for the Study of the Preservation and the Restoration of Cultural Property (ICCROM), the International Council on Monuments and Sites (ICOMOS) and the World Conservation Union, formerly International Union for Conservation of Nature and Natural Resources (IUCN), as well as to all other organizations invited to the session.

**Rule 49. Reports to the General Assembly of States Parties and to the UNESCO General Conference<sup>44</sup>**

Convention Article 29.3 49.1 The Committee shall submit a report on its activities at each General Assembly of States Parties and at each of the ordinary sessions of the General Conference of UNESCO.

49.2 The Committee may authorize its Chairperson to submit these reports on its behalf.

49.3 Copies of these reports shall be sent to all States Parties to the *Convention*.

## X. Adoption, amendment and suspension of the Rules of Procedure

**Rule 50. Adoption**

Convention Articles 10.1 & 13.8 The Committee shall adopt its Rules of Procedure by a decision taken in plenary meeting by a two-thirds majority of the States members present and voting.

**Rule 51. Amendment**

The Committee may amend these Rules of Procedure except when they reproduce provisions of the *Convention* by a decision taken in plenary meeting by a two-thirds

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43. Rule 48 amended by the Committee at its sixth extraordinary session (Paris, 2003)

44. Title and Rules 49.1, 49.2 and 49.3 amended by the Committee at its sixth extraordinary session (Paris, 2003)

majority of the States members present and voting, provided the proposal has been included in the agenda of the session in accordance with Rules 9 and 10.

**Rule 52. Suspension**

The Committee may suspend the application of any of these Rules, except when they reproduce provisions of the *Convention*, by a decision taken in plenary meeting by a two-thirds majority of the States members present and voting.

# Rules of Procedure

## General Assembly of States Parties to the Convention concerning the Protection of the World Cultural and Natural Heritage<sup>1</sup>

World Heritage Centre

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1. Adopted by the Second General Assembly of States Parties (Paris, 24 November 1978) and amended at the Tenth General Assembly of States Parties (Paris, 2-3 November 1995), Thirteenth General Assembly of States Parties (Paris, 30-31 October 2001) and Fourteenth General Assembly of States Parties (Paris, 14-15 October 2003). Articles 13.6 and 13.7 concerning the voting papers have been aligned with Article 13.4, amended by the Tenth General Assembly of States Parties (2-3 November 1995), in accordance with the decision of the Bureau of the World Heritage Committee at its 23rd session (5-10 July 1999).

This document is also available at the following Web address:

<http://whc.unesco.org/en/garules> (*English*)

<http://whc.unesco.org/fr/agreglement> (*French*)

(WHC-2004/WS/1)

# I. Participation

## Rule 1. Chief participants

The representatives of all States Parties to the *Convention concerning the Protection of the World Cultural and Natural Heritage*, adopted by the General Conference on 16 November 1972, may take part, with the right to vote, in the work of the Assembly.

## Rule 2. Representatives and observers

- 2.1 The representatives of Member States of UNESCO not parties to the *Convention concerning the Protection of the World Cultural and Natural Heritage* and permanent observer missions to UNESCO may participate in the work of the Assembly as observers, without the right to vote, and subject to Rule 7.3.
- 2.2 Representatives of the United Nations and organizations of the United Nations system and other intergovernmental organizations which have concluded mutual representation agreements with UNESCO, as well as observers of intergovernmental and international non-governmental organizations invited by the Director-General, may participate in the work of the Assembly, without the right to vote, and subject to Rule 7.3.

# II. Organization of the Assembly

## Rule 3. Election of officers

The Assembly shall elect a Chairperson, one or more Vice-Chairpersons and a Rapporteur.

# III. Conduct of business

## Rule 4. Duties of the Chairperson

- 4.1 In addition to exercising the powers which are conferred upon him elsewhere by the present Rules, the Chairperson shall open and close each plenary meeting of the Assembly. He/She shall direct the discussions, ensure observance of these Rules, accord the right to speak, put questions to the vote and announce decisions. He/She shall rule on points of order and, subject to the present Rules, shall control the proceedings and the maintenance of order. He/She shall not vote, but he/she may instruct another member of his/her delegation to vote on his/her behalf.
- 4.2 Should the Chairperson be absent during a meeting, or any part thereof, he/she shall be replaced by the Vice-Chairperson or one of the Vice-Chairperson. A Vice-Chairperson acting as Chairperson shall have the same powers and duties as the Chairperson.

## **Rule 5. Public nature of meetings**

Meetings shall be held in public unless decided otherwise by the Assembly.

## **Rule 6. Quorum**

6.1 A quorum shall consist of a majority of the States referred to in Rule 1 and represented at the Assembly.

6.2 The Assembly shall not decide on any matter unless a quorum is present.

## **Rule 7. Order and time-limit of speakers**

7.1 The Chairperson shall call upon speakers in the order in which they signify their wish to speak.

7.2 For the convenience of the discussion, the Chairperson may limit the time to be allowed to each speaker.

7.3 The consent of the Chairperson must be obtained whenever an observer wishes to address the Assembly.

## **Rule 8. Points of order**

8.1 During a discussion, any delegation may raise a point of order; such a point of order shall be immediately decided upon by the presiding officer.

8.2 An appeal may be made against the ruling of the presiding officer. Such an appeal shall be put to the vote immediately and the presiding officer's ruling shall stand, unless overruled by a majority of the delegations present and voting.

## **Rule 9. Procedural motions**

9.1 During a discussion, any delegation may move the suspension or adjournment of the meeting or the adjournment or closure of the debate.

9.2 Such a motion shall be put to the vote immediately. Subject to Rule 8.1, such motions shall have precedence in the following order over all other proposals or motions before the meeting:

- (a) suspension of the meeting;
- (b) adjournment of the meeting;
- (c) adjournment of the debate on the question under discussion;
- (d) closure of the debate on the question under discussion.

## **Rule 10. Working languages**

- 10.1 The working languages of the Assembly shall be Arabic, Chinese, English, French, Russian and Spanish.
- 10.2 Speeches made at the Assembly in one of the working languages shall be interpreted into the other languages.

## **Rule 11. Resolutions and amendments**

- 11.1 Draft resolutions and amendments may be proposed by the participants referred to in Rule 1 and shall be transmitted in writing to the Secretariat of the Assembly, which shall circulate copies to all participants.
- 11.2 As a general rule, no draft resolution or amendment shall be discussed or put to the vote unless it has been circulated sufficiently in advance to all participants in the working languages of the Assembly.

## **Rule 12. Voting**

- 12.1 The representative of each State referred to in Rule 1 shall have one vote in the Assembly.
- 12.2 Subject to the provisions of Rules 6.2 and 16, decisions shall be taken by a majority of the States present and voting, except for the provisions of Rule 12.3.
- 12.3 The decision concerning the amount of the contributions, in the form of a uniform percentage applicable to all States which have not made the declaration referred to in paragraph 2 of Article 16 of the *Convention*, shall be determined by a majority vote of the States Parties present and voting. This decision of the General Assembly requires the majority of the States Parties present and voting which have not made the above-mentioned declaration.
- 12.4 For the purpose of the present Rules, the expression “States present and voting” shall mean States casting an affirmative or negative vote. States abstaining from voting shall be regarded as having not voted.
- 12.5 Voting shall normally be by a show of hands.
- 12.6 When the result of a vote by show of hands is in doubt, the presiding officer may take a second vote by a roll-call. A vote by roll-call shall also be taken if it is requested by not less than two delegations before the voting takes place and for the decision mentioned in Rule 12.3.
- 12.7 When an amendment to a proposal is moved, the amendment shall be voted on first. When two or more amendments to a proposal are moved, the Assembly shall first vote on the amendment deemed by the presiding officer to be furthest removed in substance from the original proposal, and then on the amendment next furthest removed there from and so on, until all the amendments have been put to the vote.



- 12.8 If one or more amendments are adopted, the amended proposal shall then be voted upon as a whole.
- 12.9 A motion is considered an amendment to a proposal if it merely adds to, deletes from or revises part of that proposal.

### **Rule 13. Procedures for the presentation of candidatures to the World Heritage Committee**

- 13.1 The Secretariat shall ask all States Parties, at least three months prior to the opening of the General Assembly, whether they intend to stand for election to the World Heritage Committee. If so, its candidature should be sent to the Secretariat at least six weeks prior to the opening of the General Assembly.
- 13.2 At least four weeks prior to the opening of the General Assembly the Secretariat shall send to all States Parties the provisional list of States Parties candidates. The Secretariat will also provide information on the status of all compulsory and voluntary contributions to the World Heritage Fund made by each of the candidates. This list of candidatures will be revised as necessary.
- 13.3 This list of candidatures shall be finalised 48 hours before the opening of the General Assembly. No other candidatures nor payments of compulsory and voluntary contributions to the World Heritage Fund (for the purpose of presenting a candidature to the Committee) will be accepted in the 48-hour period prior to the opening of the General Assembly.

### **Rule 14. Election of members of the World Heritage Committee**

- 14.1 The election of members of the World Heritage Committee shall be conducted by secret ballot whenever five or more delegations having the right to vote so request, or if the Chairperson so decides.

A certain number of seats may be reserved for State Parties who do not have sites on the World Heritage List, upon decision of the World Heritage Committee at the session that precedes the General Assembly. Such a ballot for reserved seats would precede the open ballot for the remaining seats to be filled. Unsuccessful candidates in the reserved ballot would be eligible to stand in the open ballot.

- 14.2 Before the election begins, the Chairperson shall appoint two tellers from among the delegates present; he/she shall hand to them the list of States entitled to vote and the list of States candidates. He/She shall announce the number of seats to be filled.
- 14.3 The Secretariat shall distribute to the delegations a voting paper in the form of a list of all the States which are candidates.
- 14.4 Each delegation shall cast its vote by encircling the names of those States for which it desires to vote.

- 14.5 The tellers shall collect from each delegation their voting paper and shall proceed to count the votes, under the supervision of the Chairperson.
- 14.6 Voting papers on which all names of States have been circled shall be considered to be abstentions.
- 14.7 Voting papers on which more names have been circled than there are seats to be filled shall be considered invalid.
- 14.8 Those States obtaining in the first ballot the required majority shall be elected, unless the number of States obtaining that majority is greater than the number of seats to be filled. In that case, the States obtaining the greatest number of votes, up to the number of seats to be filled, shall be declared elected. If the number of States obtaining the majority required is less than the number of seats to be filled, there shall be a second ballot. If the number of States obtaining the majority required is still less than the number of seats to be filled there shall be a third and, if necessary a fourth ballot, to fill the remaining seats. For the third and fourth ballots, the voting shall be restricted to the States obtaining the greatest number of votes in the previous ballot, up to a number twice that of the seats remaining to be filled.
- 14.9 After the fourth ballot, the candidates obtaining to the greatest number of votes, up to the number of seats to be filled, shall be declared elected.
- 14.10 If, in the fifth ballot, two or more candidates obtain the same number of votes, the Chairperson shall decide between them by drawing lots.
- 14.11 The Chairperson shall announce the results of the election.

## IV. Secretariat of the meeting

### Rule 15. Secretariat

- 15.1 The Director-General of UNESCO or his/her representative shall participate in the work of the Assembly, without the right to vote. He/She may, at any time, make either oral or written statements to the Assembly on any question under discussion.
- 15.2 The Director-General of UNESCO shall appoint an official of the Secretariat of UNESCO to act as Secretary to the Assembly, and other officials who shall together constitute the Secretariat of the Assembly.
- 15.3 The Secretariat shall receive, translate and distribute all official documents of the Committee and shall arrange for the interpretation of the discussions, as provided in Rule 10. It shall also perform all other duties necessary for the proper conduct of the work of the Assembly.

## V. Adoption and amendment of the rules of procedure

### **Rule 16. Adoption**

The Assembly shall adopt its Rules of Procedure by a decision taken in plenary meeting by a simple majority of the representatives of States present and voting.

### **Rule 17. Amendment**

The Assembly may amend these Rules of Procedure by a decision taken in plenary meeting by a two-thirds majority of the representatives of States present and voting.

# Financial Regulations for the World Heritage Fund

World Heritage Centre

This document is also available at the following Web address:

<http://whc.unesco.org/en/financialregulations> (*English*)

<http://whc.unesco.org/fr/regulationsfinancieres> (*French*)

# Financial Regulations for the World Heritage Fund

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Article 15 of the *Convention concerning the Protection of the World Cultural and Natural Heritage* (hereinafter referred to as “the *Convention*”), establishes a Fund, called “the World Heritage Fund” hereinafter referred to as “the Fund” which is to constitute a trust fund, in conformity with the provisions of the Financial Regulations of UNESCO. Consequently, in accordance with Regulation 6.7 of the Financial Regulations of the Organization, the Director-General has established the following special financial regulations to govern the operations of this Fund.

## 1. Purpose of the Fund

1.1. The purpose of this Fund shall be to receive contributions from the sources indicated in 3.1 below and to make payments there from to assist in the protection of properties forming part of the World Cultural and Natural Heritage of Outstanding Universal Value in accordance with the terms of the *Convention* and of the present Regulations.

## 2. Financial period of the Fund

2.1. The financial period shall be two consecutive calendar years coinciding with the financial period of the Regular Budget of UNESCO.

## 3. Provision of funds

3.1. The resources of the Fund shall consist of:

- (a) contributions made by the States Parties to the *Convention*, in accordance with its Article 16;
- (b) contributions, gifts or bequests which may be made by:
  - (i) other States;
  - (ii) the United Nations Educational Scientific and Cultural Organization, other organizations of the United Nations system, particularly the United Nations Development Programme or other intergovernmental organizations;
  - (iii) public or private bodies or individuals;
- (c) any interest due on the resources of the Fund;
- (d) funds raised by collections and receipts from events organized for the benefit of the Fund;  
and
- (e) any other resources acceptable to the World Heritage Committee.

- 3.2. As stipulated in Article 16 of the *Convention*, the contributions of States which have not made the declaration referred to in paragraph 2 of that Article shall be made according to the scale of assessments determined every two years by the General Assembly of States Parties to the *Convention*.
- 3.3. The method and the procedure for the payment of all contributions of States Parties are those determined by the first General Assembly of States Parties to the *Convention*.

#### **4. Expenditure**

- 4.1. The resources of the Fund may be used only for such purposes as the World Heritage Committee shall define and may take the following form:
  - (a) studies concerning the artistic, scientific and technical problems raised by the protection, conservation, presentation and rehabilitation of the cultural and natural heritage, as defined under the terms of the *Convention*;
  - (b) provision of experts, technicians and skilled labour to ensure that the approved work is correctly carried out;
  - (c) training of staff and specialists at all levels in the field of identification, protection, conservation, presentation and rehabilitation of the cultural and natural heritage;
  - (d) supply of equipment which the State concerned does not possess or is not in a position to acquire;
  - (e) low-interest or interest-free loans which might be repayable on a long-term basis;
  - (f) the granting, in exceptional cases and for special reasons, of non-repayable subsidies.
- 4.2. Expenditure shall be made within the limits of funds available.
- 4.3. The undisposed balance of the Fund may be carried forward from one financial period to the next.

#### **5. Reserve Fund**

- 5.1. There shall be established a reserve fund to meet requests for assistance resulting from disasters or natural calamities, as foreseen under Article 21(2) of the *Convention*. The amount of the reserve fund which shall be credited with transfers from “the World Heritage Fund” shall be determined from time to time by the Director-General.

#### **6. Accounts**

- 6.1. The Director-General shall maintain such accounting records as are necessary and shall include in his annual financial report accounts showing for the financial period to which they relate the receipts and disbursements of the Fund.

- 6.2. The annual accounts of the Fund shall be presented in dollars of the United States of America. Accounting records may, however, be kept in such currency or currencies as the Director-General may deem necessary.
- 6.3. The annual accounts shall be submitted by the Director-General for audit to the External Auditor of UNESCO.
- 6.4. The accounts shall be submitted by the Director-General to the General Assembly of States Parties to the *Convention*.

## **7. Custody of Funds**

- 7.1. The Director-General shall designate the bank or banks in which the resources of the Fund shall be kept.

## **8. Investment of Funds**

- 8.1. The Director-General may make short-term investment of sums standing to the credit of the Fund.
- 8.2. Interest earned on these investments shall be credited to the Fund.

## **9. General Provisions**

- 9.1. Except as provided for in the above Regulations, the Fund shall be administered in accordance with the Financial Regulations of UNESCO