Knowing our Lands and Resources is a compendium of knowledge, practices and worldviews of indigenous peoples and local communities across Asia. It demonstrates the essential contribution that indigenous and local knowledge holders make to assessments of biodiversity and ecosystem services.

The papers in this volume have been prepared for the Author team of the IPBES assessment of biodiversity and ecosystem services for Asia. The objective is to assist the Author team with their task of grounding the Asia assessment in both science and indigenous and local knowledge (ILK). The papers complement existing sources of ILK in the scientific and grey literature, and contribute relevant ILK that might not otherwise be available to the assessment process.

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Knowing our Lands and Resources
Indigenous and Local Knowledge and Practices related to Biodiversity and Ecosystem Services in Asia

▶ Edited by:
Madhav Karki, Rosemary Hill, Dayuan Xue, Wilfredo Alangui, Kaoru Ichikawa and Peter Bridgewater

▶ Organized by:
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ANNEXES

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The Intergovernmental Platform on Biodiversity and Ecosystem Services (IPBES) includes the following commitment as one of its operating principles:

*Recognize and respect the contribution of indigenous and local knowledge to the conservation and sustainable use of biodiversity and ecosystems.*

UNEP/IPBES.MI/2/9, Appendix 1, para. 2 (d)

To spearhead its work on this challenging objective, the IPBES Plenary created a task force on indigenous and local knowledge systems (ILK) at its Second Meeting.

The present document is a contribution towards the IPBES regional assessment for Asia and the Pacific. Its aim is two-fold:

- To assist the co-chairs, co-ordinating lead authors, and lead authors of the regional assessment by facilitating their access to indigenous and local knowledge relevant to the assessment theme.
- To pilot the initial approaches and procedures for building ILK into IPBES assessments that are under development by the ILK task force in order to test their efficacy and improve the final ILK approaches and procedures.

To meet these two objectives in the framework of the regional assessment for Asia and the Pacific, the task force on ILK implemented a step-wise process including:

- A global call for submissions on ILK related to biodiversity and ecosystem services in Asia and the Pacific;
- Selection of the most relevant submissions from ILK holders and ILK experts, taking into account geographical representation, representation of diverse knowledge systems and gender balance;
- Organization of the Asia-Pacific Dialogue Workshop (Chiang Mai, Thailand, 26–28 June 2016) to bring together the selected ILK holders, ILK experts and experts on ILK with the co-chairs and several authors of the IPBES assessment report;
- Development of proceedings from the Asia-Pacific Dialogue workshop; and
- Organization of local follow-up work sessions by the selected ILK holders, ILK experts and experts on ILK in order to work with their communities to address additional questions and gaps identified by authors at the Chiang Mai workshop.

In addition, the Institute for Global Environmental Strategies (IGES), with support from the Japan Biodiversity Fund, organized sub-regional dialogue workshops for South and West Asia, South-East and North-East Asia and for the Pacific. Participants at the sub-regional workshop for South and West Asia (29 November – 2 December 2016, Dhulikhel (Kathmandu), Nepal) have also contributed papers to this volume.

These contributions from the Asia-Pacific Dialogue Workshop and its various follow-up meetings, including the sub-regional workshop organized by IPBES, provide a compendium of ILK about biodiversity and ecosystem services in Asia and the Pacific that might not otherwise be available to the authors of the assessment. It complements the existing body of ILK on biodiversity in Asia and the Pacific that the authors are able to access from scientific and grey literature.
1. Threats and challenges to ensuring the requirement of biodiversity and ecosystem services for the indigenous begnas ritual system of Sagada, Northern Philippines

Danesto B. ANACIO
Indigenous person (northern Kankana-ey and Ibaloi ethnolinguistic groups)
School of Environmental Science and Management, University of the Philippines Los Banos, Laguna, Philippines – 4031

1.1. Introduction

1.1.1. The environment and culture nexus

Environmental conditions result from complex interactions between human populations and their immediate physical landscape (Tengberg et al., 2012). Nature is always part of culture, and analysing ecological issues should not only account for ecosystem structures but also for the cultures of people residing within, and interacting with, the ecosystem (Wilson, 1992). Through a holistic and systemic lens of viewing the environment with its human inhabitants, one can observe and recognise culture-environment dynamics that arise from human interactions with ecosystem structures; relationships between ecosystem functions and social structures; and energy flows between and among humans and other organisms (Rambo, 1983). People are inextricably bound to nature, and the ecological quality of the environment and the quality of human life are largely one and the same through time and space (Linehan and Gross, 1998).

Indigenous peoples are critical when considering environmental conditions since they have synthesised knowledge systems through many years of coexistence with nature. As a result, indigenous communities appear to have distinct environmental and cultural characteristics associated with high levels of environmental and cultural sustainability (Takeuchi, 2010). At the core of sustaining indigenous resources are culture-bound systems which regulate the provision of necessary and essential ecosystem goods and services (Satoyama Initiative, 2010). For example, traditional and established upland agro-ecosystems have high degrees of sustainability due to the use of indigenous techniques that maintain soil fertility and reduce crop pests and diseases. Furthermore, traditional land tenure and social practices ensure that productivity is equitably distributed among community members. In general, the sustainability, productivity, resilience and stability of socio-ecological ecosystems usually found in indigenous and rural communities are dependent on the cultural values exhibited by its indigenous peoples (Conway, 1986).

In the Philippines, the Cordillera Administrative Region (CAR), an area with a number of indigenous groups, warrants research for a greater understanding of the complex cultural
and environmental interactions. Modernisation and globalisation have inevitably introduced changes to the traditional practices of highland indigenous peoples and an analysis of their repercussions is useful for understanding current and future trajectories. Buguias municipality in Benguet province, CAR, for example, presents a ‘stratified, demographically dynamic community with a highly entrepreneurial ethos, engaging in chemical-intensive commercial production, in typhoon-prone tropical upland with a deeply weathered bedrock mantle’, just one aspect of the many culture-environment issues in the region (Lewis, 1992, p. 247). In the municipality of Banaue, Ifugao, CAR, notable impacts on the socio-ecological system are occurring: disasters enhanced by nature, such as typhoons, landslides, and earthquakes; integration into the market economy and increasing trade; uncoordinated development of tourism (e.g. conversion of rice terraces for hotel or restaurant construction); and the intentional or unintended introduction of invasive alien species (Martin et al., 1998; Castonguay et al., 2016). In general, as indigenous peoples in the Cordillera weave traditional and modern cultures and technologies to adapt to and capitalise on current developments, some unfavourable outcomes have been inevitable.

1.1.2. Sagada, northern Philippines and the begnas ritual system

One of the municipalities of CAR is Sagada (Figure 1.1), Mt. Province, which is inhabited by the northern Kankana-ey, an indigenous ethno-linguistic group, which also occupies a great portion of Mt. Province. It has a total land area of 9,969 hectares, of which around 99.3% is classified by national law as forest and public land, with an elevation range of 1,313 to 2,318 metres above sea level (masl). Sagada is situated in a Type 1 climatic zone, having two pronounced seasons: dry season from November to April and the wet season from May to October.

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1 ‘Ethno-linguistic group’ is used in this paper to define a particular ethnic group and its common language. There are numerous ethno-linguistic groups in the Philippines, a majority of which are indigenous peoples.

2 There are four climate types in the country as identified by the Philippine Atmospheric, Geophysical and Astronomical Services Administration (PAGASA). Type 1: Two pronounced seasons: dry from November to April and wet during the rest of the year. Type 2: No dry season with pronounced rainfall from November to January. Type 3: Seasons are not very pronounced, relatively dry from November to April, and wet during the rest of the year. Type 4: Rainfall is more or less evenly distributed throughout the year.
Sagada’s rich tradition, culture and social arrangements have allowed harmonious interactions with the landscapes, including various uses of inherent ecosystem services provided by the mountainous terrain and pine (*Pinus kesiya* Royle ex Gordon) dominated forests. Here the *begnas* (*bəgnas*) ritual system is analysed to deepen appreciation for the role of the natural environment in the lives of the Sagada people. The *begnas* synchronises planting and harvesting activities through a system of rituals that require the participation and cooperation of community members. Invocations in the various rituals seek blessings from indigenous deities, mainly *Kabunian*, and the spirits of ancestors. Specifically, this paper aims to: (a) document the indigenous *begnas* ritual in the context of biodiversity and ecosystem services, and (b) describe threats and challenges for ensuring the practice of the ritual system amidst Sagada’s developing landscape.

1.2. Methodology

1.2.1. Data sources

Key informants have been pooled from elderly men and women, farmers, municipal officials, hotel and restaurant owners, and the people of Sagada in general (including the author), who were familiar with various indigenous rituals and related practices. Individuals who work with issues and concerns regarding Sagada culture-environment nexus, specifically factors which may affect the practice of *begnas*, were also consulted.

1.2.2. Field methods

Participatory observation, key informant interviews, focus groups, meetings, and a reflexive involvement with daily events, gossip, meetings, and other day-to-day activities in Sagada were used. Note-taking and synthesis of interesting events were recorded in a journal, aided by audio and video recordings, as well as still photographs. Data gathering has been conducted since October 2015 until October 2016.
1.2.3. Data validation

As a native of the municipality, Mr Anacio is knowledgeable of the language, local customs and traditions, geography, and other necessary conditions and circumstances that would otherwise encumber data analysis, validation and interpretation. Additionally, triangulation through the comparison of personally written notes, secondary sources, key informant resources and primary observation has been applied to gathered data.

Data were validated together with key informants in order to clarify and rectify gaps and misinterpretations. On 8 and 9 October 2016, a meeting and workshop on the begnas ritual system was conducted at the Sagada Municipal Hall library and multi-purpose hall. A total of 40 participants from Sagada knowledgeable in indigenous rituals and graduate students of environmental science from the University of the Philippines Los Baños attended the validation activity.

1.3. Results and discussion

1.3.1. Cultural and environmental elements required for a begnas

In its broadest sense, the begnas is seen as a resource-associated system used for mobilising communal effort to achieve a particular purpose. This view is further supported by informants who always state the recurring theme of the begnas as a ritual that espouses unity and cooperation. This is achieved by invoking good values to be bestowed upon the community, as well as the actual need for participants to be unified and cooperative during the event. Specifically, begnas is performed to fulfil three general purposes: (1) for marking agricultural activities, (2) as a means of thanksgiving for particular events (other than agricultural activities), and (3) as a means of cleansing misfortunes within the community.

The elements comprising the begnas can be simply categorised as ‘culture’ and ‘environment’ elements. This categorisation is not meant to oversimplify the begnas, but is utilised as a technique for easier understanding of an otherwise complex ritual. Cultural elements refer to tangible and intangible constructs indigenous to Sagada, while environmental elements pertain to natural resources which have not been modified or processed by people.

A begnas requires an active and collective participation of the whole community. At the same time, it requires the integrity of the landscape in order to support the cultivation of crops and the manifestation of various omens and to provide resources needed to conduct the ritual. This synergy of culture bearers, who view their natural environment as an important stage for conducting important rituals, as well as a foundation of resources needed for the ritual itself, ensures the significance and performance of the begnas through time and changing spatial conditions.

The mamegnas (performers of the begnas) signal the start of the planting season through a begnas, and by seeking supernatural blessings for the abundance and fertility of planted crops. During harvest season, the begnas become a means for thanksgiving for a recent harvest in order for it to last a longer time and not be easily exhausted or depleted (masika/ensika). The celebration of the begnas thus marks important events related to community welfare, especially for ensuring food supplies and community survival.

By inculcating the value of cooperation through the begnas, village unity is reinforced. Since the begnas also constitute a communal consumption of food and drink, it is an important social technology for strengthening social transactions, making alliances and ensuring community integrity (see also Dietler and Hayden, 2001). This allows the lightening of heavy manual labour required during harvesting, house building, crop planting, etc., by synchronising such activities within and among the whole community. To complement the ritual system, rest days during the
begnas are strictly observed, and when field activities resume, the traditional practice of reciprocal labour (ub-obbo) is important. Additionally, practicing the ub-obbo depends on social capital, which is incidentally strengthened by the begnas.

1.3.2. Biodiversity requirements of the begnas ritual system

Through combining indigenous and scholarly perspectives regarding biodiversity, a number of biodiversity requirements are identified as crucial for the continued practice of the begnas ritual system. First is the variety of ecosystems used during the begnas. Throughout the phases of the begnas, various landscapes are required. In the initial phase, sacred sites are visited for observing various omens. These include certain grasslands, paddy fields and nearby environs, hills and mountain sides, and almost all the mountain peaks. These are considered sacred landscapes and during the begnas, access to these sites should be secured, and any human intrusions or disturbances should be avoided. In some villages practising begnas, river ecosystems and mountain edges or cliffs are required to wash away bad omens, or allow the cleansing of oneself after the performance of a particular begnas-associated ritual.

Second is the diversity of species required for the begnas. During the initial phase, various birds, reptiles, rodents, fowls and other fauna in general, are carefully noted and observed. Decisions are made by elders and knowledge holders about the local term for these species, as well as the variety of behaviours exhibited by these species as to which indicates a good or bad begnas. In the final phase of some begnas celebrations, various crabs, eels and fish are collected in water bodies for communal feasts and ceremonial purposes.

Finally on a genetic level, the various traditional rice varieties in Sagada serve as the main rationale for the begnas. Rice agriculture forms the basis of the begnas, as annual begnas celebrations revolve around pre-post planting and pre-post harvesting of rice. In Sagada, varieties of rice (Oryza sativa
subsp. japonica or subsp. tropical japonica) have been planted probably as early as the 17th century.\(^3\)

Biodiversity also plays an indirect role in the celebration of the begnas. Dried vegetation and fallen/cut tree branches serve as fuel for cooking ritual food. During the initial phase, poles of Phragmites vulgaris (Lam.) Crép. are used for impaling and roasting etag (oetag) or salted pork meat. Parts or the entirety of musical instruments, ceremonial shields, spears and other tools used during certain begnas celebrations are made of Pinus kesiya Royle ex Gordon wood, as well as the wood of other trees naturally growing in Sagada. Ritual containers and baskets are made from the stems or fibre of native plants. In general, various plants are needed as base materials for different implements required for a begnas celebration.

\(^3\) William Henry Scott's (1974) interpretations of Spanish records mention the existence of Sagada villages during the 17th century.
1.3.3. Threats to biodiversity requirements of the begnas

Ongoing population growth and development in various barangays as a result of tourism and economic growth in Sagada have direct and indirect impacts on the practice of the begnas. With growing population trends (0.43 annual growth rate as of 2010), various sacred landscapes are facing threats from housing and other related infrastructure, as well as increased human activities. Infrastructure is being built near sacred landscapes, locally termed as babawiyan/kakayewan, totolingan, wawalitan, and papakde-an/papadkelan depending on the terminologies of each village practising begnas. A number of dap-ay, which refers to the men’s common house with a stone paving and shed, central to various cultural rituals and social arrangements and located within villages, are increasingly becoming disregarded or underutilised.

Mt. Kiltepan, for example, is being capitalised upon by Sagada residents to establish their business infrastructure due to scenic views and its popularity among tourists who watched a popular Philippine movie ‘That Thing Called Tadhana’. However, a particular area in Kiltepan is required as the wawalitan (sacred site for omen observation) of Tetep-an Sur and Norte begnas performers. The pathway to and peak of the mountain are currently blocked and occupied by human activities and infrastructure development in the area, and begnas performers are facing inconveniences during omen-seeking trips. As a result, the sacredness of natural-settings required for begnas rituals is deteriorating due to the presence of nearby houses and other infrastructure, which in turn decreases the meaning, authenticity, and quality of the begnas. The preservation of these landscapes for begnas is thus critical to sustaining the meaning of the ritual, as well as for maintaining a sense of community and interconnectivity with the land.

The relevance and importance of various landscapes for the continuity of the begnas is manifested in various ways. One is the manifestation of omens, such as particular bird songs and behaviour, which, when heard or seen, signal a good or bad begnas. It is generally understood that undisturbed natural landscapes and human-inhabited areas have diverse impacts on the presence, behaviour, and interactions of different flora and fauna. Sound ecosystems are very much needed for the
conversion of natural capital to other resources required for a *begnas*. The *dono*, for example, is seen as the most economically taxing phase of the *begnas* since it requires the highest number of sacrificial animals. As a traditional means of offsetting such costs, the *saguday* (communal woodlots maintained by a particular *dap-ay*, or clan) allow the harvesting of timber to enable the purchase of, or barter for, a pig and chickens (Batang-ay, 2015). With increasing demand for timber as a construction material, the *saguday* is also facing threats from overcutting, thus minimising its ability and role in supporting *begnas* celebrations.

**Concluding statements**

The *begnas* is an agricultural and communal ritual system requiring cultural and natural resource elements. As revealed in this paper, changes in the form and function of landscapes have subsequent implications on the *begnas*. In the manifestation of omens, for example, the presence of bird songs and particular faunal behaviours is critical. These biodiversity components occur in sound ecological conditions, and human-disturbed sites may eventually lose the manifestation of omens, both good and bad. Without the presence of environment-embedded omens, the meaning and complexity of the *begnas* is greatly reduced. Good omens are preferred, on the one hand, while bad omens require the knowledge of identifying consequences and necessary rituals. In the case of a bad omen, relatively natural and sound landscapes are required for performing equalising rituals, or omen-seeking trips are performed in forests and grasslands to observe a good omen that would neutralise or circumnavigate the ill impacts of an unfavourable omen. Thus, the preservation and conservation of these related landscapes is important. When the required landscapes for celebrating a *begnas* are lost, appreciation and need for the *begnas* are lost as well. Additionally, various sacred sites, which are scattered and located all over Sagada’s landscapes, are needed for the performance of various *begnas* rituals. The diversity and integrity of landscapes is essential for the continued celebration of the *begnas* in Sagada.
The presence of cropping systems, mainly rice, is required to guide the schedules of the *begnas* throughout the year. Thus, the diversity and continued cropping of traditional rice varieties enhances the relevance and meaning of the *begnas*. Additionally, ecosystem services, mainly water cycling systems, soil cycling systems and cultural services to support and sustain rice agriculture, are all linked with the *begnas* ritual system.

Acknowledgements

The collection of additional data related to the *begnas* in the context of ecosystem services and biodiversity requirements, as well as the validation workshop and meeting, was made possible through a UNESCO financial grant.

References


2. Forests, communities and ecosystems: A case study of the Huay Hin Lad Nai community, Thailand

Background and acknowledgements

This case study is the result of participatory research conducted by the Indigenous Peoples’ Foundation for Education and Environment (IPF) and members of Huay Hin Lad Nai community between August and October 2016. The case study was conducted to contribute to the IPBES (Intergovernmental Platform on Biodiversity and Ecosystem Services) Sub-regional Workshop on Indigenous and Local Knowledge (ILK) for South-East and North-East Asia sub-region which was held from 14–17 October 2016.

The research team would like to express its gratitude to the community members for willingly cooperating with the team. The knowledge of the community was extremely enlightening and their hospitality was warm and comfortable.

The research team, community members and IPF also express their deep appreciation to UNESCO for their goodwill and for financing the project.

2.1. Introduction

2.1.1. The community and its history

The northern region of Thailand is the area with the highest concentration of indigenous peoples. The Karen, with a population of approximately 400,000 people (Delang, 2003), is the largest indigenous group in Thailand and comprises at least 20 sub-groups (Institute of Medicine, 2006). The community in Huay Hin Lad Nai too belong to the Pgakenyaw tribe of the Karen group.
2.2. Research methodology

The research methodology and process adopted was guided by the objective of involving the community members as contributors and as co-authors (to the extent possible) in order to encourage in-depth reflection within the community and to promote ownership of the results of the study.

The process adopted was as follows:

▶ Sharing the purpose and scope of the research followed by obtaining free, prior and informed consent (FPIC) from the community leaders and elders.

▶ Nomination and formation of the research team from IPF and the community.

▶ Agreement on the framework and research methodology.

▶ Validation of the research findings with the community as well as FPIC for sharing it in the IPBES regional assessment process.

The research methods used were as follows:

▶ Survey questionnaires

▶ Semi-structured interviews

▶ Focused group discussions

▶ Participatory community workshops

▶ Field observations

▶ Literature survey
2.3. Huay Hin Lad Nai community

The Huay Hin Lad Nai village is in Moo 7,4 under Wieng Pa Pao district in Chiang Rai Province. Moo 7 is located between the National Forest Reservation Area and Khun Chae National Park.

The community’s village territory measures 10,279.75 rai (16.45 km²) consisting mostly of mountainous lands. The neighbouring villages of Huay Hin Lad Nai, Pha Yuang and Hin Lad Nok and Huay Sai Khao together form the Moo 7 administrative unit.

Huay Hin Lad Nai consists of 20 households with 35 families. The total population of the community is 107 people with 5 clans. While 11 households consist of one family each, there is more than one family living together in the other nine households (Table 2.1).

Table 2.1. Number of households and population.

<table>
<thead>
<tr>
<th>Population of Huay Hin Lad Nai</th>
</tr>
</thead>
<tbody>
<tr>
<td>Households</td>
</tr>
<tr>
<td>20</td>
</tr>
<tr>
<td>53.5%</td>
</tr>
</tbody>
</table>

Source: Survey data

In the community, 34 persons (19 male; 15 female) are above the age of 42 years. The number of youth – all unmarried adult population (from the onset of puberty) – in the community is 30 in 4

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4 Moo is an administrative unit in Thailand usually comprising a cluster of villages (hamlets).
total. Out of this, 11 of the youth are studying outside the village but they continue to maintain close contact with their fellow villagers. To date, there is not a single case of any family which has migrated to a town or city.

Out of the 11 students studying outside the village, 2 (1 male; 1 female) are studying bachelor degrees, 6 (2 male; 4 female) are in high school, and 3 (1 male; 2 female) are in secondary school. The young children of the village are studying in the government’s primary school and the rest of the youth are studying in the non-formal education system in the village, which they think is culturally more appropriate for them.

2.3.1. Village governance

The head of the village and the assistant to the head are appointed by the government. In addition, the community is also required to form a Village Committee of 15 members, as per government law, which includes both women (2) and youth (6) representatives.

The traditional leader is referred to as Zee Khou, who is the spiritual leader as well as the founder of the village. The Karen is a matrilineal society but this position is only held by a male member of the community and the position and title are inherited. Therefore, the eldest son of the Zee Khou does not marry outside the village for the continuity of their traditional leadership role. At present Mr Nu Papa, as the direct blood line of the founder of the village, is the Zee Khou of the village.

The head of the village, the assistant to the head and the village committee are democratically chosen/elected as per the requirement of the government. The villagers follow the government rules as a formality. Within their village, they follow their customs and customary law. The
village follows consensus-based decision making and agrees on its leadership through discussion rather than formal election.

Generally, the villagers work together on everything and understanding among them is achieved through informal interactions. Specific issues and concerns regarding women and youth are handled by these groups themselves so they have their own respective committees.

The head of the village is mainly responsible for social and political affairs of the community and for most of the representation to the outside world. The Zee Khou is responsible for all spiritual matters such as ceremonies and divining and seeking blessings from the spirits; he is considered the protector of the community.

2.3.2. History of Huay Hin Lad Nai

According to the oral history of Huay Hin Lad Nai, their migration to the present settlement area starts with riding an elephant during the first half of the 1900s led by Mr Suka. They migrated from Mae Chang Kao watershed which is located in the Doi Inthanon area. They had to change their settlement site nine times for various reasons until they permanently settled down in the present site of Huay Hin Lad Nai in 1966.

The government granted concessions to the Chiang Rai Tha Mai logging company in 1986 in the Khun Chae area covering about 80,000 rai (12,800 ha) of forests. The concession area also included the village territory of Huay Hin Lad Nai. As recounted by the villagers, only about 10% of the big trees were left in their village forests. Sacred forests such as De Paw and the burial site were also destroyed in a very short span of time.

Destruction of the forests also caused dryness in the forests and wild fire every summer. The villagers recount that they had to stay on guard all night and it took four years to bring the wild fire under control by making fire-break lines. Unfortunately, the government blamed the villagers for the loss of forests and shifting cultivation was banned. This caused great hardship in terms of food security for the villagers. They resorted to other forms of cultivation but also secretly continued to practice shifting cultivation at a minimal level in order to survive.
The communities were deeply hurt that they were branded as ‘destroyers of the forests’ and as ‘illegal occupants’ by the government. It was in this context that the Huay Hin Lad Nai community resolved to continue to stay and prove to the government and the world that humans and nature can co-exist based on their worldview.

In 1992, the Khun Chae National Park was established and many communities were under the threat of being evicted. Some of the communities responded by forming the Northern Farmers’ Network (NFN) in order to stand up for their rights. They also joined the ‘Assembly of the Poor’ (national level) in 1997 and carried out several protest actions. As a result, in 2003, the village was officially registered as Huay Hin Lad Nai under Wieng Pa Pao town of Chiang Rai province.

Huay Hin Lad Nai has won several awards since 1992 for environmental restoration and is also recognised as a model ‘sustainable village’ by the government of Thailand. However, they continue to struggle for their communal land rights.

2.4. Beliefs and worldview of the community

2.4.1. Cultural revival and worldview

The community’s resolve to prove that human beings and nature can co-exist is also part of their resolve to revive their culture and re-strengthen themselves. Revitalising their culture and worldview was the most important means available to them to renew their symbiotic relationship with the forests.

The reason for choosing cultural revival as the means for renewal and sustainability of their community’s life is an obvious one. In the worldview of the community, everything starts from the forest and ends with the forests. In the words of the community, they say, ‘No forest, no life’. By this, the community is referring to dependence of life on different kinds of ecosystem functions and services of the forests i.e. in terms of products from the forests, water sources for drinking and irrigation for farming. For example, the community says, ‘We seek permission from the forest for using the water from the stream or river and we thank the forest for the same’ and
‘We take care of whatever we eat or use.’ The community also refers to the forest as a habitat for flora and fauna, as a means for soil conservation, and as the source of healthy air and weather in the area. Most importantly, the forest is the dwelling place for different types of spirits, including their own spirits and those of their ancestors.

Therefore, if all the forest is destroyed, not only will the resources disappear, but all the spirits will also be gone; and this will mark the end of all life. The community clearly sees the broader scheme of the function of forests and ecosystems which is not the same as ecosystem services. For the community, ecosystem functions—including the function of the spirits—are the preconditions for ecosystem services.

The above belief and worldview of the community associated with the forest is clearly demonstrated by their ceremony called Ta lue kaw (offering to the Guardian Spirit). The ceremony is performed by the Zee Khou by offering chicken contributed by all households to pay respects to the spirit for protection as well as to symbolically give back something for what they have been receiving from the forests. A portion of the chicken is offered to the forest spirit, another portion is eaten in the forest, and the rest of the meat is brought home to share with members of the household. Therefore, the renewal of their symbiotic relationship is achieved through this ceremony.

Further, the forest as the dwelling place of their spirits and of their ancestors also speaks of their reverence and connection with the forests. This belief is demonstrated by putting the umbilical cord of a newborn in a bamboo container and tying it to a tree. This is to symbolically express that the spirit of the newborn lives in that tree and that she/he will rest in peace in the forest when she/he grows old and dies.

Their belief and worldview is associated with the forests, as described above, and determines the moral grounding of their social institutions and customary practices. The core values and principles that guide their customary rules and practices, land use, and resource management are grounded in this belief and worldview.

It is because of this that the community does not encourage outsiders taking citizenship in their village. The community explains that it is not easy for an outsider to learn and understand their belief and worldview, and that this can affect the integrity and sustainability of the community.

2.5. Holistic land use and livelihood system of the community

Guided by their beliefs and worldview, the land use and livelihood system of the Huay Hin Lad Nai community is holistic and sustainable. Their land use practices and livelihood system strongly support one another and are, therefore, interlinked and inseparable. The relationship between their holistic land use and sustainable livelihood system is best understood by the effective management of their agriculture, forests, natural resources and income generation activities, including other land use practices. Their holistic approach that nurtures a sustainable lifestyle in the community is described below.

2.5.1. Livelihood

The main source of subsistence and cash income for the Huay Hin Lad Nai community is through both self-sufficient and commercial farming. Several of their members are also engaged in gathering forest products, making handicrafts and utensils from bamboo, furniture making, blacksmithing, natural dying and weaving, etc. The villagers also rear domestic animals such as chicken, pigs, cattle, buffalo, dogs and cats for their daily food and other purposes. However, most of these are mainly for local consumption.
In the village, labour exchange is commonly practised but during the harvest season, some of the community members are paid for working in the fields of others. This is because exchange of labour during this season is not enough to make up for the shortage of labour. However, none of the villagers are engaged in wage labour outside the village. Rather, during the main harvesting period for tea, the villagers engage paid labourers from other villages because there is a short window of time (one month) to complete this work.

There is no shortage of farming land and all families in the village are engaged in farming. Food and income security in the village are strongly based on a diversified production system and local livelihood. According to the community, the village is able to have an annual surplus of income over expenditure; and their consumption patterns also show that more than 92% of the food comes from household production and natural resources. This claim is substantiated by a study conducted in 2008 (Huay Hin Lad Nai, NDE, AIPP and IWGIA (ed.), 2010) which shows that annual savings per head in the community amount to THB 1,986, and only 8% of the food comes from markets outside the community.

All their livelihood and production activities are governed by customary rules and ceremonies. The Zee Khou and members of the village firmly assert that as long as they know how to perform their ceremonies, the community will flourish. Otherwise, the community will perish because their system will stop working without their ceremonies. This assertion from the community shows that they consider spiritual consciousness as the highest form of awareness and nothing works without it.

The table (Table 2.2) opposite provides a brief description of their important ceremonies relating to livelihood and production activities. These ceremonies apply to both wet-paddy farming and shifting or upland cultivation.
Table 2.2. Description of important ceremonies.

<table>
<thead>
<tr>
<th>Ceremony</th>
<th>Description</th>
<th>Participants/performers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ta lue kaw</td>
<td>The ceremony is performed by the Zee Khou. Chickens contributed by every household are offered to pay respects to the guardian spirit as well as to symbolically give back something for what they have been receiving from the forests.</td>
<td>Men are allowed to observe the ceremony while women, as owners of the house, wait at home to share the remaining chicken meat (offered to the guardian spirit) that will be brought home.</td>
</tr>
<tr>
<td>Jue M-nga Kaw</td>
<td>A virgin male digs a hole in the ground with a hoe and a virgin female puts rice into the hole. After this, the handle of the hoe is tied to a bamboo pole pointing towards the Dao Thai (local term for the Great Bear constellation) while the other end of the hoe with the blade is placed in a bamboo container filled with water and the remaining rice. The water in the container symbolises sufficient water in the soil for good crops. The hoe is kept pointed towards the Dao Thai so that all the spirits in the forests send up their spirits to the Dao Thai. And the Dao Thai sends down their blessings through the spirits of the spirits for good rain and harvest.</td>
<td>The ceremony is first performed in the farm of the Zee Khou (followed by others) by a virgin male and female because virginity symbolises purity in the community. Purity is needed for the sanctification ceremony in order to receive blessings from the spirits.</td>
</tr>
<tr>
<td>Ta Ter Moh</td>
<td>A hen is killed and boiled. A small piece of meat from every part of the chicken is taken out, including the feather, and is placed in the middle of the field on the ‘chicken offering stand’ for the spirits so that the grains grow well. A hen is used for this ceremony because female represents productivity and multiplication.</td>
<td>The ceremony is first performed in the farm of the Zee Khou followed by others. The community also explains that this is a metaphor to prevent the use of artificial fertilisers.</td>
</tr>
<tr>
<td>Ta ka Keh</td>
<td>Bamboo poles and shrubs are used to block the entrance of the field. Items like lead, bullets and eggs, including any items that can destroy the crops such as caterpillars and insects, are also placed at the entrance. This ceremony is performed to prevent destruction of crops by pests and other animals, including intrusion by human beings.</td>
<td>The ceremony is first performed in the farm of the Zee Khou followed by others. The community also explains that this is a metaphor to prevent the use of pesticides.</td>
</tr>
</tbody>
</table>

**Rice cultivation**

The cultivation of rice is the most important part of the Huay Hin Lad Nai community’s livelihood and it determines the life and work of the people throughout the year. The community in general decides on how much each household and each family should farm so that they have enough rice to eat throughout the year.

Rice is grown in both shifting or upland cultivation and wet-paddy fields. There is still enough land for expansion of both the types of farming which they have reserved for the future. The community practises both types of farming as part of their food security and sustainability strategy.

Shifting cultivation is more reliable under unpredictable climatic conditions and is valued more highly by the community because it is a greater source of food variety and quantity. However, the yield in the wet-paddy field is higher so it is a major contributor to food security and control of expansion of agricultural land. Therefore, they feel that it is crucial to maintain both the systems of farming for sustainability.
Furthermore, production in these two types of farming is strictly for local consumption only except for some surplus items that are sold to outsiders.

▶ Other crops and sources of income

Apart from rice, the people in Huay Hin Lad Nai depend on a range of crops grown or edibles collected from shifting cultivation, mix farm and the forests. The types of crops grown or edibles collected from the different types of farms and forests are shown in Table 2.5.

Even though no scientific survey was conducted, the community is of the opinion that household expenses mostly pertain to:

- Education
- Hospital or medical expenses
- Transportation
- Clothes
- Food from outside the community
- Toiletries and detergents

Of this, they ranked education as the highest in terms of expenditure. At the community level, a lot of their income is also spent on controlling forest fires and managing fire-break lines.

Cash crops are the most important source of cash income for the community but farming at the individual level is not encouraged. Therefore, commercial farming is a communal activity in Huay Hin Lad Nai and they call it ‘mix farming’. The table below shows the major sources of cash income (Table 2.3).

**Table 2.3. Main sources of cash income in 2015.**

<table>
<thead>
<tr>
<th>Product</th>
<th>Annual income in THB</th>
<th>Annual income in USD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wild tea</td>
<td>414,000.00</td>
<td>11,828.60</td>
</tr>
<tr>
<td>Seasoned food, herbs and macadamia</td>
<td>56,000.00</td>
<td>1,600.00</td>
</tr>
<tr>
<td>Honey and mushroom</td>
<td>246,000.00</td>
<td>7,028.60</td>
</tr>
<tr>
<td>Bamboo shoot</td>
<td>350,000.00</td>
<td>10,000.00</td>
</tr>
<tr>
<td>Honey soap</td>
<td>Not available</td>
<td>Not available</td>
</tr>
<tr>
<td>Bamboo worm</td>
<td>Not available</td>
<td>Not available</td>
</tr>
<tr>
<td>TOTAL</td>
<td>1,066,000.00</td>
<td>30,457.14</td>
</tr>
</tbody>
</table>

Source: survey data

One of the important sources of income is from the sale of bamboo worms but no data was available for the year 2015. The community has also introduced soap making from honey in 2016 and it is beginning to generate good income. However, no data was available because it was introduced recently.

At the household and family level, they also get some income from domestication of animals, weaving clothes and baskets, furniture making, handicrafts, etc.
Up to a maximum of 20% of the income from selling products from the mix farm goes towards the funds set up by the community. There are three types of funds in the village:

- Women’s fund
- Youth fund
- Village fund

A percentage of the sale proceeds by women or youth goes to the women and youth funds respectively while that by men goes to the village revolving fund. Normally, everyone has access to any of the funds, especially the village fund as per one’s requirements. Records and accounts for the revolving fund are not maintained; they are managed on the basis of trust among members of the community.

Records and accounts for the women and youth funds are maintained and used for specific programmes such as educational and cultural activities and youth exchange programmes, etc.

2.5.2. Land types and their uses

Huay Hin Lad Nai community has a complex system of land use. Each type of land is used in different ways and is a source of different types of food and other important resources. The way they use resources reflects their intricate knowledge of the different ecosystems within the village territory. And the way they manage their land and avoid land pressure and degradation demonstrates their holistic approach to land use practices. The table below (Table 2.4) shows the main types of land distinguished by the Huay Hin Lad Nai.

<table>
<thead>
<tr>
<th>Sl.</th>
<th>Land use type</th>
<th>Total area</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Settlement area</td>
<td>14.08 rai (5.57 acre)</td>
<td>0.14%</td>
</tr>
<tr>
<td>2.</td>
<td>Burial area</td>
<td>04.97 rai (1.97 acre)</td>
<td>0.05%</td>
</tr>
<tr>
<td>3.</td>
<td>Wet-paddy field area</td>
<td>49.98 rai (19.77 acre)</td>
<td>0.49%</td>
</tr>
<tr>
<td>4.</td>
<td>Shifting cultivation area</td>
<td>786.77 rai (311.06 acre)</td>
<td>7.65%</td>
</tr>
<tr>
<td>5.</td>
<td>Mix farm area</td>
<td>788.58 rai (311.78 acre)</td>
<td>7.67%</td>
</tr>
<tr>
<td>6.</td>
<td>Community forest</td>
<td>8,635.75 rai (3414.31 acre)</td>
<td>84.00%</td>
</tr>
<tr>
<td></td>
<td>TOTAL</td>
<td>10,280.13 rai (4064.46 acre)</td>
<td>100%</td>
</tr>
</tbody>
</table>
Village settlement area
The total settlement area of Huay Hin Lad Nai is 14.08 rai (5.57 acres) and includes a housing area, a Buddhist monastery, a school, a meeting hall and kitchen gardens.

Most households in the village have small kitchen gardens where vegetables are planted, along with herbs and medicinal plants.

Burial area
The pasakho (local term for burial area), which is the natural burial site of the community, is also a conservation area. Burial takes place under a tree because the shade of the tree is cool and pleasant, so it is a peaceful place to rest. Therefore, the burial sites in the community are also a tree preservation area. The community identifies 250 types of trees in the burial area.

Wet-paddy field
All households and families in the community own chi (local term for wet-paddy fields) and it depends both upon rain and irrigation. The total area of the paddy fields owned by the villagers is 49.98 rai (19.77 acre). The size of the paddy fields owned by each family is more or less equal because it was distributed in proportion to the size of the family. The community has maintained this balance successfully over many years.

As rice is the main food for Huay Hin Lad Nai families, specific ceremonies are performed and taboos are observed before preparing the land for the cultivation of rice. The Zee Khou is responsible for identifying a suitable area for cultivation and permission is sought from the spirits. Making offerings to the river/streams and water source is an important part of the ceremony.

After successful completion of the ceremonies, small irrigation canals are dug to bring water to the fields. Once the fields have been soaked, the soil is prepared. Rice seedlings are grown elsewhere and then planted in the paddy fields during the rainy season when they are ready. Rice takes up to six months to grow and it is harvested between November and December.

2.5.3. Shifting cultivation
The Karen’s form of shifting cultivation is called rai mun wian (‘rotating upland fields’). The main form of agriculture in Huay Hin Lad Nai is shifting cultivation and the total land size for this type of farming is 786.77 rai (311.06 acre). Out of this, the total area under actual cultivation in a year ranges between 80–100 rai (12.7–15.9 acres). Shifting cultivation cycle aids the regeneration of fauna and flora, harbours hundreds of insects that include pollinators, and consequently promotes biodiversity, conserving both animals and plants.

The community pointed out that selecting the area for shifting cultivation is very important. The first rule is that the higher slopes of the mountains are meant strictly for conservation and are not used for cultivation. This is because nutrients in the soil on the higher slopes of the mountains are not as good as those in the lower slopes. Therefore, forest rejuvenation will be much slower if cleared for cultivation. The second rule they follow is not to use the slopes near the river/stream, even though the soil in these areas remains moist and fertile, because these areas are prone to erosion.

The area the community chooses for such cultivation is between these two areas. In these areas, nutrients in the soil are very rich and trees grow well. Therefore, the forest can rejuvenate very fast after it has been cut down, burnt and cultivated for a year. The villagers explain, ‘We cut the trees to prepare the land but not their roots. In seven years, the trees will be restored. We also do not cut trees along the streams/rivers and water sources.’
Before undertaking any activity, the Zee Khou seeks permission from the spirits to access the area until the right place is identified for farming. Once the place is identified, firebreaks are made around the farming area. After the land has been burned, the community erects ritual poles or sticks as a declaration to the spirits that the area now belongs to them for cultivation.

Shifting cultivation is a cultural and physical integration of the community, forest and agriculture. During the process of planting and harvesting, women and men play complementary roles. For instance, men dig the holes in the soil and women put the seeds in them. Shifting cultivation is clearly an integral part of the community’s traditions and beliefs that strengthens collaboration and unity among its members. The community often re-echoes, ‘Lowlanders usually don’t understand our systems in which people and nature bond together.’

2.5.4. Mix farm land

The concept of what the community calls ‘ta su chi chu’ in their local language is translated as ‘mix farm’ in English. Mix farm is an interesting innovation. Mix farming is actually similar to the concept of natural farming where farming mimics nature. The total area of mix farm land in the village is 788.58 rai (311.78 acre).

Mix farming helps the inhabitants of Huay Hin Lad Nai to address three crucial issues and concerns:

▶ Enable farming without clearing up new forest areas to have enough cash income to take care of their basic needs e.g. education, healthcare, etc.
▶ Resolve the dilemma of controlling the clearing of new forest areas for commercial farming as well as the problem of shortage of labour in the village.
▶ Enhance resilience, livelihood diversification and food security of the community.
In the mix farm, each villager is responsible for certain tasks and they work together in small groups. These groups share produce and products with the community, and surplus is sold to nearby villages, visitors and other buyers in the market. The major products in the farm are discussed below.

**Wild tea** is the main product commercialised by the community and is produced without any chemicals. There are three main seasons for harvesting tea leaves but the community can also harvest them throughout the year. The young tea leaves are priced at THB 100 per kg (approximately US$ 3.00) and the old leaves at THB 15 per kg (less than US$ 1.00). In a year, the community sells about 30,000 kg (or more) of tea and is now collaborating with a Japanese university to improve the quality of tea. The community also uses tea as a herbal remedy to reduce cholesterol and prevent diabetes and cancer.

**Beekeeping** was introduced in 2011 with nine bee hives. However, they failed in the initial stage because birds ate them and the weather was also not favourable that year. Chaiprasert Phoka, the head of the village, went back to the village where he learned the art of beekeeping a few times. In 2012, six bee hives were installed again and this time they succeeded.

They have three species of bees (called *ke nae*, *kwea doh* and *kwea poh* in Karen language) in the village which are native to the surrounding forests. The regeneration of the forest helped the bees return to the village forest. The number of bee hives has increased to about 200 (as of 2016). The best honey is available from March to May and the sales have picked up very well since 2014. Their special honey comes from *kwea poh* (*channarong* in Thai) bees, which is harvested only once a year and is sold at a very high price.

As a byproduct of honey, the village has also introduced **soap making from honey** in 2016. It has started with good sales and appears to be a promising source of income for the community.
Bamboo shoots from bamboo groves in the mix farm area are another source of good income as well as an important part of the local diet. Thirty one years ago, two bamboo species were introduced from a nearby forest outside their village. As of 2016, there are several bamboo clumps in the mix farm area with seven bamboo varieties. There are about 2000 bamboo clumps in the entire village territory.

Their traditional agroforestry farming has not destroyed the ecological balance. Even in the case of bamboo harvesting, they observe the life cycle of native bamboos in order to use them sustainably. The community gathers only the first two pairs of bamboo shoots exposed over the soil from each bamboo clump in July and August. They keep the last pair of bamboo shoots, which will bear in September, for reproduction in the next season. Further, to ensure long-term usage of bamboo worm, which is one of their favorite foods, the community cuts only specific bamboos that have worms inside. They also have protected areas for conserving bamboo worms.

The community is able to sell more than 50,000 kg of bamboo shoots per year. The most favoured bamboo shoot variety for consumption is called phaisang (Thai term) and that for sale is the hoc (Thai term) variety. Bamboo leaves and poles are also used for making mats, handicrafts and other small articles for household use.

2.5.5. Community forest land

The community forest land constitutes 84% of the village territory with a total land area of 8,635.75 rai (3414.31 acre). However, this does not include the mix farm land, burial area and the fallow forest areas. Therefore, even if we exclude the fallow forest areas, the total forest cover in the village is 91.70% (9426.92 rai = 3727.11 acres).

The community forest land is the main regulator of ecosystem functions and services on which their agricultural system, water resources and overall environmental health depend. It is also a
source of a number of natural medicines and herbs, numerous edibles and food items, housing materials and firewood, etc.

The table below (Table 2.5) shows the depth of knowledge of their forest areas and ecosystems as well as the importance of forests to the community.

Table 2.5. Land use types and major resources found.

<table>
<thead>
<tr>
<th>No.</th>
<th>Land type</th>
<th>Major functions</th>
<th>Major resources found</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Community (conservation) forest</td>
<td>Main regulator of ecosystem functions and services</td>
<td>Wild animals: 100 species (including 20 protected large animal species)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Habitat for flora and fauna</td>
<td>Rats and mice: 20 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Water and soil conservation</td>
<td>Birds: 20–25 species (approx.)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Source of livelihood, medicinal plants, energy (firewood) and water</td>
<td>Trees*: 250 species (including about 150 protected species)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Grazing area</td>
<td>Bamboo: 10 types</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Learning area, etc.</td>
<td>Edible fruit trees: 20 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Vegetables: 50 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Edible herbs: 30 varieties</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Perennial edible plants: 200 types</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Perennial herbal plants: 50 types</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Total = 750+</td>
</tr>
<tr>
<td>2.</td>
<td>Mix farmland forest</td>
<td>Farm for income generation and livelihood</td>
<td>Wild animals: 100 species (including 20 protected large animal species)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Umbilical cord trees (conservation of protected sacred trees)</td>
<td>Birds: 30 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Habitat and breeding area for wild animals</td>
<td>Bees: 3 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td>No hunt zone</td>
<td>Trees: 250 species (including about 150 protected species)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Seasonal harbouring of insects, including pollinators</td>
<td>Bamboo: 5 types</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Grazing area</td>
<td>Wild teas: 1 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Source of energy (fire wood), construction material, medicines and drinking water</td>
<td>Edible fruit trees: 20 types</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Vegetables: 50 types</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Herbs: 100 species (approximately)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Pollinators: varies from season to season and data is not available</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Streams: 6</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Total = 559</td>
</tr>
<tr>
<td>3.</td>
<td>Burial area</td>
<td>Burial site</td>
<td>Trees: 250 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Conservation of trees</td>
<td>Total = 250</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Source of materials for handicrafts, housing materials, materials for farming</td>
<td>Bamboo: 10 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td>tools and implements and household articles, etc.</td>
<td>Bamboo worm: 1 type</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Major source of organic fertiliser for shifting cultivation</td>
<td>Total = 11</td>
</tr>
<tr>
<td>No.</td>
<td>Land type</td>
<td>Major functions</td>
<td>Major resources found</td>
</tr>
<tr>
<td>-----</td>
<td>-----------------------------------------------</td>
<td>----------------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| 5.  | Streams                                       | Source of drinking water and irrigation  
Habitat for aquatic animals and plants  
Source of food                                           | **Streams:** 9 major streams (Hin Lad Nai, Keu Baw Eh, Kli Pu Klo, Cher Su Klo, Cher Pav Klo, Kaw Ko Klo, Haw Phi Klo, Chaw Naw Kwi Klo and Baw-a So Klo)  
**Turtles:** 3 species  
**Fish:** 6 species  
**Crabs:** 1 species  
**Frogs:** 7 species  
**Edible aquatic insects:** 4 species  
**Vegetables:** 3 types  
**Total** = 24 |
| 6.  | Kitchen garden and surroundings               | Source of herbs  
Source of vegetables and spices                                                | **Herbs:** 10 species  
**Vegetables and spices:** 20 types  
**Total** = 30 |
| 7.  | Wet-paddy fields                              | Source of staple diet (rice) and other food  
Breeding area for some bird species                                              | **Rice:** 2  
**Fish:** 5 species  
**Vegetables:** 10 types  
**Edible snails:** 2 species  
**Crabs:** 2 species  
**Bird nests:** 5 types  
**Total** = 26 |
| 7.1 | Rotational farming (current cycle)            | Main source of staple diet (rice)  
Main source of vegetables  
Propagation of plant species and seed preservation  
Source of food for domestic animals such as pigs, cows, buffalo and chicken, etc.  
Ritual site                                   | **Rice:** 5 varieties  
**Vegetables:** 20+ types  
**Chilli:** 3 varieties  
**Taro:** 3 varieties  
**Yam:** 7 varieties  
**Cucumber:** 5 varieties  
**Sesame:** 4 varieties  
**Lemon grass:** 1 type  
**Turmeric:** 1 type  
**Galangal:** 1 type  
**Pumpkin:** 2 varieties  
**Green shallot:** 3 varieties  
**Corn:** 4 varieties  
**Beans:** 4 varieties  
**Bamboo:** 4 species  
**Eggplant:** 5 varieties  
**Millet:** 4 varieties  
**Rats and mice:** 20 species  
**Total** = 99 |
<table>
<thead>
<tr>
<th>No.</th>
<th>Land type</th>
<th>Major functions</th>
<th>Major resources found</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.2</td>
<td>Rotational farming (fallow land year 1)</td>
<td>Source of food for humans and domestic animals</td>
<td>Chilli: 2 varieties</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Source of energy (fuel wood)</td>
<td>Eggplant: 5 varieties</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Source of herbs</td>
<td>Lemon grass: 1 variety</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Harbours pollinating insects</td>
<td>Bamboo: 4 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Tree sprout: 300 (approx.) species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Wild animal: 17 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Birds: 20 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Rats and mice: 20 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Insect: 200 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Herbal plant: 50 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Rattan: 3 species</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td><strong>Total: 602</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>No.</th>
<th>Land type</th>
<th>Major functions</th>
<th>Major resources found</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.3</td>
<td>Rotational farming (fallow land year 2 to 10)</td>
<td>Source of food for humans and domestic and wild animals, including for large animals from year 3 onwards</td>
<td>Tree** 300 280–290 260–270 250</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Source of fuel wood and material for weaving and for construction of houses</td>
<td>Bamboo 7 7 7 7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Breeding area for small wild animals, including jungle fowl</td>
<td>Herbal plant 70 70 60 50</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Harbours pollinating insects</td>
<td>Rattan 3 3 3 3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Source of medicinal plants for healing and curing several types of diseases and wounds</td>
<td>Kaw (local name) tree 1 1 1 1</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Wild animal 30 50 50 70</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Bird 24 24 24 30</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Rats and mice 20 20 20 20</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Insect 200 150–190 120–150 100+</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Fruit tree 10 10</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Mushroom 5 5 2–3 2–3</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Chilli 2</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Eggplant 2</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td><strong>Total: 602</strong></td>
</tr>
</tbody>
</table>

*The number of tree species found in the village is shown as 250 in all the land use types (except for the early fallow period of shifting cultivation) because the village keeps a count of only the major tree species which are found all over.

**The number of tree species reduces as the fallow forest grows older.

In the community, forestlands are distinguished into many types of forests and zones: community forest, mix farmland forest, fallow forests, burial area, *haw phi thu* (guardian spirit forest), *de paw* (umbilical cord tree forest), watershed forest and no hunting zone.
The *de paw* or **umbilical cord forest** is a sacred forest and it also plays a very important role in the conservation of different tree species. This is because, as a practice, the community ties the umbilical cord to different tree species. So the number of umbilical cords tied helps them keep a count of the number of tree species available in the umbilical cord forest. Even after people have died, their trees are still preserved because it is believed that their spirit continues to live there.

The **watershed forest** is also sacred to the Karens. The area is protected by the community so that there is no disturbance to the area. They build firebreak lines around the watershed areas during summer. Sometimes they also plant banana trees to maintain the water resources.

### 2.6. Resource management rules and strategy

Most management rules and regulations are not written down but embedded in the customs and practices of the community. Those that are written down are mostly the important ‘dos and don’ts’ for both outsiders and community members, including rights of the community on land inheritance, transfer and amendment of rules and regulations. Some of the important resource management rules and strategies are briefly discussed below.

The community maintains a ‘no hunting zone’ of approximately one kilometre radius just outside the settlement area. The villagers explain that this zone has been declared immediately beside the settlement area because it is easier to monitor the enforcement of rules. At the same time, it is easier to keep a count of wildlife in the area.

Hunting is allowed outside this zone but selling of wild meat is strictly prohibited. Further, use of nets or glue for catching birds is also prohibited, including electric shocks or fish poisoning in the streams/rivers.

Outsiders are prohibited from taking any forest resources or exploiting them. There are also strict rules for outsiders in terms of taking citizenship in the village but marriage is not restricted.

While proper enforcement of rules and regulations is crucial to the management of their forest, one of the most important measures they take is implementing the firebreak line system. The community spends a lot of resources and effort in making firebreak lines and staying vigilant during the summer.

The community makes two main firebreak lines, one at the boundary of the village and the second at the inner circle of the village forest forming the second layer of protection. In addition to this, for all shifting cultivation plots, they make firebreak lines to prevent fire outbreak from inside. Furthermore, the community makes another important firebreak line for all watershed forests. The watershed areas are considered important and ecologically sensitive. Therefore, firebreak line system is one of the main methods used by the community to protect the forest in Huay Hin Lad Nai.
2.7. Revitalisation of indigenous knowledge

Cultural revival, revitalisation of indigenous knowledge and restoration of forests go hand in hand in the Huay Hin Lad Nai community. This is because rituals and ceremonies define their cultural life and it is considered, metaphorically, as the fence (protection from harm) of the community. Further, they are the means for renewing respect and reciprocity with the forest, which is the source of life and knowledge. It is for this reason that the community believes that they will flourish as long as they know how to perform their ceremonies.

Therefore, knowledge of ceremonies is most important and comes first in the community. The different types of important knowledge the community identifies are as follows:

- Rituals and ceremonies for different occasions
- Healing: casting spells and application of herbal medicines for different kinds of illness or injuries
- Agriculture: wet-paddy and shifting cultivation
- Irrigation for cultivation
- Mix farming/natural farming for income generation such as bamboo shoots and worms, wild tea harvesting and processing, honey harvesting and honey soap making, food processing, etc.
- Sustainable harvesting of forest products such as food and medicinal plants
- Weather reading and prediction: mostly through insects and plants
- Musical instruments
- Blacksmithing
- Weaving
- Natural dying
Some of the important knowledge of the community is discussed briefly below.

### 2.7.1. Weather forecasting and signs for agriculture

For agricultural purposes, they rely heavily on their weather reading and forecasting knowledge. For farming, one of the insects they follow is the cricket. When the community hears the cricket sing during the plantation season, it means that it will rain soon. So women in the village start to prepare seeds for sowing.

However, when the cicada sings ‘eelia, eelia’, they change their plan because it is either a bad sign or it is not the right time to sow the seeds. If they ignore the sign, it is believed that for each seed they sow they will get only one grain of paddy. So the community either switches to planting peanuts or performs rituals to overcome the bad sign.

According to the community, the cicada can tell many more things. They believe that if they hear them sing from behind, they will have to work very hard but if they hear them from the front, the harvest will be very good. However, it does not matter if they hear them sing after the paddy has grown.

The table below highlights some of their knowledge on weather forecasting (Table 2.6) which the community considers to be reasonably accurate.

<table>
<thead>
<tr>
<th>Plant/tree/insect</th>
<th>Sign</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Te Er Nah</td>
<td>Tree bears lots of fruits</td>
<td>Abundance of rain in the year</td>
</tr>
<tr>
<td></td>
<td>Fruiting is only from base of the tree to</td>
<td>Very little rain in the year</td>
</tr>
<tr>
<td></td>
<td>the middle</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Fruiting from base of the tree to the top</td>
<td>Rain will be spread out throughout the year</td>
</tr>
<tr>
<td></td>
<td>Big and heavy bunches of fruiting in</td>
<td>Sporadic heavy rain in between (throughout the year)</td>
</tr>
<tr>
<td></td>
<td>between the base and top of the tree</td>
<td></td>
</tr>
<tr>
<td>Bee</td>
<td>Bee hive is moved to lower branches</td>
<td>Strong wind or storm is predicted within the year</td>
</tr>
<tr>
<td></td>
<td>Bees flying low</td>
<td>Strong wind or storm is expected shortly</td>
</tr>
<tr>
<td>Cicada</td>
<td>Singing ‘eelia, eelia’ before sunrise</td>
<td>Strong wind is expected shortly</td>
</tr>
</tbody>
</table>
Conclusion

The story of Huay Hin Lad Nai is an inspiring case of environmental restoration through cultural revival that encompasses their beliefs and worldview and revitalisation of indigenous knowledge. It is important to recognise where the strength of the community comes from. Their beliefs and worldview support living in respect and reciprocity with the forest. And they have developed a way of life and a knowledge system that is intimately guided by the objective of maintaining ecological balance and moderation in the community.

Their worldview and ecological awareness informs them of their land use practices allowing them to effectively utilise the different land use types. These different land use types support one another and help to maintain ecological balance and sustainability in the community. The following examples of their land use practices speak of their sound ecological knowledge:

- Conservation forests (areas of higher altitudes and watershed areas) as the main regulator of ecosystem functions and services
- Shifting cultivation (areas where trees take strong root and regeneration of forest is fast) for food security and nutritional needs
- Wet-paddy field in the small valley areas (areas where irrigation is easy with minimum risk of soil erosion) which is the bulk contributor to rice production
- Mix farming (natural farming) for income generation and livelihood security without causing ecological pressure

Their holistic approach to land use is part of their strategy for sustainable livelihood and for maintaining sufficient land reserves for the future. It is also a strategy to prevent ecological pressure and land degradation and to propagate ecosystem functions and services.

References


Huay Hin Lad Nai, NDF, AIPP and IWGIA (ed.) 2010. Climate change, trees and livelihood: A case study on the carbon footprint of a Karen community in Northern Thailand. AIPP, IWGIA and NDF.

3. Sustainable resource use and forest conservation by the Kaani indigenous community of Kanyakumari forests in the Western Ghats, India

S. Davidson SARGUNAM

3.1. Status of Indian forests

India is one of the world’s 12 megadiverse countries and it has two of the 18 biodiversity hotspots, namely the Eastern Himalayas and the Western Ghats. India is perhaps one of the few countries whose Constitution enshrines the concept of environmental protection and specifies this as the bounden duty of the State as well as of all the citizens. A number of legal and policy initiatives have been taken to protect and conserve forests and wildlife, along with its biodiversity. India has also led the world in participatory approaches to forest management through the Joint Forest Management initiative.

Humanity faces exceptional challenges of eroding natural resources and declining ecosystem services due to a multitude of threats caused by unprecedented growth, development and consumerism. Coupled with hunting, the loss of habitat to make way for human land use, particularly for agriculture, urban development and energy production, continue to be major threats. Humans have left ecological footprints such as grazing, encroachment on forest lands, forest fires etc. In the wider landscape, conflicts between development and biodiversity arise. In order to conserve forests, Conservation Reserves have been created and Community Reserves and Management Advisory Committees have been established.

3.1.1. Indian Forest Acts

A number of legal and policy initiatives have been taken to protect and conserve forests and wildlife. These include the forest policies of 1884, 1952 and 1988, which may be due for another revision to keep pace with the changing scenario. The Indian Board for Wildlife was formed in 1952 to provide advice in the field of wildlife conservation. The Indian Forest Act of 1927 (now under revision and redrafting), the Wildlife (Protection) Act of 1972, the Forest (Conservation) Act of 1980 and the Environment (Protection) Act of 1986 are important Central legislations.
3.1.2. Ecological footprints in forests

The ecological footprint of humans in forests is increasing as it includes the biologically productive area or bio-capacity needed for crops, grazing land, built-up area, fishing grounds, roads, residential buildings, dams, eco-tourism and its related activities and allied non-forest activities.

▶ Grazing

Due to a very high cattle population (450 million), there is a severe shortage of fodder. Cattle are generally allowed to graze openly in forest areas. Even though grazing is prohibited in protected areas, 67% of national parks and 83% of wildlife sanctuaries have reported incidences of grazing. Heavy grazing in forest areas damages trees, destroys herbs, compacts soil, prevents regeneration and introduces diseases among wild animals.

▶ Encroachments on forest lands

Reliable data on encroachments of forest lands are not available. The Forest Survey of India estimated in 1987 that over 700,000 hectares of forest lands have been encroached. By now, it has increased considerably. Most of the forest area under encroachments is being used for agricultural purposes and construction of resorts and recreational areas. At several places, it has been observed that some encroachments began as a sort of shifting cultivation and then turned to settlement and permanent cultivation. This act has a very devastating effect on forests which get fragmented and mutilated. In the southern state of Tamil Nadu, many educational institutions and organizations in Coimbatore district have encroached upon forest lands and blocked elephant corridors, thus exacerbating human-animal conflict and resulting in the death of many elephants.

▶ Forest fires

Fires are a major cause of destruction of forest areas. It is estimated that most forests suffer burns annually. Most of the fires in the forests of India are surface or ground fires. Crown fires seldom occur and are more commonly reported from coniferous forests in the Himalayas. Sometimes, ground fires are also reported from forests at high altitudes. Nearly 98% of fires in the country are caused by people. Forests are set on fire by shifting cultivators to induce fresh shoots of grass for cattle and to collect NWFPs (Non-Wood Forest Products). These fires often go out of control and cause massive damage to forest resources.

3.1.3. Biodiversity and conflict

In the wider landscape, conflicts between development and biodiversity arise as a consequence of the over-exploitation of natural resources, bringing about a direct loss of wildlife species and habitats. Equally problematic to biodiversity is the intensification of production systems. In replacing mixed production with monocultures, diverse low input cropping systems are replaced by genetically uniform production systems. Equally damaging to biodiversity are the intensive control measures taken by the modern farming system through the use of hormones against weeds, pests and vermin. Many of these species have useful functions in the ecosystem.

▶ Resource use conflicts

Growing tensions are evident in many areas in forest fringes among proponents of alternative forms of land use. Disputes typically arise where natural resources are exploited for commercial gain and where biodiversity is perceived to be harmful to the production process. In India, there are growing incidences of conflict between people and elephants, tigers, leopards, sloth bear etc. The causes of the increasing conflict are instructive as with minor modification they can be applied to many other species. Firstly, the intensification of agriculture and its expansion into
areas of land formerly bordering forests have greatly increased the zone of contact between people and wildlife populations. Secondly, the demands on resources of the management authorities in many places have increased, but budgets and staff numbers have declined. Thirdly, the specific reasons why elephants raid crops have not been fully explored, nor have cost effective and non-lethal methods of deterring this behaviour been adequately developed.

3.1.4. Forests of Kanyakumari

Forests are the lifeline, heritage, assets and natural resources of the nation, on which all forms of life depend and thrive. The forests in Kanyakumari district are verdant and virgin and said to be 75 million years old. Of the total district area of 1,67,130 hectares, forests occupy an area of 50,486 hectares which is about 30.2% of the total geographic area of the district, following Nilgiri district with 59% and Dharmapuri district with 38% in the state of Tamil Nadu.

▶ Kanyakumari Wildlife Sanctuary

Kanyakumari Wildlife Sanctuary with adjacent areas of Kalakkad Mundanthurai Tiger Reserve and Neyyar Wildlife Sanctuary of Kerala state constitute the southern-most tip of Western Ghats, a biological hot spot and a UNESCO World Heritage Site. The natural vegetation of this region represents biomes ranging from southern thorn, dry deciduous, moist deciduous and semi-evergreen forests to evergreen hill sholas with grassy plains. The tract is exceedingly rich in wildlife and harbours a variety of mammals. The avifauna and reptilian and amphibian fauna of this region are also rich and diverse.

In recognition of the tremendous biological potential, Kanyakumari forest division was declared as Kanyakumari Wildlife Sanctuary in 2002 (vide G. O. Ms. No. 152 dated 16.07.2002) with an extent of 45,777.57 hectares. Later, in 2007, Kaani tribal settlements, the approach road to the settlements and the area leased out to the government-owned Arasu Rubber Corporation were excluded from the sanctuary vide (G. O. Ms. No.128 [E&F] dated 20.11.2007) and an area of 40,239.55 hectares was declared as the Kanyakumari Wildlife Sanctuary.

▶ Ecological significance

The ecological significance of the Kanyakumari Wildlife Sanctuary is of paramount importance. The forests serve as a catchment area for 10 reservoirs namely Pechiparai, Perunchani, Chithar-I, Chithar-II, Upper Kodayar, Lower Kodayar, Kuthiyar, Chinna Kuthiyar, Poigai and Mambalathuru. These reservoirs irrigate an area of about 50,000 hectares and feed about 2,500 ponds and more than 500 km length of channels. The wellbeing of these water systems is closely related to the prosperity of the farmers of the district as the economy of the district depends on agriculture. Hundreds of hill streams collect the rainwater from precipitation in the reserve forest. These streams drain into Kodayar, Paraliyar, Pazhayar and Valliyar.

▶ Floral significance

The vegetation and flora of Kanyakumari Wildlife Sanctuary are exceptional because of an extraordinary variety of species found within a small area. Kanyakumari Wildlife Sanctuary is floristically one of the richest areas in India harbouring not less than 3,500 species of flowering plants. The IUCN has identified 11 plant species as critically endangered, 12 species as endangered and 21 species as vulnerable. This indicates the fragile nature of the ecosystem and the unique floral diversity of the forest.
Geomorphological significance

Kanyakumari forests, though small in area, have as many as 14 forest types according to the Champion and Seth (1968) classification (Table 3.1):

Table 3.1. Types of forests in India.

<table>
<thead>
<tr>
<th>No.</th>
<th>Type of forests</th>
<th>Species</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>1A/C3  Southern hill-top tropical evergreen forests</td>
<td><em>Calophyllum elatum</em>, <em>Cullenia excelsa</em>, <em>Hydnocarpus</em> sp.</td>
</tr>
<tr>
<td>2.</td>
<td>1A/C4  West coast tropical evergreen forests</td>
<td><em>Calophyllum elatum</em>, <em>Cullenia excelsa</em>, <em>Hydnocarpus</em> sp.</td>
</tr>
<tr>
<td>3.</td>
<td>2A/C2 West coast semi-evergreen forests</td>
<td><em>Hopea parviflora</em>, <em>Mesua ferrea</em>, <em>Kingiodendron pinnatum</em></td>
</tr>
<tr>
<td>4.</td>
<td>3B/C1(b) Moist teak forests</td>
<td><em>Tectona grandis</em>, <em>Pterocarpus marsupium</em></td>
</tr>
<tr>
<td>5.</td>
<td>3B/C1(e) Slightly moist teak forests</td>
<td><em>Pterocarpus marsupium</em>, <em>Emblica officinalis</em>, <em>Carreya arborea</em></td>
</tr>
<tr>
<td>6.</td>
<td>3B/C2 Southern moist mixed deciduous forests</td>
<td><em>Terminilia paniculata</em>, <em>Albizia odoratissima</em>, <em>Dillenia pentagyna</em></td>
</tr>
<tr>
<td>7.</td>
<td>5A/C1(b) Dry teak forests</td>
<td><em>Tectona grandis</em>, <em>Pterocarpus marsupium</em></td>
</tr>
<tr>
<td>8.</td>
<td>5A/C3 Southern dry mixed deciduous forests</td>
<td><em>Anogeissus latifolia</em>, <em>Pterocarpus marsupium</em>, <em>Wrightia tinctoria</em></td>
</tr>
<tr>
<td>9.</td>
<td>5/DS2 Dry savannah forests</td>
<td>Mostly grasses like lemon grass</td>
</tr>
<tr>
<td>10.</td>
<td>6A/C2 Carnatic umbrella thorn forests</td>
<td><em>Acacia Planifrons</em>, <em>Zzyphus xylopyrus</em>, <em>Cimnophora caudate</em></td>
</tr>
<tr>
<td>11.</td>
<td>6A/C1 Southern thorn forests</td>
<td><em>Zzyphus xylopyrus</em>, <em>Albizia amara</em></td>
</tr>
<tr>
<td>12.</td>
<td>6A/DS1 Southern thorn scrub</td>
<td><em>Zzyphus xylopyrus</em>, <em>Albizia amara</em></td>
</tr>
<tr>
<td>13.</td>
<td>8A/C1 Southern sub-tropical hill forests</td>
<td><em>Zyypium amottianum</em>, <em>Vitex wightiana</em>, <em>Actinodaphne hookeri</em></td>
</tr>
<tr>
<td>14.</td>
<td>8A/E1 Ochlandra reed brakes</td>
<td><em>Ochlandra travancorica</em>, <em>Ochlandra brandisii</em>, <em>Ochlandra rheedii</em></td>
</tr>
</tbody>
</table>

Source: Champion and Seth, 1968.

3.2. The Kaani community

The Kaani hill tribe inhabits the forests of Kanyakumari district in 48 settlements in the Western Ghats at the southern tip of India. The habitat of the tribal people is scattered inside the forest. Traditionally, the Kaani is a semi-nomadic hill tribe which practised shifting cultivation and depended upon the forest for subsistence. They had a high degree of interaction with the forest ecology and its beings. They are an ethnic group with their own unique social institutions and organizations with self-rule, who prioritised harmonious relationships with their ecology through cultural and religious observations and beliefs.

In earlier days, they lived in treetop houses and caves. Now they live in small huts, built with bamboo and wild grass. The walls are made of flattened bamboo and floors with mud. There are no separate rooms in the huts. The hut has a single room, which is called *padi*, *kanikkudi* or *kanipatti*. The hut is devoid of a kitchen, windows, ventilation or a toilet. The floor is made of mud and smeared with cow dung.
3.2.1. Living in consonance with nature

The first thing one notices about the Kaani indigenous people is how they use the natural resources in forests with minimum disturbance. They are nature worshippers and revere the forest and its animate and inanimate inhabitants. They secure their food, fodder, medicines, tools and all other requirements for their sustenance from the forests.

Due to their close relationship with the forests, the Kaani tribe possesses inherent knowledge about animals. The Western Ghats is one of the major habitats of the Asian elephant and the forests in Kanyakumari are considered an elephant corridor. Due to the illegal demand for ivory, elephants often become the victims of poachers. The Indian government is initiating efforts to save the elephants. The Kaani tribal people have an inherent intuition to track elephants. Due to this, the Tamil Nadu Forest Department engages the Kaani tribe in tracking elephants, monitoring their habitat and breeding patterns and vigilantly watching their movements.

3.2.2. Food culture of the Kaani

The Kaani people get their food by harvesting or collecting forest produce and sharing the food among the community. They collect fruits like jackfruit and mango, edible green leaves, mushrooms, tubers and honey. They cultivate coconut, areca nut, banana, pineapple, vegetables and tubers. Tapioca is their staple food but now they find it impossible to grow it as the tapioca crops are raided by wild animals. Deforestation has resulted in less forest space to be shared between the tribal people and animals.

They depend heavily on the monsoon rains for agriculture and do not have any other type of irrigation for crops. If rains fail, they are forced to refrain from agricultural operations.
The land is fertile, and the people do not use any artificial fertilizer. They do not adopt any pest control. Because their gardens are of a manageable size, large scale attacks by pests – the kind that threaten conventional farms covering vast acres of land – are rare. For common types of garden pests, they use local traditional folk remedies with organic herbal derivatives to keep them out.

### 3.2.3. Political structure

Earlier the traditional social structure of the Kaani community was that of a highly co-ordinated unit under the control of a tribal chieftain, called the Mootukaani. Traditionally, the Mootukaani combined the roles of the law maker, protector and dispenser of justice, physician, and priest.

A triumvirate, having a 'head or chief' named Mootukaani, a secretary called Vizhi-kaani and a physician named Pilathi, governed the tribal community administration. The triumvirate administers the community in decision making, judgement, punishment, celebrations, rituals, facing the challenges of natural disasters and attacks by wild animals and maintaining the integrity and cohesion of the community. They solve their own problems, issues and disputes. They do not allow outsiders to interfere in their problem solving nor do they seek their aid. Major decisions are made at the community level.

However in due course of time, the traditional system of governance in the Kaani community has been gradually eroded to a large extent and the role of the tribal chief is only a token one. Their day-to-day activities and system of governance today are linked to that of non-tribal people who live in and around the forest fringes. This system of governance, referred to as the Panchayat Raj system, is based on the principle of devolution of administrative powers to the local village level, dependent on democratic principles and has been institutionalised under the Constitution of India.

The tribe adopts an egalitarian value system. No one claims a superior status, nor does the community allot a superior position to any one based on social, economic, cultural or educational criteria.
The Kaani people are not swept away by the mainstream culture of modernisation and sophistication as the tribal settlements are far away from the din and bustle of city life. Access to a majority of the tribal settlements is not easy, as they are not connected by motorable roads. Many settlements are located beyond dams so that one has to undertake risky travels in water by crossing the dam, followed by steep uphill treks through forest trails. The huts are not established in clusters, but each one is apart from the other.

### 3.2.4. Material culture of the tribe

The Kaani tribe is a vast repository of one of the rich cultural heritages of India. Their material culture reveals their deep-rooted perceptions of their culture with a distinct identity of the community. They maintain a traditional culture communicated from their ancestors and keep the traditions of their lineage alive. They have learnt the traditional folk technologies and share their treasure of traditional wisdom through cultural transmission processes.

![Photo 3.3 A typical hut of the tribe.](image)

Folklore studies of material culture typically address how objects are designed, made and used, and what they mean on various levels to those who make and use them. Folklorists are also interested in the objects themselves, and in such matters as their shapes and dimensions, the materials from which they are made, their decorative elements, and the variations among different makers and groups, as well as variations over time and place. In general, folklore studies of material culture have favoured handmade objects and craftsmanship itself has been a special focus.

### 3.2.5. Animistic worship

The existence of the nature, human and spirit continuum is reflected in the life of the Kaani tribe. The living consciousness of spirits in nature allows them to have a different perspective from those of other communities. They believe in the invisible spirit or supernatural powers and refer
to the spirits as deities and have names for them. Animals, ponds, trees, rivers, stones, cliffs or mountains are abodes of spirits. The tribe lives constantly under the watchful eyes of spirits. The veneration of ancestors finds a very vital place in the tribal religious belief with strong faith in malevolent and benevolent spirits. They plant a tree at the grave of the dead and maintain sacred groves in the forests and firmly believe that anyone damaging a tree in the grove will be doomed forever. They perform rituals led by the priest-magician of their clan to avoid animal attacks, to safeguard themselves from animals and to ward off ailments and diseases.

For fertility, they symbolically display cycus leaves (*Cycus circinalis*), *ulathi* inflorescence (*Caryotaurens*), areca-nut bunches, bunches of bananas and tender coconuts.

They strictly believe in the ethics of conservation and are against destruction, disruption and devastation. They maintain certain taboos, for example, the belief that consuming cow's milk would incur the wrath of God as the cow is revered as a sacred animal.

Some specific plants that are considered sacred are grown by the Kaani tribal people in the vicinity of their houses and temples, for example, *Ficus bengalensis*, *Ficus religiosa*, *Mangifera indica* and a host of others.

Rituals have a major role in environmental ethics among the Kaani tribe. They maintain religious or ritual representation of resource management. Before cutting a tree, they perform a *pooja* led by the clan’s priest-magician *Pilathi* to the spirits with the belief that they are killing a tree with a life and the spirit residing in the tree should not avenge itself. While constructing a hut with forest wood, they perform another ritual led by the *Pilathi* so that the spirit allows the inhabitants of the hut to live in peace and harmony.

![Photo 3.4](image-url) The priest-physician *Pilathi* performing a ritual with his assistant.
3.2.6. Ethical consumption

Religion has a strong role in restraining consumption patterns of the Kaani tribal people such as abstinence from consuming cow’s milk. The cow is regarded as a sacred animal in India and extracting its milk as food is a great sin against God. They ask a pertinent question: Is it fair on the part of humans to consume God’s milk? They do not consume beef as they believe that eating God’s meat is an equally great sin against God. They are not in the habit of domesticating cows. They are meat eaters, but not beef eaters. Most of the Kaani tribal people abstain from consuming wild pork, as wild boar is not a clean animal as it forages in dirt and in muddy areas.

Indigenous traditions closely tied to their bioregions for food, and material resources for clothing, shelter and cultural activities, tend to have their environmental ethics embedded in their views. Ritual calendars are derived from the cycles of nature, such as the appearance of the sun or the moon or the seasonal return of specific animals and plants. Indigenous communities have a very light environmental footprint compared with industrial societies. The Kaani people tenaciously cling to environmental ethics and ecological prudence amidst the current environmental stress to save the rich environmental heritage and biodiversity of the forests.

Photo 3.5 Kaani girls collect wild cashew fruit.

3.2.7. Linguistic diversity

Any people’s language is influenced by the people’s physical environment. Conservation biology needs to be paralleled with conservation linguistics. The Kaani community has a dialect called Malam-pasha (language of the mountain people) or Kaani-pasha (language of the Kaani people). Many of the names of places bear references to biodiversity, such as Keeri-Paanai (Mountain of Mongoose), Pura-villai (Garden of Dove) and the proverbs also bear relevance to biodiversity, for example, pannipola urangatha (‘do not sleep like a boar’), nina-puli-pidichin-athu (‘behave properly, or the tiger will attack you’).
3.2.8. Material culture

The objects and technologies required to make the materials of human requirements formed linkages between life and the surrounding environment. The mastery of primitive skills comprises two factors – the method and the technique. The method is in the mind and the technique is in the hands. Method is the logical manner or set of systematic and orderly processes, using a preconceived notion.

It is based upon the obvious premise that the existence of an object created by humans is concrete evidence of the presence of a human mind operating at the time of fabrication. The common assumption underlying material culture research is that objects made or modified by humans, consciously or unconsciously, directly or indirectly, reflect the belief patterns of individuals who made, commissioned or used them, and by extension, the belief patterns of the larger society of which they are a part. The Kaani tribal people live in accordance with the rhythm of nature and secure everything from the forests for their livelihood and material needs. The materials go down deep into their inner recesses leading to a strong communion with nature and a sensed knowledge of their ancestors. The culture is passed on from ancestors to posterity through practical exercises and the present generation acts as culture-bearers.

They use a collected assortment of traditions and technologies with tools. They have created tools and innovations, an inevitable human need for their survival. The material culture refers to the totality of physical objects made by a people for the satisfaction of their needs; especially those articles requisite for the sustenance and perpetuation of life.

A host of such materials are used to drive away wild animals that raid and destroy their agricultural crops. The tools reveal the relationship of the tribal community with the range of environments they face and experience.

The population pressure of people inside the forests by way of rubber plantation workers with their residential quarters, roads and other infrastructure facilities for residential areas, vehicles for transportation of people and materials, hydroelectric power stations, a host of private plantations, research plantations, and unplanned eco-tourism heavily contribute to habitat disturbance of wild animals in the Kanyakumari forests. The human population on the forest fringes also exerts severe stress on forests and they rely on forest resources as they secure most of their needs from forests.
3.2.9. Ecological imbalance and human–animal conflict

Owing to ecological imbalance, there is an imbalance in the predator-prey ratio in the wild and population of some specific species of predators such as tiger, panther, hyena, Indian wild dog, small fox, wolf and snakes has drastically dwindled while the population of some prey species such as wild boar, bonnet macaque, sambar, langur, porcupine and hare has exploded. The population explosion of the latter has resulted in severe destruction and wreaked havoc upon agricultural crops.

The current pace of forest land conversion for non-forest activities and monoculture plantations of rubber in Kanyakumari forests deprives wild animals of wild fruits, tubers, wild jackfruit, wild mango, nuts, greens, Naval (*Syzygium cumini*) and Aiyini (*Artocarpus hirsutus*). A high degree of habitat disturbance is experienced by the wild animals and as a result they are forcibly pushed out from their own native habitats in the wild to agricultural areas for foraging and to satiate their food and water requirements.

The prevailing drought conditions, drying of water resources in the interior forests, truant monsoons, the impact of global warming coupled with climate change severely aggravate the human versus animal conflict.

▶ Tools to combat wild animals

The Kaani indigenous community has traditionally developed many tools to combat wild animals that raid their agricultural crops. Some of the tools to chase out wild animals are listed here.

![Photo 3.7 A tribal person with Adi-udukku.](image)

*Adi-udukku*: This is a piece of bamboo, measuring about three feet with part of the top portion removed (Photo 3.7). Then a cut is made perpendicular to the bamboo. When it is operated manually, one-half moves upwards and strikes the other bottom half, making a noise that scares the animals and causes them to run away from the field. This tool is used to scare away wild animals such as monkeys and squirrels that destroy the crops during the day, and sambar deer that wreak havoc at night.
**Kudukkai:** This is a piece of bamboo about three feet long with a reaper measuring one foot attached at the middle, tied to a string (Photo 3.8). When the tool is in operation, the attached wooden piece strikes the central bamboo making a noise that scares the animals, causing them to leave the agricultural fields.

![Photo 3.8](Photo 3.8) A tribal person with a Kudukkai.

**Kal-Vil** (Stone bow): This consists of a bow with two strings and in between the strings at the middle, they are united. In the strings, for a length of about two inches, there is a rectangular space covered with woven thread, where a stone can be attached (Photo 3.9). Instead of an arrow, a round stone is used. This bow is used to chase away monkeys and squirrels that destroy crops during the day.

![Photo 3.9](Photo 3.9) Kal-Vil (stone bow).
Nanthini: This is a bamboo tool that serves to eliminate animals from agricultural areas by making noise during the day and at night. It is made of bamboo measuring three feet, where part of the top portion is cut off. Down below, a small area is left and below that an area of one inch is cut off and removed. When the user strikes the top portion with two sticks each measuring one foot, it makes a noise that scares the animals (Photo 3.10).

Participating in the discussion workshop and exposure program on ‘ILK and Sustainable Forest Conservation’ by the Kaani indigenous people of Kanyakumari Forests, R. Madhavan Kaani, 78, a senior clan leader of Padu-parat tribal settlement, said that owing to ecological imbalance, the populations of specific species like monkey, wild boar, porcupine and sambar have increased, and these animals destroy all the agricultural crops throughout the year without any check.

C. Appu Kaani, 66, another senior leader of Cherukadathu-kaani settlement, said that the dwindling population of the predator species such as tiger, leopard, hyena and wild dogs and the local extinction of fox and wolf have negatively impacted forest ecology causing an increase in prey population. He called for the proper conservation of these predator species.

P. Sahadevan Kaani, 52, of Chacka-parai settlement detailed some of the traditional knowledge to drive out animals from agricultural fields. He highlighted that the menace and destruction of the various wild animals caused them to refrain from agricultural practices in some of the areas in the forest and depend on the government supply of rationed rice for their livelihood. If the present situation prevails, he feared that the future of agriculture in the community might be in doubt.

S. Kumar Kaani, 48, of Vellambi settlement, said that the wild boar is a great threat to the Kaani people in their farming, that wild boars dig the wet soil and eat away the earthworms. Earthworm is a friend of the farmers and if the earthworm is destroyed, it will result in reduction of the soil wealth and have a detrimental effect on composting of dead vegetative matter.

Mundathi Kaani, 89, of Vellambi settlement, a senior woman advisor of the clan, said that drought, water scarcity, failure and truancy of monsoons as well as forest destruction forced the wild animals to seek food and water in tribal residential areas and destroy the crops. The restrictions of the Forest Department in using forest resources add to the existing woes in their life.

The indigenous people revealed the following ILK aspects during the dialogue sessions.
Chasing elephants

The Kanyakumari forests have about 72 elephants in seven or eight matriarchal groups. Over the past five years, five people have been killed by elephants and about 20 have been injured.

The Kaani people use stone bow, fire and beating of drums using old tins to make noise to chase the elephants away from their area.

Sloth bear

Sloth bears, which live in higher elevations, are nocturnal animals that eat their favourite delicacy, jackfruit, and invade the jack trees during the jackfruit season from April to June.

They also eat domestic honeybee nests and the bees. Therefore, the tribal people, who domesticate honey bees, have to keep them at lower elevations and the people residing in higher elevations are not able to keep honey bees. The population of sloth bears is more than 500.

Wild boar

Wild boar is a perennial threat to the agriculture of the indigenous people and to their residential areas. The population of predators such as tiger, leopard, hyena as well as snakes such as cobra, king cobra and vipers has drastically dwindled, and consequently the population of wild boar has proliferated. Wild boars number more than 30,000. Making noise by sitting on top of rocks using metal tins and packs of trained country dogs to chase the wild boars are the ILK methods for deterring agricultural raids by this species.

Monkey

Monkeys are a severe destructive threat to the indigenous forest dwellers. They destroy all agricultural crops and invade the huts to take away anything and everything. They make terrestrial and aerial attacks on crops, fruits and all harvests. Their population has registered very high numbers due to ecological imbalance in the predator versus prey ratio. As the population of predators such as tiger, leopard, hyena, fox, wolf, cobra and king cobra has decreased, the prey population has drastically proliferated with a population of more than 30,000.

Using a stone bow, the Kaani people drive out the monkeys. They make a noise using the noise producing tools described above to chase them out from the fields.

They also use dried fish and banana in an earthen pot. The monkey takes the banana along with the dried fish, smells the fish and discards it. It rubs its palm to remove the remnants of the dried fish and tries to get rid of the obnoxious smell by rubbing its palm on a hard, rough, uneven surface such as a tree bark or a rock that causes injury to the palms. Since it experiences physical injury in the palm, the whole group of monkeys will avoid revisiting the area in future.

Alternatively, the top of a tender coconut is sliced open leaving a small hole and it is kept in a place in the agricultural fields that the monkeys frequent. The monkey puts its paw into the coconut to extract the kernel. It may not be successful in the initial attempt and struggles to pull its paw out, thus getting scared and trapping its paw in the coconut. After some struggle, it pulls its paw out and avoids the area for fear of risking an injury to the paw again.

Packs of trained country dogs are also used to chase away the monkeys.
Sambar and barking deer

Sambar and barking deer invade the agricultural fields at night and destroy the crops. The population of the sambar is about 4,000 and that of barking deer is about 3,000.

To chase these timid animals, a noise is made. Fencing made of thin bamboo and discarded twigs also prevents entry into the agricultural farms. Packs of country dogs are used to chase them.

Tree top huts

Bamboo huts are constructed on huge treetops to avoid the threat of and conflict with wild animals, especially during the nights. There is every possibility of the wild animals entering into their small, unsafe, unlocked huts and attacking people. Residing in treetops is a safe way to avoid confrontation with wild animals at nights. Presently, the tree top hut culture is vanishing.

One-leg bamboo ladder

The Kaani people live in tree top huts to avoid conflict with wild animals. A one-leg bamboo ladder with side branches of about one foot is used to reach the tree hut. After reaching the hut, the ladder is taken up the tree. When the occupants of the hut want to climb down, they use it. When any person climbs on a two-legged ladder, there is every possibility of a sloth bear or a leopard following the person by climbing on the ladder. However, the one-leg ladder is not viable for the animals to climb as it has only one bamboo.

Use of maadam

Maadam is a word in Tamil language, meaning a small, low roofed hut. This is made of bamboo and forest wood with roofing made of forest grass and it is usually open on all the four sides (Photo 3.11).
Usually, it is constructed on higher ground to monitor the movement of animals. In a majority of cases, it is constructed near a rock, so that if any wild animal chases people, they can climb the rock to avoid the attack. People stay in the maadam at night to monitor the movements of wild animals with the objective of driving out animals that raid their crops.

3.3. Impacts of ILK on sustainable conservation

The Kaani people help the Forest Department when elephants, sloth bear or any other animals enter the residential villages or forest periphery by chasing the animals using ILK tools. Forest laws are very strict and implemented with a good spirit that supports conservation and the Kaani community sincerely obeys the forest laws and cooperates in conservation activities.

The indigenous people are animists and do not harm the animals. By adopting ILK, crop raiding animals are not harmed and thus the Kaani people help in forest conservation.

The Kaani people serve as informers for the Forest Department. Entry into forests for non-tribal people is strictly banned and any illegal intruder is penalised; this helps to reduce human footprint in the forests, which helps in conservation. The tribal people alert the Department if anyone intrudes into the forest for hunting or poaching or to plunder forest resources.

3.4. Negative drivers

1. As entry into forests is restricted, indigenous people are not able to collect minor forest produce.

2. The traditional way of fishing is banned and they are unable to fish in dams and are thus deprived of their traditional fish food. Dams are leased to outsiders for fishing.

3. As using forest resources is prohibited, they have to buy all materials required for housing and other activities, often travelling to towns far away, incurring costs of materials and transportation which impacts their poor economy.

4. The destruction and devastation of crops by animals deprives the indigenous people of their livelihood and of their basic sustenance that ultimately results in starvation and penury.

5. Global warming and climate change have a severe impact on agriculture through failure and truancy of monsoons, water scarcity, drought conditions and by the constant crop raids by wild animals.

3.5. Suggestions

1. The Kaani indigenous people can be encouraged to grow alternative crops that are not invaded by animals.

2. Alternative job opportunities can be created with self-employment schemes.

3. Eco-tourism can be promoted: for example, boating, trekking and supply of indigenous traditional, organic food to eco-tourists at dams with the permission of the Forest Department.

4. Agriculture can be promoted by having proper fencing for protection from wild animals.
5. The Kaani tribal people can be made partners in conservation along with the Forest Department and NGOs.

6. Apiary and banana plantations with adequate protective measures can be promoted for their livelihood and to raise their economy.

7. Herbal gardens with nurseries that accommodate plants that are not raided by animals can be promoted as a commercial venture to boost their economy.

The Kaani tribe is inevitably facing gradual transition in all aspects of life. The forces of globalisation, free trade and the present communication revolution are making indelible impacts on their lives. The impact is felt more severely on the younger generation who are not interested in their culture. Acculturation and cross-culturalisation occur now. Consequently, erosion and dilution of traditional ethics and values espoused by their ancestors is observed, and this is why documentation of the culture of the Kaani community is vital. It is suggested that their pristine culture be documented to record a rich repository of cultural heritage of an indigenous community in India.

3.6. Documentation of indigenous knowledge and benefit sharing mechanism

The Kaani indigenous community is aware of the anti-fatigue properties of the Arogyapacha plant, *Trichopus zeylanicus* ssp. *Travancoricus*, which they eat during long treks in the hills. The tribal physicians have knowledge of ethnobotany and they pass it on to the next generation through oral transmission.

In 1987, a team of botanists came across this herb, when they went for a field survey in the forest in the Western Ghats, when members of the Kaani tribal community accompanied them. The team observed that the tribespersons ate some fruits that kept them energetic and agile; the team members were later offered these fruits during arduous trekking and upon eating them, they experienced renewed energy and strength. The team asked them about the source of the fruits, and after much persuasion and assurances that the information would not be misused, the Kaani people finally showed them the fruits.

Later, Jawaharlal Nehru Tropical Botanic Garden and Research Institute (JNTBGRI) produced a scientifically verified and standardised herbal formulation for its reproduction by crushing the plant's leaves and combining it with three other plants. JNTBGRI named this formulation *jeevani*, which means ‘giver of life’. The product is available in the form of granules and is mixed with hot water or milk.

With an interest in helping the Kaani people through a benefit-sharing agreement, Dr. Pushpangadan wanted to secure financial gains for the Kaani people. The committee chose a pharmaceutical company based in Coimbatore, India, to be the primary manufacturer, and in 1995, they signed a seven-year licensing agreement with JNTBGRI.

Recognising the rights of traditional knowledge holders can make a significant impact on economic and social development. Intellectual property (IP) protection is one of the most important tools through which this recognition can come. Even before the patent applications based on Arogyapacha were granted, they yielded strong financial gains, half of which was shared with the Kaani people. As this case reveals, the effective use of IP with benefit-sharing agreements can help to foster development for traditional knowledge holders and their communities.
Conclusion

Documentation of ILK will help the Kaani community get recognition in society. Strengthening of the traditional institutions is highly necessary as presently they are being swept away by the concepts of globalisation and communication revolution. Capacity building is vital as the Kaani indigenous community is far away from the mainstream society owing to the distance, hilly terrain and dense forests, specific culture, ban of entry into the forests for common people, low level of literacy, severe poverty, low status of economy and language barrier.

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4. Case study – Mukkuva community in South India: Socio-religious history and biocultural diversity

Robert PANIPILLA a and Johnson JAMENT b

a. Founding president, Friends of Marine Life (FML), Trivandrum, Kerala, India
b. Programme coordinator, Friends of Marine Life (FML), Trivandrum, Kerala, India

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Executive summary

- In this report, we only consider the most important and relevant information, especially with regard to biodiversity and ecosystem services, although we have collected a large volume of data from the two local follow-up meetings, focus group discussions and key informant interviews.

- The socio-cultural traditions and livelihood of Mukkuvar are deeply connected to marine ecosystems like estuaries, coastlines and the open ocean. In order to understand the history
of the Mukkuva community, awareness of marine ecosystem services is essential. There is an inseparable relationship between the sea and the Mukkuva community. The ocean and the marine environment have become an integral part of the history and evolution of the community.

▶ The language of the Mukkuvar has evolved through their interconnection with the sea and the marine environment. Their language contains many aspects of the marine environment and marine ecosystems. Acquiring this language is beneficial in order to understand the ecology of coastal areas. Protection of marine biodiversity also influences the protection of local and indigenous languages and vice versa.

▶ Livelihood practices demonstrate the unique features of seabed ecosystems and marine biodiversity in the study area. The features include large platform reefs, rocky reefs and multitudes of marine organisms including vast varieties of fish species. This has encouraged the seasonal migration of traditional fishers from one place to another, revealing their nomadic characteristics.

▶ Imported technologies such as bottom trawling and modern development trends like adakkam kollivava (one net for all with a large vessel) diminish their traditional knowledge and sustainable living practices. This encourages overfishing and over exploitation of fish stocks and destroys marine ecosystems in the deep sea. However, the Mukkuva community in Trivandrum has resisted and boycotted bottom trawling since its introduction in the 1960s. The community does not own any bottom trawlers and discourages community members from using the ring seine and purse seine as they are destructive methods of fishing.

▶ The indigenous technologies developed by fishers promote the sustainable use of marine resources and protect and promote marine biodiversity through their environment-friendly fishing techniques and through the building of artificial reefs to compensate for the destruction of natural reefs by fishing techniques such as bottom trawling.

▶ The study reveals that they have a deep and clear knowledge and understanding of three essential elements: the ocean, the sky and the seabed ecosystems. This triangular and integrated knowledge of the ocean, astronomy and the seabed ecosystems are essential for sustainable fishing.

▶ According to the fishers, some fishes have already disappeared, many fish species are threatened with extinction, and the numbers of other fish have reduced over the years. There are also examples of the arrival of new fish species, invasion of exotic species and the reappearance of some others that were thought to be extinct in their territorial waters. Apart from the depletion of fish stock, the numbers of phytoplankton in the ocean have reduced; their appearance has been delayed. Irregularity is a key feature. This information is invaluable in documenting climate change impacts.

▶ As a result of these changes, they are not able to catch fish with their traditional fishing equipment and small boats and they are forced to travel to distant places, which is too risky, more expensive and far too inefficient.

▶ Due to the human interventions from ‘others’ and coastal urbanisation by successive Governments made without the fishers’ consent and consultation, the coastal villages experience sea erosion and sea accretion. All these affect their socio-economic conditions.

▶ There are issues around Mukkuva community’s religious, caste and socio-cultural identity. Influences of colonisation and the Catholic Church are visible at almost all levels of their socio-cultural and religious settings.

▶ One of the key limitations of the case study is that the participation of women was limited therefore their contributions are insufficient. Very few experiences of women’s livelihood have been collected and collated for this report.
4.1. Background

This report is a case study about the Mukkuva community from the south-western state of Kerala in South India (Figure 4.1). It contains the outcomes of two follow-up local meetings that were conducted in Thiruvananathapuram (also called Trivandrum), Kerala, from August-September 2016, in which traditional fishers (Chelaalikkoottam, literally fishers elder groups or indigenous marine experts) and academics from the coastal fishing communities in Trivandrum and Kanyakumari (a district in the neighbouring state of Tamil Nadu) participated. The local meetings are a response to the UNESCO-IPBES regional workshop held in Chiang Mai, Thailand in June 2016. The discussions were focused upon the local and indigenous knowledge (ILK) of fishers with regard to marine biodiversity, the sea and the coastal environment together with their socio-religious history, and cultural and linguistic diversity of the Mukkuva and other related communities.

Mukkuva is a traditional fishing community, officially termed Latin Catholic Mukkuva or more recently Latin Catholic, which is a contentious issue. They are mainly located on the south-western coast of India. They constitute 42% of Kerala’s traditional marine fishers with a population of about 200,000, spread around 30 fishing villages in the capital district of Trivandrum. Kanyakumari, the district bordering the states of Tamil Nadu and Kerala, has 40 fishing villages belonging to Mukkuvar. Until the enactment of India’s States Reorganisation Act, 1956 along linguistic lines, both districts were part of the princely state of Travancore. However, the Mukkuva community in both districts of neighbouring states still continue their association with common historical, religious, linguistic and cultural orientations. Most of these villages are densely populated, and have large settlements made up of a series of clusters. They are among the most disadvantaged, disaffected and economically ‘backward’ communities in the states of Kerala and Tamil Nadu, whose source of living largely depends upon marine fishing and related industries. A unique feature of the Mukkuva community is that their spoken language is different from the official
languages of both Kerala and Tamil Nadu. They speak a mixture of both the regional languages, Malayalam and Tamil respectively. Another key aspect is that the fishing practices of these fishers are different from those in other neighbouring districts, and are characterised mainly by hook and line fishing that is based in seabed reef-based ecosystems.

4.2. Methods

For data collection purposes of this case study, two main meetings were conducted in Trivandrum, Kerala, South India:

- with ILK experts and practitioners in the capital city on 24 August 2016 and
- with the local community representatives from various fishing villages in a local context on 11 September 2016.

The meetings were followed by a focus group discussion on 7 October 2016 in which 15 people participated and six key informant interviews were held during the course of the month. Socio-religious history of the community was the main theme of the focus group. All the participants were from the Mukkuva community. Key informants with 30–70 years of fishing experience were recommended by other community members and were intentionally selected. The interviews were conducted individually according to the preferred time and place of the participants. All information was video recorded and relevant notes were taken. Ethical procedures were followed. Relevant acknowledgements have been made and confidentiality and security of data has been ensured.

4.2.1. Data analysis

The data were collated and coded according to the thematic framework that was initially developed for the purpose. As the database was huge and larger than expected, this report contains only the most important and relevant information on livelihood practices, socio-religious history, language and ecological knowledge of the Mukkuva community in South India.

4.3. The Mukkuva community and ILK

4.3.1. Socio-religious history

The earliest record of information from ILK experts suggests that all the South Indian inhabitants were grouped into five geographical landscapes; one of them is seashore or neithal where the people's main occupation was fishing, export business and related activities. The word Mukkuva is considered to have originated from mukku which means tip or corner in both Tamil and Malayalam languages. The people who live in the corner or the tip were known as Mukkuvar; they were concentrated in a particular and more isolated locality. Some coastal historians attribute their origin with reference to Araya-Dravida civilization.

The focus group data show that the origin and history of the Mukkuva community is related to ocean ecology and marine environment. There is a strong and deep interconnection between the Mukkuvars' settlement in the coastal areas and their marine environment and seabed ecosystems. Representation from the village for the study suggests that the origin and formation of each village is related to this interconnection with the sea and its bountiful resources. According to their marine expeditions, the discovery of new ecosystems for fishing purposes or seasonal
changes, they expanded their settlements and started to build temporary houses near the coast. They considered the sea and open beaches as their common livelihood areas, so they did not own them privately; as they believe that the ocean would be there always as would they. The participants view this as one of the many reasons for their varied and different cultural and economic practices such as poor saving and extravagance which has now become a huge problem for their survival. At the same time, people living in estuaries, promontories and cliffs have a different culture and history. All these suggest that ecological understanding is necessary when documenting human history.

Though there was a small group of native coastal communities in these villages, a higher number of the present dwellers have commonly originated from Kanyakumari district of the present Tamil Nadu state. This emphasises the fact that both Trivandrum and Kanyakumari coastal districts have a common origin and ancestors in line with the specific features of the inshore and deep sea marine ecosystems in these two districts. Better understanding of this may lead to ecological perspectives of human history – how people and nature are connected. Such a discussion was one of the highlights of the focus group discussion. However, the shoreline changes caused by both natural and largely coastal constructions negatively influence the socio-economic conditions of the people here. Some villages lost their beautiful and long beaches.

A vast majority of Mukkuva community members in the study mainly practise Christianity, predominantly Roman Catholic traditions, regionally termed as the Latin Catholic rite. A mass religious conversion movement initiated by the Portuguese Christian missionaries during 1600s under the leadership of St. Francis Xavier is particularly significant in their religious practices. Most of the Mukkuva villages have some stories associated with the arrival of St. Francis and his religious mission. Since then, they became part of an organized Catholic religion. Even after India’s independence up until 1955, a larger part of the Mukkuva community was controlled by the Portuguese Padroado system, according to the focus group participants. The system did not promote local and indigenous priests and the first local priest was selected from the richest family in one of the coastal villages. Most of the educational and health institutions were established only after the ordination of the local priests. There is a presence of sub-caste identity within the community which is directly linked with the history of their religious conversion. For example, some villages were known as sub-stations to the main village which was already a Christian village; there were limited inter-marriages between these different groups though these aspects are changing these days. There are also stories associated with signs of Christianity well before the arrival of European missionaries.

However, it does not mean that they have completely stopped their indigenous and traditional religious practices. They still believe in black magic, supernatural and inanimate beings, spirits and other extra-terrestrial forces. They worship natural forces and legends; Kadalamma or the Mother Sea/Ocean is worshipped and her blessings are sought for safety in the sea and abundance in fishing-related occupations. Even some Christian practices indicate their religious history and tradition. They believe in multiple deities expressed in the form of worshipping and celebrating saints and have preferences for particular deities while not excluding others and the love of a personal god or representational god is evident through their practice of pathukaval (village patron saint or sub patron saint) system. They have faith in the possibility of liberation and release (moksha). In their songs and mantras (manthrams), there are some references to Hindu gods, namely, Shiva and others. Lighting a lamp during religious and wedding ceremonies, offering flowers before the images of deities (or Catholic saints), shaving a boy’s head to gain the favour of St. Antony of Padua (anthoniyarpiritham), pilgrimage to Velankanni (a town with a church holding an idol of Mother Mary) some days after the wedding or expectation of pregnancy, and the rituals associated with cremation of an adult and hosting a feast after cremation are some examples of their Indian ethnic religious traditions.

Currently, the Mukkuva community is controlled and led by the Catholic (Latin) clergy; they represent most of the socio-political and economic forums relating to community affairs and
their support and interests and in some cases their approval is sought even by the government and other organizations. Though modern education and English language are promoted by the Catholic Church in the community, some others highlighted the evidence that there were deliberate efforts to discourage education in the coastal communities during the period of Portuguese control. The local village parish priest is the main leader or ex-officio who looks after not only the religious aspects but also the socio-economic concerns of the community including the management of educational institutions. Most of their village festivals are strongly influenced by the religious activities. Belief in many facets of Virgin Mary is a noteworthy feature. Propagation of religion is very high and participation in religious activities is mandatory, so they have become more of a religious community.

However, some community members are sceptical about the concentration of power in the hands of the Catholic clergy and the religious identity in the place of community identity which is closely connected to their ancestral roots. They cited that the Church establishments have not made any efforts to preserve and promote the coastal language and culture; instead they try to demolish them and portray fishers and their families as ‘subaltern and uncivilised people’ because of their indigenous characteristics. In many cases, the local church forcefully levies a tax on the fishers’ revenue which is usually 5%. The right to collect tax, or the kuthaka as it is locally known, is auctioned and usually goes to someone wealthy and hands the money over to the local church. (In the past, under the Portuguese Padroado system, another type of tax system, shraapeeli kuthaka, was practised. Shark fins were given to the church hierarchies, and the collection was sent to European administrations; there were stringent punishments if this tax system was not adhered to.) This amount is usually spent on the activities of the local church and construction of buildings that mainly promote religious practices. Although, with strong opposition and resistance, some villages were able to stop such systems since the 1980s, some of the community leaders still need to fight against this ‘cruel imposition’ or ‘colonial practice’ followed in some villages.

4.3.2. Livelihood practices and language

Livelihood practices of the Mukkuva community under the case study suggest that they use both net fishing, and hook and line fishing. Hook and line fishing requires a higher level of knowledge, skill and understanding. Their fishing practices show a deeper understanding of seabed morphology, seasonal variations, climatic conditions, astronomical objects and marine biodiversity of fish species. This is particularly the case when they are engaged in many forms of hook and line fishing through locating seabed ecosystems, assessing the underwater conditions and deploying an appropriate method of catching. As their knowledge, skills and understanding of seabed ecosystems improve, a good number of fishers travel to distant places and innovate in their fishing practices according to their expeditions, at the same time leaving others to continue to engage in their fishing activities in near-shore areas. This reveals their nomadic characteristics and migratory history. This also suggests that as a community, they both protect traditional fishing and encourage innovations. The hook and line fishing is concentrated on rocky reefs and platform reefs revealing the fact that Trivandrum and Kanyakumari coasts are blessed with these particular ecosystems.

One of the main indigenous technologies of the fishers is the kanicham or kaniyam. It can be considered as the equivalent of the modern world GPS system and for this, fishers use a triangular self-calculated method that they assess simultaneously using the reef-based ecosystems (or seabed morphology), pole star (which is known as kaniyavelli, meaning the star for kanicham) at night or raakanicham which is the term for identifiable objects on land such as hills, mountains, tall buildings or towers during the day. This shows their integrated knowledge of three important natural elements: the ocean, land and the sky. They also build artificial reefs using materials that are naturally available to them, but not using plastic-based or metal-based materials, since they have identified that ocean bed reef ecosystems were destroyed by the use of destructive fishing techniques by ‘others’.
4.3.3. Evolution of fishing techniques and fishing equipment

Several types of fishing craft and gear are employed: *Cataman* which is made of four wooden logs tied together with coir ropes used both for sailing and rowing purposes and *vallam* which is a small boat or plank canoe which is made by seaming together several planks of jungle jack with coir ropes. *Vallams* are of three types: *kettuvallam* which is made of large logs of softwood; *ottathadi vallam*, literally, single wood boat; and plywood or fibreglass boats that were introduced by a Belgian missionary. Outboard engines were introduced in the early 1980s. They also use a variety of nets for surface level fishing according to the size and characteristics of particular fishes – for example, for anchovies they use anchovy net, for sardines, they use sardine net, for bigger fishes, they use different nets. For bottom fishing, they mainly use different varieties of hook and line fishing techniques and a long line technique that uses main line with baited hooks attached at intervals by means of branch lines called snoods. This line is made of cotton yarn. The skin and cell saps of local trees are used ensuring appropriate level of temper for the lining. This process is called *uthiyiduka* in the local language. Tamarind seeds are used to make the sails stronger for sailing purposes. These fishing techniques catch more adult fishes than juvenile ones, protect seabed ecosystems and encourage sustainability. The following changes have occurred in their methods of fishing since the 1960s: nylon fishing nets were introduced in the place of cotton fishing nets, *choondakkayar* (traditional line) has been replaced by *kankoose* (troll – the main line), natural bait has been replaced with artificial bait, and sails made of cotton or corduroy ‘*odupa*’, have become plastic-based. The process of making the traditional *odupa* is as follows: first, the *korathuni* (corduroy) is shaped in a triangular form, then it is dyed with shell bean of tamarind seed and then the sail *odupa* is ready for use. The fishers believed that it was not ethically right or that it amounted to cruelty toward the fishes to catch them without offering prey or to cheat them with artificial bait. They also have a history of resisting destructive techniques such as bottom trawling and ring seines.

They acknowledge that there is strong evidence of the depletion of fish species; a number of varieties of fish species has already disappeared and some of them are in the process of extinction, for example *parava* – trevally (*Lactarius lactarius*) fish. Some fishes are new arrivals in their territorial waters, such as *karikkadi*, a type of prawn (*Paraphalaenopsis stylifera*), and some others that fishers used to catch at a depth of 20 metres are now available at about 200 metre depth, for example *valaa* or ribbon fish (*Trichiurus lepturus*), as seen in Photo 4.1. At the same time, some fish species that were thought to be extinct have reappeared recently. The occasional arrival of plankton has been reduced and delayed: while they used to arrive in the middle of July, in 2016 it was at the end of September. According to the fishers and community elders, some of these changes have been mainly caused by some imported technologies such as bottom trawlers using Norwegian technology since the 1960s that has destroyed the marine ecosystems. Additionally, licences to foreign ships since 1990s to use the marine resources in the Indian Ocean have encouraged over-fishing. Due to these changes, some fishers have started to use bigger boats, bigger nets and engines with higher horse power to increase their fish catch. They are forced to travel greater distances to search for fish concentrations. These influences are evident in numerous technologies in traditional occupations, in the architectural designs and the nuances of language and the food habits.

![Photo 4.1](types_of_fishes.png) Types of fishes. From left to right: *parava*, *karikkadi* and *valaa*. Friends of Marine Life
4.3.4. Traditional knowledge systems

The Mukkuva community’s language has the potential of revealing rich marine, coastal, ecological and biodiversity data. A vast array of words is used to describe waves (kadal), wind (kaththu), ocean currents (valivu), astronomical objects (velli or meen), seabed ecosystems (paaru), directions (for example, mela-north, keela-south) and other ocean-related knowledge.

The waves have many shapes and forms as described by fishers. They are classified according to their source indicating various definite directions such as melaakkadal (the breaking of waves from north to south), keelaakkadal (the breaking of waves from south to north) and nerukadal (the breaking of waves from straight ahead, just opposite to the shore) (Photo 4.2). Usually, waves touch the shore with a 10 second gap, which is known as ottamedu (single wave). Occasionally, two waves come together at a time, which is known as irattamedu or iranamedu (double waves) especially during the rough season (Photo 4.3). During melakkadal, there is a phenomenon called kadalvaayneettam meaning there is more space between wave breaking and the shore. The fishers say that these phenomena are commonly caused by winds or wind movements. Sometimes, waves are also caused by the gravitational pull of the moon and the sun; high tides and low tides are called ettam and irakkam respectively. The monsoon waves are rough and can be extremely dangerous. Their terms describe the constant restless motion of sea water. This knowledge is very important to assess the threats and risks for fishing and ocean voyages.

Photo 4.2 Types of waves. From left to right: melaakkadal, keelaakkadal and nerukadal.

Photo 4.3 Types of waves. From left to right: ottamedu, irattamedu or kadalvaayneettam.

The fishers categorise major winds or wind systems into eight wind directions: This version of knowledge can vary with different locations. This is according to the ILK of fishers in Trivandrum.

a) koda or karakaatu (towards the ocean/easterly wind or wind coming from the east)
b) kondal or kadalkattu (towards the coast/shore or western wind or wind coming from the west)
c) kachaankaatu (towards south, from the north)
d) vadakaatu (towards north, from the south)
e) kachaankoda (towards south-west, from north-east)
f) kachaankondal (towards south-east, from north-west)
g) vadakoda (towards north-west, from south-east) and
h) vadakondal (towards north-east, from south-west).
This is represented in Figure 4.2: Wind systems. Note that west is indicated at the top instead of the mainstream notation with north at the top of the figure. This is because the sea in this region is to the west of the land, so the fishers use this to orient themselves. Thus west becomes the ‘main’ direction and the others are in relation to the west.

The ocean currents (valivu) move mainly in eight different directions:

- **a)** *unnakke* (towards the ocean)
- **b)** *karayittu* (towards the coast/shore/land)
- **c)** *melaattu* or *vaadanivaadu* (westward/eastwards from south towards north)
- **d)** *keelaatu* or *cholaneevaadu*, (eastward/northwards from north towards south)
- **e)** *mela unnakki* or *vaadanivaadu unnekki* (from south-east to north-west; towards the ocean)
- **f)** *melakanayittu* or *vaadanivaadu karayittu* (from south-west to north-east; towards the coast)
- **g)** *keela unmekki* or *cholaniivaadu unmekki* (from north-east to south-west; towards the ocean) and
- **h)** *keelaakanayittu* or *cholaniivaadukaarayittu* (from north-west to south-east; towards the coast).

Figure 4.3 is a representation of the ocean currents according to indigenous fishers. Note that West is again at the top of the figure unlike the mainstream notation which has north at the top.

Ocean currents are also *keevalivu* (deep water currents) and *mevalivu* (surface currents). *Keevalivu* and *mevalivu* can go in different directions and some times in the same directions. If the *valivu* or water movements are in two different directions, they are known as *valivu ivalivappettu*. According to the fishers, wind systems are one of the primary forces that make the water move or cause the motion of the ocean.
Strong currents, when flow of ocean water is stronger than usual, are called uratha valivu. A current which is called upperukkam vachu, flowing from the east to west, is very dangerous for kattamarams (catamarans) that ready for landing on the shore.

Some ocean currents are seasonal: during south-west monsoon (June–July–August or Aani–aadi–aavani: the Tamil months), south-west monsoon winds move from the west to east (cholanivaadu). During this time, the sea and the coastal environment are comparatively cooler than during other months. This season is known as kuluthi, when the submarine water is the coolest. As a result, deep sea fishes are forced to move to the ocean surface and/or they try to look for warmer weather conditions closer to near-inshore. This phenomenon enables the fishers to catch more fishes than during other months. The upwelling process is much more visible during these days and that leads to the arrival of karaneeru or plankton. Due to the large presence of planktons, they are able to catch more fishes during this season. Monsoon season is particularly features kachaankondal (wind movements from north-west to south-west) and cholanivaadu (ocean currents move eastwards). However, these have been changing over the last few years, for example, according to fishermen, the karaneeru which was usually visible in the middle of July arrived at the end of September in 2016.

Aggregation of plankton is called kadalkkarakal and they are of two types: poonkara and karaneeru. Karaneeru appears in the middle of July and that gives the sign when demersal fishes come up to the ocean surface and deep sea fishes come closer to the shore. The fishers say that there are changes in the ocean water and ocean temperature and it becomes colder than usual, there is upwelling in the higher stages and other similar factors. During the monsoon season, there is more fresh water joining the sea from estuaries and lagoons with the possibility of higher nutrients. This joins the natural currents in the seabed (near inshore seabed). Usually during this process more plankton are produced, and this attracts more fish to the area. This enables the fishers to catch more fishes. This is the most important and productive season for the fishers.
This understanding enables them to predict the type and volume of fish species and they are able to catch more fishes than usual. January – March is the *poonkara* season which is marked by the presence of surface water fishes such as tuna, sardine and other similar types. *Kavaru* (phytoplankton) is another type of plankton that would affect the fish catch: they shine at night in the sea water.

Traditionally, these fishers’ annual season commences with south-west monsoon or *aani-aadi* though the first month of Tamil calendar is *chithirai* (corresponding to mid April – mid May) and Malayalam calendar is *Chingam* (August – September). This could be also termed as their season of harvest due to large blooms of plankton and phytoplankon in the sea and consequent large catches of fish, although there can be seasonal changes due to heavy rains and strong winds during the monsoon season.

### 4.3.5. Stars and astronomical knowledge

*Aaraameen* or Pleiades helps the fishers to locate the landmark that guides them to find their way back to the coast. *Malameen* or *malayaameen* or *Spica* is a star that appears in the sky at around 11 p.m. and 3 a.m. and helps them to identify a particular ecosystem with multitudes of *chemeen* fishes. *Mulakkaameen* (*muzhakkol*) or the Orion’s Belt is a group of three stars which rise on 10th *Chithira*, the first month of the Tamil calendar. This signifies a rough sea and strong wind, and is likely to be very dangerous with risky weather conditions for fishing. They are therefore naturally discouraged from fishing at this time. The sun and the moon influence the ocean weather conditions. During the full moon, the fish catch is comparatively less so the fishers usually take a break. According to them, this astronomical knowledge is essential for their livelihood and for helping them to navigate, understand the behaviour of the sea and that of the fish according to weather conditions and to reach their original destination and point of departure.

### Conclusion

Apart from what has been mentioned earlier, the data suggest that the fishers also have some other different seasons with reference to the availability of fish production such as *keelaameenu* (when fishes come from south-west), *melaameenu* (when fishes come from north-west), *thelivunaalu* (when water is clear and very few types of plankton are found) and others. People with tremendous knowledge about the seabed ecosystem, winds, seasons, currents, physiological factors, astronomy, behaviour of the fishes and the sea and other such aspects are known as *chelaali*. The fish catch and income from fishing depend upon the presence of *chelaali* in each fish expedition or boat.

In short, there is a feeling among the community that efforts should be made to bring back the lost glory of the community including community cohesion and unity and therefore more such discussions and dialogues should be held. Most of the areas that have been identified under the study would benefit from further research. The study encouraged participants to work collaboratively to document and disseminate the unique origins as well as the varied and different cultural and occupational practices of the Mukkuva community. On such efforts, one issue identified was that there is a high brain drain from the community. This means that some people who are able to make contributions are motivated to leave the community as they are not happy with their indigenous identity. One of the key limitations of the study is that contributions from the women in the community were not collected. This is another area for future studies.
Additional sources of information


5. Traditional knowledge-based conservation and utilization of bioresources by War Khasi tribe of Meghalaya, India

B. K. TIWARI, a H. TYNSONG b and M. DKHAR c

a. Department of Environmental Studies, North-Eastern Hill University, Shillong-793022; bktiwarinehu@gmail.com
b. Ministry of Environment, Forest and Climate Change, North Eastern Regional Office, Shillong
c. Department of Botany, Union Christian College, Umiam, Ri Bhoi

Abstract

The survival of humankind depends upon the availability of bioresources and their judicious utilization. Indigenous peoples across the world have evolved their customary policies, principles and practices on conservation and utilization of bioresources based on experiential learning, and the associated knowledge was passed on from generation to generation mostly through word of mouth. Northeast India is home to more than 200 tribes who are almost fully dependent on bioresources for their livelihoods. A number of these tribes hold a vast body of knowledge related to the management of bioresources. This paper documents the practices followed by War Khasi tribe of south Meghalaya, India, for conservation and utilisation of bioresources. People belonging to this tribe nurture bioresources in the vicinity of their habitations, near water sources, on the steep slopes and other ecologically sensitive lands. The maintenance of sacred groves, village restricted forests, village supply forests and clan forests in almost every village is an example of forest management which is need-based and qualifies all scrutiny of modern scientific forest management. Traditional fishing, bird harvesting, water conservation and health care practices also seem to be based on sound ecological principles. However, rapid population increase and expanding demand of cash income have put tremendous pressure on bioresources and, based on the results, it can be said that conservation of bioresources in future may not be possible unless appropriate governmental policies are framed for this purpose, based on indigenous and local knowledge.

5.1. Introduction

Bioresources include all products and services emanating from the natural environment that satisfy the needs and wants of humans. The survival of humankind depends upon the availability of bioresources and their proper management. Overexploitation of bioresources by growing population has given rise to many environmental problems that humanity is facing today. Destruction of vegetation has resulted in land degradation, denudation, soil erosion, landslides, floods, drought and distorted ecosystem processes. Traditional resource management systems are considered unbiased and often ensure equitable sharing of benefits from forests and other natural resources (Nongbri, 2003; Fitzpatrick, 2005; Hunnam et al., 1996). India is bestowed with rich reserves of bioresources and forests are among them. For generations, these forests have
been managed by the indigenous and local communities to enhance productivity and maintain their integrity. The practice of setting aside areas for the conservation of bioresources can be seen in several examples of sacred groves, royal hunting forests and sacred gardens (Gadgil et al., 1993). These practices involve a variety of restraints on harvesting in terms of quantity, locality, season and age, gender and social class (Gadgil et al., 1992). Norms are set up for the use of these resources by community institutions. These institutions regulate the use and preservation of bioresources such as forests through decentralised community control systems (Krishnan, 2000). In all, prudent use of the resource was practised which served as a common good for the communities who in turn shared a common interest and understanding towards the sustainable use of the resource. The practice of management of forests by indigenous people in the state of Meghalaya has been recently documented by Overlack et al. (2015) and Tiwari et al. (2013).

In the hill region of north-east India, large tracts of lands remained under the control of local communities. Several communities continued to manage their forests through community institutions (Poffenberger, 2007). Like many indigenous communities, the War tribe of south Meghalaya has a long tradition of natural resources conservation based on customs and religious beliefs which have been passed on from one generation to the other. These communities set aside patches of village forests for religious purposes under the traditional land tenure system (Gurdon, 1975). Traditionally, such forests are called Law Kytang and Law Lyngdoh. Ethnic groups across the globe possess tremendous amounts of traditional knowledge (TK), most of which are poorly documented and hence are largely unknown. Meghalaya, situated in the northeastern region of India, is a treasure house of TK on various disciplines including flora and fauna. Traditional knowledge has been reported on healthcare, fisheries, forest management, pest management, etc. (Tynsong and Tiwari, 2008). Such knowledge still remains largely unreported especially from the northeastern region of India. Recording such knowledge is crucial before it gets lost forever in the rapid drive of modernisation and globalisation. The role of TK in meeting the larger goals of biodiversity conservation and understanding the impacts of climate change at small scales is gaining importance in current mainstream conservation paradigms. Overexploitation, in conjunction with intense habitat transformation, represents one of the main worldwide threats to biodiversity. In the tropics, this problem acquires greater significance due to overlapping of high biodiversity with high human population and the greater dependence of humans on bioresources for subsistence and profiteering. Hence the threat is not only to biodiversity but also to the large number of people who depend on bioresources for their survival and subsistence (Tynsong et al., 2009). The present research was undertaken to document and discuss the traditional knowledge associated with conservation and utilisation of bioresources by the War community of south Meghalaya.

5.2. Study area

The study was conducted in south Meghalaya, India, locally known as the War area. The area is located between 25°6’25”–25°18’29” N latitude and 91°57’38”–92°1’26” E longitude (Figure 5.1). The surveyed villages included: Lyting Lyngdoh, Mawkria, Mawlat, Mawpran, Mawshun, Mawriang, Myllat, Nohron, Nolikata, Nongkhlieng, Nongsder, Pongtung, Pynursla, Ranikor, Siatkbon, Umkrems, Wahurumrem, Wahlahkhat and Wahlyngdoh. Cherrapunjee-Mawsynram plateau, one of the wettest places on earth, is located in this region. The altitude of the study area varies from 10 m to 1200 m asl. The mean annual maximum and minimum temperature is 23 °C and 13 °C respectively. The mean annual rainfall is 11,565 mm. The slope of the area is predominantly towards the south. The area has a large number of rivers and rivulets, which drain into the plains of Bangladesh. At times, narrow and deep river valleys separate one hill range from the other. The population density is sparse. Horticulture, forestry and fisheries are the principal occupations of the people. Agriculture is limited to some small valleys where mainly tuber crops are grown. Areca nut, orange, betel leaf, jack fruit, bay leaf, honey and broom
grass are the important produce of the region. The area is inhabited by War Khasi people, a tribal community with a long tradition of forest conservation. People gather a variety of edibles from the water bodies found inside and near the forests, such as fish, frogs, crustaceans, molluscs, bush meat, tubers, wild fruits, medicinal plants and wild vegetables. The staple diet of the local inhabitants is rice, fish and meat. People collect, process and market a large variety of non-timber forest products (NTFPs) and medicinal and aromatic plants (MAPs) such as *Cinnamomum tamala*, *Piper peepuloides*, *Phrynium capitatum*, bamboo, honey, mushrooms, nuts, tubers, edible worms, insects and leafy vegetables from the forests (Tiwari, 2000). Farmers of south Meghalaya have developed a system in which arecanut groves are deliberately and intensively grown while maintaining most biodiversity elements of the natural forests (Tiwari, 2005).

The natural vegetation of south Meghalaya ranges from tropical evergreen to sub-tropical evergreen forests (Balakrishnan, 1981–1983). The plant species in the forests are distributed in distinct vegetation layers. The important evergreen trees found in south Meghalaya include: *Cinnamomum tamala*, *Daphniphyllum himalayanum*, *Myrica esculenta*, *Sarcosperma griffithii*, and *Syzygium tetragonum*. The deciduous elements include: *Betula alnoides*, *Cedrela toona*, *Engelhartia spicata* and *Ficus roxburghii*. The shrub layer is thick and is predominantly composed of *Andisia griffithii*, *Boehmeria malabarica*, *Goniothalamus sesquipidalis*, *Mahonia pycnophylla* and *Wallichia densiflora*. The ground vegetation (herb) is dominated by *Borreria pilosa*, *Commelina benghalensis*, *Impatiens* spp., *Ophiiorhiza hispida*, *Sonerila khasiana* and a large number of ferns. There are a good number of lianas and other climbers seen twining on the trees. The tree trunk and branches are covered with a large number of mosses, epiphytic ferns and a variety of orchids. The invasive weedy species like *Artemisia* spp., *Eupatorium* spp. and *Mikania micrantha* are also present in good numbers.

5.3. Methodology

Data on management practices, institutional arrangements and typology of traditionally managed forests were collected from government records and through interviews with officials engaged in management of forests in the state forest departments, autonomous district councils and the heads of traditional institutions. Various participatory research tools such as group discussions, semi-structured interviews, key informant surveys and on-site observations were used to acquire insight
into various traditional practices followed by the community. Visiting bird hunting, fishing and irrigation sites etc. allowed us to observe directly the construction of structures and procedures followed in these traditional practices. During the interviews, the hunters answered questions about each of the bird species they hunted, their hunting techniques and the reason for hunting the bird species etc. Prior informed consent was obtained from all interviewees. Plant species were identified with the help of the texts of Flora of Jowai (Balakrishnan, 1981–1983), Forest Flora of Meghalaya (Haridasan and Rao, 1985–1987) and Flora of Assam (Kanjilal et al., 1934–1940). The birds were identified by local people in their local languages and then we verified their zoological names by consulting with the preserved bird specimen available in the Zoological Survey of India, Shillong. The fishes were also identified with the help of Zoological Survey of India, Shillong.

5.4. Results

5.4.1. Forest management practices

Seven different types of community forests were recorded from south Meghalaya managed by the War community. Some of the community-managed forests found in the study area are briefly described in Table 5.1. The typologies of these forests are described subsequently.

i. Law Raid (group of village forests)

These forests are jointly owned by a group of contiguous villages. The area under this type of forest is generally large and stretches from one village to the other. This type of forest is managed by a Raid council which comprises the head of the group of villages (Sordar), and the heads of all the villages within the territory (Raid). No village can claim ownership over this type of forest. Although located in a particular village, all people within the Raid can access, collect and use the resources from these forests with prior permission from the Sordar if they are not protected or sacred forests. Such forests mainly benefit the poor people who do not own any forest land. The forest land belonging to the Raid can be allocated to families for shifting cultivation and other livelihood related activities in case the village council is not in a position to do so. Any village or its residents can approach the Raid for land and forest resources and, if available, the needy are provided resources by the Raid to enable them to meet their livelihood needs. For example: Law Raid Mawja (Table 5.1).

ii. Law Shnong (village forest)

These forests belong to and are the common property of a particular village. They are mostly found within the village boundary, and are usually set aside to meet bona fide day to day needs of the villagers. They are under the control and management of the village council (village durbar). Villagers can collect both timber and Non-Timber Forest Products (NTFPs) from these forests. In most villages, land under village forest is allotted for construction of houses for landless and poor people of the village. Collection of timber and fuelwood is restricted to personal use only and not for commercial purposes, whereas NTFPs such as mushrooms, wild vegetables and fruits can be collected for sale in local markets. Some villages have more than one village forest. In such cases, the village council has the responsibility of ensuring the sustainability of these forests. Towards this end, a certain period is fixed (typically 5–10 years) during which a forest area remains open for extraction of forest products, particularly collection of fuelwood. At the end of this period, extraction from this forest patch is prohibited to enable its regeneration while another forest patch is opened for extraction. In this way, the regeneration of the forest is ensured and the resource is conserved without affecting the availability of the forest produce for the people. In several villages, this common property resource is under stress as fuelwood demand is in excess of forest regeneration and therefore the village forests are degrading at a rapid rate. For example: Law Shnong, Mawshun (Table 5.1).
iii. Law Adong (village/raid restricted forest)

*Law Adong* is either under the control of a particular village or under the control of a *Raid* (group of villages). This category of forests is similar to village forest in terms of its overall management. The only difference is in the degree of protection. These forests are given a higher degree of protection, and access to forest resources is restricted. They are reserved particularly for the poorer families in the village and for some occasional needs by the village as a whole. Extraction of timber and fuelwood is usually restricted from this type of forest, but there are no restrictions on the collection of NTFPs such as mushrooms, edible fruits and vegetables, which can be extracted without affecting the health of the forest. Extraction of timber from such forests is allowed only when acute needs arise, such as for construction of houses for the poor and needy, for making coffins in the case of a villager’s death, for construction of community structures (a hall or school, for example) or in the case of natural calamities. The decision to allow extraction of timber from such forests is made by the village council. Mature trees are usually harvested for timber using selective felling methods. In certain cases, it was noted that mature trees were extracted for raising funds for a village or in other cases the revenues generated from the proceeds were shared equally among the villagers. In all such cases, the integrity of the forest was maintained and under no circumstances was the forest converted to other land uses. Examples of village restricted forest: *Law Adong* Siatbakon, *Law Adong* Pongtung, *Law Adong* Wahphadar, *Law Adong* Pynter etc. and *Raid* restricted forest: *Law Adong* Wahtyrjut *Raid* Nongkhlieng and *Raid* Shabong *Law Adong* (Table 5.1).

iv. Law Kur (clan forest)

This type of forest belongs to either one particular clan or more than one clan located within the village boundary. Some clans may own forests which are located outside their village. All members of the clan are entitled to a share of the benefits which are derived from the use of these forests. The management of clan forests is the responsibility of the whole clan, and no individual can sell land which belongs to the clan. Decisions regarding these forests rest with the clan council, which is usually led by the eldest uncle from the maternal side of the clan. Access to the forest and collection of forest products is permitted only for the households belonging to the particular clan. In some villages, collection of dead branches for fuelwood, dry leaves, and manure, are allowed for other villagers but only for their personal use. Most of the clan forests are well protected and are rarely converted to other land uses as it is thought to be their ancestral property which is to be preserved for future generations. Examples of clan forest owned by one clan: *Khlaw Khongnawloh Nongmadan Mawpran* and clan forest owned by more than one clan: *Ri Sawkur Nonglyngdiang Mawpran* (Table 5.1).

v. Law Ri-Kynti (private forests)

Generally small in size, these types of forests are owned by individuals and are scattered within the village boundary. Most of the forests in Meghalaya belong to this category. They are managed and used according to the requirement and wishes of the owner. These forests are mostly maintained for productive purposes and are often subjected to over-exploitation. Poorly stocked private forests are often converted to other land uses (for example, for agriculture or charcoal burning activities) by the owners. In south Meghalaya, most private forests have been converted into agroforests (forest gardens or home gardens). While collection of forest products by people other than owners’ family members is strictly prohibited, in some cases it was noted that the owners allowed fellow villagers to extract dead and fallen wood and NTFPs for their personal use. For example: *Ri Tynsong* (Table 5.1).

vi. Law Lyngdoh or Law Kyntang (sacred forests)

These forests may belong to villages, clans or *Raid* and are set aside for religious purposes. They are managed by the Lyngdoh (religious head) or persons to whom the religious ceremonies for the particular locality or villages are entrusted in accordance with customary practices. No timber
or NTFPs are removed for any purposes except for those connected with religious functions or ceremonies recognised and sanctioned by the Lyngdoh. Sacred forests are mostly natural forest, and are well preserved, often in their pristine state, and are very rich in biodiversity. In the past, almost every village in the Khasi Hills had a sacred forest (Gurdon, 1975). For example, village sacred forest: Law Kyntang Mawkliaw, clan sacred forest: Law Lyngdoh Mawshun and Raid sacred forests: Kharai Law Lyngdoh Nongkhlieng and Law Lyngdoh Lyting Lyngdoh (Table 5.1).

vii. Forest gardens

Tribal people living in southern Meghalaya, where the climate and topography is not conducive to agriculture due to extreme rainfall (>6000 mm) and steep slopes (20° – 40°), have developed a unique production system called forest gardens in which economically useful trees are managed within natural forests. These complex agroforests provide a high level of productive benefits and the biodiversity values are similar to those in village restricted forests or sacred forests of the area. The forest gardens are sources of cash income as they include economically important plants such as bayleaf (Cinnamomum tamala), pepper (Piper peepuloides), and packing leaf (Phrynium capitatum) for which a ready market exists (Tynsong and Tiwari, 2010). The maintenance of these complex agroforests in an otherwise fragile environment (very high rainfall and steep slopes) is an example of perpetuation and utilisation of forest-related indigenous and local knowledge for enhancing livelihoods. Example: Sohlong arecanut agroforest (Table 5.1).

5.4.2. Traditional health care system

The tradition of health care based on folk medicines is widespread and popular in south Meghalaya. The War communities are very knowledgeable about wild medicinal plants and depend on herbal products for the treatment of most of their common ailments and diseases. Medicinal plants mostly collected from the community forests are the most vital resource for the traditional health care systems. A total number of 85 medicinal plants were recorded from south Meghalaya (Tynsong et al., 2006). Almost every village has one or more such herbal practitioners. As many as 70 per cent of medicinal plants used in the traditional health care systems in the state come from natural forest (village restricted forests and village forests), 10 per cent from forest gardens and 20 per cent from home gardens. Folk knowledge related to medicine and health care exists in almost all the rural communities of the state and also supports the livelihood of many people. A majority of the people of the state including housewives, elders, midwives, herbal healers and bone setters use some form of folk medicine. The use of such knowledge and herbal ingredients in the treatment of common ailments, and in some cases, even major diseases or chronic ailments, cuts across social and economic strata (Tiwari et al., 2004).

5.4.3. Betel leaf cultivation

The tribal people of south Meghalaya grow betel leaf on slopes inside forests or under the shade of trees. The growers prune the tree canopy at the right time, making openings for light. In some places, the growers make bamboo channels for irrigation of the betel vines planted at the base of the trees – this is known as bamboo drip irrigation. The betel leaf growers observe strict hygiene of self, the implements and tools used for tending of the plant and harvesting of leaves. Thus, they prevent infection and maintain the plantation disease-free without using any pesticide. Within a few years, the trees sprout and develop a thick canopy and provide support and desired shade to the betel vine. These plantations provide most of the forest ecosystem goods and services while also providing economic returns to the planters. The cultivation of betel leaves inside forests without clear felling is probably the most sustainable agroforestry practice evolved by the indigenous people in the fragile slopes of southern Meghalaya that receive very high rainfall (Tynsong, 2009).
<table>
<thead>
<tr>
<th>Name of forests</th>
<th>Size</th>
<th>Management type</th>
<th>Dominant species</th>
<th>Products extracted</th>
<th>Remarks/history</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kharai Law Lyngdoh Nongkhlieng (Sacred forest)</td>
<td>1.5 km²</td>
<td>Raidship</td>
<td>Ficus spp., Toona spp., Lithocarpus elegans, Artocarpus heterophyllus, Sarcosperma griffithii and Bischofia javanica.</td>
<td>No extractions are allowed.</td>
<td>Village elders shared that about 20 years ago, rituals used to be performed by the Lyngdoh (priest), who stayed inside the forest and never cut even his hair. During that time, nothing was allowed to be collected from the forest; the forest was looked after by the Khongtyngkut clan but now the forest is under the Raid Nongkhlieng. During that time people believed that if someone destroyed or collected anything from the forest, his/her house would catch fire.</td>
</tr>
<tr>
<td>Law Lyngdoh Mawshun (Sacred forest)</td>
<td>1 km²</td>
<td>Clanship</td>
<td>Bambusa spp., Quercus spp., Schima wallichii, Castanopsis hystrix, Oroxylum indicum and Sarcosperma griffithii.</td>
<td>No extractions are allowed.</td>
<td>Originally, this forest was owned by the 5 clans (Khongdkhar, Rynjah, Khongbuh, Massar and Nongsteng). But as the village grew, the forest fell under the control of the 10 clans. To perform the rituals, these clans empowered either Khongdkhar or Rynjah clan. Which clan actually performed rituals was decided by performing rituals. The whole village had to contribute money towards the expenditure incurred during the rituals, and even the Raid Mawshun also contributed to the purpose. If someone destroyed or collected anything from the forest, his/her house would catch fire.</td>
</tr>
<tr>
<td>Law Lyngdoh Lyting Lyngdoh (Sacred forest)</td>
<td>1 km²</td>
<td>Raidship</td>
<td>Quercus spp., Schima wallichii, Castanopsis hystrix, Machilus khasyana, Bridelia retusa and Calicarpa arborea.</td>
<td>No extractions are allowed.</td>
<td>This forest is situated near the market way (Lynti iew). During performance of rituals, even entering the forest was not allowed. Now the forest is under the control of Raid Lyting and performance of rituals has stopped.</td>
</tr>
<tr>
<td>Law Kyntang Mawkliaw (Sacred forest)</td>
<td>2 km²</td>
<td>Village Durbar</td>
<td>Castanopsis spp., Quercus spp., Schima wallichii, Calicarpa arborea, Sarcosperma griffithii and Glochidion thomsoni</td>
<td>No extractions are allowed.</td>
<td>This forest is under the control of Mawkliaw village durbar (council).</td>
</tr>
<tr>
<td>Name of forests</td>
<td>Size</td>
<td>Management type</td>
<td>Dominant species</td>
<td>Products extracted</td>
<td>Remarks/history</td>
</tr>
<tr>
<td>-------------------------------------</td>
<td>---------</td>
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<td>----------------------------------------------------------------------------------</td>
<td>-----------------------------------------------</td>
<td>--------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Law Shnong Mawshun (Village forest)</td>
<td>2.5 km²</td>
<td>Village Durbar</td>
<td>Bambusa sp., Quercus sp., Schima wallichii, Castanopsis hystrix, Oroxyrum indicum and Sarcosperma griffithi</td>
<td>Firewood, Bamboo, Medicinal plants</td>
<td>This forest is protected with the main aim of serving the day to day biomass needs of the people in the village.</td>
</tr>
<tr>
<td>Law adong Pynter (Protected forest)</td>
<td>3 km²</td>
<td>Village Durbar</td>
<td>Schima wallichii, Quercus spp., Calicarpa arborea, Bischofia javanica, Sarcosperma griffithi and Glochidion thomsoni</td>
<td>No extractions are allowed.</td>
<td>This forest belongs to Pynter village. On special permission from the heads of the village, people can collect anything from the forest, especially if there is a fire outbreak in the village or other natural calamity.</td>
</tr>
<tr>
<td>Law adong Pongtung (Protected forest)</td>
<td>1 km²</td>
<td>Village Durbar</td>
<td>Castanopsis spp., Schima wallichii, Actinodaphne abovate, Quercus dealbata, Quercus dilatata and Syzygium tetragonum</td>
<td>Firewood, Broom, Medicinal plants.</td>
<td>On special permission from the heads of the village, people can collect anything from the forest, especially if there is a fire outbreak or other natural calamity in the village.</td>
</tr>
<tr>
<td>Law adong Wahtyrjut Raid Nongkhlieng (Protected forest)</td>
<td>2.5 km²</td>
<td>Raidship</td>
<td>Castanopsis spp., Myrica esculenta, Schima wallichii, Quercus dealbata, Quercus dilatata and Syzygium tetragonum.</td>
<td>Medicinal plants, Fruits, Firewood</td>
<td>This forest is under the control of the Raid Nongkhlieng, a local traditional institution with jurisdiction over a group of villages.</td>
</tr>
<tr>
<td>Law adong Wahumrem (Protected forest)</td>
<td>500 m²</td>
<td>Raidship</td>
<td>Castanopsis spp., Quercus spp., Actinodaphne abovate, Sarcosperma griffithi and Glochidion thomsoni.</td>
<td>Medicinal plants, Fruits, Firewood</td>
<td>This forest was under the control of the Raid Nongkhlieng, but it was looked after by Wahumrem village durbar.</td>
</tr>
<tr>
<td>Name of forests</td>
<td>Size</td>
<td>Management type</td>
<td>Dominant species</td>
<td>Products extracted</td>
<td>Remarks/history</td>
</tr>
<tr>
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<td>-----------------</td>
<td>----------------------------------------------------------------------------------</td>
<td>-------------------------------------</td>
<td>--------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Raid Shabong Law adong</td>
<td>7 km²</td>
<td>Raidship</td>
<td>Sezygium spp., Castanopsis spp., Quercus spp., Myrica esculenta, Schima wallichii and Glochidion thomsoni.</td>
<td>Medicinal Plant, Mushrooms, Fruits, Nuts.</td>
<td>This forest is looked after by the Wahpathew-Urksew village durbar, while the rituals and sacrifices are performed by the Raid Shabong.</td>
</tr>
<tr>
<td>Law adong Siatbakon (Protected forest)</td>
<td>9 km²</td>
<td>Village Durbar</td>
<td>Castanopsis spp., Schima wallichii, Actinodaphne abovate, Quercus dealbata, Quercus dilatata and Syzygium tetragonum</td>
<td>Medicinal Plants, poles, firewood, fruits, nuts</td>
<td>This forest was previously known as Phlang U Diah, but now is known as the Law adong Siatbakon. The rituals and sacrifices are performed by the Raid Shabong.</td>
</tr>
<tr>
<td>Lawadong Wahphadar (Protected forest)</td>
<td>500 m²</td>
<td>Village Durbar</td>
<td>Sezygium spp., Castanopsis spp., Quercus spp., Myrica esculenta, Schima wallichii and Glochidion thomsoni.</td>
<td>Medicinal Plants, poles, firewood, fruits, nuts</td>
<td>This forest is looked after by the Umkor village council.</td>
</tr>
<tr>
<td>Ri Tynsong (Private forest)</td>
<td>10 km²</td>
<td>Family</td>
<td>Ficus spp., Toona spp., Lithocarpus elegans, Artocarpus heterophyllus, Sarcosperma griffithii and Bischofia javanica.</td>
<td>Poles, Medicinal plants, Brooms</td>
<td>This forest belongs to one family of Tynsong’s clan.</td>
</tr>
<tr>
<td>Law Raid Mawja (Group of village forest)</td>
<td>4 km²</td>
<td>Raidship</td>
<td>Sezygium spp., Castanopsis spp., Quercus spp., Myrica esculenta, Schima wallichii and Glochidion thomsoni.</td>
<td>Firewood, fruits, medicinal plants</td>
<td>Any extraction made from this forest needs prior permission from the Sordar of Raid Mawja.</td>
</tr>
<tr>
<td>Name of forests</td>
<td>Size</td>
<td>Management type</td>
<td>Dominant species</td>
<td>Products extracted</td>
<td>Remarks/history</td>
</tr>
<tr>
<td>---------------------------------</td>
<td>-----------</td>
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<td>----------------------------------------------------------------------------------</td>
<td>-------------------------------------------------</td>
<td>-----------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Ri Sawkur Nongglyngdiang Mawpran (Clan forest)</td>
<td>6 km²</td>
<td>Clanship</td>
<td>Castanopsis spp., Schima wallichii, Ostodes paniculata, Quercus spp. and Myrica esculenta.</td>
<td>Firewood, fruits, medicinal plants</td>
<td>This forest is jointly owned by the 4 clans viz., Khongmawloh Diengdoh, Khongmawloh Syntiew, Khongmawloh Khongiar and Khongmawloh Khongthngan.</td>
</tr>
<tr>
<td>Khlaw Khongmawloh Nongmadan Mawpran (Clan forest)</td>
<td>2 km²</td>
<td>Clanship</td>
<td>Castanopsis spp., Schima wallichii, Actinodaphne abovate, Quercus dealbata, Quercus dilatata and Syzygium tetragonum</td>
<td>Firewood, Medicinal plants, Wild fruit</td>
<td>This forest is jointly owned by all families belonging to Khongmawloh clan.</td>
</tr>
<tr>
<td>Sohlong arecanut agroforest (Forest garden)</td>
<td>2–4 km²</td>
<td>Family</td>
<td>Areca catechu, Cinnamomum tamala, Piper peepuloides, Artocarpus heterophyllus, Quercus dilatata and Syzygium tetragonum</td>
<td>Cinnamomum tamala, Piper peepuloides, fuelwood, Phrynium leaf</td>
<td>People manage it mainly for arecanut, but also for many NTFPs and wild native plants.</td>
</tr>
</tbody>
</table>
5.4.4. **Bamboo drip irrigation**

In south Meghalaya, because of the sloping terrain of the area, irrigation is the main problem faced by the farmers. People have devised an ingenious system of tapping of water resources by using bamboo pipes to irrigate their plantations. Bamboo pipes are used to divert perennial springs on the hilltops to the lower reaches with the aid of gravity. Depending upon the size of bamboo (*Bambusa vulgaris*), about 18–20 litres of water entering the bamboo pipe system per minute is transported over a distance of several kilometres. This water has to be judiciously used by dividing it into 20–30 drops per minute at the site of the plant. Bamboos of smaller diameter (*Bambusa tulda*) are used for the diversion and distribution of water from the main channel to the specific plant. This traditional irrigation system is used mainly by farmers involved in cultivation of betel leaf (*Piper betle*), arecanut (*Areca catechu*) and orange (*Citrus reticulata*) in War area of Meghalaya.

5.4.5. **Community forests as source of water**

Springs and streams are the principal source of water in south Meghalaya. Villagers pay ample regard to these water sources. They use this water for drinking and make efforts to keep the sources clean and unpolluted. They have their own traditional system for the management of drinking water. They do not permit their cattle at the places from which they collect drinking water and do not allow anyone to throw garbage in its source/current to avoid pollution and infection. In villages where government supplies of water are inadequate or absent, water from these community forests plays an important role in their daily requirements. Ponds and wells are constructed at most water sources, and in some villages a signboard is put up bearing cautionary notes on how to use the water judiciously. A number of water pipes which draw water to the people’s houses in most of these forests can be easily seen (*Photo 5.1*). Some community forests act as a source of government water supply. For example, from *Ri Sawkur Nonglyndiang Mawpran* (clan forest) two government water pipes draw water which is supplied to two villages viz., Nongmadan Mawpran and Nonglyndiang Mawpran and from *Law Adong Siatbakon* (community protected forest) at Siatbakon, there is one government water pipe supplying water to nearby habitations. A few community forests supplying water to nearby villages are listed in Table 5.2.
Table 5.2. Community forests and number of water sources.

<table>
<thead>
<tr>
<th>Community forest</th>
<th>Water source (no.)</th>
<th>Water pipe (no.)</th>
<th>Village benefited</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Spring</td>
<td>Stream</td>
<td>Individual households</td>
</tr>
<tr>
<td>Law Lyngdoh Mawshun</td>
<td>3</td>
<td>-</td>
<td>4</td>
</tr>
<tr>
<td>Law Kyntang Mawkliaw</td>
<td>2</td>
<td>2</td>
<td>4</td>
</tr>
<tr>
<td>Law adong Pynter</td>
<td>1</td>
<td>-</td>
<td>6</td>
</tr>
<tr>
<td>Law adong Pongtung</td>
<td>1</td>
<td>-</td>
<td>2</td>
</tr>
<tr>
<td>Raid Shabong Law adong Pynursla</td>
<td>3</td>
<td>-</td>
<td>15</td>
</tr>
<tr>
<td>Law adong Siatbakon</td>
<td>3</td>
<td>2</td>
<td>14</td>
</tr>
<tr>
<td>Law adong Wahphadar</td>
<td>1</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>Ri Sawkur Nonglyngdiang Mawpran</td>
<td>4</td>
<td>-</td>
<td>10</td>
</tr>
<tr>
<td>Khlaw Khongmawloh Nongmadan Mawpran</td>
<td>10</td>
<td>-</td>
<td>12</td>
</tr>
<tr>
<td>Khlaw Khongmawloh Nongmadan Mawpran</td>
<td>10</td>
<td>-</td>
<td>12</td>
</tr>
<tr>
<td>Sohlong arecanut agroforest</td>
<td>1</td>
<td>-</td>
<td>5</td>
</tr>
</tbody>
</table>

5.4.6. Fish resources

The War Khasi community of south Meghalaya possesses a wealth of knowledge related to ethnofishery techniques. The community has evolved a number of techniques for harvesting fishes. These techniques are specialised according to the structure and size of the stream, the season, and species of fish intended to be harvested. The fishers have evolved several specialised and innovative hunting techniques for fishes. Principal among them are fishes locally known as: Riam kriah, Riam khohka, Buh kroh, Riam kyllong, Ring khashiar, Buh ruh, Krang Wah and Bia dohpieh. Thirteen edible animals collected from forest streams and rivers are listed in Table 5.3.

The people living in the study area have thorough knowledge of the habits, habitat, reproductive behaviour, food preferences and life cycle of the fishes found in the region. The communities also have a very good understanding of the plants that can be used as sedatives or as baits for catching the fishes. During recent years, the local people have taken several decisions pertaining to the management and conservation of stream fishes. These include a ban on chemicals and explosives for catching the fish in the streams. The village durbars (congregation of all adults of the village) do not allow fishing in bigger rivers during the breeding seasons of the fishes. Fishing is done on a small scale mostly for self consumption to maintain the stock and prevent over harvesting. The decisions of village councils are respected by every member of the community. Thus, the fishes are considered as a community resource on which every member of the community has equal right but no one has ownership. The community control over the resource has helped in perpetuation and conservation of the river fishes of War Khasi region of Meghalaya.
### Table 5.3. Fishes and other edible animals collected from forest streams and rivers of south Meghalaya.

<table>
<thead>
<tr>
<th>Scientific name and English name</th>
<th>Local name</th>
<th>Season/month of collection</th>
<th>River</th>
</tr>
</thead>
<tbody>
<tr>
<td>Neolissocheilus hexagonolepis (McClelland)</td>
<td>Katli</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
<tr>
<td>Neolissocheilus sp.</td>
<td>Unavailable</td>
<td>Dec-January</td>
<td>Umsong and Umsi</td>
</tr>
<tr>
<td>Unidentified</td>
<td>Unavailable</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Anguilla bengalensis bengalensis (Gray)</td>
<td>Indian longfin eel</td>
<td>Whole year</td>
<td>Umsong and Umsi</td>
</tr>
<tr>
<td>Cyprinus carpio (Linnaeus)</td>
<td>Common carp</td>
<td>May-August</td>
<td>Umsong and Umsi</td>
</tr>
<tr>
<td>Garra lamta (Hamilton-Buchanan)</td>
<td>Lamta Garra</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
<tr>
<td>Garra lissorhynchus (McClelland)</td>
<td>Khasi Garra</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
<tr>
<td>Lepidocephalus caudofurcatus (Tilak &amp; Husain)</td>
<td>Tilak loach</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
<tr>
<td>Channa orientalis (Bloch &amp; Schneider)</td>
<td>Asiatic snakehead</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
<tr>
<td>Unidentified</td>
<td>Unavailable</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
<tr>
<td>Pseudecheneis sulcatus (McClelland)</td>
<td>Sulcatus catfish</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
<tr>
<td>Crangon crangon (Linnaeus)</td>
<td>Brown Shrimp</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
<tr>
<td>Uca sp.</td>
<td>Crab</td>
<td>Whole year</td>
<td>Umsong, Umsi, Umshrei, Durit, Umjar and Umkhath</td>
</tr>
</tbody>
</table>

### 5.4.7. Bird resources

In south Meghalaya, the art and science of bird hunting has evolved with the local communities and is being passed on from generation to generation. The War Khasi community possesses a wealth of knowledge related to bird hunting. There have been few studies conducted in Meghalaya regarding wild fauna resource population, especially on birds: their extraction rate, season of availability and social demand. In an effort to understand the importance of birds as a wild resource of rural tribal people of Meghalaya, we documented the local hunting techniques, season of availability of birds, tools used in hunting and purpose of hunting. It was found that bird hunting in the forests has been practised since time immemorial and represents not just a form of resource extraction but also a traditional form of wildlife management. Thirty species of birds were found to be most hunted and were used by the local communities for various purposes such as food, pets, recreation, sports and cash income (Table 5.4).

The hunters have evolved several specialised and innovative hunting techniques for birds. Principal among them are birds locally known as Suh Sim, Suh Sim Um, Suh Lynglit, Riam Shynroh and Riam Dkhoh. Suh Sim and Suh Sim Um were found to be the most commonly hunted and yielded maximum birds. Hunting could have negative impacts on the bird population and might prove unsustainable as many bird species were hunted during the breeding season. It was found that the choice of hunting technique depended mainly on the habits and habitat of the bird species. The
local people are of the opinion that disturbed areas and secondary forests harbour fewer species of birds than primary forests in the same locations which corroborate recent ecological studies elsewhere (Alves et al., 2009). In our opinion, it is this congruence between TK and conventional scientific studies that can form the basis of a constructive goal-based dialogue among scientists, conservationists and indigenous people. Although hunting might be detrimental to the wild animal populations, it is important to note the hunter's perspective on the problem too. The hunters’ ‘guild’ felt that jhum cultivation, commercial logging and conversion of natural forests into agroforests have led to the depletion of their ‘niche’. Such information would be useful to grapple with the issues of setting sustainable limits on use of wild bird resources in this region.

Table 5.4. Bird species, common name, local name and uses of birds by War Khasi community, Meghalaya.

<table>
<thead>
<tr>
<th>Bird species</th>
<th>English name</th>
<th>Local name</th>
<th>Purpose of hunting</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alcippe vinüeptus (Hodgson)</td>
<td>White-Browed Fulvetta</td>
<td>Ruria</td>
<td>Food</td>
</tr>
<tr>
<td>Alophoixus sp.</td>
<td></td>
<td>Sim Ad</td>
<td>Food</td>
</tr>
<tr>
<td>Aprosmictus erythropeterus (Gmelin)</td>
<td>Red-Winged Parrot</td>
<td>Khlung</td>
<td>Food, pet, sale</td>
</tr>
<tr>
<td>Arachnothera longirostra (Latham)</td>
<td>Little Spiderhunter</td>
<td>Jiriak Padung</td>
<td>Food</td>
</tr>
<tr>
<td>Arachnothera magna (Hodgson)</td>
<td>Streaked Spiderhunter</td>
<td>Jiriak Padung</td>
<td>Food</td>
</tr>
<tr>
<td>Athene noctua (Scopoli)</td>
<td>Little Owl</td>
<td>Dkhoh Rit</td>
<td>Food, pet</td>
</tr>
<tr>
<td>Blythipicus pyrhotis (Hodgson)</td>
<td>Bay Woodpecker</td>
<td>Kumpiat</td>
<td>Food</td>
</tr>
<tr>
<td>Cettia flavolivacea (Blyth)</td>
<td>Aberrant Bush-Warbler</td>
<td>Sim Um</td>
<td>Food</td>
</tr>
<tr>
<td>Chalcoptas sp.</td>
<td></td>
<td>Lyngtliew</td>
<td>Food</td>
</tr>
<tr>
<td>Chloropsis cochinchinensis (Gmelin)</td>
<td>Blue-Winged Leafbird</td>
<td>Sim Jalaeit</td>
<td>Food, pet</td>
</tr>
<tr>
<td>Chloropsis hardwickii (Jardine &amp; Selby)</td>
<td>Long-Tailed Minivet</td>
<td>Jala Eis</td>
<td>Food, pet</td>
</tr>
<tr>
<td>Dicrurus leucophaeus ( Vieillot)</td>
<td>Ashy Drongo</td>
<td>Shyrwat</td>
<td>Food</td>
</tr>
<tr>
<td>Dicrurus sp.</td>
<td>Racket-Tailed Drongo</td>
<td>Risei</td>
<td>Food</td>
</tr>
<tr>
<td>Lonchura punctulata (Linnaeus)</td>
<td>Scaly-Breasted Munia</td>
<td>Pdit</td>
<td>Food, pet</td>
</tr>
<tr>
<td>Macropygia sp.</td>
<td></td>
<td>Shiar</td>
<td>Food</td>
</tr>
<tr>
<td>Megalaima asiatica (Latham)</td>
<td>Blue-Throated Barbet</td>
<td>Pohrong</td>
<td>Food</td>
</tr>
<tr>
<td>Megalaima virens (Boddaert)</td>
<td>Great Barbet</td>
<td>Jyllup</td>
<td>Food</td>
</tr>
<tr>
<td>Niltava sundara (Hodgson)</td>
<td>Rufous-Bellied Niltava</td>
<td>Thabalang</td>
<td>Food</td>
</tr>
<tr>
<td>Otus sunia (Hodgson)</td>
<td>Oriental Scops-Owl</td>
<td>Dkhoh Heh</td>
<td>Food</td>
</tr>
<tr>
<td>Pericrocotus ethologus (Bangs &amp; Phillips)</td>
<td>Long-Tailed Minivet</td>
<td>Jaraitsiaw Stem</td>
<td>Food</td>
</tr>
<tr>
<td>Pericrocotus sp.</td>
<td></td>
<td>Jaraitsiaw Saw</td>
<td>Food</td>
</tr>
<tr>
<td>Picus canus (Gmelin)</td>
<td>Grey-Faced Woodpecker</td>
<td>Kynjar</td>
<td>Food</td>
</tr>
<tr>
<td>Psarisomus dalhousiae (Jameson)</td>
<td>Long-Tailed Broadbill</td>
<td>Lakadia</td>
<td>Food</td>
</tr>
<tr>
<td>Psittacula columboides ( Vigors)</td>
<td>Malabar Parakeet</td>
<td>Kyrkhiah</td>
<td>Food, pet</td>
</tr>
<tr>
<td>Psittacula himalayana (Lesson)</td>
<td>Slaty-Headed Parakeet</td>
<td>Shynrang</td>
<td>Food, pet, sale</td>
</tr>
<tr>
<td>Pycnonotus leucotis (Gould)</td>
<td>White-Eared Bulbul</td>
<td>Pait Puraw</td>
<td>Food</td>
</tr>
</tbody>
</table>
### 5.5. Discussion and conclusion

Traditional forest management contributes to livelihood, water availability, biodiversity conservation, food security and health care of the people. The system is built upon active participation of the people, and equity and social justice are the key ingredients of management.

The management system needs little external input, is flexible and evolves with time for which an in-built mechanism in the form of traditional institutions is in place. Forest management is based on traditional ecological knowledge and is characterised by features such as assurance of the availability of resources to herbalists and the fulfilment of spiritual, social and ecological needs without neglecting its ability to generate cash income for the needy. It is believed that the forefathers of these communities designated these forests keeping equity concerns in mind especially to safeguard the interests of the poor and the landless. It is hoped that with improvement of income and livelihood, the values of ecosystem services will get preponderance over forest products, and then the traditional knowledge-based bioresource management systems will gain additional strength.

This most fascinating tribal community, which has lived in this region for thousands of years, has built a precious knowledge-base about the use and traditional system of conservation of bioresources of this region. The community has thorough knowledge of the habit, habitat, reproductive behaviour, food preferences and life cycle of the animal species found in the region. The findings of this study throw light on the wealth of TK of War Khasi people in hunting bird species, it also gives an insight that such knowledge of other ethnic groups of the region should be recognised and documented as a priority. The TK of all ethnic communities may serve as valuable data for developing conservation strategies because their livelihoods bring them in close link with the environment. Most hunters feel that non-sustainable activities such as slash and burn agriculture and conversion of natural forests into agroforests and cash crop cultivation result in loss of habitat for many wild species as well as in loss of fruit trees which is the principal cause of loss of avian diversity.

While people in most parts of India as well as the world have already forgotten the use of wild plants for edible and medicinal purposes, it is still well preserved and practised by tribal communities. The conservation and sustainable use of biological diversity is of critical importance in meeting food, fodder, fibre, health, water and other needs of a growing population, for which purpose, access to and sharing of both genetic resources and technologies are essential. Research and documentation of traditional knowledge of tribal people related to conservation and the sustainable use of biological diversity is needed for the benefit of present and future generations. Involving all stakeholders in a participatory mode including tribal people, ecologists and government officers for the conservation and utilisation of bioresource wealth of this area is the need of hour. Awareness creation among people, school children, students and teachers in

<table>
<thead>
<tr>
<th>Bird species</th>
<th>English name</th>
<th>Local name</th>
<th>Purpose of hunting</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>Pycnonotus melanicterus</em> (Gmelin)</td>
<td>Black-Crested Bulbul</td>
<td>Sim Klong</td>
<td>Food</td>
</tr>
<tr>
<td><em>Seicercus</em> sp.</td>
<td>-</td>
<td>Sim Rit</td>
<td>Food</td>
</tr>
<tr>
<td><em>Treron apicauda</em> (Blyth)</td>
<td>Pin-Tailed Green Pigeon</td>
<td>Kuwo</td>
<td>Food</td>
</tr>
<tr>
<td>Unidentified 1</td>
<td>-</td>
<td>Sim Kdait</td>
<td>Food</td>
</tr>
<tr>
<td>Unidentified 2</td>
<td>-</td>
<td>Sim Iong</td>
<td>Food</td>
</tr>
<tr>
<td>Unidentified 3</td>
<td>-</td>
<td>Phreit</td>
<td>Pet</td>
</tr>
</tbody>
</table>
colleges and universities is very important to conserve the biodiversity wealth. More such studies involving different ethnic groups are required in order to document the traditional, indigenous and local knowledge of the people. This knowledge has immense potential for formulating strategies for conservation of biodiversity.

Acknowledgements

M. Dkhar and H. Tynsong are the knowledge holders belonging to Khasi tribe and are residents of the area of study. A number of other knowledge holders were interviewed for clarification and for collection of village specific information. Authors thank all of them for their help in documentation of the traditional knowledge of War Khasi tribe of Meghalaya. Authors are also thankful to the Head, Department of Environmental Studies, North-Eastern Hill University, Shillong for providing facilities for this research.

References


Indigenous system of pastureland management: A case of Limi in the Kailash sacred landscape, Nepal

Govinda Basnet and Ram Prasad Chaudhary
a. Freelance researcher, PO Box 24043, Kathmandu, Nepal
b. Emeritus professor, Research Centre for Applied Science and Technology (ReCAST), Tribhuvan University, Kirtipur, Nepal

Abstract

Indigenous systems of the management of natural resources are deeply embedded in cultural values espoused by local communities both as groups and as individuals. Under this project, we explored the role of customary arrangements and the enabling factors that allow the informal local and community-based institutions to effectively manage pastureland in Humla District, a part of the Kailash Sacred Landscape Conservation and Development Initiative (KSLCDI) in Nepal where the grasslands are important livelihood resources. This study focuses on how the locally designed institutional arrangements have managed the pastureland in Limi valley of Humla district. The study revealed that the community governance institutions are long enduring, have evolved through generations and are embedded in the social hierarchy. These community institutions have effectively managed the pastureland by aiming to ensure the sustainability of the resource base while enhancing equitable resource use.

Key words: Kailash Sacred Landscape; Humla; pasturelands; traditional resource governance.

6.1. Introduction

The Kailash Sacred Landscape Conservation and Development Initiative (KSLCDI) is a transboundary collaborative programme among China, India, and Nepal that has evolved through a participatory, iterative process among various local and national research and development institutions within these countries (Zomer and Oli, 2011). The project has been initiated in Nepal as a collaborative project of the Ministry of Forests and Soil Conservation (MoFSC), Government of Nepal; International Centre for Integrated Mountain Development (ICIMOD); and Research Centre for Applied Science and Technology (ReCAST), Tribhuvan University, Nepal with financial support from the Department for International Development (DFID), United Kingdom and German Corporation for International Cooperation (GIZ). The programme aims to achieve long-term conservation of ecosystems, habitats, and biodiversity while encouraging sustainable development, enhancing the resilience of communities in the landscape and safeguarding the cultural linkages between local populations at a transboundary scale.

The Kailash Sacred Landscape (KSL) in Nepal comprises four districts Baitadi, Bajhang, Darchula, and Humla, located in the far and mid-western regions of the country (Figure 6.1). High
biogeographic, climatic, geological, and altitudinal variations as well as topographic complexity contribute to the high biodiversity of the landscape over a relatively small area. The landscape thus forms a complex mosaic of ecosystems unique to the mountain system (CDB-TU, 2010).

Generally, pasturelands dominate the land use type in the higher elevation of the landscape and animal husbandry plays an important role in the household economy. In the past, overall socio-ecological systems and several sub-systems in the region were largely shaped by the barter economy practised in the region (Adhikari, 2008). Large quantities of salt from Tibet used to be exchanged with grains from the region, the southern part of Nepal, and the Indian plains (Haimendorf, 1988). The salt-grain trade linked the communities at different elevations and united them in the larger economic system. As the trading system survived with the maintenance of large flocks of sheep and goats and herds of yak to haul the loads of grain and salt, the economic system was well-linked with the management of pastureland and forest areas. People used to rear large flocks of sheep and goats and herds of yak and exploited pastureland and grazing areas at different elevations. As this economic system continued, intricately planned systems of managing pasturelands in higher reaches and rules for accessing forest areas for caravans of animals in lower elevations were developed.

Traditionally, herders from Yari and Limi village development committees (VDC) would take their animals to pasture lands, now in the Tibetan Autonomous Region (TAR) of China, during the winter season, as the pastures there are better and wind blows the snow away. However, with the new political arrangements between the two countries, such a practice of cross-border winter grazing has ceased. This has had a severe impact and the number of animals herders can keep has greatly reduced. The political boundaries do not match the ecological and traditional livelihood boundaries of the region.

People have managed pasturelands over generations through community institutions which are rooted in social systems. Over the years, the dynamics of interaction and the interface between the components of socio-ecological systems have changed owing to various internal and external pressures. This study attempted to explore the role of community-based institutions in the management of pastureland in Limi valley of Humla, one of the districts of the landscape.
6.2. General features

The Limi Village Development Committee (VDC) in Humla district is the northern-most VDC in Nepal and has three main settlements namely Halji, Tila, and Zhang. Halji, with four wards, is the largest settlement located between two other settlements. Tila has two wards and Zhang has three. People here subscribe to the Dhikung Kagyu sect of Tibetan Buddhism and follow polyandry system of marriage.

However, over the years, the polyandrous system of marriage is yielding ground to the monogamous system of marriage. Crop production and animal husbandry aided by seasonal employment in Taklakot (Tibet) are the main livelihood strategies adopted by the people in the region. Farming is mainly of subsistence type and crops can only be grown in one season. Animal husbandry is an important integral component of the farming system. The priority animals are dairy cattle, sheep, horses and yak. Raising livestock is an integral part of the household economy of all inhabitants of the district. In lower Humla, cattle and buffaloes are reared in limited numbers. As one moves to higher elevations, dzo, jhuma, cross-breeds of yak and local cattle become common. The herding of yak involves seasonal moves to high pastures.

Local people have developed an elaborate system of breeding of local breed of cattle, called lulu, and yaks. Many Lama communities keep a few kirkoo bulls (lulu) to cross with tsauris (Yak cows). Jhuma, the female offspring, is prized for its higher milk yield. Similarly, djos, the male offspring is highly prized as a pack animal. Offspring of jhuma, and lulu bulls are called tolba (male) and tolbini (female) and are of relatively little value (CDB-TU, 2010).

6.3. Results and discussion

6.3.1. Institutional arrangement

▶ Social hierarchy system

The community system is very closely weaved with cultural practices. Hierarchy in the society and the selection of authorities for the management of the community system are closely linked with the property inheritance system associated with the polyandry system of marriage (Lavine, 1988). People here follow impartible primogeniture system of property inheritance, where the eldest son inherits the property and the property is not normally divided among the brothers. In case a family does not have a son, the uxorilocal son-in-law inherits the property. The eldest son of the family who inherits the property becomes a dhongba. If younger brothers do not share the same wife and separate, they get a smaller proportion of the property and become dhongjung. The unmarried sisters who separate from their brothers become bhomdang. Bhomdang get an even smaller proportion of property than dhongjung. Dhongba refers to both individuals and the estate. The number of dhongba in a village always remains the same; however, the number of dhongjung and bhomdang may increase or decrease. A dhongjung can never become a dhongba, however, a son borne to a bhomdang can become a dhongjung. The number of three different types of households in three villages is shown in Table 6.1.
Table 6.1. Composition of households in Limi VDC, Humla, Nepal.

<table>
<thead>
<tr>
<th>Name of settlement</th>
<th>Dhongba</th>
<th>Dhongjung</th>
<th>Bhomdang</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Halji</td>
<td>29</td>
<td>31</td>
<td>20</td>
<td>80</td>
</tr>
<tr>
<td>Tila</td>
<td>13</td>
<td>11</td>
<td>15</td>
<td>39</td>
</tr>
<tr>
<td>Zhang</td>
<td>15</td>
<td>33</td>
<td>14</td>
<td>62</td>
</tr>
</tbody>
</table>

In the ladder of social hierarchy, *dhongba* are at the top followed by *dhongjung* and *bhomdang*. This hierarchical order is also manifested in the property ownership, with *dhongba* owning more properties followed by *dhongjung* and *bhomdang*. Being a *dhongba* also requires taking on more responsibilities, especially in religious matters. They are obliged to contribute more towards religious rituals and ceremonies. For example, if a *dhongba* family has two sons, one has to join the monastery and become a monk, but in the case of *dhongjung*, such a requirement is imposed only if the family has three sons.

▶ Selection of authorities

Since there have not been any elections of local VDC bodies for over a decade, people elect the VDC chairperson and ward chairpersons locally following a system called *hipsing*, wherein lotteries are drawn in the name of each individual household (*dhongba* and *dhongjung* only) for a period of five years. In the case of Tila village, which has two wards, one chairperson is elected from among *dhongba* and the other from among *dhongjung* households. However, in the other two settlements, they are selected from either *dhongba* or *dhongjung* households. In earlier times, only the *dhongba* households were eligible to hold the posts of chairperson, but the societies have become more egalitarian over the years and no such discrimination is made today. The system of *hipsing*, a mystic equity arrangement, ensures that all the potential households have equal opportunities. It is adopted in other activities as well like deciding turns on drawing water, selection of pasturelands etc. Selection of authorities, even when the official elections in the country were held, followed more along the social arrangements than along the political party lines as commonly practised in other parts of the country. The position of the village chief is held exclusively by a male, and other positions are also generally held by men, clearly manifesting gender discrimination.

In addition to the VDC chairpersons and ward chairpersons, there are two more types of officials called *Loiba* and *Lora*, which are rotated annually within a settlement. *Loiba* are responsible for monitoring forest areas and, in some cases, pastureland, and *Lora* are responsible for ensuring that animals do not stray into the fields. Each village has two *Lora* and only the *dhongba* households are eligible to become a *Lora*. If animals are found straying into the fields, the owner has to pay a fine consisting of both grains (about 4 kg of naked barley) and Rs. 50. The cash component of the fine goes towards the community fund and the grain is kept by the respective *Lora*. The number of *Loiba* who look after the forest ranges from four to six, representing both *dhongba* and *dhongjung* households. The social organization that has evolved in alpine environments co-ordinates socio-political systems, high altitude agro-pastoral activities, and indigenous resource governance systems (Rhoades and Thompson, 1975).

Religious institutions have played an important role in the management and conservation of resources. In many northern societies of Humla district, religious institutions like monasteries, monks, and shamans play a key role in the way resources are extracted and managed (Photo 6.1). In fact, even today, they play a major role in dispute settlement, maintenance of the social order and conservation of resources. The conservation ethos promoted by religious leaders like abbots of monasteries has been found to be very effective in reducing hunting activities. The religious institutions are key units in addressing the symbolic aspects of resource management like appeasing various deities of water, rain, good harvest, forest; officiating ceremonies of agricultural activities; and transferring the knowledge and tradition of resource management.
6.3.2. Resource governance

The village authorities set the rules for access to the forest, agricultural operation, and pastureland management. They decide certain dates when dried firewood can be collected from the village forest. Loiba check each load of firewood collected just before people enter the village and if anyone is found picking fresh twigs, he/she is fined up to Rs. 5,000. People take oaths before religious idols that they will not collect any fresh twigs or fell any trees. Such oaths are respected by every individual. People are strictly prohibited from hunting animals. If anyone is found using a gun, he/she is fined Rs. 50,000. The community decides the calendar of operation of agricultural practices like the date of sowing seeds (usually allowing two days), weeding the crops, cutting grasses from the field, irrigation and harvest. All people strictly adhere to such decisions.

Although generally each settlement has its own forest, they hold pastureland in commons among the settlements. If important decisions are to be made among the villagers – for example, deciding the turn/rotation of pasture land – ward chairpersons meet at a supposedly neutral ground located at Sunkhani.

Moving animals to the high pasture is co-ordinated among the three villages. They resort to hipsing (lottery system) to ensure equity among the resource users.

6.3.3. Pastureland management

People here practice transhumance, moving their herds to pastures of different elevations called soika, yarka, tonka, and ghunka based on the seasons (Photo 6.2). They follow a seasonal calendar in grazing their animals. All the animals are taken to the high pasture called soika in the summer after planting of crops.
Transhumance is a major livelihood strategy in Limi, Humla. Generally, pack animals, *dzo* and horses are not taken to the higher pasturage lands and are grazed near the villages. However, sometimes they are also taken to the higher pasturage lands and are brought back to the villages from the high pastures if there is a need to carry loads. The pastures for rainy season called *yarka* are higher. Usually, around August, with the onset of *tonka*, pastoralists start bringing their animals down to lower elevation pastures. The *tonka* pastures are the same as *soika* pasture (Photo 6.3). Around the end of the *tonka* season, crops are also harvested and animals are brought back close to the village when the *ghunka* or winter season begins. These rotational grazing systems are closely monitored and regulated by the community.

If any individual is found grazing animals in *ghunka* pasture in other seasons, he/she is severely punished.

Even within a particular seasonal pasture, the community makes decisions on where to take animals so that pasturage lands are maintained. For example, people take their animals to Ning Khola, Talung, Artang in *soika*; to Shakya Khola, Gyau Khola in *yarka*; Talung, Ning in *tonka*, and in the villages, Rak, and Ning Khola in *ghunka* season (CDB-TU, 2010).

Not all the households go with their animals to the higher pasture. People with only a few animals request their neighbours or relatives to look after their animals as well. In such cases, those absentee owners provide food and other required materials to the herders. In the case of collective herding of milking animals, ghee (butter) and dried cheese (*chhurpee*) are divided among the animal owners in proportion to number of milking animals or the amount of milk produced by the animals.
Conclusion

The institutional arrangements for governing community systems and resources have evolved over the years becoming more egalitarian and allowing people belonging to even lower rungs of the hierarchy system to represent themselves in resource governance.

Acknowledgements

The authors would like to sincerely thank the Kailash Sacred Landscape (KSL) Conservation and Development Initiative for providing an opportunity to conduct research in KSL-Nepal. We are thankful to the Ministry of Forests and Soil Conservation (MoFSC), International Centre for Integrated Mountain Development (ICIMOD), and Research Centre for Applied Science and Technology (ReCAST), Tribhuvan University, Kirtipur for all kinds of help and support; district level authorities for their generous help, and the local communities for providing and sharing valuable information.
References


Abstract

Humans, now, in the future and for the rest of their lives, need the Earth’s natural resources, particularly pastures, as they are the most vital platform for environmental sustainable development and ecological phenomena and the main source of traditional animal husbandry and livestock feeding systems.

Today, several research results are revealing the importance of local knowledge of exploiters such as nomads, who are the main beneficiaries of these pastures, in reviving these areas.

This study aimed to identify challenges facing the traditional system of pasture management by nomads, the policies of the current government and pasture management with existing methods in order to provide recommendations and solutions to combine indigenous and formal knowledge in line with sustainable management of pastures. For this purpose, the Bakhtiari nomads, settled in all areas of Tangsayad – Sabzkouh biosphere reserves in different seasons, have been considered as the population of study.

Bibliographical and field research was carried out. The research was conducted using a combination of qualitative and quantitative data collection techniques (group discussions, focus groups, semi-structured interviews and structured interviews via a questionnaire). The geographic area of research encompassed the Tangsayad – Sabzkouh biosphere reserves in the provinces of Chaharmahal and Bakhtiari.

Overall, results show that some government policies such as settlement of nomads, issuance of rules without prior research in pasture ownership, unsustainable rural development, lack of interest of younger nomadic generations in accepting traditional systems of pasture management and outdated laws are the main challenges ahead of traditional pasture management systems by nomads.

In order to solve the problems in pasture management, it is important to consider favourability of indigenous and formal knowledge on the grounds of improved livelihood of nomadic and rural communities, preserving and revitalizing indigenous knowledge, reviewing and revoking conflicting and contradictory laws and laws of land ownership, completing audits and issuing updated documents, freehold pastures and natural resources laws, producing educational content in the field of indigenous knowledge and enabling the new generation to become familiar with benefits of this knowledge.
7.1. Introduction

7.1.1. Background

Indigenous knowledge is increasingly important to identify sustainable methods of intervention with respect to environmental protection with economic development of local communities (Chandrasekhar et al., 2006). Neglect of local knowledge and values of pasture utilisers is considered as a waste of resources, thus it would be appropriate that the researchers associate with different people and development goals in their approach and seek to recognise and integrate indigenous knowledge revision with other knowledge in this area (Osunade, 1994; Melvyn et al., 1989; Kilongozi, 2005; Chandrasekhar et al., 2006; Niamir, 2006).

Iranian nomadic society has certain social systems which, in terms of type or nature and systems of economic, political, cultural or social stratification mentioned in the typology, are not applicable and we can separate its ideal type from other social systems as tribal system. Iranian tribal system prevailing in nomadic society today has lost its development power due to increasing ecological, demographic, technological, economic, social, political and cultural bottlenecks and limitations (Chalabi and Abdollahi, 2002).

Iranian nomadic society has a long history and culture. Iran as a developing country has groups of the population in the Zagros forests who live as nomads. Despite the many poor people in rural and tribal communities, they continue to be the holders of natural resources and play an important and crucial role in the stability of the ecosystem of Zagros (Adeli et al., 2005) and from the distant past were considered the country’s largest livestock producers.

Natural and climatic factors of Tangsayad – Sabzkouh in the heart of Zagros have created specific conditions of biodiversity from the south-west to north-west of Iran. Zagros forest ecosystem has served as winter and summer ecosystems for nomadic herders, which is one of the largest Bakhtiari tribes. Bakhtiari tribes herd in summer and winter rangelands at suitable times.
7.1.2. Migration management in Bakhtiari tribe

The migration of nomads has had a fundamental role in grazing management on summer and winter grasslands and the feed in the pastures continued to be maintained at a high level as long as nomadic livestock was removed during summer or winter when these grasslands could have a break. Even with the possibility of farmers violating and entering into pastures, the nomads would protect their pasture by assigning guards.

The route and duration of migrating tribes in any home on the migration route was devised intelligently so that they first entered the summer pasture when the plants were fully mature. If the readiness of the pasture was not confirmed by investigators of the tribe, the tribe delayed its arrival to the summer pasture by renting excess rural pastures or wheat fields in the countryside.

Migration management in the Bakhtiari tribe is one of the management masterpieces of the tribe’s organizational structure and is run by the sheik and a hierarchical system. Durability of the tribe and pasture management had a high level of discipline such that no unit could continue to move arbitrarily and reach the summer or winter rangelands sooner than the others. Traditional management practices based on the sheik and respect for elders ensured that pastures were allowed to grow and after sufficient growth was achieved, the migration command was issued for the next year. Today, it is understandable that after the dissolution of the traditional administrative system and tribal hierarchy and non-replacement of new functional entity, great and irreparable damage has befallen the pastures (Amir Ahmadian, 2004).

Photo 7.2 Pastureland of Bakhtiari nomads.

Bakhtiari tribes migrate twice a year: they are called ‘spring migration’ and ‘autumn migration’. Spring migration is from winter rangelands or qishlaq to summer rangelands or yaylak and autumn migration is from summer rangelands to winter rangeland grounds. The duration of migration of nomadic tribes is different. Migration routes fluctuate from one day to one month and up to 45 days depending upon the near and distant winter and summer places. Among the Bakhtiari, migration is mostly ‘vertical migration’. This means that movement is from the plains to the highlands in summer rangelands and movement from elevated regions to the plains in winter rangelands. This kind of migration is the most common form. Sometimes among nomadic families, migration around the villages is ‘horizontal migration’ and it is conducted over a short period of time (Amir Ahmadian, 2004).
Autumn migration usually begins in early October or late September. The date of migration depends on two factors: weather conditions and vegetation. If the forage in rangelands is naturally finished sooner, or due to drought, the summer pastures are poor, migration starts early. Also in the summer when the air gets cold early, nomadic families are forced to migrate. Spring migration as well as migration in autumn depends on natural or social factors. Sometimes, due to lack of agreement with the villagers and surrounding tribes, and conflict over pasture and grazing rights and other issues, migration occurs sooner (Amir Ahmadian, 2004).

When the nomads are in the summer or winter rangelands, they make short and limited migrations between winter and summer rangelands for access to forage for livestock which is called ‘movement’. These movements are mostly for pasture rather than for using water resources due to lack of water. During migration in early summer, when mountains still have snow and the earth is wet, and pasture plants have not yet flowered, ‘seeds sitting’ isn’t normal, pasture livestock are not allowed to enter the pasture. When livestock enters pastures where plants have not yet flowered and are wet, they just trample them and eat them and the reproductive power of the plant and the earth is reduced, leading to destruction of the pasture (Amir Ahmadian, 2004).

Unfortunately, after the collapse of the tribe, and the destruction of its traditional control system and framework, none of the other tribes follow the law because there are no ranks and government. To reach the summer grazing fields early, they migrate prematurely and to obtain earlier and therefore lower costs and higher profits, they overtake each other and here begins the degradation of pastures. This leads to the disaster of the floods, now due to downhill slopes and degradation of steep mountain pastures, damaging villages and cities (Amir Ahmadian, 2004).

7.1.3. Indigenous pasture management of Bakhtiari nomads

Bakhtiari nomadic tribes as well as other nomads in Iran, have had native solutions for grazing and pasture management which helped the conservation and sustainable use of pastures in their possession during migration. In the following, we refer to pasture and grazing indigenous management strategies of Iranian nomadic tribes.

Indigenous management strategies of grazing and pasture include: seed planting, intermittent grazing, herd diversity, segmentation, pastures, adjusting the number of livestock (Amiri Ardakani and Emadi, 2003); use of supplementary feed (Shah-Hosseini, 2001; Amiri Ardakani and Emadi, 2003), evaluation of the pasture and migration (Safinezhad, 1996; Amiri Ardakani and Emadi, 2003), guarding and protection (Farhadi, 1998; Amiri Ardakani and Emadi, 2003; Shah Hosseini, 2001), private property and the right to graze, estimation of the pasture condition and the separation of livestock and herd (Shah-Hosseini, 2001; Amiri Ardakani and Emadi, 2003).

Popzan and Afsharzade’s study (2010) on indigenous pasture management mechanisms show that in indigenous pasture management of Kalhor tribe, nomads have divided pastures based on grazing system (open and close grazing), the deployment season (spring, fall and winter feeding), and kind of pasture (intact and eaten pastures). Previously untouched pastures are called ‘intact pastures’, locally known as ana khoard. On the other hand untouched pastures after grazing are called ‘eaten pastures’, locally called khoarda. ‘Millennium’ meadows are able to feed 1000 livestock, ‘half millennium’ pastures can feed 500 livestock and the quartiles are capable of feeding 250 livestock. The slope of the ground between south and north pastures and pastures between the southern and northern are referred to as navro.

Popzan and Afsharzade (2010) also consider that indigenous pasture management mechanism includes migration, assessment of pasture, pasture segmentation, rotation, delayed grazing, pasture leasing and protection; and livestock management includes herd diversity, separating livestock, livestock moderation and the use of manual feeding. Nomadic pasture management system has faced challenges during its long history due to imposed policies at economic, social and political levels of nomadic society. Investigating factors effective in creation of such challenges
against this traditional system and analysis of solutions and strategies can lead to sustainable management of pastures in the pasture exploitation system.

### 7.2. Methodology

This article relies on other research achievements with regard to the Bakhtiari nomads of Tangsayad – Sabzkouh biosphere reserves and seeks to deal with the question of the extent and direction of unsustainable rural development. Settlement of nomads and policies imposed by the government and its laws have changed the traditional system of pasture and grazing management of nomads. With an area of 532,000 hectares, Tangsayad – Sabzkouh biosphere reserves covers more than a third of Chaharmahal and Bakhtiari and its major mountain pastures are in the range of Bakhtiari nomads’ summer pasture areas (Figure 7.1). More than 20 families from different Haft Lang Bakhtiari tribes were considered as the sample. In order to get further information, Bakhtiari tribal elders referred by the Chaharmahal and Bakhtiari nomad administration, and some informants who were introduced by the department were also interviewed. The elites were mostly from Babadi and Durak Bab tribes.

![Figure 7.1.](image)

**Figure 7.1.** The zone position map of Tangsayad – Sabzkouh biosphere reserves.

To conduct this study about the life and pastures of tribes, more than 10 facilitators who were veterinary doctoral students were present in the area and at the end of each day, they analysed discussions and observations and devised the next day’s plan. Furthermore, five focus groups consisting of nine members were formed and group discussion was conducted and data analysed using content analysis method. In addition, for higher confidence level, more than 50 people were sampled randomly and data was collected through questionnaires and interviews. Regarding the role of nomads’ settlement in traditional grassland exploitation system, Zia Tavana and Tavakoli (2007) research results are used.
7.3. Results

7.3.1. The role of government policy in the settlement of nomads and unsustainable rural development, and challenges in indigenous pasture management of nomads

Zia Tavana and Tavakoli’s (2007) research findings in Chelgerd and Bazoft region of Chaharmahal and Bakhtiari province in terms of nomads’ settlement policies suggest that in the current situation, due to insufficient loans granted by the agricultural bank, the tribes inevitably have had to sell all or part of their animals. Reduction of livestock numbers may be useful in reduction of pasture damage but we must observe to what extent qualitative indicators such as method of exploitation and factors such as early pasture intensification, prolonged stay of livestock in the pasture and loss of livestock mobility in the settlement process have changed. The authors also mention that not only has the settlement led to early entrance of livestock into pastures from settled families but by increasing the competition on this ground, it has led to hasty migration in order to exploit summer pastures, thus leading to early grazing. The important point is that in local interviews conducted with nomadic tribes in the biosphere reserves, the nomads noted their disputes with the tribes settled in the ranges. 67% of respondents stated that settled households use pastures before the start of the exploitation season, and when the other families of the tribes of nomads arrive, the pasture is already grazed and due to this early grazing, nomads face problems in feeding their livestock. Migrating nomads believe that settled nomads do not accept past indigenous systems to use pastures and due to the fact that they don’t migrate any more, they have replaced lack of winter pastures by overuse of summer pastures so they don’t have any commitment to past normative systems.

The authors also observe that when nomads settled, the livestock stay longer in the pastures and the effect of early grazing and increased time spent by livestock in pastures together with spatial limitation resulting from settlement and decreased radius of daily graze caused increased damage to pastures.

Interview results with migrating nomads show that after decades of nomads’ settlement policies having economic, social and cultural consequences for nomads, the government and settled nomads are interested in settlement because they can use pastures and benefit from health and accommodation facilities. This helps them to overcome the dangers of migration and the deterioration of Bakhtiari traditional management structure and lack of commitment to normative management systems of pasture and grazing and also conflicts with rural people but unfortunately so far the package of state support in this regard has not been able to help improve the quality of life of nomads. Results from interviews and facilitating reports show that more than 60% of the nomadic tribes that participated in the study call for settlement with more government support packages and improvement of their livelihoods. On the other hand, some migrating nomads are against this program in its current form. They cite inadequate infrastructure services, livestock losses during housing, lack of skills in other fields of work and therefore lack of income as causes of lack of interest in housing. However, statistics show that demand for housing is high among Bakhtiari tribes and this demand and results of research on nomads’ settlement show that if the government fails to act on improving and diversifying nomads’ livelihood and fails to turn strategic plans about shared management of pasture and livelihood improvement into operative plans, Iran will face unsustainable tribal and rural settlements, and further, the destruction of pastures and natural resources.
7.3.2. Rules of natural resources and the challenges ahead in indigenous management of pastures by nomads

Results of the studies show that until 1961, exploitation of pastures was mostly in nomadic system and rural communities in nomad territories had no right on grasslands outside the country’s limit. At that time, rearing sheep was not very common among rural communities, and this means the exploitation of pastures was limited to communities of nomadic tribes (Bazhyan, 2007). After nationalisation of pastures, the ownership of nomads was eliminated and nothing replaced it – that is one of the reasons for the deterioration of pastures. Nationalising forests and grasslands and land reforms led to land acquisition in tribal communities. Since agriculture was excluded from the coverage of rangeland thus changing pastures into dry lands, it led to land destruction (Papeli Yazdi, 1994). Kyanvand (1990) also observes that joint ownership of nomads on the grasslands of 1962 disappeared as a result of direct government intervention: As a result, the nomadic pastures were occupied by villagers, grassland was converted to croplands and orchards and scope of nomads became very limited. The nationalisation of local rangeland reduced management and sustainable exploitation of the indigenous pastures by tribes. The Iranian organization of nomadic affairs (2005) said that the main conflict between nomads and the government is the normative system of nomads with nationalization of forests and pastures and that nomads feel that the government does not care about them.

Nationalization of forests and lands was in line with reforms of the past king of Iran that was conducted without sufficient information and planning so it left the ownership and management of forests and pastures at the hands of the government. Unfortunately, prolonged struggles between the tribes and the government during the 1930s and 1940s provided grounds for rejection of tribal society and the declared nationalization of pastures in 1962 was the government’s last resort, stripping the political and economic independence of tribes living in their territory (Amir Ahmadian, 2004). Unfortunately, sustainability of the nomadic system vanished in the process of modernization and renewal that was not too deliberate and government intervention at the foundations of ecological and socio-economic relations with rural communities and urban nomads. For example, during the land reform and then with the exception of mechanized land, in many areas, pastures went under the plow and became dry farms (Bakhshande Nosrat, 2001; Mousavi Nejad, 2001). Outdated rules were also one of the challenges that existed in pasture management and unfortunately the law has many shortcomings and is weak for today’s conditions. The last comprehensive law approved for forests and pastures of the country was passed in 1967 and it has been 49 years since.

Besides studies conducted by other researchers, findings of discussions and interviews with migrating nomads in biosphere reserves show that many normative systems of their pastures are assigned for rural and farming development by governmental organizations. And rural people also do farming on their normative systems with the permission of the government. 75% of migrating nomads want the government to stop intervention in pastures.

However, in recent years the government tried to stop the procedure by conducting projects in relation to shared pasture management, pasture insurance and protection.

7.3.3. The reluctance of young nomads to practice indigenous pasture management

Due to the development of technology and communication as well as severe economic challenges in tribes, tribals of the younger generation do not adhere much to traditional administrative and management systems. Results of interviews and facilitating with nomads show that more than 70% of Bakhtiari young nomads want to sell their livestock and live in cities. Unfortunately, lack of support for nomads and violation of their rights in management has led to increased poverty among them, thus youth are reluctant to commit to management and administrative systems of the tribes. Results of the study show that more than half of the youth are not informed about
traditional systems in pasture and grazing management and don’t believe in them. Young people of Bakhtiari nomads did not know the importance of indigenous knowledge and traditional practices in the exploitation of pastures and they are also not motivated to use them.

Thus, the educational system must take into account the important need to produce educational content to introduce indigenous knowledge to children and adolescents, especially in tribal areas. Content production in indigenous knowledge can be conducted in line with formal and informal education to allow the young generation to become familiar with traditional skills and systems.

**Conclusion**

Results of the present study and other research show that the current procedure and challenges ahead of traditional nomadic exploitation system can be damaging for pastures and forests. Therefore, it is necessary to intervene to restore indigenous grasslands management. Shah Vali and Bazhyan (2001) proposed the following for the restoration of indigenous grassland management:

- The revival of local structures of pasture management such as setting the desired operating range, solutions to territorial and ethnic disputes, organized migration, protection of pastures and the appointment of responsible leaders.
- Revival of traditional social institutions of pasture management; these institutions are divided into two official institutions – tribal councils and value institutions – which are essential for the revival of local management.
- Revival of traditional communication such as social customs matters, dispute resolution, selection of council members, handling of administrative affairs, pasture utilisation and division of inheritance between heirs.
- The revival of local management requirements is concerned at the same time with exploitation and protection, as well as revenue for nomads.
- The contradiction between local management of pastures by nomads with applicable laws and regulations and endowments is due to the nature of laws or is related to the implementation of the above mentioned regulations.

In general, in order to revive indigenous pasture management and grazing of nomads, strategies and plans of the government should have a comprehensive insight into past systems of pasture and grazing management based on management and administrative structure of the tribe to fill in the gaps in management systems. As Bazhyan (2007) observes in his article, the realisation of optimal management of livestock depends on how communities determine ways of life of nomadic migration, settlement, semi-nomadic systems, sheep handling and so on. In this case, the objectives of the development plan of nomads can be achieved. Due to the fact that shared strategy of development is based on local conditions, an appropriate management strategy for the development of livestock in tribal communities and new methods specific to social and cultural conditions of tribes must be used. Modelling and simulating of pasture management based on livelihood desired by nomads will create situations that can be achieved with the presence of indigenous structures and social institutions.

According to the material, the following suggestions are offered to overcome the challenges:

- Improving the livelihood of nomads helps to prevent further destruction of pastures by settled nomads.
Eradication of poverty of semi-nomadic tribes in winter rangelands, especially by encouraging projects such as grazing, development of technical and vocational schools, creation of plans including strategies that can be implemented to improve the livelihood of nomads.

Ownership problems of grasslands by giving pastures to tribes on condition of exploitation in accordance with the principles of land use.

Review of national law and regulations of forest and pasture, land transfer regulations.

Employment in crafts for tribal people, particularly women, and to help improve livelihoods.

Rehabilitation and correction of poor and destroyed pastures with the support and partnership management of nomads and villagers.

Support packages to help nomads in grazing.

Traditional tribal councils to fill the gap in the administrative and managerial system of nomads.

To update the country’s natural resource laws to solve problems created by conflicting laws.

Educational content for the younger nomad generations to become familiar with indigenous knowledge systems and traditional nomadic systems.

References


8. Status of local rice varieties and changes over time: a case study from four villages in Po E commune, Kon Plong district, Kon Tum province, Central Highlands of Vietnam

Kien DANG
Social Policy Ecology Research Institute (SPERI)

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8.1. Introduction

The Central Highlands of Vietnam, in particular the Po E commune, Kon Plong district, Kon Tum province, have gone through many changes since the colonial period. Notably, the colonial French dominated this region from the mid-19th to the mid-20th centuries. During this period, some quite rich and highly valued anthropological and ethnological studies were documented. These studies, particularly those conducted by French social scientists, focused on themes of ethnic indigenous minorities of the region. In those studies, in the past, the term ‘ethnic minority’ was largely used for the montagnards (French for mountain people), sometimes referred to as ‘forest people’; but most often these descriptions were not meant to connote ‘backward’ looking or viewing of indigenous ethnic minority communities there. Some publications were in the form of photo documentation, simply photographs in black and white, of the very daily lives of ethnic indigenous minority groups there. In the contemporary development context of Vietnam, there is a lack of interest in and attention to the daily lives of the indigenous ethnic communities. The raw lens of indigenous voices and field-based cultural anthropological approach is largely absent in the current Vietnamese literature and social science studies. There is also a lack of studies that examine the changes that have happened, the reasons behind these changes that have taken place, and their likely effects on people’s lives in the current and (possible) future time periods.

SPERI’s work engaging directly with the local indigenous H’re community in the Central Highlands of Vietnam in this region, was further introduced in the Chiang Mai Indigenous and Local Knowledge Dialogue for the IPBES Asia Pacific Regional Assessment. In addition to the published works on ethno-botany for Hmong indigenous community in Lao PDR of Cory Whitney et al (2014) which was presented in Chiang Mai, we include follow-up community work focusing on the H’re indigenous minority. The H’re indigenous minority group has very limited and isolated access to infrastructure and also to information and opportunities towards enhancing their local knowledge and capacity as well as empowerment of self ethnic identity determination. The H’re indigenous minority community, at Po E commune, Kon Plong district, Kon Tum province, Central Highlands region of Vietnam valued the opportunity to engage through the IPBES ILK Technical Support Unit (TSU) grant.

8.1.1. The aims of the proposed meetings were:

▶ To inform local communities about the work of IPBES and the purpose and results of the dialogue workshop in Chiang Mai;
▶ To consult with local ILK holders and practitioners so as to document additional relevant ILK-related information suitable for publishing as a case study for the Asia-Pacific assessment taking into account the priority themes discussed with the authors;
▶ To support the rapid write-up of full case study reports that were shared by participants in the Chiang Mai workshop; and
▶ To seek prior informed consent as appropriate for the inclusion of collectively held knowledge in the proceedings.

8.1.2. Why did we conduct this case study?

The IPBES program’s thematic, regional and global assessment (2016–2020) is currently reviewing and assessing the current status, trends and contributions to biodiversity and ecosystem services of local indigenous knowledge systems for many sites across the world. Key questions of interest include: what are the currency, losses and or changes, for the better or for worse? What are the causes of these changes? What would be the future? UNESCO as well as IPBES for Asia-Pacific region wants to hear directly from villagers on the ground and representatives’ voices and inputs.
SPERI’s activities in terms of securing and defending indigenous peoples’ rights towards managing land and forests for upper watershed regions placed us ideally for conducting this case study with H’ôre minority, detailing the practices of the community with regard to rice varieties. During SPERI’s ongoing work with the H’ôre community in Po E commune, we observed certain changes in the ways in which H’ôre people practice the cultivation of their rice varieties, especially adoption of new rice varieties. H’ôre people’s practices of cultivating their rice varieties are often interlinked with entire resources including land, water and forests from their upper watershed through to downstream areas. The changes in adopting new rice varieties have led to other changes in how they relate to and interact with their land, water and forests. In order to strengthen protection and long-term management of the land and forests, we investigated how future strategies could secure local people’s resources, knowledge and wisdom. The H’ôre community as well as the communal area face everyday challenges and complex issues with resources management in the commune. Primary among these are (1) the overall transformation of forest land to upland barren fields with largely cassava crops and (2) the gradual replacement of local rice varieties with new ones.

8.1.3. Why did we choose local rice varieties as the topic of the case study?

Despite our observations that the local rice tastes so good, people are reducing their use of these varieties. We set out to investigate why there used to be numerous hill-rice varieties that are now no longer used; and why H’ôre indigenous people now use wet-rice rather than hill-rice cultivation. One of the local villagers recalled that they would only produce one crop of the hill rice varieties per year and this production could feed the entire family for a year or even two years. However, when wet-rice cultivation was introduced, largely by policy/agricultural extension programs, hill-rice cultivation declined in favour of wet-rice cultivation. Additionally, people have now moved towards planting cassava crop, even clearing forests with large trees to plant cassava to feed the nearby cassava processing factory. The increasing use of herbicides in association with cassava production is causing concern among villagers, local communities, local environmental non-governmental organizations including SPERI and the LISO Alliance, policy makers, and to a certain extent, factory processors, buyers/traders of cassava crops. The promotion of cassava as a high-income cash crop, largely by policy, has led to substantial clearing of uphill forested land for planting cassava, and to further loss of local rice varieties. The cultivation of local rice varieties, from our current understanding, is not only embedded with very rich local indigenous knowledge but is part of their lives, wisdom and cultural practice such as the ritual ceremony.

8.2. Study sites and timing

▶ The target community: H’ôre indigenous minority community, Po E commune, Kon Plong district, Kon Tum province, Central Highlands region of Vietnam.

▶ The target villages: Violak village, Vi K Oa village, Vi Klang 2 village, and Vi Po E 2 village, of the Po E commune (Figure 8.1).

▶ Timing of meeting: the many meetings took place from 10 to 20 August 2016, coinciding with the rice ritual ceremonies of the H’ôre community.
8.2.1. Case study methodology

Three people were involved in collecting information for this case study. One focused on taking photos and videos; another on learning local indigenous knowledge on rice seeds/species and varieties as well as practices during the ceremony; and the third on the entire ceremony including human interactions and practices during the ceremony and their underlying purposes. All three engaged in the ceremony to talk to village elders and other groups comprising villagers. We asked for inputs from key persons, women’s groups, youth groups and elder groups in the villages to talk in-depth in the evenings. We also strictly followed the local customs to respect their tradition and culture.

The ritual ceremonies were organized in four villages which are quite distant from one another. We dedicated two days to each village in order to have sufficient time to observe, asked simple questions and obtained permission to take photos and notes of events during the ceremony. We also ensured financial contributions to each village and the Po E commune to ensure benefit-sharing during the study. We obtained approval and support from all villagers and local village authorities to acknowledge all the names and knowledge of villagers who contributed to this case study.

Given the timing of the study, we considered changes that have taken place since 2010. Most often we refer to current sources of information for matters of access to the currency of the issues in this region. This case study and write-up serves as the reflection of realities that have been encountered by this indigenous community over recent years.

8.2.2. Field-based methodology

Initially, we talked to all community authorities about our proposed case study and the IPBES program. We sought their advice and support for conducting these activities.

Field-based direct observations occurred at villages (entire landscape system, around the rice paddies, at each household visited and also their rice storage area).

Permission to document their knowledge from knowledgeable persons in the communities about their local rice varieties was obtained. Careful listening and documentation of their exchanges and knowledge sharing was undertaken.

Direct interviews were conducted at the rice fields including harvesting and drying areas and at sites where villagers help each other through rotational labour exchange during harvest and rice separation.
The final consultation was undertaken with community authorities as well as by inviting all the village heads and their representatives including the women’s group.

8.2.3. Limitations of our work

We simply aimed to raw-document the situation(s): which local rice varieties have changed and how, in the form of video and write-up, through listening to local indigenous villagers and key persons. We did not have time to go further into examining these traditional rice varieties themselves for assessment of qualities such as diversity or nutritional status because we have limited proficiency in this field.

Our examination of changes and the associated factors focused on the cultural aspects and were identified through a cultural anthropological approach. Our examination respects the voices of indigenous leaders and key persons from all villages. Local market analysis over the last five years helped in identifying why people’s uses of local rice have changed, as did analysis of the remoteness of the area. However, we lacked available data to support such further considerations. This was also due to remoteness and very limited access to infrastructure and also to information and opportunities in the area.
We prepared very simple questions and followed directly what happened on the ground through the narrative of the H’re informant(s). We simply took notes and asked questions and documented their responses. Given most of us cannot speak the H’re ethnic minority language, we could not obtain all the meaning and interpretations from the direct informants. Further study would undoubtedly provide more insights with regard to changes in using hill-rice for food as food security is only one of the numerous changes affecting the community and their environments.

8.3. Case study findings

8.3.1. Rice ritual ceremony

Our case study was conducted around the time of the rice ritual ceremony festival of the H’re indigenous community in Po E commune. We received an invitation and also permission to go to the commune exactly at that time during mid-August, from 10th-20th August. This was the harvesting time of rice crops for the H’re people. Families and villages performed rice ritual ceremony; largely each family performed its own ceremony associated with their rice field. The ritual is performed during the harvesting time as part of paying their thanks and gratitude to the offer from the Sky and all the spirits of Nature including the Land, the Soil, the Water, the Forests and the Rice spirit for giving them the harvest of the year. Two very detailed videos on rice ritual ceremony and native rice varieties, each with a separate theme, have been produced and posted on the SPERI webpage. One video was named ‘Native rice varieties of the H’re in Po E commune, Kon Plong district, Kon Tum province’ with English sub-titles. The second video was named rice ritual ceremony of the H’re people in Po E commune, Kon Tum province, also with English subtitles. The webpage for accessing these videos is http://speri.org/eng/video.html.

8.3.2. Current landscape changes in the Po E commune

Before 2010, Po E commune was an area including substantial forest with large trees, and in certain parts, some acacia tree plantations but not to a large scale. During interviews and field work, we found that there were no photos or images of the area from the past available for documentation and publication.

Po E commune is located at the entrance from Violak Pass and Quang Ngai area of Highway No. 14 leading to the Mang Den ecological tourism area. It has a total land area of 11,736 hectares. Viewing the area from Violak Pass through to the inner road along the two sides of the Highway No.14 passing through the main center of Po E commune, practices such as clearing of forest
and land to make way for new plantations crops such as acacia and cassava are visible on various mountains i.e. on landscape levels. Such a practice has become popular since 2013. In certain parts within the communal zone, we see that more land is now used for cassava plantations while the rice fields have decreased.

As we go into the inner road and inter-village roads, we see that massive changes have occurred in the landscapes, with extensive clearing of forest and trees and land to make way for cassava crop plantations. Cassava plantations have covered the tops of the hills, implying that the big trees had already been cleared. From the landscape and ecosystem perspective, all of the rice fields are located in the lowest zone of the landscapes.
Changes over the three types of land uses in Po E commune

We were able to access data over the last three years from 2014 to 2016. In 2016, the information collected by local officials has been specifically observed between the first six months compared with the planning for the entire year.

Table 8.1. Changes in the three types of land uses in Po E commune from 2014–2016 (Po E Communal People’s Committee, 2016).

<table>
<thead>
<tr>
<th>Land types and areas</th>
<th>2014</th>
<th>2015</th>
<th>2016 (early first 6 months)</th>
<th>2016 (planning for entire year)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hilly areas (largely cassavas and corns)</td>
<td>384 ha</td>
<td>442 ha</td>
<td>419 ha</td>
<td>405 ha</td>
</tr>
<tr>
<td>Rice growing areas (to a certain area already affected by herbicide spraying)</td>
<td>320 ha</td>
<td>364 ha</td>
<td>246 ha</td>
<td>364 ha</td>
</tr>
<tr>
<td>Forestland areas (largely production forests such as acacia, cinnamon, bamboo)</td>
<td>644.6 ha</td>
<td>655.6 ha</td>
<td>655.6 ha</td>
<td>698 ha</td>
</tr>
</tbody>
</table>

The Table 8.1 above indicates that more hilly areas are now used for cassavas and corns compared to the last two years (2014 and 2015). Even in the first six months of the year 2016, the total land area for cassavas and corns was already 419 ha, well above the annual planned limit of only 405 ha. Area for planting cassava outweighs area for corn. Po E community authority has also planned to increase production of forestland for crops such as acacia, cinnamon, and bamboo types of forests. Rice growing areas have reached 246 ha out of 364 ha planned, including both local traditional varieties and new varieties.

Land areas for rice-growing and cassava-growing in the four villages in 2016

Through a series of discussions, combined with key villagers/leaders’ knowledge of each village and the community’s official data, we have developed a table (Table 8.2) comparing local rice growing area versus new rice growing area and a similar one for cassava crops.

Amongst the four villages, Vi O Lak village is the only village where all villagers are now planting new rice varieties. Villagers in Vi Klang 2 village have been using mixed planting between local rice and new rice varieties so that they can test which one gives better yield for their livelihoods. Vi Po E 2 is the village which sets aside the highest land area for traditional varieties, followed by Vi K Oa village.
Area under cassava cultivation has also increased over the recent years. Almost all villages have now adopted the hybrid cassava species and the area for planting them is quite large.

### Table 8.2. Land areas for traditional and new rice and also traditional and hybrid cassavas in the four villages in Po E commune (Po E Communal People’s Committee, 2016).

<table>
<thead>
<tr>
<th>Land types and areas</th>
<th>Vi Po E 2 village</th>
<th>Vi Klang 2 village</th>
<th>Vi K Oa village</th>
<th>Vi O Lak village</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rice growing area</td>
<td>Entire rice area</td>
<td>43.5/40.1 ha</td>
<td>50/53.6 ha</td>
<td>45.5/53.1 ha</td>
</tr>
<tr>
<td></td>
<td>Local rice area</td>
<td>39.15 ha</td>
<td>25 ha</td>
<td>31.85 ha</td>
</tr>
<tr>
<td></td>
<td>New rice area</td>
<td>4.35 ha</td>
<td>25 ha</td>
<td>13.65 ha</td>
</tr>
<tr>
<td>Cassava growing area</td>
<td>Entire cassava area</td>
<td>32 ha</td>
<td>39 ha</td>
<td>36 ha/41 ha</td>
</tr>
<tr>
<td></td>
<td>Local cassava area</td>
<td>4 ha</td>
<td>4 ha</td>
<td>4 ha</td>
</tr>
<tr>
<td></td>
<td>Hybrid cassava area</td>
<td>28 ha</td>
<td>35 ha</td>
<td>32 ha</td>
</tr>
<tr>
<td>Community forestland area</td>
<td>Watershed forests, sacred forests must be protected collectively under titles</td>
<td>76.24 ha</td>
<td>215.3 ha</td>
<td>152.16 ha</td>
</tr>
</tbody>
</table>

► *Cassava growing areas with herbicides which affect rice-growing areas in the lower zones*

Our observations and field trips have indicated that in land areas which were cleared for planting cassava, villagers have used herbicides such as these below (Photos 8.6 – 8.8). The cassava processing factory is located in the Quang Ngai province, beside the Kon Tum province. We could not access information about when it was established and further details. From villagers, we learned that they planted cassavas and then sold them through buyers/traders of cassava crops. In the past years, villagers were even provided the herbicides for free, but without any guidance and consideration on how to use them or the effects and impacts of these herbicides. Further details about why and how these cassavas would be used are also limited.

[Photo 8.6: Herbicides found in the Vi O Lak village. 2016.]
The large landscape level changes that have been identified, associated with use of herbicides, are highly likely to have affected the entire ecosystem from the upper land to the lower zones, including the rice fields. Villagers left behind these empty herbicide bottles on the hills after use.

8.3.3. Some concluding remarks

Over time, through observations of the landscape and ecosystem practices in the villages, we found that:

- Rapid transition from forestland to cassava plantations, combined with widespread use of herbicides has occurred.

- The shift towards cassava plantations and increased use of herbicides may negatively impact local native species, quality of the rice paddy, soil quality and water sources, as well as have negative health impacts on the community.

- The available land resources are not going to increase, highlighting the need for careful practices and management of the entire current land resources of the commune, to avoid negative consequences on both food production and agro-biodiversity.
8.4. Current status of rice varieties in the Po E commune

8.4.1. Status of local traditional rice varieties in Po E commune and specifically the four villages

Across the entire Po E commune, we found that all the four villages studied and the other three villages in the commune still maintain, save and use the local traditional rice varieties. Given our time limitations, we could only ask about and document the 23 traditional rice varieties. These varieties have been stored in the traditional rice storage house, which is made of a certain kind of timber and has a specific design structure in order to avoid rats which destroy and eat all the harvest.

Table 8.3. The total number of local rice varieties which still remains is saved and used at each village (Po E Communal People’s Committee, 2016).

<table>
<thead>
<tr>
<th>Names of villages examined for local rice varieties</th>
<th>The total number of local rice varieties still maintained, saved and used (till 2015–16)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vi Po E 2 village</td>
<td>21 varieties documented, 07 varieties without images</td>
</tr>
<tr>
<td>Vi Klang 2 village</td>
<td>14 varieties documented</td>
</tr>
<tr>
<td>Vi K Oa village</td>
<td>11 varieties documented, 05 varieties without images</td>
</tr>
<tr>
<td>Vi O Lak village</td>
<td>07 varieties documented</td>
</tr>
<tr>
<td>Vi K Tau village</td>
<td>20 varieties documented</td>
</tr>
<tr>
<td>Vi Po E 1 village</td>
<td></td>
</tr>
<tr>
<td>Vi Klang 1 village</td>
<td>14 varieties documented</td>
</tr>
</tbody>
</table>

The total number of local rice varieties examined and documented was 23. However, this figure does not represent the entire diversity of rice varieties of the villages. Each local rice variety ripens at different times, some early whilst the others are late. During the last rice ritual we could only witness and have access to 23 varieties with certain images. We still lack images and examination of the remaining varieties.

Across the seven villages, we see that Vi Po E 2 village keeps the maximum number of local varieties: about 21 (Table 8.3). The Vi K Tau village was not part of our follow-up meetings but village leaders and key persons identified that this village keeps the second highest number of varieties, about 20. Vi K Lang 2 village keeps the third most varieties with about 14. During our final exit-meeting at the Po E communal house, we invited representatives of all villages to attend, listen to and contribute comments. We found that the Vi Klang 1 village also keeps the same number of traditional varieties as the Vi Klang 1 village, i.e. about 14. The Vi K Oa village keeps the fifth most number of local varieties, i.e. 11 and Vi O Lak village keeps about seven local varieties.

8.4.2. Status of new rice varieties in Po E commune and specifically the four villages

We also examined the new rice varieties being used in all the villages. Changes have taken place over the last five years since about 2010. This change resulted from the 2009 rainy season, during which villagers and villages were hit hard by a very strong rain arriving early, which caused huge losses of crops of the harvest, leading to hunger. There have also been additional new approaches through governmental agricultural programmes that promote new rice varieties which they believe give higher yields compared to traditional varieties.
Table 8.4. The total number of new rice varieties recently in use at each village (Kien Dang, 2016).

<table>
<thead>
<tr>
<th>Names of villages examined of new rice varieties</th>
<th>The total number of new rice varieties used in the last 5 years</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vi Po E 2 village</td>
<td>2 new rice varieties documented</td>
</tr>
<tr>
<td>Vi Po E 1 village</td>
<td>2 new rice varieties documented</td>
</tr>
<tr>
<td>Vi K Oa village</td>
<td>8 new rice varieties documented</td>
</tr>
<tr>
<td>Vi O Lak village</td>
<td>8 new rice varieties documented</td>
</tr>
<tr>
<td>Vi K Tau village</td>
<td>4 new rice varieties documented</td>
</tr>
<tr>
<td>Vi Klang 2 village</td>
<td>2 new rice varieties documented</td>
</tr>
<tr>
<td>Vi Klang 1 village</td>
<td>2 new rice varieties documented</td>
</tr>
</tbody>
</table>

The total number of new rice varieties is eight. Vi K Tau village uses four new varieties although they still continue to use the traditional rice as well. Vi Po E 2, Vi Po E 1, Vi Klang 2 and Vi Klang 1 villages also use about two new varieties (Table 8.4). Those families who use the new rice varieties have also started to use chemical fertilizers.

8.4.3. Examination of other information relevant to status of rice varieties

From our discussions with key persons in each village, we also documented: (a) percentage of families using local varieties; (b) percentage of families using new varieties for each target village; (c) the number of families and the number of people in each village; (d) the number of women; (e) number of elders; and (f) number of knowledgeable persons who know about, save and use local traditional rice varieties (Table 8.5).

Table 8.5. Examination of other related information relevant to status of rice varieties (Kien Dang, 2016).

<table>
<thead>
<tr>
<th>Examining other related information</th>
<th>Vi Po E 2 village</th>
<th>Vi O Lak village</th>
<th>Vi Klang 2 village</th>
<th>Vi K Oa village</th>
</tr>
</thead>
<tbody>
<tr>
<td>% of families using local varieties</td>
<td>85%</td>
<td>Only keep 3 local varieties: Mao Hroa, Kdut, Co</td>
<td>100%</td>
<td>30%</td>
</tr>
<tr>
<td>% of families using new varieties</td>
<td>15%</td>
<td>100%</td>
<td>90%</td>
<td>70%</td>
</tr>
<tr>
<td>Total number of families</td>
<td>44</td>
<td>57</td>
<td>98</td>
<td>69</td>
</tr>
<tr>
<td>Total number of people</td>
<td>128</td>
<td>n/a</td>
<td>450</td>
<td>248</td>
</tr>
<tr>
<td>Total number of women</td>
<td>48</td>
<td>n/a</td>
<td>n/a</td>
<td>120</td>
</tr>
<tr>
<td>Total number of elders (&gt; 60 years)</td>
<td>15</td>
<td>22</td>
<td>22</td>
<td>14</td>
</tr>
<tr>
<td>Total number of knowledgeable persons who know about, save and use local rice varieties</td>
<td>15</td>
<td>9</td>
<td>20</td>
<td>12</td>
</tr>
</tbody>
</table>

In Vi Klang 2 village, all families still use their local rice varieties daily, but at the same time 90% use new rice varieties. This implies that they practice rice farming on plots through cultivating both local and new rice varieties at the same time. This village has the largest number of knowledgeable persons who know about, save and use local traditional varieties.
On the other hand, in Vi O Lak village, all families use new varieties but they only keep three traditional sticky rice varieties for special occasions, such as sacred rituals, for making a special local indigenous cake named ‘Banh Tet’, and for making wine.

In Vi K Oa village, up to 70% of the families use only new rice varieties and the rest use local traditional ones. We can still find many knowledgeable persons who know about, save and use local rice varieties. In Vi Po E 2 village, up to 85% of all families use local varieties but we can see the other 15% of families already moving onto new varieties.

8.4.4. The favourite rice varieties from the four villages

Through field work and interviews, we have identified that each village has its own specific favourite rice varieties, and they differ in the collection of their favourites. Despite coming from the same ethnic indigenous H’ré and living on the same territory/commune, each has their own targets for collection of seeds and favourite varieties.

Table 8.6. The favourite rice varieties and their uses at four villages (Kien Dang, 2016).

<table>
<thead>
<tr>
<th>Names of village</th>
<th>Names of favourite rice varieties which continue to be used and saved</th>
<th>For what purposes?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vi Po E 2</td>
<td>• Mao Kdut</td>
<td>More seeds and higher yield; For making wines more tasty</td>
</tr>
<tr>
<td></td>
<td>• Mao Luoc</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Mao Hroa (sticky)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Mao Tdo ang gam (black sticky rice)</td>
<td></td>
</tr>
<tr>
<td>Vi O Lak</td>
<td>• Mao Hroa (sticky)</td>
<td>For making wine</td>
</tr>
<tr>
<td></td>
<td>• Mao Kdut (sticky)</td>
<td>For sacred ritual (traditional New Year)</td>
</tr>
<tr>
<td></td>
<td>• Mao Co (sticky)</td>
<td>For making special cake (Tep)</td>
</tr>
<tr>
<td>Vi K Oa</td>
<td>• Mao Nu</td>
<td>For sacred ritual</td>
</tr>
<tr>
<td></td>
<td>• Mao Hroa (sticky)</td>
<td>For making wine</td>
</tr>
<tr>
<td></td>
<td>• Mao Nu</td>
<td>For making special cake</td>
</tr>
<tr>
<td></td>
<td>• Mao Hroa (sticky)</td>
<td>For making young rice-cake</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Women eat for 3 months after delivery (Mao Nu)</td>
</tr>
<tr>
<td>Vi Klang 2</td>
<td>• Mao Tdoang mong</td>
<td>For making special cake</td>
</tr>
<tr>
<td></td>
<td>• Mao Tinh</td>
<td>For making wine</td>
</tr>
<tr>
<td></td>
<td>• Mao Net</td>
<td>For daily use</td>
</tr>
<tr>
<td></td>
<td>• Mao Mong</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Mao Diu</td>
<td></td>
</tr>
</tbody>
</table>

The Table 8.6 above, to a certain extent, suggests that the villages with the most number of knowledgeable people are best able to conserve their agro-biodiversity, such as for this case study i.e. Po E 2 village and Vi Klang 2 village. It is true that in these two villages, compared to the rest, they still have many villagers who know about, save and use local rice varieties. The villagers also express their different ways of maintaining traditional varieties and hence use traditional ecological knowledge to cultivate these varieties, and one often varies from that of another villager/family. Many of the villagers expressed that they prefer to continue to use these traditional rice species rather than the newer species.
8.4.5. Some concluding remarks

The total number of local traditional rice varieties documented is 23 species: Vi Po E 2 village keeps the most and Vi O Lak village keeps the least.

The total number of new rice varieties documented is eight species: Vi O Lak village uses the most (100% families) and four villages (Vi Po E 2, Vi Po E 1, Vi Klang 2, and Vi Klang 1) only use two species for now (many villagers are still testing on their rice fields).

Families which use new rice varieties have already been using chemical fertilizers since 2010. The most obvious is families in Vi O Lak (since 2013, 2014) and about two-thirds of families in Vi K Oa. Very few households in Vi Klang 2, Vi Po E 2 started using new rice varieties in 2015–2016 with some chemical fertilizers (depending on families which have money to buy fertilizers).

8.5. Discussion

We have found that most of the local traditional rice varieties continue to be used and saved by all local indigenous villagers. Two villages, Vi K Oa and Vi O Lak save fewer local varieties than other villages but they still use them for sacred, special traditional ceremonies or rituals; and also specialty wine-making and cake-making processes. The reliance on externally sourced herbicides and chemical fertilizers and their impacts on hardening the soil structure and reducing productivity have begun to worry certain groups of villagers. However, there is not yet a systematic and comprehensive scientific collection of data and samples to test how the use of chemical fertilizers and herbicides affects the soil productivity, rice yield productivity, and indigenous villagers’ health.

Certain areas where traditional rice varieties are grown have been affected by the establishment of cassava plantations as the upper zones have been sprayed with herbicides. These plantations replace natural forests that provided nutrients and organic ecological matter from the upper mountains to feed the soil and retain water supplies for rice production in the lower zones. The landscape and ecosystem services have changed dramatically towards the continuous cassava-landscapes (or cassava-mountains), together with promotion, use and dependence on herbicides. The effects and impacts of these changes on future dynamics of biodiversity and ecosystem functions are likely to increase over time. In other parts of Vietnam, the media has already reported that use of herbicides is negatively impacting social health, well-being, livelihoods and thus the local economy.

In some villages, there are still areas of organically-grown local rice zones. Qualitative data collected in this study report that the taste and nutrition from the local rice varieties is much better, and quality oriented. One farmer shared that he may just eat one bowl of local rice variety and feel full for the entire day – which does not happen with use of the new variety. In other cases, people report that using a new variety does not impart a good taste to wine. Growing local varieties supports high ecosystem functions and services for biodiversity, and also adds aesthetic values and beauty to entire landscapes.

The change in the status and trends of usage of local rice varieties is associated with the overall transformation of forest land to upland barren fields with mostly cassava crops; due largely to policy, and also the gradual replacement of local rice varieties with new ones for higher yields but with effects and impacts from use of chemical fertilizers and herbicides (also largely due to the agricultural extension program). Limited access to information and knowledge of the relevance and correct usage of these products in farming practices exacerbates their impacts on both social and ecological wellbeing.
H’re people’s practices of cultivating their rice varieties are often interlinked with entire resources including land, water and forests from their upper watershed through to downstream areas. The changes in adopting certain new rice varieties have led to certain changes in how they relate to and interact with their land, water and forests. During and after this case study, discussions with participants also pointed out the irrelevance of using chemical fertilizers and herbicides in their farming practices and at this stage, interviews with key leading representatives from communal authorities (see one of the videos produced) have indicated their wish to address this herbicide usage by limiting them. They also continue to ask for more collaborative support along with SPERI and the LISO Alliance’s facilitation to reverse the trends and impacts i.e. to strengthen protection and long-term management of the land and forests through a strategy towards maintaining the indigenous local knowledge of the H’re ethnic community to secure local people’s resources, knowledge and wisdom.

Annex 8.1.

Table 8.7. Schedule for meetings with the H’re indigenous minority community, Po E commune, Kon Plong district, Kon Tum province, Central Highlands region of Vietnam through the IPBES ILK technical support unit (TSU) grant.

<table>
<thead>
<tr>
<th>Date</th>
<th>Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>10 August</td>
<td>Arrival.</td>
</tr>
<tr>
<td>10 August</td>
<td>Evening: We introduced the group of key persons. We mentioned the aims of this small case study and also shared the current IPBES work at the international level. We also shared information from the last ILK meeting in Chiang Mai in order for people to better realize the significance of indigenous and local knowledge. We asked for consultations on how to engage with the ceremony and also asked questions on the case study theme.</td>
</tr>
<tr>
<td>11–12 August</td>
<td>Violak village (10–20 people)</td>
</tr>
<tr>
<td>13–14 August</td>
<td>Vi K Oa village (10–20 people)</td>
</tr>
<tr>
<td>15–16 August</td>
<td>Vi Klang 2 village (10–20 people)</td>
</tr>
<tr>
<td>17–18 August</td>
<td>Vi Po E 2 village (10–20 people)</td>
</tr>
<tr>
<td>19 August</td>
<td>Summary, present findings to villagers, and evaluation (20–35 people)</td>
</tr>
<tr>
<td>19 August</td>
<td>Meeting in the Po E communal people’s committee office, inviting not only villagers and village representative leaders, but also other functional offices to attend as well.</td>
</tr>
<tr>
<td>20 August</td>
<td>Departure</td>
</tr>
</tbody>
</table>
9. Traditional agricultural knowledge for biodiversity and ecosystem management: Evidence from rice-fish-duck system in Dong and Miao Terrace of China

Rong DAI\textsuperscript{a} and Dayuan XUE\textsuperscript{b}

\textsuperscript{a} Nanjing Institute of Environmental Sciences, Ministry of Environmental Protection of the People’s Republic of China, Nanjing
\textsuperscript{b} College of Life and Environmental Sciences, Minzu University of China, Beijing

Background

China is a large agricultural country, with a history of thousands of years of agricultural development (Dai et al., 2010). Chinese farmers have been searching for many agricultural practices adaptable to different natural conditions (Zhang et al., 2012). These practices and knowledge are not only the application of traditional Chinese philosophy, but are also the foundation of modern ecological agriculture, which greatly supports the sustainable agricultural movement throughout the world (Li, 2001).

Traditional agricultural knowledge is a comprehensive system of technology, management pattern, wisdom, knack and experience, which was created by long-term agricultural productive practices and has been passed from generation to generation in the particular community (Li, 2010). This kind of knowledge has provided many ecosystem services which are important for the local environment, especially in mountainous areas. Dong and Miao people have conceived and used the rice-fish-duck system for thousands of years in Congjiang County, which is located in the southeast of Qian Dongnan Miao and Dong Autonomous Prefecture of Guizhou Province, China. In the system, the traditional varieties of glutinous rice saved by Dong and Miao people are used and the fish and duck are also bred in the terrace fields. This paper will review the system for ecosystem services and benefits analysis. The rice-fish-duck system may contribute towards improved grain and food production levels under limited resource conditions, promote the development of indigenous culture and applied research of biodiversity conservation and aid exploration of the research of adaptability methods, approaches and management mechanisms of biodiversity conservation among ethnic groups of China. It will also facilitate the work of IPBES Asia-Pacific Assessment of Biodiversity and Ecosystem Services, especially in IPBES regional assessment themes of sustainable use, conservation, land degradation and restoration in Asia and the Pacific region as well as serve as a case study. The study also has important significance for the protection and heritage of traditional knowledge and the protection of biodiversity and ecosystem management of ethnic regions in China.
9.1. Introduction

China is a large agricultural country, and with the rapid development of the economy and science and technology, thousands of years of traditional agricultural production methods will soon be replaced by modern agricultural methods (Xue and Dai, 2012). The development of modern science and technology greatly promoted the growth of agricultural products, agricultural productivity levels and the agriculture economy. Farmers have begun to adopt modern agricultural techniques and modes, instead of the traditional modes (Liu et al., 2014).

However, at the same time, large-scale use of chemical fertilizers and pesticides (Mäder et al., 2002), and discharge of many pollutants into the agricultural produce process result in a series of major environmental issues such as the destruction of agricultural ecological environment, water and soil loss, land degradation, biodiversity loss etc., but have contributed to eliminating hunger. Water pollution and other environmental problems, however, have also arisen because of the excessive application of chemical fertilizers in rice production areas. Nitrogen (N) fertilizer increases crop yields (Tilman et al., 2011), and the N fertilizer used in rice production accounts for 16% of all N fertilizer used in the world (Ladha et al., 2011). In addition, shortage of agricultural natural resources, decline of the agricultural ecological environment quality, significant loss of traditional crop varieties and poultry species resources, and food safety issues are becoming the focus of attention. Against this background, the most serious challenges for contemporary Chinese agricultural development are improving grain and food production levels under limited resource conditions, at the same time protecting and improving the rural ecological agricultural environment and, maintaining ecological balance to co-ordinate regional economic development and ecological protection.

The aforementioned problems in the agricultural development process have attracted great attention from many Chinese scientists and agricultural workers. They emphasized that we should once again focus on traditional agricultural knowledge and practices. However, the emergence of China’s traditional agricultural knowledge is not by accident, but by the accumulation of practical experience of agricultural development over thousands of years and the reflection of domestic agricultural development trajectory. China has thousands of years of agricultural production history, leaving the legacy of many excellent traditional production experiences, such as reasonable crop rotation, interplanting, intercropping, deep plowing and thorough harrowing, plowing and winter irrigation, combination of agriculture and animal husbandry, insect-resistant breeding selection, biological natural enemies, plant-based pesticides and so on, which are all the essence of traditional agriculture. They are in accordance with the principles of ecological agriculture, helpful for achieving sustainable agriculture development. These areas, where natural resources and ecological environment are not damaged or are only damaged at low levels, provide a strong foundation for the development of ecological agriculture in China.

The rice-fish-duck system of the Dong and Miao people is one of the major practices of traditional Chinese agriculture. It is no longer a soul agro-production practice, but an agro-cultural pattern. This system is rationally formed according to the biological and ecological characteristics of various communities and their mutually beneficial symbiotic relations, considering the different requirements of different organisms on the space, time, material and energy. All communities with different niches fulfill their own needs, make the full use of solar energy, water and mineral elements and build a production structure which is multi-layer in space and multi-sequence in time. The traditional system efficiently uses water and land resources, provides food security, and does not harm the local environment (Hu et al., 2013). With the shortage of agricultural natural resources, the decline of the agricultural ecological environmental quality and significant loss of traditional crops and poultry species resources, food safety issues are becoming the focus of attention. This study expects to increase awareness of the importance of traditional Chinese agricultural knowledge for biodiversity and ecosystem management.
9.2. Study area

Congjiang County (25°16’N–26°05’N, 108°05’E–109°12’E), located in southeastern Guizhou Province, China, is a typical traditional agricultural area with lack of arable land resources, as shown in Figure 9.1. Congjiang County is one of the poorest counties in southwestern China and also a multi-ethnic area: There are Miao, Dong and 13 other ethnic minorities (Zhang D et al., 2012). The ethnic minority population accounts for 94% of the total population of the county. Dong and Miao people conceived the rice-fish-duck system thousands of years ago in this county. The county is mainly composed of low range mountains and hills, which constitute nearly 90% of the total area. The area for raising fish and duck in paddy fields is about 12,600 hm², and this practice is the main source of high protein nutritious food required for mountain villagers in remote areas. The rice-fish agriculture also has a long history in Congjiang County. The documents of national migration records show that over 1000 years have passed since the Dong and Miao people of Congjiang County began using the rice-fish agriculture. Photo 9.1 shows that the scenery of Jiabang terrace fields in Congjiang County of Miao-Dong Autonomous Prefecture of Qiandongnan, southwest China’s Guizhou Province. Jiabang terrace

![Figure 9.1. Location of Congjiang county.](image)

![Photo 9.1. The scenery of Jiabang Terrace Fields in Congjiang County.](image)
is home to the Miao people (the photo was taken by Xiaoshu Mo). Officials and experts from the Food and Agriculture Organization of the United Nations (FAO) made a special trip to inspect Congjiang County from 30th May to 6th June 2006. Rice-fish-duck system in Congjiang County was listed by FAO as one pilot site of the Globally Important Agricultural Heritage Systems (GIAHS) early in 2011. A GIAHS is a living, evolving system of human communities in an intricate relationship with their territory, cultural or agricultural landscape or biophysical and wider social environment (www.fao.org).

9.3. Materials and methods

We conducted field research four times from June 2010 to October 2011. We selected 68 households as interviewees for the study because they cultivated rice both in the rice-fish-duck system and rice agriculture (monoculture) system with different varieties. We conducted interviews with farmers in their houses and farmlands with a prepared questionnaire. Questionnaire-based interview and participatory method was used in the study, as shown in Table 9.1.

Table 9.1. Data and methods used in the study.

<table>
<thead>
<tr>
<th>Type of agriculture</th>
<th>Methods</th>
<th>Comparing analysis</th>
<th>Problems</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rice-fish-duck agriculture</td>
<td>Questionnaire interview and participatory method</td>
<td>By comparing the two types of agriculture on economic, ecological, social and cultural benefits</td>
<td>Opportunities and challenges</td>
</tr>
<tr>
<td>Rice agriculture (monoculture)</td>
<td>Questionnaire interview</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

9.4. Results and analysis

9.4.1. The rice-fish-duck system

Rice-fish-duck system of Dong and Miao people, shown in Figure 9.2, as one part of China’s traditional knowledge and agricultural culture, has become the protection pilot of FAO’s Globally Important Agricultural Heritage. The system is a production mode which mountain farmers depend on in Congjiang county, stemming from the limitations of natural conditions. It is also a high protein nutritious food source for the villagers. Combining cultivation of plants with animal feeding is a raising mode of closely integrated farming and animal feeding in the spatial dimension, with multi-level configuration, aiding a variety of biological coexistence to take full advantage of materials.

In this system, the traditional varieties of glutinous rice seedlings saved by Dong and Miao people are used and the fish is also bred in the terrace fields. Photo 9.2 shows that the ducklings are put into the terrace field to be raised in the system. When the fish grow up to ten centimetres long, the ducklings are put into the terrace field to be raised. The glutinous rice of the system provides shade and organic food for the fish, ducks and other aquatic animals. The fishes and ducks play an important role in weeding, cultivation, fertilization, oxygen supply and eating pests etc. This ecological cycle has achieved very good economic, ecological, social and cultural benefits. This system has strong practical significance and promotional value for solving the problems of the world’s agricultural, ecological and environmental deterioration, farmland and water pollution and safety of agricultural products.
Based on previous literature, we believe that the rice-fish-duck system has strong practical significance and promotional value. We would like to discuss in detail the four benefits of rice-fish-duck system over rice agriculture system (monoculture) in the following sections.
Economic benefits

According to the interview results from 68 households, we took the average value to calculate the cost and profit between the two systems. The cost of the two systems includes the cost of seeds, fish, duck, pesticides, fertilizer, feed and labour. The profit values of the two systems include the profit from rice, fish and duck. As shown in Table 9.2, although the glutinous rice yield of rice-fish-duck system is slightly lower than the hybrid rice systems with single species, the price of rice produced by the system is 3–5 times higher than hybrid rice. Photo 9.3 shows that the traditional varieties of glutinous rice seedlings saved by Miao people in Jiabang village, Congjiang County, China. Meanwhile, the fish and ducks in this system are typical ‘green food’, the prices of which are twice as high as ordinary varieties. Photo 9.4 shows that the traditional varieties of fish saved by Miao people in Jiabang village, Congjiang County, China. The manure of fish and ducks is a good organic fertilizer for glutinous rice, which can greatly reduce the input costs of pesticide, fertilizer, feed and labour.

Table 9.2. Cost and profit analysis between rice-fish-duck system and Rice agriculture system.

<table>
<thead>
<tr>
<th>Cost and profit</th>
<th>Rice-fish-duck agriculture (CNY/hm²)</th>
<th>Rice agriculture (monoculture) (CNY/hm²)</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cost</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Seed</td>
<td>0</td>
<td>-750</td>
<td>Traditional varieties of glutinous rice seedlings saved by Dong and Miao people are used for planting.</td>
</tr>
<tr>
<td>Fish</td>
<td>-1500</td>
<td>0</td>
<td>Traditional variety.</td>
</tr>
<tr>
<td>Duck</td>
<td>-2250</td>
<td>0</td>
<td>Traditional variety.</td>
</tr>
<tr>
<td>Pesticide</td>
<td>0</td>
<td>-1350</td>
<td>No pesticide for rice-fish-duck system.</td>
</tr>
<tr>
<td>Fertiliser</td>
<td>0</td>
<td>-3000</td>
<td>Using farmyard manure to replace fertilizer in rice-fish-duck system.</td>
</tr>
<tr>
<td>Feed and labour</td>
<td>-1500</td>
<td>-3000</td>
<td></td>
</tr>
<tr>
<td>Agricultural water</td>
<td>0</td>
<td>-750</td>
<td></td>
</tr>
<tr>
<td>Profit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rice</td>
<td>+39375</td>
<td>+18900</td>
<td>Average milled rice rate 70%.</td>
</tr>
<tr>
<td>Fish</td>
<td>+9600</td>
<td>0</td>
<td>Green food.</td>
</tr>
<tr>
<td>Duck</td>
<td>+6480</td>
<td>0</td>
<td>Survival rate of duck could reach more than 90%.</td>
</tr>
<tr>
<td>Total</td>
<td>50205</td>
<td>10050</td>
<td></td>
</tr>
</tbody>
</table>
Comparing the two types of agricultural systems on ecological benefits, we found that a lot of ecological principles are inherent in the rice-fish-duck system. This system is of sound design, and the flow of energy and material is recycled with the ecological system. In the system, the output (such as the discharge of discarded materials) in one production link is the input for another production link so that various kinds of discarded materials in the system can be utilized time and again in the course of production; thus a higher utilization rate of resource is achieved and pollution onto the rural environment is effectively prevented. The ecological cycle greatly increased biodiversity, as shown in Figure 9.3, improved farmland ecosystem stability and helped achieve sustainable development and use of land, as shown in Figure 9.4.
Social benefits

Dong and Miao people lack arable land area resources, and the area is dominated by terrace fields. The rice-fish-duck system is a natural three-dimensional mode of agricultural production, which can effectively save the land, and ease the contradiction between land and people.

Cultural benefits

The rice-fish-duck system promotes the protection of its farming culture and heritage. The system has a history of thousand years, which is one of the surviving production modes for the Dong and Miao people. Not only does the system promote the traditional varieties of planting and breeding in the region, it also promotes the continuation of Dong and Miao people’s farming culture and they affect each other, interact with each other, and are closely related.

Through our research, we found that the model of rice-fish-duck system is adopted most often in mountainous areas and is worth being popularised for its good economic, social and ecological benefits. Fishing in terrace fields as a kind of unique local knowledge assimilated with local society, has existed for a long time. Increasing fishing in terrace fields and popularising the technology on a large scale can not only enable agricultural natural resources to be developed intensively, but also improve the quality of life of the local people. At the same time, it will help to protect and improve the livelihoods, food security, the rural ecological agriculture, environment and quality of life of indigenous peoples and local communities.

9.5. Discussion and perspective

9.5.1. To develop ecological agriculture based on the local conditions

The humans and their livelihood activities have continually adapted to the potentials and constraints of the environment and also shaped the landscape and the biological environment to different degrees. This has led to an accumulation of experience over generations, an increasing range and depth of their knowledge systems and generally, but not necessarily, a complex and diverse range of livelihood activities, often closely integrated (www.fao.org).

With the development of science and technology, high-yielding hybrid rice varieties have been introduced into the Dong and Miao area and popularised crop monocultures, which results in the loss of a number of traditional rice varieties with important genetic value. Because the hybrid rice has a short maturation period, high plant density, and requires fertilisers and pesticides, it has greatly affected the insects, soil, animals, plants and microorganisms, and reduced the biodiversity of paddy fields.

In order to change this situation, Congjiang County began to develop eco-farming based on the local conditions. The eco-farming mode includes commercialisation of special agricultural products and eco-tourism. The industrialisation of special agricultural products includes: high-quality farm products and material recycling based on biogas etc.

High-quality farm products

The ecological agriculture in Congjiang County promotes the development of high quality agricultural products, thus increasing farmers’ income. As the process of agricultural industrialization continues to speed up, the characteristic agriculture has developed into the vegetable industry, virus-free potato industry, high-quality rice industry and Congjiang pigs industry.
Material recycling based on biogas

Rural biogas constructions play an important role in increasing farmers’ income, promoting production development, protecting ecological environment, improving rural landscape and so on. The biogas digester is a connection to link planting and breeding, life and production, which can not only solve the needs of lighting and cooking fuel, but also the resource utilisation of human and animal faeces and other waste, improving the ecological environment of local areas.

Eco-tourism in Congjiang County

Congjiang County has a unique folk culture of the Miao and Dong, and it is preserved more completely because of the relatively isolated environment. This is an attractive point for the development of agricultural eco-tourism.

9.5.2. Opportunities and challenges

At present, Chinese agriculture has developed into a new stage. The problems of supplying adequate food and clothing have been basically solved. Agriculture should not only meet the basic needs of the people’s living standards and the industrialization demand of agricultural raw materials, but also provide increased and better quality and safer food for the society. The requirements for agricultural products and foods are not only the quantity, but also the agro-ecological environment and safety. The focus of agricultural production shifts from quantity to quality and efficiency. Organic food products with high-quality, high diversity and health safety will be preferred. Food safety and environmental concerns have become fundamental issues for international trade of agricultural products and foodstuffs. Meanwhile, it is necessary to protect farmers’ livelihoods and employment, increase the farmers’ income, as well as undertake the function of ecological protection, tourism and historical cultural heritage.

However, some problems have also been encountered on the developing trajectory of China’s ecological agriculture. Whether these problems can be effectively solved determines the direction and prospects of China’s ecological agriculture development. These problems are related to the awareness of Chinese agricultural resources and environmental infrastructure, ideology, economic scale, science and technology, management, product marketing and the direction of sustainable development direction.

In the stride of pushing traditional agriculture forward to modern agriculture, one of the main tasks on our agricultural front is to develop ecological agriculture. China’s ecological agriculture is consistent with the Chinese situation. And it accumulated knowledge and experience in the management and use of resources from Chinese traditional agricultural knowledge, which is a globally significant treasure that needs to be promoted and conserved and, at the same time, allowed to evolve.

It has strong vitality and broad development prospects. We hope that ecological agriculture will become mainstream and lead the direction of Chinese agricultural development in the 21st century. Through continuous exploration and development, we believe that China’s ecological agriculture will have much larger development space, achieve more and make positive and important contributions to sustainable development of agriculture in China and the world. Therefore, a variety of ecological agriculture methods have developed rapidly in China based on traditional agricultural practices and knowledge, becoming an effective model for sustainable agricultural development in China.

In order to further promote the development of ecological agriculture in China, modern science and technology should be based on a good understanding of current national situations and conditions, working with government support and farmer participation to do strategic planning. In addition, there is a need to strengthen ecological agricultural policies and funding support, enact relevant laws and regulations, improve industrialization levels, reinforce extension, strengthen
the theory and research of ecological agriculture and so on. When the natural resources are used for agricultural production, ecological balance should be maintained and restored to achieve the unity of the economic, ecological, environmental and social benefits.

Acknowledgements

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References


Indigenous knowledge of qanats (Aqueducts) and their role in achieving the four indicators of sustainable development: A case study from the Tangsrayad – Sabzkouh biosphere reserves

Bahar MOHAMADIFAR* and Mortaza Ashrafi HABIBABADIb

a. Project manager and CEO, Akhtar Sepehr Sepahan
b. Project director and head of the Working Group on Land Degradation

Abstract

As a predominantly arid and semi-arid country, Iran has always faced the problem of water shortage. Our predecessors addressed their water shortage problems using indigenous knowledge. Aqueducts (hereafter qanat) are our predecessors’ long-lasting heritage hidden inside the earth and one of the scientific and cultural treasures of Iranians. It is a native solution for the management of water resources.

In fact, qanats are one of the most important monuments of Iran and Iranian art and culture, the knowledge of which has been passed on through generations. In addition to revealing obscure native technological trends in Iran, the status of qanats from the perspective of economic, environmental, institutional and social indicators could have been a reason for the country’s scientific, cultural and economic advancement.

Studies have shown that assessments and studies that have been done only by experts differ from assessments which take into account various aspects, especially surveys and interviews; and, in many cases, the deep knowledge of local people of the ecosystems and species that are in contact with them is not given as much value as it is worth. Therefore, the major priority of sustainable development should be centred on indigenous knowledge and on creating interactions between indigenous and formal knowledge.

This research study is a combination of bibliographical research and field work which was done using the case study methodology and a combination of qualitative and quantitative data collection techniques. The main objective of this study is to evaluate the role of qanats and indigenous knowledge in achieving the four indicators of rural sustainable development.
In the field of social indicators, the role of qanats in education, employment, cultural heritage, poverty and income distribution and the role of women and youth and access to natural resources and land are studied. In the field of economic indicators, financial independence, energy production and consumption patterns, economic structure, trade and productivity are explored. In the field of environmental indicators, agriculture and food security, biodiversity and land use change, sustainable use of natural resources and sustainable tourism are examined. In the area of institutional indicators, the role of qanats in integrated decision-making, capacity building, science and technology, government and civil society, institutional and legal frameworks and partnerships are studied.

Keywords: indigenous knowledge, qanats, indicators of sustainable development, rural development.

10.1 Introduction

Due to the climatic conditions of Iran where the average rainfall in most areas is less than the average global rainfall, it can be said that water is one of the most important concerns for the people and their biggest challenge (Haeri, 2008: 11–17). Using traditional knowledge, involvement and cooperation, our predecessors had imposed the strictest form of exploitation and extraction of the water systems. Water structures of our predecessors indicate a deep understanding and command over water engineering and related knowledge (Javaheri, 2007: 20). Qanats have a long-standing and well-developed engineering system that has survived over the centuries (Haeri, 2008: 29). It is one of the most complex indigenous techniques and its implementation requires knowledge of natural behaviour of groundwater and geology.

It is necessary to understand the structure of qanats considering their influence and antiquity in the history of Iran and their role in society and the community as well as cultural and socio-economic ties and indigenous knowledge. Qanats could be useful in the realisation of rural sustainable development objectives.

Phenomena such as qanat as a natural organization are very important in the formation of social systems. In addition to supplying the water directly, this phenomenon indirectly affects the cultural, social, economic, political, architectural and community urban planning. Fundamental impacts on urban-rural communities lead to feedback on the world at a micro and macro level (Ghods and Asghar Zade Maleki, 2015).

Over time, with population growth and the effect of surface and sub-surface water reduction, communities using too-focused methods such as construction of large dams and deep wells tried to meet their needs of sufficient water and that has led to imbalance of water needs in Iran.

Now, reputable academic and research centres as well as international organizations such as UNESCO and FAO have realised the importance of local participation in water resources management and extensive studies and research have been done in this area. They make every effort to focus on the people and in involving them in all stages of project implementation and the operation of water systems and have tried to encourage governments to support and use indigenous knowledge in order to strengthen capabilities and social institutions and in the implementation of environmental management in critical areas.

In this study, we tried to investigate the role of the qanat in achieving the goals of sustainable development indicators, taking a big step in preserving and protecting the water resources.
10.1.1. Qanat terminology

Qanats are underground ducts through which deep underground aquifers guide water to the surface so that this water meets the various needs of humans such as drinking, agriculture, cleansing and construction. Qanats can be seen in all parts of Iran.

In fact, the qanat has mining technical features that are used to exploit groundwater in the free aquifers and it is also an underground duct that drains underground water from the aquifer and guides it to the surface (Ahmadi, 2006).

10.1.2. Specifications and structure of qanat

In principle, the structure of a qanat includes a horizontal hole or gallery or underground ‘furnace’ with vertical cavities or shafts which connect the wells to the ground (Figures 10.1 and 10.2) (Maleki and Khorsandi, 2006). The slope below the ground surface guides the water in a hydro layer (or layers) through highland terrain, streams, swamps and ponds to the point of consumption using the power of gravity without usage of energy.
Table 10.1. Components of qanats.

<table>
<thead>
<tr>
<th>Number</th>
<th>Components</th>
<th>Descriptions</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Mazhar or harness (qanat exit)</td>
<td>Place where underground water from qanat appears at the surface.</td>
</tr>
<tr>
<td>2.</td>
<td>Furnace or gallery (koureh)</td>
<td>Horizontal tunnel. Its slope is determined and has sufficient diameter. Furnace collects and transfers groundwater.</td>
</tr>
<tr>
<td>3.</td>
<td>Coastal water access</td>
<td>Tunnel with a gentle slope that leads from the water surface to the qanat furnace. Access to qanat water is provided in coastal areas.</td>
</tr>
<tr>
<td>4.</td>
<td>Paschall</td>
<td>Small pits on the floor of the qanat’s furnace used to repair or modify the slope.</td>
</tr>
<tr>
<td>5.</td>
<td>Pishkar (the proxy canal)</td>
<td>Canal built along the qanat’s furnace after the mother well into the aquifer is called Pishkar. The proxy canal is dug to extract more groundwater and it is a variable structure in the building of the qanat.</td>
</tr>
<tr>
<td>6.</td>
<td>Picket (dastak)</td>
<td>Sub-furnaces that are drilled to identify geology and groundwater around the original qanat’s furnace.</td>
</tr>
<tr>
<td>7.</td>
<td>Rod (mileh)</td>
<td>Vertical shafts drilled from the surface to the qanat’s furnace. Qanat’s rod plays an air conditioning role and transfers debris to the surface during drilling of qanat. It also provides access during the construction and operation of qanat.</td>
</tr>
<tr>
<td>8.</td>
<td>Parf</td>
<td>Small holes in the rod’s body with geometric order meant to help the ‘pitman’ go up and down from the ground to the bottom of wells and therefore have access to qanat furnace.</td>
</tr>
<tr>
<td>9.</td>
<td>Pshteh (stack)</td>
<td>The distance between two consecutive well rods of qanat is called Pshteh (stack).</td>
</tr>
<tr>
<td>10.</td>
<td>Mother well</td>
<td>Well rod at the end of the qanat route which is among the deepest well rods.</td>
</tr>
<tr>
<td>11.</td>
<td>Teran</td>
<td>Sections of the qanat which are located below the level of underground water saturation. Other names are Abgan, Abgen and Abgir. The bigger qanats’ pond, the greater its discharge rate. Qanat furnace in this section is drainage construct and water transmission.</td>
</tr>
<tr>
<td>12.</td>
<td>Khoshkan (land surface)</td>
<td>Parts of the qanat structure that are located above the surface of groundwater. Qanat’s furnace is water construct in this section.</td>
</tr>
<tr>
<td>13.</td>
<td>Haranj (pool)</td>
<td>Part of qanat in the form of open channels between the Mazhar and the distribution of water into the ground.</td>
</tr>
</tbody>
</table>

10.1.3. Types of qanat

Ebi Zadeh in his paper (2011) stated that we can classify the qanats in terms of position and location of the construction, building and application.

► Types of qanats based on position and location

Qanats are of two types: mountainous and plain. Mountain qanats are dug in the mountains and are fed by rain and snow in the highlands. The discharge of these qanats is not fixed, this means that in the spring if there is snow and rain, the water level is high and vice versa. In summer, water levels drop and even in successive droughts, water may be reduced and the seasonal ones dry temporarily. That is why these qanats are called Havabin and some people call them Cheshme Sar
because in the spring when the water level is high and rainfall is high, amount of water increases and vice versa, during drought water reduces so that in many years, rural people migrate.

Plain qanats are qanats that have been drilled in the plains and emanate from deep sources of groundwater. They are relatively deep and the water is constant in all seasons. More than half of the qanats in the East of Tangsayad and Sabzkouh biosphere are mountain qanats and in many cases people have seen them dry.

Mountain and plain qanats, depending on the geographical and topographical conditions of different regions, are mainly of three forms:

▶ Consecutive qanats
Consecutive qanats are seen mostly in mountainous areas and in valleys that have high slopes. They are placed in such a way that an upstream qanat feeds the next qanat. In other words, the mother well of each qanat is located upstream of the qanat’s cultivation source and benefits its penetrated water.

▶ Parallel qanats
Parallel qanats can be seen in the foothills. Accordingly, the front area is mountainous and the plain adjacent to it is relatively large. Qanats in this region are mostly along an erosion ravine or failed valley whose floor is filled with alluvial material. The water of these qanats is supplied from the mountain water drainage. Almost equidistant from the mountain, villages are chained together and have established themselves parallel to the mountain. In these areas, towards the thalweg, water increases in the qanat.

▶ Convergence qanats
Convergence qanats are mainly created in the plain which is surrounded by the heights. In this case, qanats flow radially toward the centre of the plain.

▶ Qanats based on the length
In this category there are two types of qanat: short and long. The higher the annual rainfall of areas, the lesser the length of the qanat as well as the depth of the mother wells, and vice versa (Ebi Zade, 2011).

▶ Qanats based on the depth
In the classification in terms of depth, two types of qanats can be defined: deep and shallow. The qanat are called deep when we need to go more than 30 metres deep into the earth and sometimes up to 100 metres to find water. Also, in some regions, the depth of the penetrable layer relative to the earth’s surface is low. Qanat construction in these areas doesn’t require deep mother well or shafts. These kinds of qanats are called shallow qanats.

▶ Qanats in terms of discharge
In this category there are also two groups of qanats: qanats that have fixed and permanent watering throughout the year and qanats whose discharge during the year is based on rainfall and drought. These types of qanat in qanat terminology are called seasonal or Havabin (Behnia, 1989).

▶ Qanat types in terms of structure and form
Qanats can be divided into three groups in terms of structure and form: Simple qanat, qanat diverted from river, two-storey qanat.

▶ Simple qanats include qanat’s Mazhar, duct, well rod and mother well.
**Qanats diverted from river:** This group includes *qanats* that are diverted from the river and their job is to transfer water from the river. Contrary to the simple *qanats*, they do not take water from the mountain but transfer water from river to the fields (Haeri, 2008).

The third category is two-storey *qanat* whose structure is unique. In two-storey *qanats*, there are two horizontal tunnels instead of a general tunnel and one of them is above the other at some distance and has two lower and upper storeys from the origin to Mazhar. Just one two-storey qanat has been discovered – this is called ‘Moon’ and it is in Ardestan.

### 10.1.4. Qanat and rural development

The findings of Fadakar Davarani and Sam Avar (2011) indicate that the existence of *qanat* aids the achievement of rural development in villages by promoting empowerment, desire to progress and participation in the affairs of the village. Considering the traditional production system, group management, indigenous knowledge, and experience of local people in the context of providing their knowledge and expertise, it can provide developmental results for society and the use of this indigenous knowledge can create a sense of safety, efficiency, and effectiveness, and value and enjoyment of the right to choose among rural people.

Maintaining the cooperative structure and traditional *qanat* systems shows their developmental value in creating sustainable livelihoods and ecological balance in the territory.

Creating a collaborative atmosphere in the village to implement rural development plans cannot be realised without the resources of rural participation. The *qanat* is one of these collaborative resources (Fadakar Davarani and Sam Avar, 2011). Drilling *qanats*, maintaining them and dredging canals in turn creates conventional systems in the rural community which needs a specific atmosphere of cooperation. Also, the role of reasonable utilization management of water resources in rural sustainable development is so significant in a way that besides meeting current needs, the needs of future generations should not be negatively impacted (Motiei Langroudi, 2004).

The operators of *qanat* form another aspect of participation. Operators, including both owners and non-owners of the *qanat*, create complex networks of cooperation in villages which is unique in its kind (Fadakar Davarani and Sam Avar, 2011).

Therefore, to achieve sustainable development, especially in rural areas and agriculture, we should use several thousand years of knowledge and experience of indigenous peoples as an important and valuable capital and by restoring the structure and conventional systems in rural *qanats*, pave the way for achieving rural sustainable development.

### 10.2. Statement of the problem

This article is trying to answer the question of the impact *qanat* restoration could have on the realisation of objectives and indicators of sustainable development in Tangsuyad and Sabzkouh Biosphere, based on research achievements and case studies of *qanats* in east Tangsuyad – Sabzkouh biosphere reserves.

The crisis of drought in the last decade has caused many problems for the mountainous regions and aquifers of Iran. One of the most water-rich regions of Iran is Char-Mahal-Bakhtiari state: although it occupies a small extent of the area in Iran, it provides more than 10% of water in Iran due to its mountainous climate and presence of rocky ecosystems and high mountains. However, during the recent drought in Iran, some parts of this region have been affected as well which has worsened the water crisis and the number of water resource management warnings in recent years. The area under study in this project includes the eastern regions of the Tang Sayyad and Sabzkouh biosphere reserve which occupies more than one-third of the Char-Mahal-Bakhtiari
state. This reserve has recently been included among the biospheres of the world which contain a rich natural and cultural variety. The presence of three large tribes of nomads of Lor, Turkish and Arab origin and more than 200 rural communities contribute to the rich cultural variety of the region. Gathering and analysis of the native knowledge of local communities are some of the most important goals of the area managers who have performed effective procedures in the region. One of these procedures was the gathering of the native knowledge in the watershed management and agricultural fields of which qanat reclamation is one of the sub-branches. More than 30 qanats are present in the eastern regions of the Tang Sayyad and Sabzkouh biosphere reserve, some of which have an antiquity of more than 500 years. Unfortunately, due to the unstable development of agriculture, plenty of deep wells have been excavated in recent decades as a result of which the level of underground water in these eastern areas has dramatically reduced and unfortunately there have not been any considerations for the recovery and repair of qanats.

10.3. Methodology

Survey and research activities of this study were conducted using a combination of qualitative and quantitative data collection techniques (group discussions, focus groups, semi-structured interviews and structured interviews through questionnaires) and for the literature review, study of literature, theoretical principles and bibliographical research has been conducted using books, publications, domestic articles, articles in reputed online journals.

The study site of the research is in the rural areas of East Biosphere of Chaharmahal and Bakhtiari Province which are parts of Shahr-e-Kord and Borujen cities. Sample population consisted of 150 people, including the elderly, middle-aged and young, both men and women over 20 years who were living in villages near qanats and also were introduced by informed people in the Department of Natural Resources and Watershed.

To conduct this study in order to collect more information on the overall condition of the qanats and their participation, 15 facilitators who were PhD students of natural resources and watershed, were involved. At the end of every day they analysed conversations and observations and designed programs for the next day. Furthermore, seven focus groups with nine members were created and a group discussion was conducted. To analyse the data, content analysis was used. In addition, through random sampling, questionnaires and interviews, required information was collected from more than 50 people for better confidence. The main research questions are as follows.

Is there any significant difference between villages in which qanats are flowing against villages in which qanats are dried in terms of:

- realisation of institutional indicators of sustainable development
- realisation of environmental indicators of sustainable development
- realisation of economic indicators of sustainable development
- realisation of social indicators of sustainable development

10.4. Results

The main hypothesis of this study is based on the idea that the existence of qanats in a village due to the participatory system of preservation and restoration as well as the revival of indigenous knowledge leads to empowerment in institutional, economic, social and environmental areas of local communities in villages. Prominent findings of this research are that the data analysis indicates a significant difference in some of these indicators.
10.4.1. Role of qanats in achieving the goals of sustainable development in institutional indicators

Research findings including results of the interviews and the analysis of the questionnaires show that the destruction of participatory and conventional systems of management and qanat dredging is one of the major reasons for east Biosphere remaining separated and therefore resulting in the intensification of droughts and the loss and drying up of qanats in the villages of the region.

The results show that qanats could dry up due to lack of attention, lack of experts in matters related to the qanats and lack of support from the government and lack of support for ‘pitman’ and this profession. The drying of qanats and lack of dredging is intensified due to old management laws passed in 1340, and death of the original owners of qanats, the increase of landowners and their lack of co-ordination in restoration and management of qanats.

The findings show that in villages that still try to revive and maintain the qanats, public participation and collaboration systems and conventional systems in the management of qanat somewhat persist and rural communities and village council have special partnerships to preserve and restore the qanat.

Therefore, to solve challenges in the management of qanats and prevent them from drying out, it is essential that government departments, local authorities and the private sector and non-governmental organizations give considerable importance to human resource development including ‘pitman’ and their profession, enhancing institutional capacity and strengthening and development of platform and organizational structure including creating forums and classes to support the ‘pitman’. Therefore, to restore and repair qanats and prevent their destruction, it is necessary that state and local authorities make consultations with local organizations, elders, and expert pitmen and adopt participatory management with persons with knowledge of qanats and qanat restoration and repair in order to learn from their experience and knowledge and to protect and promote indigenous knowledge and local social capital, and to adopt regulations and necessary legislation.

10.4.2. Role of qanat in achieving the goals of sustainable development in social indicators

Survey results show that there is a significant relationship between local continuous training and restoration of existing qanats in some villages. In some villages, authorities train young people by employing an experienced ‘pitman’ and by paying youth in order to encourage them to participate in dredging qanats. The important point is that young people are more interested in participation of the management system of qanats in these villages. Due to the place of qanats in Iranian culture, the recent actions of non-governmental organizations in some villages of holding a series of collaborative meetings with special emphasis on the role of the qanat in the revival of Iranian culture, has encouraged youth and locals to visit the elders and older pitmen to record and collect indigenous knowledge about qanat construction and rehabilitation. In this study, no correlation was found between participation of women and the restoration of the aqueduct. Relative to men, women in the villages have less information about the qanats and have no specific interest in qanat construction and rehabilitation.

Iran’s lack of educational content in the formal education system on the qanats has led to the fact that children have no information about the function of qanats and pay little attention to the need of restoration and repair of qanats.

In this study, a significant relationship was observed between the presence of young people in rural areas and the restoration of the qanat. Villages in which young people stayed and continued farming as their families did, are more interested in maintaining and restoring the qanats. In these villages, qanats prosper. The important point is that the elders and seniors in the villages in
which *qanats* have dried due to lack of dredging and drought believe that the dryness of *qanats* has resulted in the migration of young people because they claim that when *qanats* dry, there is not enough water for farming so young people migrate to other cities such as Borujen and Shahre-e-Kord to find job opportunities.

10.4.3. **Role of qanat in achieving the goals of sustainable development in environmental indicators**

Yousefi Rad in his article (2001) states that the *qanats*, maintain the natural hydrological conditions of the aquifers in times of high water or in drought areas, in the case of drought by reducing water discharge and by maintaining reserves of underground water becomes consistent with the aquifers while wells change the aquifer hydrodynamic conditions through indiscriminate harvesting. Thus, the natural regime of the aquifer changes after a while and to return to initial conditions is also not possible. *Qanats*’ protection area is one of the compatibility establishment factors with the aquifer and controls its natural conditions.

The findings of this study and the results of interviews and analysis of the questionnaires show that there is a significant relationship between the sensitivity of people to unsustainable and illegal exploitation of wells and land use change in villages in which *qanats* are still flowing. So in these villages, people have more control over the uptake of water for agriculture and provide frequent reports to regional water companies about illegal exploitation of water by farmers from underwater wells.

In villages in which *qanats* are dried and not dredged, land use change is observed more frequently. That is a very important point and reports of abuse of unauthorised wells are higher.

**10.4.4. Role of qanat in achieving the goals of sustainable development in economic indicators**

Research findings show that there is a correlation between increased agricultural production and the restoration of the *qanats*. In interviews, the research team came to the conclusion that farmers in villages in which *qanats* are still flowing assign some costs to dredge *qanats*. A more important point is that these farmers believe that dredging and restoration costs of *qanats* are significantly less than drilling a well and buying new equipment in order to harvest underground water. However, they emphasise on government support to dredge and restore the *qanats*. As mentioned earlier, migration rate in villages with active *qanats* is less than villages in which *qanats* are inactive.

**Conclusion**

In the recent decades, due to population growth, increased consumption, changing cropping practices, drought and other reasons, water from *qanats* was not sufficient to respond to the needs of rural communities. Therefore farmers and homeowners have turned to digging deep wells. Exploitation of these wells has resulted in a drop in groundwater aquifers; this has led to a decrease in yield of *qanats* in those areas. Thus, attention to the *qanats* should be at the heart of government programmes and the private sector. Public sectors should allocate more funds for projects and research with a focus on the *qanat* and speed up the recovery process.
The results of this study and other similar projects suggest that the following are among the challenges in the process of qanat restoration and repair:

- the lack of a 'pitman' guild as well as their insurance.
- lack of protection and safety instructions for qanats.
- removal of mirab (foreman and supervisor of the division of waters) from the maintenance cycle.
- low interest of public sector, more than ever, in investing in the reconstruction of qanats.
- difficulty of work and reluctance of young people in taking up the profession of 'pitman'.
- a lack of awareness among young people and teenagers about functional significance of the qanat.
- the lack of a comprehensive map of qanats.
- and prioritisation of the importance and sensitivity for restoration, repair and operational management of qanat.

Therefore, we suggest the following strategies to meet these challenges:

1. Allocation of more funds by the government for projects and research with a focus on qanats.
2. Culture building in villages and raising awareness among farmers about the integration of scattered lands and finally optimum productivity of water in terms of irrigation.
3. Culture building and repair and operation of historic qanats in order to attract tourists.
4. Comprehensive mapping of qanats and allocating funds based on the number, importance and sensitivity of the qanats in each province and city.
5. Determination of the apparent borders and basin of qanats which is the biggest issue among farmers.
6. Updation of approximate costs of restoration of qanats in each province according to conventional methods.
7. Formation of 'pitman' association, support and maintenance, insurance, and consideration of the profession as equal to miners with retirement in 20 years.
8. Prevention of uncontrolled digging of deep and semi-deep wells in the basin of qanats.
10. Inclusion of qanats in the educational curriculum of the country and taking into account the topic of qanats among experts, students and scholars.
11. Continuous 'pitman' professional training courses and exchange of experience of 'pitmen' by relevant organizations.
12. Comprehensive legislation to reduce the gaps in management system and traditional ownership of qanats.
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11. **Tharu** indigenous knowledge and medical system

Gopal Dahit THARU

gopaldahit.nepal@gmail.com

11.1. Introduction to the Tharu medical system

The **Tharu** medical system still exists and is widely practised in rural Nepal. Under this medical system, the **Tharu** community has basically three practices: these are **Tharu** mantars, which are used by Guruwa; medicinal plants, which are used by Baidawa; and massage, which is used by Sohrinyaa and other practitioners. All of the practices have deep influences on poor and rich **Tharu** families all over Nepal.

Among them, the Guruwa system takes the responsibility of protecting villagers from epidemic diseases, natural calamities, insect bites, etc. For this, they perform three basic worship rituals or *poojis*: namely, harya gurai, dhurya pooja and lawangi pooja. They also do barka pooja every three to five years and others as per their needs. In return, villagers pay *tihai* on a yearly basis.

Villagers do not appoint Baidawa, people in need of medical assistance go to Baidawa to obtain medical treatment. Baidawas never demand payment for providing their service and medicinal plants; they accept whatever the clients pay. One belief is that the effectiveness of medicinal plants decreases if Baidawas demand payment, so they never express it. In ancient times, Baidhya Tharus were Baidawa, whose main profession was taking care of sick people. But today, this is performed by other Tharus as well.

Villagers also do not appoint Sohrinya and other masseurs, they themselves come into practice as and when needed as per the request of people. The main work of Sohrinya is to facilitate child delivery. They gain this knowledge from their mother or other close relatives. They also offer massages. In addition, skilled men and women also perform massages to cure stomach pain, nerve dislocation, bone dislocation, etc. All these are the medical practices of the **Tharu** community.

11.2. Definition of Tharu medical system

As discussed, there are many types of medical practices in the **Tharu** community from the Iron Age until today. So, each has a separate meaning, but in general, the meaning of **Tharu** medical system is the combined form of all medical practices. Therefore we need to define each of the medical practices. Likewise, before defining the system, we need to describe the meaning of medical knowledge. The definition of the subject generates the meaning of the system. But to date, people have not defined the indigenous knowledge of **Tharu**. So, as per suggestions received from group discussions and interviews and from studying written documents, **Tharu** medical knowledge is:

... Tharu medical system originated from Tharu wise medicates and/or saints as per the needs and priorities based on spiritual salvation, divine grace and devotion and
the empirical practice of using medicinal plants, which has been transferred initially through family members and now from one generation to another in oral form.

Within Guruwa family, there are also varieties and levels of Guruwa: Deshbandhya, Kesauka, Ghar Guruwa, Dhalnariya Guruwa and General Guruwa. All these types of Guruwa, Baidawa and Sohrinya practice medicine by using one of or all of the three forms of knowledge: medicinal plants, mantars and massages.

For this, they first diagnose the illness, and then provide medicinal plants, or use sacred mantars, or offer massage, or do two of or all the steps to restore health. This complete process is called Tharu medical system. So, under Tharu medical system, there are basically three types of indigenous knowledge: one is indigenous knowledge of medicinal plants, which relates with Baidawa, another is indigenous knowledge of sacred mantars, which relates with Guruwa/Guro and third is indigenous knowledge and practice of massage. Indigenous knowledge has its own system but that interrelates as and where needed depending upon the nature of sickness or problems.

Figure 11.1 clearly shows that within Guruwa system, there are mainly five types of Guruwa. They at first ask sick people and/or his/her guardian about their symptoms. Afterwards, they spell sacred mantars taking rice grains or kain with oil lamp in their hand to diagnose the causes of illness or sickness. The diagnosis provides the way to the cure, for this, they again spell the next sacred mantars and suggest that the patient perform worship if needed. They also provide medicinal plants to eat, if needed. After a few hours or days, they follow up as and when needed. They also collect suggestions from the patient, guardians and from others during and after the treatment; and take corrective action as well.

Baidawa have more knowledge about the use of medicinal plants. They are the professional practitioners of medicinal plants. They carefully check sick people and study symptoms to diagnose diseases and their effect and find appropriate treatment. Afterwards, they provide medicinal plants and orient the patient about its use and diet. In most cases, they prohibit the consumption of meat, sour, oily or spicy food. The dose of medicine depends upon the age of the patient and nature of sickness. They never forget to collect lessons learned and feedback. This helps them to re-define the mode of preparing medicine, setting doses and frequency of use.

The third component is the massage system. Under this, there are two types of practitioners, namely, Sohrinya and other skilled men/women. They at first carefully examine the affected part, compare it with other similar parts of the body and lay their hand on and around the affected part to find the defective parts of the body. Afterwards, they start to perform the massage using mustard oil, turmeric, local wine, etc. and continue until the patient gets better.

This is the system of Tharu medical practices which still exists in the Tharu community mainly in the mid and western Terai district of Nepal.
11.3. History of Tharu medical system

The medical practice is itself challenging or difficult, but it must go together with the life of living beings. So, it can be imagined that the medical practice must have started from the Stone Age or earlier. At that time, they may have had limited knowledge, but they had practice in taking care of sick people, which was transferred from one generation to another and gradually broadened the practice and knowledge. Here I would like to quote four famous writers, according to them (Eliade, 1964; Kalweit, 1984; Grof and Grof, 1989), ‘Shamanism is an ancient form of healing that is universal, dating from the Stone Age and found in various forms on various continents.’ According to the shaman’s world view, animate and inanimate objects possess spirits. The difficulties of human beings result from an imbalanced relationship with these spirits of nature that are governed by cosmic laws (Chang and Kwang-iel, 1973; Lake, 1983). Chang and Kwang-iel (1973: 667) state that the ‘shaman is the mediator between the world of man and [the world] of spirits.’
According to Tharu myth and practice of Dang Valley, the pioneer of Tharu was called Gurbaba. His son, Ghanpat Gurwa, had four sons: Dahit, Madwa, Demanraura and Pachhaldangya. According to Tharu myth Gurbabak Jarmauti, Gurbaba created an earth and all living beings in the world. He had broad knowledge of all kinds of education and practices. So, after creating living beings, he taught medical knowledge to his son Ghanpat Gurwa. And again Ghanpat Gurwa taught all of the medical knowledge to his four sons. And all four sons went to a learning center to gain additional knowledge, and returned after learning. So, all four sons were perfect both in shaman work and in the use of medicinal plants. After long, at the time of separation, the elder son Dahit was provided with the middle region of Dang, which is called Chhilly Praganna, second son Madwa got the eastern part of Dang-Deukhuri and the youngest son Pachhaldangya received the western part of Dang, which is called Pachhalapath. Demanraura did not accept their ruling area; and requested that he be allowed everywhere without any restriction. Under their ruling state, each son of Ghanpat Gurwa served their people to the best of their abilities. The people were happy and healthy. So, Dahit, Madwa and Pachhaldangya are still respected and worshipped within their ruling area, whereas Demanraura is worshipped everywhere (Dahit, 2004). It means that during primitive times, Gurbaba, Ghanpat Gurwa, Dahit, Madwa, Demanraura and Pachhaldangya were the only practitioners of sacred mantar and medicinal plants. Long after, other clan Tharus learnt mantars from Guruwa of above four clans of Tharus and started to practice shamanism. These days, Tharus from Dharkatuwa, Katkatawa, Ghotaili, Kusamya and other clans have also been practicing shamanism.

The Guruwa and medical practitioners called Baidawa still practice in mid and far western Terai Tharu villages, viz., Dang-Deukhuri, Banke, Bardiya, Kailali, Kanchanpur, Surkhet, Kapilvastu and Rupandehi.

In Chitawan province, there is a Raj Gurau named Mr Budhan Gurau. He and his descendants learned mantars from divine grace and not from any Guruwa. According to him, his grandfather never taught mantars to his son; after his death, his son automatically gained that knowledge through a dream and by performing poojas or worship rituals. Similarly, his father also never taught him, and he learned them after his father’s death. So, he is sure that after his death, his son will learn these mantars. Till date, he has not taught any mantar to any of his sons. This practice has continued from the last five generations.

11.4. Classification of Tharu medical system

Each practice is also divided into subgroups (Figure 11.2).

Baidawa is a mere practitioner of medicinal plants, a professional user of medicines to cure sick people. Guruwa uses medicinal plants along with sacred mantars. They use specific types of medicinal plants only after purifying them with mantars. Likewise, next is the female practitioner, who is called Sohrinya. Her profession is to facilitate child delivery and take care of the mother and child. She uses medicinal plants for both mother and children, if needed.

Under the massage system, there are also three types of medical practitioners: they are Sohrinya, male practitioners and female practitioners. Sohrinya and female practitioners perform massages for females whereas male practitioners offer massage for men who need this service. They use mustard oil, turmeric, homemade wine, juices of medicated plants, etc. to massage the affected parts of the body.
In the past, Baidhya Tharus had very rich knowledge about the use of medicinal plants to cure sick people. They had adopted it as their profession. They had a large inventory of indigenous knowledge about medicinal plants and its uses. But due to the death of their ancestors who had such knowledge, this knowledge is also gradually vanishing because they did not teach it or taught only some portions of their knowledge to others. Right now, some Guruwa, Sohrinya and Baidawa are using this knowledge but whatever knowledge is preserved is also gradually disappearing together with the death of the practitioners. One belief still exists in the Tharu community that the knowledge of medicinal plants must be kept secret otherwise their effectiveness decreases. Due to this, the indigenous knowledge of medicinal plants is also vanishing very fast. Medicinal plants were used in all types of treatments. The Tharu community has still been using many types of medicinal plants in their daily life. They have prepared a detailed inventory of medicinal plants particularly used by the Tharu community together with their uses, modes of preparation, dosage, storing techniques, inventory locations and profiles of resource persons.

It is estimated that there are 70,000 species of medicinal plants in the world. It is also mentioned that 5,700 species are listed in Chinese pharmacopoeia. It is estimated that 8,000 species are found in south Asia. The oldest book of medicine by Charak listed 340 species.

1,500 species of medicinal plants have been in use in Nepal for different purposes like medical use, as spices to be mixed with vegetables, colours to dye, etc. Among them, 150 species have special economic importance. I collected about 600 species of medicinal plants which are still being used by Tharus, but there is a possibility of collecting more species if micro and macro level research is carried out throughout east Jhapa to west Kanchanpur.
11.6. Importance of Tharu indigenous knowledge of using medicinal plants

Tharu indigenous knowledge of medicinal plants has many benefits, importance and potential for Tharu communities. It was found that they have been using them not only to cure sick people but also for other many purposes, so it has a lot of importance in the daily life of the Tharu community. Important benefits are listed and described below.

▶ **Identity preservation perspective:** A majority of medicinal plants which are found in Terai and Inner Terai cannot be found in the Hill region and the Himalayas and vice-versa. The knowledge developed for using medicinal plants in the Hill and Himalaya regions belongs to Hill and Himalaya people; and that knowledge which is developed in Terai and Inner Terai belongs to Tharu people because they are the indigenous people of that area with a large population. There are negligible numbers of other indigenous people in limited eastern districts. But Tharus have been living all over Terai and Inner Terai districts from many thousands of years. They have been using more than 600 medicinal plant species in their daily life for medicinal and other purposes.

▶ **Culture preservation and promotion perspective:** Many plants have cultural importance because Tharus use these plants during worship to offer to home deities and to prepare items to eat during specific rites, rituals, feasts and festivals. *Mentha arvensis* (L.) (bebari), *Aegle marmelos* (L.) *Correa* (bel) and *Benincasa hispida* (Thunb) Congn. (*bhurkonhana*) are offered during festivals Dashya and Dewari. *Desmostachys bipinnata* (L) *Staff* (kush) and *Imperata cylinndrical* (L.) P. Beuv. (*churki*) is used to prepare brooms to offer to home deities during Muthlihai and Dashain festivals. *Colocasia esculenta* (L.) Schott (*ghuiya*) is cooked to feed those who thresh rice to make *dhikri*. *Celosia argentea* L. flowers of (*murgik kesar*) and leaves of *Mangifera indica* L. (*aam*) are used for decorative purposes. *Citrus limon* (L.) Burm.f. (*nimwa*) and *Artemisia indica* Willd. (*bhairopati*) are used during Astimki festival to offer to Lord Krishna. *Shorea robusta* Gaertn (*sakhuwa*) is used when grinding lentils and *Curcuma longa* L. (*hardi*) is used during weddings on the bridegroom to perform decorative rites. *Agyari* is used to light or to produce pure fire during each festival. *Brassica napus* L. (*mustard oil*) is used in daily life to prepare food items to offer home deities. *Pawain* is mixed with grains and offered to home deities. All these are the few examples of culturally important plants.

▶ **Income generation perspective:** It is estimated that the profit from selling medicinal plants and scented plants is US$ 11 billion. According to WHO, that earning will increase by US$ 5000 billion in 2050 AD. It means that medicinal plants have great importance in generating income. Tharus have not adopted it as their profession to earn money, but there is potential.

▶ **Medicinal perspective:** We have already described the detailed use for treatment purposes, which justifies its medicinal importance. *Tharu Baidawa*, *Guruwa* and *Sohrinya* are still using it to cure people.

▶ **Environment protection perspective:** Environment protection can be termed as synonymous with protection, promotion and development of medicinal plants and their sustainable use. It lays emphasis on greenery, which helps to decrease smoking, rising temperatures and degradation of the environment. It can be used without affecting or with very little effect on physical structures and biodiversity.

▶ **Cost effective treatments:** It is less costly than yellow peptic medicines. This is because it is locally available at minimum cost. Simple treatment can be done without paying even a single penny. You can plant some medicinal plants near your gardens, which are fresh and readily available.

▶ **No side effects:** It is scientifically proven that medicinal plants have no side effects.
11.7. Specific issues of Tharu indigenous knowledge and medical system

- This indigenous knowledge was widely practiced from the very origins of the Tharu. But now this knowledge is endangered.

- The quality, quantity and frequency of using Tharu medical system is decreasing day to day. This is because of internal and external causes. External factors are mainly government rules and regulations, hill and southern migration, globalization, increasing use of yellow-peptic practices, etc. Internal factors are decreasing quality and strength of knowledge, not adopting modern technology and equipment, no systematic learning process, no documentation of knowledge, etc.

- Tharu medical practitioners Baidawa and Guruwa have traditional authority but no legal certificate from the government of Nepal. Due to this, they have been facing lots of problems and are demoralized.

- Interested youth from the Tharu community learn mantars individually from Tharu Guruwa and Baidawa, there is no well managed school and different levels of text books. They learn through oral transmission. There is a need for legal institutions (school or Gurukul) which can manage the learning process and develop texts of different levels.

- Tharu Baidawa also teach their indigenous knowledge through oral transmission and sometimes by providing practical opportunity but this is not sufficient.

- Regular practice of medical practitioners is threatened by non-Tharus and sometimes by Tharus also. They also face problems from local police and administration. So, they need identity cards and legal certificates to continue their jobs.

- The government has not paid attention to establishing authorized institutions that can provide technical, managerial, promotional, legal and quality maintenance work.

- The quality and strength of mantars are decreasing, valuable knowledge of mantars is vanishing together with the death of qualified Guruwa and inventory is also diminishing. So it has become very essential to script or prepare written documents. It is only possible if the government prepares clear policies and rules, and provides sufficient budget for study, documentation and publication.

- As per indigenous knowledge of Tharus, 600 species of medicinal plants are used. But it is not final, the inventory of species can surely increase if detailed and deep study is carried out all over Tharuhat region (Terai and Inner Terai districts). This study is also only possible if the government and international agencies provide sufficient budget for the study, documentation and publication, qualified human resources for carrying out empirical studies, indigenous peoples’ participation for fair identification and legal authority for ownership building and benefit sharing.

- Establishment of Tharu medicinal plant production and purification company based on Tharu indigenous knowledge of using medicinal plants is another prioritized need. It provides many services like good opportunity for employment, good prices in medicinal plants, medicines at their doorstep at cheap prices and bonus to Tharu community as well.
Tharu medical practitioners or Baidawas used to collect medicinal plants from nearby jungles but the forest authorities had prohibited this. It is another serious case of not affording continuity to Tharu medical practices.

Experts from Nepal and outside come and carry out research on the medical practices and medicinal uses of Tharu system; then they register this indigenous knowledge and plant species in their own name and not in the collective name of Tharus. This is another example of cheating Tharus of their indigenous knowledge and not recognizing their intellectual property.

There is a lot of room for raising awareness among the Tharu youth, systematic documentation (e.g. indigenous knowledge data collection, digitization, recording and repository); institutional strengthening through revitalizing traditional Tharu institutions such as Badghar or Guruwa).

There is a general trend of different knowledge systems integrating, learning and getting enriched from each other, building complementarity and synergy especially with modern knowledge and technology. But Tharu medical practitioners (Baidawas) lack such connections.

There is a demand for and need to increase the standards and quality of the Tharu medicine by chemically analysing important formulations in collaboration with the Government of Nepal’s Ayurvedic Department and other relevant institutions. However, such demands are not entertained by corresponding government agencies.

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12. A case study of Naxi peoples in Lijiang, NW Yunnan, China: An indigenous and local knowledge contribution to support IPBES

Lixin YANG and Dayan XUE
Kunming Institute of Botany, Chinese Academy of Sciences
No.132, Lanhei Road, Kunming 650201, Yunnan, China

12.1. Background

The Naxi are a Burmo-Naxi-Lolo sociolinguistic group within the Tibeto-Burman group of the Sino-Tibetan family (Matisoff, 1991) that primarily inhabit the highlands of Lijiang Naxi Autonomous Region in the eastern Himalaya of China’s northwest Yunnan Province. They are one of China’s 55 recognized minority groups. The Naxi settled along the upper reaches of the Jinsha River from northeastern Tibet to Sichuan. Approximately 300,000 Naxi live in this area, which encompasses the region where the Himalayan biodiversity hotspot meets the Mountains of Southwest China biodiversity hotspot (WWF, 2009). A strong correlation between biological and cultural diversity has been documented in this region (Stepp et al., 2005). The Naxi commercial centre of Lijiang is situated along a major regional trade route, the Southwest Silk Road (also known as the Tea Horse Road), and has more than a millennia-old history of interactions with other sociolinguistic groups that travelled between Yunnan, Tibet and India (Freeman and Ahmed, 2011). Naxi monarchs semi-autonomously ruled the territory until 1723 when it was nationalized by China.

The Naxi have two scripts unique to their language and culture: a syllabic and a pictographic system. The Naxi pictographic script is customarily learned and mastered by shaman priests known as Dongba (Dto’mba), who transmit their knowledge to their sons. The Naxi pictographic system serves a mnemonic function, such as to guide ritual chants of their Bon practice (Rock, 1937). The traditional Bon practice is characterized by animist and shamanistic traditions with links to pre-Buddhist and Buddhist Tibetan practice. Naxi scripts are considered to have developed in the context of cultural exchange with Tibetan writing systems, because the Naxi’s strong ties with Tibet resulted in similar practices (Michaud, 2006). Naxi pictograms are likely to have further developed when the Naxi moved to their present territory because the flora and fauna depicted are found around their current settlements (Rock, 1937).

Lijiang city in NW Yunnan, China, now has three world heritage titles: in 1997, Lijiang old town was listed by UNESCO on the World Cultural Heritage list; in 2003, Three Parallel Rivers region applied for the World Natural Heritage site successfully; in 2003, Dongba ancient memory literature in Lijiang was listed on the world record Million Heritage list. Lijiang thus became a rare site with three heritage titles. In order to better protect the Lijiang tourism resource and to improve the Lijiang tourism brand, the tourism culture department further strengthened heritage protection.
12.2. Research methods

12.2.1. Analysis framework

_Naxi_ traditional ecological knowledge (TEK), two case studies including _Naxi_ medicinal plant conservation and papermaking, and one _Naxi_ ILK workshop with _Naxi_ communities have been analysed based on the IPBES framework provided by Hein et al., 2006.

12.2.2. _Naxi_ traditional ecological knowledge (TEK)

The _Naxi_'s sacred natural site includes, mainly, the worship of mountains, trees, water sources and lakes. This kind of sacred forest included _Dongba_ ritual field forest, ancestral grave mountain, water source, the sacred forest around Taizi cave (reproductive worship), coffin forest mountain, YaKou bealock and so on. The protection of these forests is given priority by the prohibition of cutting of forests and recognition of sacred sites of famous mountains, cultural sacred sites of temples and shrines and sacred natural sites of water sources. In general, the places where _Naxi_ people worship heaven are oak forests. Though many years have passed, there are many examples which have proved that _Naxi_ traditional ecological knowledge has also been protected in the mountain area where _Naxi_ people used to live in dense forests. So it can be learned that _Naxi_ traditional ecological knowledge has a positive impact on biodiversity conservation (Yang, 2008). _Naxi_ _Dongba_ religion is a special type of traditional belief culture between primitive religion and theological religion (He, 1991). From recognizing, utilizing and protecting nature, the author considers that _Naxi_ ancestors experienced three stages: initial stage, conflict stage of livelihood demands, and harmony stage with the relationship between human beings and nature. In short, human beings couldn’t destroy the property that belonged to nature called _Shu_, the spirit of nature in _Naxi_ traditional culture. _Shu_ agreed that people could obtain goods from nature like animals, forests and land resources when humans were short of the necessities of life (Li, 2003). As per traditional ecological knowledge (TEK), the relationship between human beings and nature is that of equal brothers in _Naxi_ _Dongba_ culture. _Naxi_ peoples’ relationship with nature has been affected by this TEK when they deal with the relationship between human beings and nature as well as utilize nature.

12.3. Brief introduction to two case studies from _Naxi_ local communities

12.3.1. Project summary of medicinal plant conservation at the community level

The diversity of medicinal plants is reducing. It is not only causing the irreversible loss of species, but is also causing a huge change in the pharmaceuticals industry. There are rich plant resources in the Himalayan region, including nearly a thousand medicinal plants and more than 100 rare and endangered medicinal plants. The general objective is to conserve medicinal plants by establishing a community participatory mechanism to manage the Medicinal Plants Conservation Area, to develop the livelihood of the local communities, and to enhance awareness of medicinal plant conservation through training workshops.

We carried out capacity building on medicinal plant conservation for project teams, held community participatory project plan meetings, carried out baseline surveys, made an evaluation of impact of harvesting on species and their conservation status, set up the development of community organization/mechanism for medicinal plant conservation and cultivation,
documented local Naxi knowledge of medicinal plants and traditional practices in conservation, studied in situ conservation and the method of sustainable collection and harvesting of medicinal plants, built up trial spots including home gardens, cultivation on farmland, and cultivation of medicinal plants in natural habitats.
12.3.2. Project summary on Naxi indigenous Dongba papermaking

China’s rapid economic development is influencing cultural practices and natural resource management in indigenous mountain communities throughout the country. Numerous studies have documented the loss of and change in cultural practices and environmental degradation in indigenous communities with the expansion of roads, markets, tourism and other infrastructure development. The present study focuses on papermaking, a socioecological practice that began in China, as a case study to examine the influence of development on cultural practices and natural resource management. The Naxi people are unique in that they have the last remaining pictographic writing system in the world. The Naxi pictographic script is customarily learned and mastered by shaman priests known as Dongba (Dto’mba) who transmit their knowledge to their sons. Approximately 300,000 Naxi live in this area. The pictographic system is transmitted on paper sourced from montane forest resources, primarily Wisktromelia delavayi. This cultural tradition almost disappeared during the Cultural Revolution in China during the 1960s and 1970s but has recently seen a revival. Research involved both ethnographic interviews and ecological sampling. Semi-structured interviews were conducted with 100 informants from 2002 to 2011 to understand the management and use of W. delavayi for Dongba papermaking and the impact of market integration on papermaking. Sample plots were surveyed for floristic composition and structure in the three vegetation types where W. delavayi grows. Density, height, diameter, and number of branches of W. delavayi plants were recorded within each plot. Ecological importance values were calculated based on relative density, relative dominance, and relative frequency to determine the habitat where W. delavayi demonstrates the greatest growth. Additional plots were surveyed to understand the regeneration of W. delavayi after the local harvest cycle.

12.4. Naxi ILK meeting and workshop

Based on the cultural history of the Naxi ethnic group and their indigenous knowledge, biodiversity, habitat distribution and diversity of ecosystem types, as a Naxi indigenous and local knowledge holder and expert, Dr. Lixin Yang was supported to attend the dialogue workshop in Chiang Mai for the IPBES regional assessment for Asia-Pacific in Thailand from 26 to 28 June 2016 following the recommendation of Professor Dayuan Xue. For a follow-up workshop, Naxi Indigenous and Local Knowledge (ILK) meeting and workshop to support the IPBES regional assessment for Asia-Pacific in Lijiang NW Yunnan, China has been supported by UNESCO (contract n°4500312048), the workshop of Naxi case studies on biodiversity and ecosystem services assessment related to traditional knowledge was held from 12 to 13 October 2016 at the meeting room on the first floor of Lijiang Dongba Culture Research Institute. Relevant Naxi ILK holders and experts, as well professionals, managers and practitioners totalling 34 participants were invited to participate in the workshop.
12.5. Analysis of IPBES framework from Naxi ILK

12.5.1. Naxi traditional ecological knowledge is helping the community achieve its conservation objectives and utilization purposes

Lijiang tourism shares profit with people. The mountainous communities switch from living off the mountain to conservation and utilization mainly through ecological tourism. That is to say, the tourism development in Lijiang benefits from the Naxi traditional culture conservation of national intangible cultural heritage and the conservation of biodiversity and the ecosystem. Naxi people get the profits while they protect the traditional cultural knowledge which enhances the value of traditional knowledge. For instance, the local people achieve a reasonable utilization of resources from the conservation of Yulong snow mountain, which is Naxi sacred mountain of Naxi Dongba religion through the development of ecological tourism. The development authority of Lijiang tourist industry promotes ecological tourism. Besides, the sustainable utilization of biodiversity may offer a good ecological environment for planting medicinal species.

12.5.2. Analysis for IPBES framework from two Naxi case studies

Two projects based on Naxi people’s interests in medicinal plants (healthcare, income, culture) and papermaking plants (income, culture), have been postulated to supply motivating factors for conservation. Specific analysis for IPBES framework from two Naxi case studies follows in Table 12.1.
<table>
<thead>
<tr>
<th>Ecosystem services</th>
<th>Two case studies from two Naxi communities: Baseline conditions and new initiatives and results</th>
<th>Wider systems</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Provisioning</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Products from wild plants | • Farmers selling maps at low prices; internet access provided to raise local bargaining power;  
• Traditional handmade paper products. | • Logging in natural forests banned in China (1998 floods). Emphasis on tree planting and protected areas.  
Government forestry policy changed during course of project (to individual responsibility system).  
• Traditional tourism products. |
| Fresh water        | Ban on logging. Soil erosion, stream drying up and worse climate blamed locally on logging. Two medicinal plant conservation areas established in forest, 300 ha each (could serve as sources of germplasm for traditional doctors and for nurseries to cultivate plants for commercial production). | • Those with cultural interests in traditional healthcare and herbal medicines in China Lijiang city national technical college plan to establish medicinal plant garden and Naxi hospital  
• Traditional Naxi Dongba culture is listed as world memory heritage and intangible cultural heritage. |
| **Regulating**     | Regulation of water flows |               |
| • Medicinal plants in culture and healthcare  
• Papermaking plant | • Traditional medicine is popular. Some traditional doctors have species-rich herb gardens.  
• New herbal gardens, educational programmes on Naxi culture, workshops on potential of Internet.  
• Training workshop on traditional papermaking technique. | • Those with cultural interests in traditional healthcare and herbal medicines in China Lijiang city national technical college plan to establish medicinal plant garden and Naxi hospital  
• Traditional Naxi Dongba culture is listed as world memory heritage and intangible cultural heritage. |
| **Cultural**       |                                                                 |               |
| • Multi-disciplinary community project team and association formed at Kunming Institute of Botany.  
• Naxi papermaking community in Daju. | • Lijiang city national technical college is the base for forming Lijiang city ethnomedicine association.  
• In Lijiang, Naxi Dongba culture institute set up as a base for heritage Naxi Dongba papermaking. |
| Social organization |                                                                 |               |
| Outreach           | • Community map group formed at another site (Tibetan community).  
• Medicinal plant conservation area, herbal gardens, training in growing medicinal plants. | • District Tibetan hospital in Deqing county; Tibetan Medicine Doctor Association formed.  
• Naxi traditional culture is part of 56 ethnic cultures of the construction of ecological civilization in China. |
12.5.3. Analysis of IPBES framework from Naxi ILK meeting and workshop

▶ The support mechanism for community empowerment to participate in sustainable biodiversity conservation

The Naxi traditional community requires the government to vigorously promote traditional knowledge and increase publicity efforts. For instance, the traditional ecological knowledge of Naxi nationality is the equal relationship between humans and nature. People cannot make excessive demands on nature, but should use nature moderately. These ecological values are embodied in the specific production and life of the Naxi people. In some of the traditional communities, they have become a customary law. For example, the release of live birds after worship, no washing by the mountain and river, and no cutting of water source forests and tomb mountain forests. They are traditional knowledge and customary law. To incorporate traditional knowledge and customary law into the government policy system will be conducive to the great rejuvenation of traditional culture and the construction of an ecological civilization. The decision-making management changes from implementing top-down policy of government tradition to the down-top policy of local peoples’ own needs of conservation, from government organization management to self-management. The establishment and perfection of the community empowerment management and decision-making mechanism is conducive to the sustainability of biodiversity conservation.

▶ The protection of traditional technology and its intellectual property rights

The rise of traditional knowledge can promote sustainable development of biodiversity and reasonable utilization can maintain stability. Excessive utilization causes instability. The discussions are summarized as follows. However, the raw materials required for papermaking are not easy to plant in scale which limits the inheritance and further development of the traditional papermaking technology. The suggestion is to supply funds and technology, allow people to grow their own plants, to plant and not to harvest if it is not necessary, thereby increasing people's income and promoting the development of local economy. On the one hand, the local Naxi people lack traditional technology training; except the communities of project sites, many of the local Naxi people do not know the traditional papermaking technology. Young people in particular do not hold traditional medicinal knowledge either. On the other hand, the local Naxi communities are also inevitably affected by the mainstream culture and rely excessively on modern tools, such as WeChat, Baidu, etc. The aspiration towards traditional knowledge and technology is not strong. A similar phenomenon is also discussed in the case of the protection of medicinal plants. They are impacted by Western medicine and take it for common illnesses in Naxi communities. Western medicine has great influence on the traditional Chinese medicine. As for the traditional Chinese medicine, people should learn to use it and then know how to protect it, make healthy instructions in the communities, and reduce the use of antibiotics.

Dongba paper is moisture proof, mothproof, firm and can be stored easily; authentic herbal medicines and other traditional technologies and products are favoured by business people in the tourism industry. The protection of traditional intellectual property should be put on the agenda. With the development of the tourism industry in Lijiang, a fake Dongba paper has filled the tourism souvenir market. The true Naxi Dongba paper with its higher cost is in a disadvantageous position in the competition. So are the authentic herbal medicines. As for traditional doctors, healers and the holders of traditional knowledge, they see themselves more as protectors of ecological balance who pay attention to the collection of medicinal plant parts and seasons and the sustainability of medicinal plants than as herbalists or druggists. On the one hand, market publicity requires the correct consumption concept. On the other hand, it is necessary to get protection of traditional intellectual property rights in time. Therefore, the protection of traditional intellectual property rights is an important part of the traditional knowledge which will be brought into IPBES.
12.6. Results and discussion

How do we bring two case studies on Naxi traditional knowledge into a complete case for supporting IPBES? Some evaluation indicators or frameworks related to ILK to support IPBES are as follows:

a) Does traditional knowledge on biodiversity and ecosystems increase and stabilise? If it does, there is potential for biodiversity and the ecosystem to sustain. If it reduces, it will lead to the degradation of biodiversity and the ecosystem.

b) Does the commercial collection of wild plants decrease or stabilise? If it does, there is potential for biodiversity and the ecosystem to sustain. If it increases, it will lead to the degradation of biodiversity and the ecosystem.

c) Does the degree of biodiversity utilization in the local community increase? If it does, there is potential for biodiversity and the ecosystem to sustain. If it decreases, it will lead to the degradation of biodiversity and the ecosystem.

d) Does the role of the local community in biodiversity management increase? If it does, there is potential for biodiversity and the ecosystem to sustain. If it is ignored, it will lead to the degradation of biodiversity and the ecosystem.

e) Does the degree of biodiversity and the ecosystem diversity increase? If it does, there is potential for biodiversity and the ecosystem to sustain. If it decreases, it will lead to the degradation of biodiversity and the ecosystem.

f) The whole system should be kept in mind when undertaking a useful framework for considering the set of ecosystem services.

g) Local relationships between people and plants are at the centre of analyses to determine what to do; projects based on people’s interests in medicinal plants (healthcare, income, culture) are postulated to supply motivating factors for conservation.

h) Conservation of plant diversity requires matching pattern of cultural diversity.

i) Eco-civilization means a society living within the laws of nature. Eco-civilization concept should apply to all countries; China has a chance of becoming an example with 56 ethnic groups of eco-civilizations.

References


Lixin Y., Stepp, J. R., Ahmed, S., Mi, K., Zhao, Y., Ma, J., Liang, C., Pei, S., Huai, H., Xu, G. Hilmiton, A. C., Yang, Z. and Xue, D. *Comparative Homegarden Medical Ethnobotany of Naxi Healers and Farmers in the Himalayas of China’s NW Yunnan*.


13. Bio-prospecting of plant resources for validation of indigenous knowledge and the search for novel herbal drugs in Nepal

Krishna K. SHRESTHA, a* Yadu N. PAUDEL, a Krishna D. MANANDHAR, b Gyandra P. GHI MIRE, b and Sabina SHRESTHA a

a. Ethnobotanical Society of Nepal (ESON)
b. Central Department of Biotechnology, Tribhuvan University, Nepal

* Principal investigator and corresponding author

Abstract

Nature is a valuable source of medicinal plants rich in phytochemicals which have been used for centuries in the treatment and prevention of various diseases. Nepal is home to about 1,950 species of medicinal plants (about 28% of the local flora), including 1,614 native species. More than 80% of the rural populations of Nepal depend on herbal remedies.

The Ethnobotanical Society of Nepal (ESON), in collaboration with Korea Research Institute of Bioscience and Biotechnology (KRI BB), Central Department of Botany (CDB) and Central Department of Biotechnology (CDBT), Tribhuvan University, Nepal, conducted a study in Dhading district to find potential species for novel herbal drug development. This study documented 200 ethnobotanically important plants. Further, phytochemical screening of methanolic extracts of 23 plants for phenolic and flavonoid content and in-vitro examination for antioxidant, antibacterial and cytotoxicity in HeLa cell line were carried out in Nepal. Furthermore, 178 species were assessed at KRI BB for anti-inflammatory response in RAW 264.7 cells, cytotoxicity in six human cancer cell lines and insecticidal potential.

This study shows an ethnomedicinal relationship between the detected active compounds and bioactivities. Scur rula elata has potential antimicrobial and antioxidant properties and remarkable phenolic and flavonoid content. Other potential species with such properties are Terminalia bellirica, Tsuga dumosa, Geranium wallichianum, Phyllanthus emblica and Desmodium gangeticum. Similarly, highest inhibition of human cervical cancer (HeLa) cell was shown by Symlocos lucida. The results showed that there are many underutilised plants with huge medicinal potential, and a scientific approach integrating traditional knowledge will help in the search for novel drugs.

Key words: antibacterial, bioprospecting, cytotoxic, Dhading, ethnobotany, medicinal plants.
13.1. Introduction

Nepal is home to more than one hundred ethnic communities (CBS, 2012). More than 80% of the rural population of Nepal is reported to be using herbal remedies (Dani, 1986). There is a record of 1,950 plant species reported for medicinal properties (Baral and Kurmi, 2006; Ghimire, 2008; Manandhar, 2002; Shrestha and Shrestha, 1999; Shrestha et al., 2002; Tiwari, 1999), including 1,614 native medicinal species (Ghimire et al., 2008; Shrestha et al., 2002). Those plants have been found to be very rich in active compounds useful for the treatment of various ailments of the human body.

Active components such as phenolic and flavonoid groups are well known for their pharmacological potential. Polyphenols are antioxidants with redox properties, which can act as reducing agents, hydrogen donators, and singlet oxygen quenchers. The antioxidant activities of many polyphenol compounds have potential health benefits (Bravo, 1998). Flavonoids are known for important biological activities against free radicals, inflammation, allergies, platelet aggregation, ulcers, tumors and hepatotoxins (Kinsella et al., 1993). Infectious diseases and drug resistance to pathogenic bacteria are a major concern all over the world (Mulligan et al., 1993; Piddock and Wise, 1989; Singh et al., 1992). Therefore, there is an ever-growing need for effective antimicrobial drugs from plants for the treatment of infectious diseases, particularly multi-drug resistant microbes. Cancer is considered to be the third leading cause of death worldwide (12.4%), the first being cardiovascular disease (30%) and the second being infectious diseases, including HIV/AIDS (18.8%) (Mathers et al., 2001). Drug resistance, particularly multidrug resistance (MDR), can make many of the clinically established anti-cancer drugs ineffective (Borowski et al., 2005).

In Nepal, common cancer sites reported for males are the lungs, oral cavity and stomach whereas for females they are cervix, breast and the lungs (Pradhananga et al., 2009). Inflammation is responsible for several diseases in humans including atherosclerosis, neurodegenerative diseases, obesity, metabolic syndrome, diabetes and several types of cancers (Moro et al., 2012).

Nepal is known for the use of its medicinal plants to cure such diseases with its conserved ethnic medicinal knowledge. Still, there are many more things to explore on little known plants which are being used for medicinal value by specific ethnic populations. Our approach was to integrate indigenous local knowledge (ILK) of underutilized plants in search of active natural components for bioprospecting as well as sustainable use and conservation. Therefore, we took the initiative in search of potential plant resources in Dhading district for antioxidant, antimicrobial, anticancer, anti-inflammatory and vector larvicidal properties. In this article, research carried out on 23 species in Nepal is addressed. Understanding potential benefits can aid sustainable use and associated conservation issues in the future.

13.2. Materials and methods

13.2.1. Study area

Dhading district, situated in central Nepal, covers an area of 1,926 km². The district is situated between the latitudes 27°40’ N to 28°17’ N and longitudes 80°17’ E to 84°35’ E. The elevation of the district ranges from 488 m to 7,500 m above sea level. The climate is warm sub-tropical in areas below 1,000 m with alpine conditions at high altitudes (> 3,000 m). The district comprises a mixed population of ethnic communities including Tamang, Gurung, Brahmin, Chhetri, and Newar. Underutilized plants from the north-western part of the Dhading district (VDCs): Salyantar, Budhathum, Aagainchok, Mulpani, Baseri and Phulkharka, and these were selected as study sites (Figure 13.1).
13.2.2. Ethnobotanical assessment

Plants were selected on the basis of their ethnomedicinal importance with a focus on underutilized medicinal plants. Medicinal values of the plants were assessed from primary as well as secondary data. Primary data were collected from the indigenous local knowledge (ILK) holders by organizing community meetings, personal interviews and focus group discussions during the study period in 2014 (Photos 13.2–13.6). Secondary data were collected from ethnobotanical literature. During the field study, the habitat features, photography, herbarium samples and plant samples of about 200 ethnobotanically important plants were documented (Shrestha et al., 2014), and 23 important plants were selected for the first phase of investigation in 2015 (Table 13.1).
13.2.3. Plant materials processing

Finely powdered, air-dried plant samples (100 g) were soaked in 750 ml of HPLC grade methanol and then subjected to a continuous cycle of sonication at 40 kHz for 5 cycles of 15 minutes each at 45 °C. The process was carried out over three consecutive days. The resulting extracts were filtered through Whatmann No.1 filter paper filtrate and were evaporated to obtain concentrated extract.

► Total phenolic content

The total phenolic content (TPC) of methanolic extracts was determined by Folin-Ciocalteu method (Singleton and Rossi, 1965). The calibration curve was obtained using gallic acid (Moly-Chem, Mumbai, India) as standard in methanol. The total phenolic content of plant extracts was then quantified by using the standard calibration curve generated.

► Total flavonoid content

The total flavonoid content (TFC) of methanolic extracts of plants was determined by aluminiumchloride (AlCl3) calorimetric test (Pekal and Pyrzynska, 2014) with slight modifications. The calibration curve was obtained with the help of quercetin (Sigma) as standard solution in methanol. The total flavonoid content was then expressed in terms of milligram of quercetin equivalent per gram extract (mgQE/g). All the tests were carried out in triplicates to obtain more accurate results.

► Antioxidant activity

The antioxidant activity of plant extracts and standard ascorbic acid in methanol were determined based on their ability to scavenge 1, 1-diphenyl-2-picrylhyrazyl (DPPH) free radical as described by Xu and Chang (2007) and Chen and Ho (1995).
Antibacterial activity

The antimicrobial activities of medicinal plant extracts were tested by using agar well diffusion method (Perez et al., 1990). The individual pure culture of *Staphylococcus aureus* (ATCC 25923), methicillin-resistant *Staphylococcus aureus* (MRSA), multi-drug resistant *Klebsiella pneumoniae*, and *Salmonella typhi* were obtained from Tribhuvan University Teaching Hospital, Kathmandu.

MTT assay

Cytotoxicity of plant extracts on HeLa (human cervical adenocarcinoma) cell line obtained from National Centre for Cell Science (NCCS), Pune, India, was determined by a rapid colorimetric assay, using 3-(4,5-dimethyl thiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT). The assay is based on the metabolic reduction of soluble MTT by mitochondrial enzyme activity of viable cells into an insoluble, coloured formazan product (Mosmann, 1983).

13.3. Results and discussion

Ethnic communities in Dhading district use some underutilized plants for various remedies (Table 13.1). Many species were used for the treatment of stomach disorders which are in accordance with past reports (Manandhar, 2002; Baral and Kurmi, 2006). Further bioassay to find other affected diseases are needed to elucidate further on possible use of plant species.
<table>
<thead>
<tr>
<th>No.</th>
<th>Latin name, local name, [plant family]</th>
<th>Parts used</th>
<th>Mode of use</th>
<th>Diseases and disorders</th>
<th>Secondary data</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td><em>Anemone rivularis</em> Buch.-Ham. ex DC. <em>Haattipaile</em> [Ranunculaceae]</td>
<td>Whole plant</td>
<td>Paste, decoction</td>
<td>Cough and cold</td>
<td>Cough and fever, cuts and wounds, sinusitis (Rajbhandari, 2001; Manandhar, 2002)</td>
</tr>
<tr>
<td>3.</td>
<td><em>Desmodium gangeticum</em> (L.) DC. <em>Bhatmase ghaans</em> [Fabaceae]</td>
<td>Root</td>
<td>Juice, paste</td>
<td>Gastritis</td>
<td>Stomach acidity, gastritis, diarrhoea, dysentery, fever, cough, asthma (Manandhar, 2002; DPR, 2007; Gewali, 2008)</td>
</tr>
<tr>
<td>4.</td>
<td><em>Desmodium heterocarpon</em> (L.) DC. <em>Bhatmas ghaans</em> [Fabaceae]</td>
<td>Whole plant</td>
<td>Juice</td>
<td>Cough and cold, gastritis</td>
<td>Fever, cough, diarrhoea, gastritis, skin disease (Manandhar, 1993, 2002; Gewali, 2008; Malla et al., 2014)</td>
</tr>
<tr>
<td>6.</td>
<td><em>Geranium wallichianum</em> D. Don ex Sweet <em>Umren</em> [Geraniaceae]</td>
<td>Root</td>
<td>Juice, powder</td>
<td>Gastritis, to reduce excessive menstrual bleeding</td>
<td>Peptic ulcer, toothache, cuts, gastritis (Manandhar, 2002; DPR, 2007; Thapa, 2013)</td>
</tr>
<tr>
<td>No.</td>
<td>Latin name, local name, [plant family]</td>
<td>Parts used</td>
<td>Mode of use</td>
<td>Diseases and disorders</td>
<td>Secondary data</td>
</tr>
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<tr>
<td></td>
<td></td>
<td>Fruit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16.</td>
<td><em>Rhododendron nepalense</em> (Raf.) N. Tanaka [Crassulaceae]</td>
<td>Root</td>
<td>Juice, powder</td>
<td>Cough, diarrhea, dysentery and gastritis</td>
<td>Food poisoning, cough, uterine problems (Bantawa and Rai, 2009; Malla et al., 2014)</td>
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<tr>
<td>21.</td>
<td><em>Terminalia bellirica</em> (Gaertn.) Roxb. <em>Barro Combretaceae</em></td>
<td>Fruit</td>
<td>Powder</td>
<td>Fever, anthelmintic, asthma, gastritis</td>
<td>Gastritis, asthma, respiratory trouble, fever, cough, cold, bronchitis, appetizer, mixed with tobacco and smoked for sedative effects. (Rajbhandari, 2001; Manandhar, 2002; Thapa, 2008)</td>
</tr>
<tr>
<td>No.</td>
<td>Latin name</td>
<td>Total flavonoid content mgQE/g</td>
<td>Total phenolic content IC&lt;sub&gt;50&lt;/sub&gt; μg/ml</td>
<td>DPPH free radical scavenging capacity EC&lt;sub&gt;50&lt;/sub&gt; μg/ml</td>
<td>Cytotoxicity in HeLa cell line EC50 μg/ml</td>
</tr>
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<td>--------------------------------------------------</td>
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<td>Maclura cochin chinensis</td>
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<td>Maoutia puya</td>
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<td>&gt; 50</td>
<td>&gt; 15</td>
<td>NA</td>
</tr>
<tr>
<td>21.</td>
<td>Terminalia bellirica</td>
<td>&lt; 15</td>
<td>&gt; 50</td>
<td>&lt; 15</td>
<td>&gt; 100</td>
</tr>
<tr>
<td>22.</td>
<td>Tsuga dumosa</td>
<td>&lt; 15</td>
<td>&gt; 50</td>
<td>&lt; 15</td>
<td>&gt; 100</td>
</tr>
<tr>
<td>23.</td>
<td>Urena lobata</td>
<td>&gt; 15</td>
<td>&gt; 50</td>
<td>&gt; 15</td>
<td>NA</td>
</tr>
</tbody>
</table>

*Species for which bioassay was conducted in Nepal*

#Only extracts with zone of inhibition > 5 mm at 12.5 mg/ml concentration considered

SA (Staphylococcus aureus ATCC-25923)
MRSA (Methicillin-resistant Staphylococcus aureus)
KP (Klebsiella pneumoniae, multi drug resistant)
ST (Salmonella typhi, multi drug resistant)

QE (Quercetin equivalent)
GAE (Gallic acid equivalent)
The plant extracts were analysed for flavonoid content, phenolic content and antioxidant capacity and their effects were evaluated for cytotoxicity and antimicrobial effect which are summarised in Table 13.2. The results (Table 13.1 and 13.2) provided evidence of possible ethnomedicinal relationship between flavonoid and phenolic components and observed bioactivity. Among the tested species *Scurrula elata* has potential antimicrobial and antioxidant properties and remarkable phenolic and flavonoid content. Other potential species which have such properties are *Terminalia bellirica* (antimicrobial, antioxidant, phenolic content), *Tsuga dumosa* (antimicrobial, antioxidant, phenolic content), *Geranium wallichianum* (antioxidant, phenolic content, flavonoid content), *Phyllanthus emblica* (antioxidant, phenolic content, flavonoid content), and *Desmodium gangeticum* (antioxidant, phenolic content). Similarly, highest inhibition of HeLa cell was shown by *Symplocos lucida* which might be due to its phenolic content. The plants with antioxidant and antimicrobial properties had significant presence of both phenolic or flavonoid content or either of the two (Rauha et al., 2000; Inayatullah et al., 2012). Other potential species include *Desmodium heterocarpon*, *Hydrocotyle javanica*, *Hypericum japonicum*, *Maclura cochinchinensis*, *Rhododendron lepidotum* and *Urena lobata* (Ghimire, 2016; Paudel, 2016).

Altogether, 178 species from Dhading district were also assessed for anti-inflammatory response in RAW 264.7 cells, cytotoxicity in six human cancer cell lines and insecticidal potential at the Korea Research Institute of Bioscience and Biotechnology (KRIBB). The cytotoxic effect was investigated on human promyelocytic leukemia (HL-60), human stomach cancer cell (SNU-1), human fibrosarcoma (HT-1080), human lung adenocarcinoma (A-549), human breast cancer cell (MCF-7), human hepatoma (HepG2). Among the plant species, 16 showed cytotoxicity in various cancer cell lines and 12 species showed significant anti-inflammatory response. Larvicidal effect was shown by 14 plant species on *Aedes aegypti*.

The most frequently cured problem was gastrointestinal issues which may be caused by poor sanitation. Indigenous knowledge of these medicinal plants has been partially supported by bioassays. The presence of bioactive compounds like phenols and flavonoids suggests reliable knowledge of the use of plants for their primary health care. Gastroenteritis is still one of the diseases in Nepal which is known for outbreaks and even fatalities each year, particularly during monsoons (Bhandari et al., 2009). Diarrhoea still remains a major public health problem in most of the developing countries including Nepal. Several organisms such as enterotoxigenic *E. coli*, *Cyclospora* spp., *Giardia lamblia*, *Shigella* spp., *Campylobacter* spp., rotavirus and *Entamoeba hystolytica* are known for causing diarrhoea and gastroenteritis and are of persistent risk to foreigners (Shlim et al., 1999). Hence, research on effective plant extracts on a broad spectrum of causative agents can be a source of finding a remedy for common but major health concerns. *Staphylococcus aureus* is mainly responsible for skin infection against which a majority of the plants showed good inhibition. Although these plants were not used for skin diseases in the study area, our findings indicate it can be a new field for further research.
Therefore, extensive research on each species, activity-guided fractionation and the search for bioactive components in addition to phenolic and flavonoid content is required. Local peoples’ mechanisms of curing ailments can provide more authentic reasons in support of ethnomedicinal use and can lead to bioprospecting as well as finding novel use and drug leads.

Conclusion and recommendations

The results showed an urgent need of further research to record indigenous knowledge for bioprospecting of Nepalese plant resources. In response to the current challenges of degradation of habitat as well as climate change, there is an urgent need to research and validate indigenous and local knowledge, to isolate and characterise bioactive components, and to find potential species for novel herbal drug development. To achieve the national goal for the bioprospecting of underutilised and lesser known potential ethno-medicinal plant resources, emphasis should be placed on infrastructure development, capacity building/training, exchange visits, publications, organizing conferences of and training workshops. A well-defined mechanism should be established to encourage ILK holders to provide their information and in return their deserved recognition. Similarly, collaboration should be extended for initiating mechanisms for sustainable harvesting, in-situ and ex-situ conservation of indigenous species with economic potential, development of high value-added natural drugs or nutraceuticals, ensuring patent rights (PR), and honouring intellectual property rights (IPR), with the mission of equitable sharing of benefits for community development, leading to economic development of a developing country like Nepal.
Acknowledgements

The authors are thankful to the Ethnobotanical Society of Nepal (ESON), Central Department of Botany and Central Department of Biotechnology, Tribhuvan University, for providing research facilities. The Korea Research Institute of Bioscience and Biotechnology (KRIBB), South Korea is acknowledged for research grant. Local communities and traditional healers of Dhading district are acknowledged for sharing their traditional knowledge on ethnomedicinal plants.

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Glossary

**antioxidant**: a molecule that can inhibit oxidation of other molecule so that free radical leading reaction can be quenched

**ATCC**: American Type Culture Collection

**cytotoxicity**: capacity to produce toxic effect on cell

**flavonoids**: compounds with 15-carbon skeleton with structure that can be abbreviated as C6–C3–C6

**in-vitro**: biological experiment done in a laboratory vessel or controlled experimental environment

**methanolic extract**: extract of plant done with methanol solvent

**phenolic**: compound with hydroxyl group (–OH) bonded directly to an aromatic hydrocarbon group

**polyphenol**: compound with multiple aromatic benzoid (phenyl) ring and hydroxyl (–OH) group

**RAW 264.7**: mouse leukaemic monocyte-macrophage cell line
Edibility of wild mushrooms in the context of Nepal: An appraisal of indigenous and local knowledge (ILK)

Shiva DEVKOTA

Swiss Federal Research Institute WSL, Zürcherstrasse 111, CH-8903 Birmensdorf, Switzerland

Abstract

This paper highlights indigenous and local knowledge of the edibility of wild mushrooms among mycophilic communities of the Nepal Himalayas. The information presented here is based on the author’s field experience of more than a decade, during which he worked on projects about wild mushrooms amongst the different communities covering wide parts of Nepal. Published literature in the context of Nepalese ethnomycology was consulted to illustrate ethnic concepts related to wild mushrooms and their consumption. This paper proposes that, although indigenous and local knowledge is a good source of information while selecting edible mushrooms, care should be taken to minimise possible poisoning.

Key words: Macromycetes, Mycoflora, Edible species, Mushrooms poisoning, Indigenous and Local Knowledge (ILK).

14.1. Mushrooms and ethnomycology

Mushrooms play a significant role in rural livelihoods and their consumption and trade is vital for such rural communities (FAO, 2004). Several explorers have studied the biodiversity of the Nepalese Himalayan region enthusiastically since the 18th century. Hooker (1848–54) first undertook the collection and survey of mycoflora from this belt, in Eastern Nepal (Adhikari, 2014b). The knowledge of the uses of wild mushrooms in Nepal is still mainly based on observations from urban markets and suburban areas of Kathmandu and Pokhara (Joshi and Joshi, 1999; Adhikari, 2000; Kharel and Rajbhandary, 2005; Christensen et al., 2008). Few studies have been conducted in more remote rural areas (Bhandary, 1991; Adhikari, 2000; Adhikari, Devkota and Tiwari, 2005; Devkota, 2006, 2008, 2010; Pandey et al., 2007; Christensen et al., 2008; Christensen, Devkota, and Bhattacharjee, 2008; Giri and Rana, 2008; Aryal and Budathoki, 2016) even though it is well known that people living in remote areas are generally more avid collectors of mushrooms. To date, 131 species have been reported to be used for their culinary value in Nepal (Adhikari, 2014a). Research on measuring the cultural significance of wild mushrooms is still pending in the context of Nepalese ethnomycology.
14.1.1. Current practices relating to mushroom edibility

The term ‘mushrooms’ applies to both edible and poisonous species of agarics such as gilled mushrooms (Miller, 1984). The structures that are commonly known as mushrooms are fruiting bodies of those organisms that the mycologists call higher fungi, or macromycetes (large fungi), even though the diameter of the caps of some mushrooms may only be a few millimetres across (Rinaldi and Tyndalo, 1972). People who take an interest in wild mushrooms for their social, cultural and culinary values are recognised as mycophilic communities (Bhaben, Lisha and Chandra, 2011).

Photo 14.1  A collector holds an edible mushroom Lactarius sulphureus at Lokpa (2250 m), Tsum valley, Gorkha.

In Hinduism, the Manusmriti (written by Manu, ‘the ancestor of humankind’), an ancient legal text, listed the names of mushrooms in Sanskrit and advised readers not to eat them. Mushrooms are known as kavak (general name for mushrooms), chhattrak (head with umbrella), chhatrika (with small umbrella or cap), shilindhram (which grows on organic materials), swedajam (which grows in warm and humid places) or prithavikandam (which grows in soil). These names do not provide detailed descriptions or knowledge to aid the identification of the mushrooms (Adhikari, 2000, 2014b).

In the Nepalese context, there are several local names for mushrooms depending on the community: chyau (Nepali), bammhukan (Newari), shyono or shyamu (Tamang), shamu (Sherpa), chyabo (Gurung), mugan (Magar), pat (Limbu), chhani (Tharu) and kukurmutta (Hindi) (Pandey et al., 2007; Christensen, Bhattarai, et al., 2008; Adhikari, 2014a).

14.1.2. Criteria: distinguishing between an edible and a poisonous species

In many parts of the world, wild, edible species have a special significance in times of catastrophic crop failures, by protecting locals from starvation (Joshi and Joshi, 1999). Food hunting dates back to the origin of human beings on earth – while searching for food, they came across varieties of wild plants. To this knowledge, they added mushrooms (Das, 2001).
The wild edible species in Nepal are collected from the lower plains to the highlands, but it is difficult to differentiate between the edible mushrooms and poisonous ones because there are no hard and fast rules to define toxic and edible mushrooms. Thus, mushroom hunting is also considered an art where trial and error and ‘do it yourself’ methods do not count. Moreover, this is a skill where experience and patience are of the utmost necessity (Bhandary, 1991).

Irrespective of the prejudices, all collected mushroom species should be subject to detailed toxicological tests so as to determine their viability as edible food stuff. It is possible that certain geographical races of mushrooms may be poisonous, while others may not be. Possibly, a species may be edible when it is young and fresh and may be poisonous when it is over matured and has started decaying (Svreck, 1975). There is no general rule for the identification of poisonous and non-poisonous mushrooms in the world. There are many traditional methods for testing these fungi but they are not reliable. Views related to the consumption of wild mushrooms (Table 14.1) are as follows (Singh, 1966; Christensen, Devotka et al., 2008; Devkota, 2008; Adhikari, 2014a):

Table 14.1. General beliefs about the edibility of mushrooms (species presented here are reported from Nepal).

<table>
<thead>
<tr>
<th>No.</th>
<th>General belief</th>
<th>Status</th>
<th>Edible species</th>
<th>Poisonous species</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Spring mushrooms are edible.</td>
<td>False</td>
<td>Morchella sp.</td>
<td>Helvella sp.</td>
</tr>
<tr>
<td>2.</td>
<td>Autumn mushrooms are edible.</td>
<td>False</td>
<td>Amanita phalloides, A. muscaria</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>All mushrooms growing on live trees are edible.</td>
<td>False</td>
<td>Pleurotus sp., Lentinus sp., Laetiporus sp.</td>
<td>Citocybe olearius</td>
</tr>
<tr>
<td>4.</td>
<td>All mushrooms growing on decaying straw or manure are poisonous.</td>
<td>False</td>
<td>Coprinus comatus</td>
<td>Strapharia sp., Psilocybe sp., Paneolus sp., Coprinellus disseminates</td>
</tr>
<tr>
<td>5.</td>
<td>All soil-inhabiting species are deadly poisonous.</td>
<td>False</td>
<td>Morchella esculenta, Russula delica, Amanita caesarea</td>
<td>Amanita verna, Amanita phalloides, A. muscaria</td>
</tr>
<tr>
<td>No.</td>
<td>General belief</td>
<td>Status</td>
<td>Edible species</td>
<td>Poisonous species</td>
</tr>
<tr>
<td>-----</td>
<td>----------------</td>
<td>--------</td>
<td>----------------</td>
<td>------------------</td>
</tr>
<tr>
<td>6.</td>
<td>Bright coloured mushrooms are poisonous.</td>
<td>False</td>
<td>Amanita caesarea, Cantharellus cibarius</td>
<td>Amanita pantherina, A. muscaria, Russula emetica, R. fragilis</td>
</tr>
<tr>
<td>7.</td>
<td>Mushrooms with rough warty cap and texture are poisonous.</td>
<td>False</td>
<td>Amanita rubescens, Macrolepiota procera</td>
<td>Amanita cokeri</td>
</tr>
<tr>
<td>8.</td>
<td>Mushrooms with smooth caps are edible.</td>
<td>False</td>
<td></td>
<td>Amanita sp., Hygrophorous sp., Lepiota sp.</td>
</tr>
<tr>
<td>9.</td>
<td>Mushrooms whose flesh changes after touching and brushing are said to be poisonous.</td>
<td>False</td>
<td>Gyroporous sp.</td>
<td>Boletus luridus</td>
</tr>
<tr>
<td>10.</td>
<td>Mushrooms bearing annuli are edible.</td>
<td>False</td>
<td>Agaricus bisporus, Amanita caesarea, Armillaria mellea</td>
<td>Amanita citrina, A. muscaria</td>
</tr>
<tr>
<td>11.</td>
<td>Mushrooms bearing vulva are poisonous.</td>
<td>False</td>
<td>Amanita caesarea, A. hemipha, Volvariella volvacea</td>
<td>Amanita muscaria, A. pantherina</td>
</tr>
<tr>
<td>12.</td>
<td>Mushrooms which cause milk or egg to coagulate are said to be poisonous.</td>
<td>False</td>
<td>Amanita caesarea, Boletus edulis</td>
<td></td>
</tr>
<tr>
<td>13.</td>
<td>Mushrooms that produce latex on being injured are said to be poisonous.</td>
<td>False</td>
<td>Lactarius deliciosus, L. volemus</td>
<td>Lactarius torminosus</td>
</tr>
<tr>
<td>14.</td>
<td>Mushrooms with bitter, acrid or pungent taste are poisonous.</td>
<td>False</td>
<td>Lactarius piperatus</td>
<td></td>
</tr>
<tr>
<td>15.</td>
<td>Poisonous mushrooms can be detoxified by boiling in water with or without salt or vinegar.</td>
<td>False</td>
<td></td>
<td>Deadly Amanita sp. retain their poisonous chemical even after prolonged and repeated boiling.</td>
</tr>
<tr>
<td>16.</td>
<td>All mushrooms lose their poison through exsiccations (drying).</td>
<td>False</td>
<td></td>
<td>This is true for Gyromitra esculenta, which, when fresh cause serious intoxication but after exsiccations become completely harmless. However, this is not true for the deadly Amanita spp. which remains deadly even after exsiccation.</td>
</tr>
<tr>
<td>17.</td>
<td>Mushrooms that are consumed by flies, squirrels, cats and monkeys are edible by humans.</td>
<td>False</td>
<td></td>
<td>The digestive abilities of these animals are quite different from those of human beings.</td>
</tr>
<tr>
<td>18.</td>
<td>Poisonous mushrooms tarnish a silver spoon, onion, and garlic pieces.</td>
<td>False</td>
<td></td>
<td>In the case of deadly Amanita spp. like Amanita phalloides, A. verna and A. muscaria, the colour of the spoon, onion or garlic does not change while cooking.</td>
</tr>
</tbody>
</table>
Depending upon the places and countries, the above prejudices vary – for example, *Scleroderma citrinum*, *S. cepa*, and *S. verrucosum* are edible in Nepal while these species are considered poisonous in Europe and Japan (Adhikari, 2000). *Ramaria aurea* and *R. flava* are considered edible in Nepal but the same species have been reported as poisonous in Japanese literature (Imazeki, Otani and Hongo, 1988).

14.2. Mushroom poisoning: existing preventive and curative practices

I have noted that local people (of every study site in Nepal that I have visited during the last 14 years of my research career) normally used *Parish polyphylla* (*satuwa*), *Xanthoxylum armatum* (*aakhen timur*), *Allium sativum* (*lasun*) to minimise possible poisoning along with vinegar. Similar findings were also reported by the following researchers: Adhikari et al., 2005; Pandey et al., 2007. Addition of vinegar is a method used worldwide to minimise mushroom poisoning (Ramsbottom, 1954; Purukayastha and Chandra, 1985; Chube, 1995; Adhikari, 2000).

The popularity of mushrooms in high-altitude areas was related to a lack of poisonous mushrooms as observed in Annapurna Conservation Area (Christensen et al., 2008), Sagarmatha National Park (Giri and Rana, 2008), and Shey-Phoksundo National Park and surrounding areas (Devkota, 2008). The studies mentioned also reported a very low frequency of poisonous mushrooms in the *Pinus wallichiana* forest compared to the forest types at lower altitudes.

14.3. Seasonal collections: spiritual values and customs

The favourable season for the collection of mushrooms is the rainy season. Some *Tithis* (in Vedic timekeeping, a *Tithi* is a lunar day) such as *Aausi* (new moon day), *Purnima* (full moon day), and *Domasey* (last day of the month) are the best *Tithis* in which local mushroom hunters prefer to go hunting. This may be because during the rainy days, the moisture content in the atmosphere rises, facilitating favourable conditions for mushroom growth (Adhikari, 2000; Adhikari et al., 2005; Aryal and Budathoki, 2016).

A very common proverb in Nepal states, ‘If the Brahmins had eaten the mushrooms, they would have known the actual taste of mushrooms.’ This also prevails in every part of Nepal. However, nowadays Brahmins have also started to eat mushrooms due to their different tastes and modern knowledge of mushrooms. The reason behind this proverb was found to be almost the same in every study area when we asked local people. They thought that this was because Brahmins are of a higher caste and they are forbidden from eating mushrooms and from consuming fermented products like alcohol.

While documenting the uses of mushrooms in Lumle village of Kaski district, Western Nepal, I have noted a very interesting story about why Brahmins were forbidden from eating wild mushrooms in early days (pers. communication: Mrs Radha Devi Devkota). The story goes thus:

> Once upon a time, farmers were busy in planting rice and almost all the hours of the day were spent in fields. There was an elderly sick person lying on the bed. In the morning when all the family members were just leaving for the field, they found that he was dead. Having no time to cremate his dead body, they covered that body with a straw mat. After completing their farming, while they were gathering to cremate him, at that time they found that mushrooms were growing on the mat. After that event Brahmmin discarded mushrooms as food.
The Brahmin community in Western Nepal believe that the youngsters and elders can both eat edible mushrooms up to Shrawan (mid-August) and after this month only elderly people may eat them and youngsters are not allowed to consume them. According to their beliefs, consumption of mushrooms after Shrawan by youth may cause some sort of unpleasant events at home.

With regard to the collection of mushrooms, people of Lumle believed that, particularly with Termitomyces clypeatus, the central black part of pileus should be left behind in the hole made by its stipe after picking it from the ground, so that during the following season, the same collector could harvest them. This is a gentle thought for mushroom conservation since leaving some portion of pileus behind means leaving spores for future life. Similarly, they believed that if a person kicked a mushroom with his or her leg, he or she would have lame children. In this way, people of the region had superstitions about mushroom conservation.

14.4. Knowledge transmission

Oral transmission of mycological knowledge of the edibility of wild mushrooms is transferred from older generations to younger generations. Children aged 8–12 years old collect as many species as adults (Christensen, et al., 2008). Youth learn several aspects of collection from their parents starting from mushroom habitat, appropriate time of collection, identification and uses for culinary, medicinal, aesthetic and other values. Young collectors from mountainous districts (Tapplejung, Solkhumbu, Gorkha, Manang, Mustang and Dolpa) were more familiar with the collection of mushrooms than the collectors from mid-hills or Terai districts of Nepal. The cultural, ecological and socioeconomic importance of mushrooms among the different communities plays a crucial role in the transmission of ethnomycological knowledge (Adhikari, 2014b; Santiago et al., 2016).
14.5. The way forward

Efforts should be made by the government to make detailed surveys of wild mushrooms, their consumption practices and documentation of indigenous and local knowledge among the mycophilic communities. Awareness should be raised about the palatability of wild mushrooms, and training should be provided to local community groups to identify edible species. Preventive and curative measures should be delivered to the mushroom lovers through different media and related sources.

Acknowledgements

My cordial thanks to all the respondents of the communities visited for sharing their indigenous local knowledge on mushrooms, my mentors and my fieldmates from different walks during my research years (2003–2016). I also gratefully acknowledge several donor agencies and supportive organizations (IUCN Nepal, ComForM Project, WWF Nepal, ICIMOD, University Grants Commission, Nepal, Swiss National Science Foundation, Swiss Federal Research Institute WSI, Ministry of Forests and Soil Conservation, Government of Nepal, and Central Department of Botany, Tribhuvan University) for their financial and administrative support to carry out research on wild mushrooms.

References


15. Documentation of indigenous and local knowledge of medicinal plants in the Rahim Yar Khan district of Pakistan

Muhammad Rizwan SHAHID and Muhammad Ibrar SHINWARI

Department of Environmental Science, International Islamic University, H-10, Islamabad, Pakistan.
m.ibrar@iiu.edu.pk

Abstract

This study was carried out in the Rahim Yar Khan district of Punjab province in Pakistan from September 2015 to October 2016. The study was aimed at preparing an ethnobotanical database with the aim of analysing the medicinal value of plant species identified by the local communities. The research methodology chosen for the study was an extensive survey with 70 respondents from the drug market as well as local people and local healers. In this regard, a questionnaire was adopted to interview local healers called hakims, local herbal traders called pansaries and people working at indigenous local medicine manufacturers or dawa khanas in Rahim Yar Khan city. In total, 105 recipes practised by local people have been documented; this is part of the indigenous and local knowledge about 65 medicinal plant species utilized belonging to 32 families and 59 genera at four study sites. Plants belonging to Fabaceae and Poaceae families were predominantly used. Based on indigenous and local knowledge, the most important medicinal plants found to be in use were Aloe vera, Calotropis procera, Coriandrum sativum, Ficus carica, Phoenix dactylifera, Tamarix aphylla and Ziziphus jujube while plant species with the lowest medicinal value were Bombax ceiba, Convolvulus arvensis and Eucalyptus globulus.

15.1. Introduction

In Pakistan, a majority of the population lives in remote areas (villagers, tribes and desert communities) and benefits from local medicinal plants in many ways. Rahim Yar Khan is situated between 27°40’–29°16’ northern latitudes and 60°45’–70°01’ eastern longitudes. It is a district in the Punjab province of Pakistan. It is situated in the south of the irrigated area up to the border between India and Pakistan. The district comprises an area of 11,880 km² (Figure 15.1).
The total population of Rahim Yar Khan district was 3.3 million out of which 19.6% lives in urban areas (ASER, 2008). The climate of the district is hot and dry in summer, on the other hand, cold and dry in the winter season. The summer is relatively longer than other seasons in this particular region. It starts in April and continues until October. The winter lasts from November to March. The average annual rainfall is about 100 millimetres. The major tribes in the area under study are the Arain Jat, Rajput and Gujjars. They all originate from the adjoining districts of East and West Punjab. The old settlers are the Joya, Wattoo, Daudpota, Balouch, Syed and Pathan. The study area is geographically diverse containing three main physical features: riverside area, canal-irrigated area and desert area which is called Cholistan. Therefore, it is ecologically diverse as well.

The local people have indigenous and local knowledge of medicinal plant use but this is unfortunately poorly documented and has not attracted the attention of any researcher with modern tools and technologies. Through this study, a baseline record has been built which will be available for interested researchers as a primary data source. The main objective of the study was to document indigenous and local knowledge of medicinal plants and trigger the development of a database and to evaluate the medicinal value of the selected plants used by the local people as herbal medicine. In the past, various studies have been carried out to document indigenous knowledge of medicinal plants in diverse parts of Pakistan (Shinwari and Khan, 2000; Ibrar et al., 2007; Shinwari et al., 2007; Qureshi and Bhati, 2008; Abbasi et al., 2010; Alam et al., 2011; Hameed et al., 2011; Noor and Kalsoom, 2011; Mahmood et al., 2011; Sher et al., 2011; Murad, 2012; Qureshi, 2012; Mahmood et al., 2013; Ahmed et al., 2014; Bibi et al., 2014; Qasim et al., 2014; Ahmed et al., 2015).
15.2. Methodology

The study area was divided into four main physical sites (Tehsil Rahim Yar Khan, Sadiq-a-bad, Khan Pur and Liaqat Pur) including the riverside area, canal-irrigated area and desert area. All these sites are located in different administrative subdivisions called tehsils. The desert area is found near the Qsre-Abu Dahbi, canal-irrigated area near Chowk Shabaz Pur, Chani Goth and the riverside site was located at Malkani Zahir Pir city.

The specimens or botanical samples were collected from the study sites. The voucher specimens (specimens used to maintain an identification record) were pressed, dried, pasted on the herbarium sheets and labeled. Their identification was determined with the help of existing literature and specimens in the herbarium. The interviews were conducted in Urdu, Punjabi and Saraiki languages. The interviews included questions about age, gender, local recipes, processes and other indigenous and local knowledge and the interviewees were the people involved in the herbal medicine business, i.e., hakims (local healers), homeopathic doctors and pansaries (local medicinal plant traders).

The collected data was analysed through two formulae. The first one was the Relative Frequency of Citation (RFC) which gave the significance of each plant species. It was calculated from frequency of citation (FC, the number of informants mentioning the use of plant species) divided by the total number of informants (N) who participated in the survey with no used categories for consideration (Tardio and Santayana, 2008). RFC = FC/N. The second is the MUV (Medicinal Use Value) which demonstrates the relative medicinal importance of plants. The medicinal use value was calculated with little modification (Tardio and Santayana, 2008) through the formula: MUV = \( \sum (XMUi/N) \) (where XMUi is the number of mentioned medicinal uses by each informant for a given plant species and N is the total number of informants included in the survey). Medicinal value of the medicinal plants utilized in the study area was tested through local hospital surveys. In this regard, a questionnaire was adopted to interview the hakims, homeopathic doctors, pansaries and and owners of dawa khanas (indigenous local medicine manufacturers) in the main Rahim Yar Khan city. For the qualitative approach, a questionnaire was adopted to investigate the number of patients, most frequent diseases and most commonly used medicines (Phillips and Gentry, 1993; IUCN, 2001). According to the Red Data Book of IUCN, the status of commercially important indigenous species (threatened to be ‘extinct’) in the study area was determined through four parameters: availability, collection, growth and part of the plant used, providing a total score for each species (IUCN, 2001). The relative importance of indigenous plants was classified as rare, infrequent and common/dominant species. Quadrate method was chosen for the phytosociological classification of the plant species.

15.3. Results

In total, 65 medicinal plant species utilized belonging to 32 families and 59 genera at four study sites (Tehsil Rahim Yar Khan, Sadiq-a-bad, Khan Pur and Liaqat Pur) were analysed. Fabaceae and Poaceae families were predominant (Table 15.1).
<table>
<thead>
<tr>
<th>No.</th>
<th>Species with (Family) Voucher Sp. No.</th>
<th>Local names1</th>
<th>Habit2</th>
<th>Occurrence</th>
<th>Part used3</th>
<th>Local uses of medicinal plants against diseases</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td><em>Acacia jacquemontii</em> Benth. (Mimosaceae) 11</td>
<td>(UR) Babul; (SN) Buber; (PB) Kiker; (EN) Acacia</td>
<td>T</td>
<td>Common</td>
<td>BN, ST, LT</td>
<td>Against dysentery and gastric problems in children and adults.</td>
</tr>
<tr>
<td>2.</td>
<td><em>Albizia lebbek</em> (L.) Benth. (Mimosaceae) 34</td>
<td>(UR) Sars; (PB) Shareen; (SN) Sirnahun</td>
<td>T</td>
<td>Rare</td>
<td>WP</td>
<td>Against diarrhoea, dysentery and skin diseases. Enhancement of sight.</td>
</tr>
<tr>
<td>3.</td>
<td><em>Alhagi maurorum</em> Medic. (Papilionaceae) 33</td>
<td>(UR) Kandera; (PB) Jowahan</td>
<td>H</td>
<td>Common</td>
<td>WP</td>
<td>The decoction of the plant is useful against skin diseases as a bath.</td>
</tr>
<tr>
<td>4.</td>
<td><em>Allium cepa</em> Linn. (Alliaceaea) 44</td>
<td>(UR) Piyaz; (PB) Gundha; (SR) Vassal; (SN) Baser</td>
<td>H</td>
<td>Common</td>
<td>RZ, S</td>
<td>Aphrodisiac. Syrup is used to cure flu, cough and fever.</td>
</tr>
<tr>
<td>5.</td>
<td><em>Allium sativam</em> Linn. (Alliaceaea) 59</td>
<td>(UR) Lehsen; (SN, PB) Thom; (EN) Garlic</td>
<td>H</td>
<td>Infrequent</td>
<td>L, BU</td>
<td>Used to treat anorexia, flatus, hepatitis and splenomegaly. Also used to maintain the cholesterol level and blood pressure.</td>
</tr>
<tr>
<td>6.</td>
<td><em>Aloe vera</em> (L.) Burm. f. (Asphodelaceae) 29</td>
<td>(UR) Ghakwar; (PB) Kanwar Gndal; (SN) Kanwar Boti</td>
<td>H</td>
<td>Rare</td>
<td>L</td>
<td>Treatment of jaundice. Also used as liver tonic.</td>
</tr>
<tr>
<td>7.</td>
<td><em>Amaranthus virdis</em> Linn. (Amaranthaceae) 2</td>
<td>(UR) Jangli cholai; (SN) Mreho; (PB) Paneer</td>
<td>H</td>
<td>Rare</td>
<td>WP, S</td>
<td>Chronic constipation. Stops bleeding in menstruating girls.</td>
</tr>
<tr>
<td>8.</td>
<td><em>Avena fatua</em> Linn. (Poaceae) 63</td>
<td>(PB, UR) Jai; (SR) Juwi; (EN) Oats</td>
<td>H</td>
<td>Common</td>
<td>WP</td>
<td>It is used to treat infertility and increases sperm count.</td>
</tr>
<tr>
<td>10.</td>
<td><em>Bombax ceiba</em> Linn. (Bombacaceae) 84</td>
<td>(PB) Sumbal; (EN) Silk Cotton tree</td>
<td>S</td>
<td>Rare</td>
<td>FL</td>
<td>Blood purifier. Powdered root is taken with water twice a day in case of leucorrhoea.</td>
</tr>
<tr>
<td>11.</td>
<td><em>Brassica nigra</em> Linn. (Brassicaceae) 41</td>
<td>(UR) Kali Sarsoon; (SN) Sarhiyon; (PB) Saroon</td>
<td>H</td>
<td>Common</td>
<td>WP, S</td>
<td>Stomach ache and indigestion. Seed oil is used to massage the body to relieve muscular pain.</td>
</tr>
<tr>
<td>12.</td>
<td><em>Calotropis procera</em> Linn. (Asclepiadaceae) 51</td>
<td>(UR, PB) Ak; (SN, SR) Akh</td>
<td>S</td>
<td>Common</td>
<td>R, ST, FL, WP</td>
<td>Whole dried plant in powder form is employed as a good energiser and anthelmentic. Used against cholera and indigestion.</td>
</tr>
<tr>
<td>13.</td>
<td><em>Cannabis sativa</em> Linn. (Cannabaceae) 73</td>
<td>(UR) Bhang; (PB) Pung; (SR) Saavi</td>
<td>H</td>
<td>Common</td>
<td>L</td>
<td>Paste made from crushing three masha* of leaves is used to cure whooping cough, digestive disorders and asthma.</td>
</tr>
</tbody>
</table>

1 Sindhi = SN, Siraiki = SR, Urdu = UR, English = EN, Punjabi = PB.
2 Tree = T, Herb = H, Shrub = S.
3 *1 masha = 8 ratti or 0.97 g ram*
<table>
<thead>
<tr>
<th>No.</th>
<th>Species with (Family) Voucher Sp. No.</th>
<th>Local names¹</th>
<th>Habit²</th>
<th>Occurrence</th>
<th>Part used³</th>
<th>Local uses of medicinal plants against diseases</th>
</tr>
</thead>
<tbody>
<tr>
<td>14.</td>
<td>Capparis decidua (Forssk.) Edgew. (Capparidaceae) 8</td>
<td>(UR) Kraal, Krear; (PB) Dela; (SN) Karurjodun</td>
<td>H</td>
<td>Common</td>
<td>BN, FT, WP</td>
<td>Used against backache and joint pains, muscular injuries and ulcers.</td>
</tr>
<tr>
<td>15.</td>
<td>Capsicum annuum Linn. (Solanaceae) 65</td>
<td>(UR) Surkh Mirch; (SN, SR) Garha Mirch</td>
<td>H</td>
<td>Rare</td>
<td>FT part</td>
<td>Used for the treatment of cholera.</td>
</tr>
<tr>
<td>16.</td>
<td>Chenopodium album Linn. (Chenopodiaceae) 42</td>
<td>(UR) Batho; (SN) Nibho boto; (PB) Bthwa; (SR) Jo sagbuto</td>
<td>H</td>
<td>Common</td>
<td>S, WP</td>
<td>Used against jaundice and hepatitis.</td>
</tr>
<tr>
<td>17.</td>
<td>Cichorium intybus Linn. (Asteraceae) 39</td>
<td>(UR, PB) Kasni; (EN) Wild endive</td>
<td>H</td>
<td>Common</td>
<td>L, S</td>
<td>Decoction is used to treat jaundice and hepatitis (very common disease of study area).</td>
</tr>
<tr>
<td>19.</td>
<td>Citrus limon (Linn.) Burm.f. (Rutaceae) 64</td>
<td>(PB) Nimbo; (UR) Lemo; (SN) Neebo</td>
<td>T</td>
<td>Infrequent</td>
<td>FT, S</td>
<td>Used for digestion and as a good cooling agent. Used to treat cholera.</td>
</tr>
<tr>
<td>20.</td>
<td>Convolvulaceae arvensis Linn. (Covolvulaceae) 62</td>
<td>(SR) Wanvehri, Galvehri; (PB) Hiranakhuri; (UR) Lehli</td>
<td>H</td>
<td>Common</td>
<td>WP</td>
<td>Whole plant in the powdered form is used to cure chronic constipation.</td>
</tr>
<tr>
<td>21.</td>
<td>Cordia dichotoma Forster. f. (Boraginaceae) 74</td>
<td>(SN, PB) Lesora; (EN) Glue berry</td>
<td>T</td>
<td>Infrequent</td>
<td>FT</td>
<td>Used to soften throat and chest cavity to cure cough and asthma. Ash of leaves is used on the open wounds of skin.</td>
</tr>
<tr>
<td>22.</td>
<td>Coriandrum sativum Linn. (Apiaceae) 44</td>
<td>(UR, PB) Dhana; (SN) Dhana; (EN) Coriander</td>
<td>H</td>
<td>Common</td>
<td>WP</td>
<td>Used to improve digestion and effective against sunstroke.</td>
</tr>
<tr>
<td>23.</td>
<td>Coronopus didymus Linn. Smith (Brassicaceae) 1</td>
<td>(UR) Jangli Halon; (PB) Chairni boti; (SR) Chiriatha</td>
<td>H</td>
<td>Common</td>
<td>WP, S</td>
<td>Applied on burnt skin or over body rashes. Smoke from its plant is used as insect repellent.</td>
</tr>
<tr>
<td>24.</td>
<td>Dalbergia sissoo Roxb. (Papilionaceae) 24</td>
<td>(UR) Shesham; (PB) Tahli</td>
<td>T</td>
<td>Common</td>
<td>L, FT, S</td>
<td>Used against stomach disorders. Used as hair wash by women to strengthen the roots of hair and increase length.</td>
</tr>
<tr>
<td>25.</td>
<td>Datura stramonium Linn. (Solanaceae) 20</td>
<td>(UR, SR) Datura;</td>
<td>H</td>
<td>Common</td>
<td>L, S</td>
<td>Used as tonic and febrifuge. (Precaution: Taken with care as it is poisonous in higher concentrations.)</td>
</tr>
<tr>
<td>26.</td>
<td>Daucus carota Linn. (Apiaceae) 58</td>
<td>(UR) Gajar; (EN) Carrot</td>
<td>H</td>
<td>Common</td>
<td>RZ</td>
<td>Taken to reduce burning sensation of liver and stomach. Fresh carrots are used as salad.</td>
</tr>
<tr>
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</tr>
<tr>
<td>27.</td>
<td>Desmostachya bipinnata (L.) Stapf. (Poaceae) 77</td>
<td>(SR) Drub, Talla; (PB) Khabbal Kha</td>
<td>S</td>
<td>Common</td>
<td>WP</td>
<td>Extract of whole plant is used by the local hakims to control vomiting and vaginal discharges in unmarried girls.</td>
</tr>
<tr>
<td>28.</td>
<td>Ipomoea batatas (Linn.) Lam. (Convolvulaceae) 56</td>
<td>(UR, PB) Shikar qandi; (EN) White yam</td>
<td>H</td>
<td>Infrequent</td>
<td>RZ</td>
<td>It increases the sperm count and is good for infertility.</td>
</tr>
<tr>
<td>29.</td>
<td>Eucalyptus globulus Labill. (Myrtaceae) 30</td>
<td>(UR, PB) Sufaida; (EN) Blue gum tree</td>
<td>T</td>
<td>Common</td>
<td>L, S</td>
<td>Tea made of its leaves is used to treat cold, cough and influenza.</td>
</tr>
<tr>
<td>30.</td>
<td>Ficus bengalensis Linn. (Moraceae) 18</td>
<td>(UR) Barh, Bohar; (EN) Banyan tree; (PB) Barh</td>
<td>T</td>
<td>Infrequent</td>
<td>L, LT, FT, R</td>
<td>Used to cure diarrhoea. Fruit is used as anti-diabetic. Paste used to cure piles.</td>
</tr>
<tr>
<td>31.</td>
<td>Ficus carica Linn. (Moraceae) 22</td>
<td>(UR, PB) Angeer; (EN) Fig</td>
<td>T</td>
<td>Rare</td>
<td>FT</td>
<td>Used as bandage over wounds and abscesses.</td>
</tr>
<tr>
<td>32.</td>
<td>Ficus religiosa Linn. (Moraceae) 52</td>
<td>(UR) Peepal; (SN) Pupper; (EN) Sacred fig</td>
<td>T</td>
<td>Rare</td>
<td>ST, FT, R</td>
<td>Used to treat asthma. Root ash is used to treat dysentery. Decoction of stem is used as antiemetic against gastric problems in children.</td>
</tr>
<tr>
<td>33.</td>
<td>Foeniculum vulgare Mill. (Apiaceae) 61</td>
<td>(UR, PB) Sonf; (EN) Fennel</td>
<td>S</td>
<td>Common</td>
<td>S</td>
<td>Taken against weakness of eye sight.</td>
</tr>
<tr>
<td>34.</td>
<td>Grewia asiatica Linn. (Tiliaceae) 72</td>
<td>(UR, PB) Falsa</td>
<td>T</td>
<td>Infrequent</td>
<td>FT, S</td>
<td>Drink used to give relief from heat and thirst.</td>
</tr>
<tr>
<td>35.</td>
<td>Hibiscus rosasinensis Linn. (Malvaceae) 27</td>
<td>(UR) Ghrrhil; (SR) Hodil, Ghorhan</td>
<td>H</td>
<td>Rare</td>
<td>FL</td>
<td>Extract from flowers is used in the treatment of dysuria.</td>
</tr>
<tr>
<td>36.</td>
<td>Hordeum vulgare Linn. (Poaceae) 49</td>
<td>(SR, PB) Jo; (EN) Barley</td>
<td>H</td>
<td>Common</td>
<td>WP</td>
<td>Bread made from its flour is used to remove extra fat from the body.</td>
</tr>
<tr>
<td>37.</td>
<td>Lathyrus sativus Linn. (Papilionaceae) 40</td>
<td>(UR, PB) Jangli mater; (SR) Matri; (EN) Grass pea</td>
<td>H</td>
<td>Infrequent</td>
<td>WP, S</td>
<td>Used to soothe the severe body pain. Powder made from whole plant is applied to skin burns externally.</td>
</tr>
<tr>
<td>38.</td>
<td>Magnifera indica Linn. (Anacardiaceae) 12</td>
<td>(UR) Aarm; (PB, SR) Anb; (EN) Mango</td>
<td>T</td>
<td>Common</td>
<td>FL, FT, R, L</td>
<td>Used against digestion and gastric problems. Powder made from flower is used to increase the sperm count.</td>
</tr>
<tr>
<td>39.</td>
<td>Melia azedarach Linn. (Meliaceae) 25</td>
<td>(UR) Bkayien; (SN) Bkayien nim; (PB) Bkain</td>
<td>T</td>
<td>Infrequent</td>
<td>L, S</td>
<td>Used as anthelmintic. Applied on the skin to cure rheumatism.</td>
</tr>
<tr>
<td>No.</td>
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<td>Local names¹</td>
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</tr>
<tr>
<td>40</td>
<td><em>Melilotus indica</em> Linn. (Papilionaceae) 7</td>
<td>(UR) Sr Sinjhi; (SN) Sinjhi</td>
<td>H</td>
<td>Infrequent</td>
<td>WP, FL, L</td>
<td>Used for relaxation of the mind. Leaves cooked in water are usually used for bandaging wounds.</td>
</tr>
<tr>
<td>41</td>
<td><em>Mentha longifolia</em> (L.) L. (Lamiaceae) 21</td>
<td>(UR) Podina; (PB) Podna; (SN) Phowanu</td>
<td>T</td>
<td>Common</td>
<td>L</td>
<td>Used to treat stomach and liver in case of hepatitis A, B or jaundice.</td>
</tr>
<tr>
<td>42</td>
<td><em>Moringa oleifera</em> Lam. (Moringaceae) 36</td>
<td>(UR, PB) Suhanjana; (SN) Sohanjo; (EN) Moringa Tree</td>
<td>T</td>
<td>Infrequent</td>
<td>WP</td>
<td>Taken against body aches, gastric problems and blood pressure.</td>
</tr>
<tr>
<td>43</td>
<td><em>Morus nigra</em> Linn. (Moraceae) 50</td>
<td>(UR, PB) Toot-i-Siah; (EN) Black Mulberry</td>
<td>T</td>
<td>Rare</td>
<td>FT, ST, L</td>
<td>Eaten for improved digestion and relief from constipation. Used for skin softening by women. Stem is used as anthelmintic and seeds are used against flu, fever and sore throat.</td>
</tr>
<tr>
<td>44</td>
<td><em>Musa paradisiaca</em> Linn. (Musaceae) 79</td>
<td>(UR, PB) Kaela; (SN) Kaelo; (EN) Banana</td>
<td>T</td>
<td>Infrequent</td>
<td>FT</td>
<td>Fruit used to regulate bowel in case of constipation.</td>
</tr>
<tr>
<td>45</td>
<td><em>Ocimum tenuiflorum</em> Linn. (Lamiaceae) 86</td>
<td>(UR, PB) Rehan, Nazbo; (EN) Holy Basel</td>
<td>H</td>
<td>Rare</td>
<td>L, WP</td>
<td>Used against whooping cough.</td>
</tr>
<tr>
<td>46</td>
<td><em>Oxalis corniculata</em> Linn. (Oxalidaceae) 54</td>
<td>(UR) Khithi mithi boti; (PB) Meeni booti</td>
<td>H</td>
<td>Common</td>
<td>WP</td>
<td>Decoction of root is used to treat mild temperatures and scurvy.</td>
</tr>
<tr>
<td>47</td>
<td><em>Phalaris minor</em> Retz. (Poaceae) 6</td>
<td>(UR, PB) Dumbi Sittee, Gandum Dewana</td>
<td>H</td>
<td>Common</td>
<td>WP</td>
<td>For weak eye sight and black spots of the cornea and for tinnitus.</td>
</tr>
<tr>
<td>48</td>
<td><em>Phoenix dactylifera</em> Linn. (Palmaeaceae) 32</td>
<td>(UR) Khooor; (PB) Khaji; (SN) Katl</td>
<td>T</td>
<td>Infrequent</td>
<td>FT, S</td>
<td>Used as antibacterial, against worms and as an energiser for body. Used to strengthen the body muscles.</td>
</tr>
<tr>
<td>49</td>
<td><em>Phyla nodiflora</em> Linn. (Verbenaceae) 75</td>
<td>(PB) Toot Boti; (EN) Match weed</td>
<td>H</td>
<td>Common</td>
<td>L</td>
<td>Used to treat bleeding haemorrhoids. Decoction of leaves mixed with sugar to cure fever.</td>
</tr>
<tr>
<td>50</td>
<td><em>Punica granatum</em> Linn. (Punicaceae) 23</td>
<td>(UR, PB) Anar; (SN) Darhon</td>
<td>T</td>
<td>Infrequent</td>
<td>L, FT, S</td>
<td>Fruit used to cure hepatitis and for proper digestion.</td>
</tr>
<tr>
<td>51</td>
<td><em>Raphanus sativus</em> Linn. (Brassicaceae) 43</td>
<td>(UR, PB) Moli; (SN) Mori</td>
<td>H</td>
<td>Common</td>
<td>WP, RZ, S</td>
<td>Used to treat amenorrhea. Used to remove stone from kidney and bladder. Rhizome used against constipation and haemorrhoids.</td>
</tr>
<tr>
<td>52</td>
<td><em>Rosa chinensis</em> Jacq. (Rosaceae) 83</td>
<td>(UR, PB) Gulab; (SN) Jarphal; (EN) Rose</td>
<td>S</td>
<td>Infrequent</td>
<td>FL</td>
<td>Used against stomach disorders and mild fever. Extract of the flower petal (rose water) is used as cleanser and tonic for facial skin.</td>
</tr>
<tr>
<td>No.</td>
<td>Species with (Family) Voucher Sp. No.</td>
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</tr>
<tr>
<td>53.</td>
<td><em>Saccharum bengalense</em> Retz. (Poaceae) 78</td>
<td>(SR) Bota; (PB) Kanha; (UR) Sarkanda</td>
<td>S</td>
<td>Common</td>
<td>R</td>
<td>Used to treat kidney stones.</td>
</tr>
<tr>
<td>54.</td>
<td><em>Saccharum officinarum</em> Linn. (Poaceae) 9</td>
<td>(UR, PB) Ganna, Kamad; (SN) Kumand</td>
<td>S</td>
<td>Infrequent</td>
<td>BN, FT, ST</td>
<td>Used against heat stroke and for stomach and liver. Juice used against malaria and cholera.</td>
</tr>
<tr>
<td>55.</td>
<td><em>Sesbania bispinosa</em> Jacq. W.F. (Papilionaceae) 3</td>
<td>(PB) Jantar; (SR) Jaeter; (EN) Prickly Sesban</td>
<td>T</td>
<td>Infrequent</td>
<td>R, BK</td>
<td>Paste made from root and bark is used to ease throbbing and swelling related to arthritis and gout.</td>
</tr>
<tr>
<td>56.</td>
<td><em>Solanum nigrum</em> Linn. (Solanaceae) 76</td>
<td>(SN) Patperon; (PB) Kanwan; (UR) Mako</td>
<td>S</td>
<td>Common</td>
<td>FT, L, R</td>
<td>Used to treat the swelling of liver and stomach. Leaves are chewed in the treatment of phthisis.</td>
</tr>
<tr>
<td>58.</td>
<td><em>Spinacia oleracea</em> Linn. (Amaranthaceae) 55</td>
<td>(SR, PB) Jowar; (SN) Joeir</td>
<td>H</td>
<td>Common</td>
<td>WP</td>
<td>It is used as anthelmintic.</td>
</tr>
<tr>
<td>59.</td>
<td><em>Syzygium cumini</em> Linn. (Myrtaceae) 15</td>
<td>(UR, PB) Paluk; (EN) Spinach</td>
<td>H</td>
<td>Common</td>
<td>L</td>
<td>Used to treat anaemia and heavy bleeding during menstruation.</td>
</tr>
<tr>
<td>60.</td>
<td><em>Tamarix aphylla</em> Linn. (Tamaricaceae) 35</td>
<td>(UR) Farash, Gazesurkh; (PB) Athel tree; (SN, SR) Jal</td>
<td>T</td>
<td>Rare</td>
<td>BN, S, FT</td>
<td>Extract of the fruit is mixed in equal parts with mango extract and given to patients of diabetes.</td>
</tr>
<tr>
<td>61.</td>
<td><em>Vitis vinifera</em> Linn. (Vitaceae) 10</td>
<td>(UR, PB) Angor; (EN) Grape</td>
<td>H</td>
<td>Rare</td>
<td>FT</td>
<td>Used as tonic and to treat cough, flu and fever.</td>
</tr>
</tbody>
</table>
15.4. Discussion

According to the interviews with local herbalists, the medicinally important plants found were: *Aloe vera*, *Calotropis procera*, *Coriandrum sativum*, *Ficus carica*, *Foeniculum vulgare*, *Mentha longifolia*, *Ocimum tenuiflorum*, *Phoenix dactylifera*, *Rosa chinensis*, *Tamarix aphylla* and *Ziziphus jujube*. These species obtained the highest MUI value, while plant species with the lowest MUI values were *Bombax ceiba*, *Convolvulus arvensis*, *Dioscorea melanophyma*, *Eucalyptus globulus*, *Hibiscus rosa-sinensis*, *Hordeum vulgare*, *Lathyrus sativus*, *Melilotus indica*, *Oxalis corniculata* and *Phalaris minor* (Table 15.2). See Figures 15.2 and 15.3 for the habit and occurrence of the medicinal plant species discussed. A previous study carried out around the study area, i.e. Bahawalnagar district, has reported 63 plants as effective in the local healthcare system, belonging to 56 genera and 34 families (Ahmed et al., 2015), while 70 medicinal plants belonging to 27 families distributed among 60 different genera have been reported from Cholistan desert adjacent to the present area studied (Ahmed et al., 2014).

![Photo 15.5](image_url) Indigenous herbal medicines manufactured locally.

![Photo 15.6](image_url) Collection of indigenous medicinal plant parts for medicine preparation.

![Figure 15.2](image_url) Habits of medicinal plant species in the study area.

![Figure 15.3](image_url) Occurrence of medicinal plant species at the study site.
Table 15.2. The MUV, RFC and FC of the plant species at the study site Rahim Yar Khan.

<table>
<thead>
<tr>
<th>No.</th>
<th>Botanical name</th>
<th>MUV</th>
<th>RFC</th>
<th>FC</th>
</tr>
</thead>
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<td>64.</td>
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<td>43</td>
</tr>
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<td>65.</td>
<td>Ziziphus mauritiana</td>
<td>0.89</td>
<td>1.00</td>
<td>70</td>
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</tbody>
</table>
Conclusion

The authors conclude that the local knowledge of indigenous plant species plays a very important role in the life of the inhabitants of district Rahim Yar Khan as these are the main source of indigenous local herbal medicines. As the targeted study area is predominantly rural, the inhabitants rely on herbal treatment options rather than the modern allopathic system. The most abundant medicinal plant species was *Calotropis procera* and *Ziziphus jujuba* was found to be rare. There is a need for detailed research and restoration of the natural habitat of these medicinal indigenous plants and traditional knowledge under threat. Over-expanding population has unfavourably influenced the indigenous habitat. Endeavours for botanical asset protection in the study area would not be fruitful if the population increases at the present rate. Loss of habitat is the single biggest contributing element for the loss of different species, the main cause of which is urbanization.

Acknowledgement

The authors highly appreciate the cooperation of local communities who have contributed their indigenous knowledge to this study.

References


Indigenous and local knowledge of conservation and sustainable use of Himalayan Giant Nettle (Girardinia diversifolia (Link) Friis) in Eastern and Far-Western Regions of Nepal

Bijay Raj SUBEDDEEA, Ram Prasad CHAUDHARY, Tashi DORJIB and Anu Joshi SHRESTHA

a. Research Centre for Applied Science and Technology (ReCAST), Tribhuvan University, Kirtipur, Nepal
b. International Centre for Integrated Mountain Development (ICIMOD), Lalitpur, Nepal

Abstract

Indigenous knowledge and practices of indigenous peoples and local communities (IPLCs) play an important role in the conservation and sustainable use of biodiversity. The Himalayan giant nettle (Girardinia diversifolia (Link) Friis), locally known as allo, has economic and cultural values for IPLCs living in the Kailash Sacred Landscape (KSL-Nepal) (comprising Humla, Darchula, Baitadi and Bajhang districts) of Far-Western Nepal; and Makalu Barun National Park (comprising Shankhuwasabha and Solukhumbu districts) of Eastern Nepal. This research discusses indigenous and local knowledge of the traditional use and practice of allo linked with the sustainability of resources. The study investigated the cultural linkage among allo harvesting and processing techniques, traditional medicinal practice as well as conservation practice adopted by IPLCs of Far-Western and Eastern regions of Nepal. Different parts of the allo plant species are traditionally being used by local healers (Vaidhya) and local communities such as Bohora, Dhami and Thagunna of Darchula district use allo as medicine for treating gastritis, joint pain, headache, tuberculosis and asthma. The Kalung Rai people of Sankhuwasabha district use clothes made of allo fibre in their rituals. The study revealed that IPLCs use the fibre of allo as primary material to make ropes, fishing nets, coats, pants, bags, shawls, purses and many more items to sustain their livelihoods. The traditional harvesting techniques; use of locally available materials such as wood ash and white soil; and locally made equipment such as hand spindle, wooden hammer and wooden handloom help in sustainable use and conservation of allo. Increasing market demand had led to a higher supply of allo products, hence people have started to harvest it extensively. The natural resource allo has been declining due to high habitat competition with cash crops such as Amomum subulatum. Therefore, this study identifies the existing status of allo for management and sustainable utilization to meet the increasing demand for resources, and attempts to share the management practices followed in two different regions of Nepal.
16.1. Introduction

Himalayan giant nettle (*Girardinia diversifolia* (Link) Friis), locally known as *allo*, has economic and cultural values for indigenous peoples and local communities (IPLCs) living in the Kailash Sacred Landscape (KSL-Nepal) – which comprises four districts in Far-Western Nepal, namely Darchula, Baitadi, Bajhang and Humla (Zomer and Oli, 2011) – and in Sankhuwasabha district of Eastern Himalaya in Nepal. *G. diversifolia* belongs to family Urticaceae. It is a fibre-yielding plant locally known as *allo* in Nepali language. *Allo* grows in the Eastern to Far-Western regions of Nepal between the altitudes of 1,200 to 3,000 metres a.s.l. Its range also extends to China, India, Bhutan and East Africa including Madagascar (Friis, 1981; Shrestha and Hoshion, 1998; Chen et al., 2003). The plant is shade loving, grows to 1.5 to 3 metres tall and has a perennial root. Stem and leaves consist of stinging spikes. Fibre is present in the inner bark of the stalk and has high strength and length. *Allo* has cultural, economic and medicinal values for many communities like the *Rais*, *Gurungs*, *Tamangs*, *Sherpas*, etc. Indigenous peoples and local communities utilize the fibre of this plant to make different articles for daily use. *Kulung Rais* use cloth made of *allo* in their religious ceremonies, offer cloth to God during *Nagi Puja* and also present *allo* cloth to their daughters during the wedding ceremony (Barakoti and Shrestha, 2008). Different parts of the plant species are traditionally utilized as medicine. *Allo* products have both national and international market value. Resource management, sustainable harvesting, conservation, and fair and equitable sharing of benefits enhance equity among the communities. The harvesting and processing system of *allo* followed by the people of Bala, Sisuwa, Tamku VDCs (Village Development Committees) of Sankhuwasabha district and medicinal use of different parts of *allo* followed by communities at Khar, Katae, Yerkot, Sipti VDCs of Darchula district living in KSL-Nepal are important for the sustainability of the resources.

![Local people harvesting *allo*.](image)

This research, combining cultural and economic values, investigated the indigenous knowledge of uses and practices linked with the sustainability of resources. The study investigated how local communities have established cultural linkages with harvesting practice, processing techniques and medicinal use of *allo* in different regions.
Study area

The study sites Khar, Airkot, Katae, Septi and Sitola VDCs of Darchula district, Api-Nampa Conservation Area, Kailash Sacred landscape (KSL), Nepal are located in the Far-Western region; Bala, Sisuwa and Tamku VDCs of Sankhuwasabha district in Makalu-Barun National Park are in the Eastern region of Nepal (Figure 16.1).

Materials and methods

A field survey was conducted in the study areas following focus group discussions, informal meetings and field observations as primary methods of data collection. Semi-structured questionnaires were followed after establishing informed consent with the communities. Information was also collected from websites and published articles in journals. Non-timber forest product (NTFPs) collectors, traders, traditional healers (Vaidhya), allo processors and community members were consulted through focus group discussions and informal meetings. Elderly people, forest guards, local traders, as well as men and women representing different ethnic groups, castes and occupations were encouraged to participate.

Results and discussions

16.4.1. Cultural use and practice

Indigenous and local communities like the Rais, Gurungs, Sherpas, Magars and Tamangs value G. diversifolia economically and culturally. A particular community of Rai (Kulung Rai) peoples
offer allo cloth to god during the cultural ceremony of Nagi Puja. Allo and its cloth are the most important requirements during housewarming, wedding and funeral ceremonies. It is also the source of livestock feed, bedding material and firewood (Barakoti 2008). The traditional fibre extraction technology developed by IPLCs helped them to meet the basic requirement of the people by selling their products in local markets.

16.4.2. Traditional medicinal use and practice

The present study revealed that allo is a medicinal plant and has been used by the local communities in Far-Western and Eastern regions of Nepal. Local people use allo as medicine for treating gastritis, joint pain, headache, tuberculosis and asthma (Table 16.1).

Table 16.1. Traditional use of G. diversifolia

<table>
<thead>
<tr>
<th>Parts used</th>
<th>Traditional use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Root</td>
<td>Juice of roots is used to treat gastritis and constipation and is applied on swellings. It is also diluted with water and used to treat stomach ache. Paste of the root is applied on aching joints. For the treatment of gastritis, it is mixed with the plant Ghodtapre (Centella asiatica) and boiled for 10 minutes, strained and the liquid (about 4 teaspoons) is given twice a day. Juice of the root, about 6 teaspoons twice a day, is given for constipation (Manandhar, 2002).</td>
</tr>
<tr>
<td>Bark</td>
<td>Fibre obtained from the bark is used to make different articles such as ropes, fishing nets, bags, sacks, clothing materials, weaving rugs and jackets (Barakoti, 2008).</td>
</tr>
<tr>
<td>Leaf</td>
<td>Juice of leaves is used to treat headache, joint aches and tuberculosis. It is also used as a vegetable (Barakoti, 2008; Gurung et al., 2012; Malla et al., 2014).</td>
</tr>
<tr>
<td>Stem</td>
<td>The stem is heated and wrapped around the leg or hand to treat fractures.</td>
</tr>
<tr>
<td>Inflorescence</td>
<td>It is used as a vegetable and soup for its high nutrient value (Malla et al., 2014).</td>
</tr>
</tbody>
</table>

The study revealed which parts of the allo are used most frequently by IPLCs of Eastern and Far-Western regions of Nepal. They use the bark 60%, leaves 15%, roots 10%, stem 8%, seeds 4% and inflorescence 3% of the time (Figure 16.2).

The study also showed that more than 50% of people use allo for joint pain; 30% for skin allergies; and 10% to treat gastritis; followed by tuberculosis and asthma (Figure 16.3).
16.4.3. Indigenous knowledge of allo processing held by IPLCs of study area

During October–November, local communities harvest the allo shrubs. Well-developed stems are selected and the bark is removed manually by using iron sickles and hand gloves. The harvested bark is soaked in water for 24 hours.

Degummed barks are dried, bundled and stored. The cooking process takes place for about 2–3 hours in an iron drum with wood ash. To cook 2.5 kg of allo, 5.38 kg of wood ash is needed to remove non-fibrous allo bark and 7.45 kg of white soil (kamero) is needed to soften the allo fibre.

Figure 16.3. Percentage therapeutic use of G. diversifolia.

Photo 16.2 Allo processing techniques in Far-Western and Eastern Regions of Nepal:
(a) Cooking of allo bark in iron drum.
(b) Allo bark drying with white clay (kamero).
(c) Wooden hammer (mungro).
(d) Spinning allo fibre with katuwa.
Wooden hammer (*mungro*) is still in use – it is a traditional method of processing of fibre. Plenty of water is required to remove the non-fibrous bark, so this is usually carried out in a stream or river. The beating process is the primary traditional method for the removal of debris of *allo* bark in both Eastern and Far-Western regions of Nepal. The clean bundles of fibre are left to dry in the sun and soaked in water with locally available white soil.

Both men and women are involved in all the stages of collection and processing. The traditional spinning method by hand spindle is still practised. Hand spindle (*katuwa*) and wooden spinning machine (*charka*) are used for spinning of the yarn. A hand spindle is a portable yarn spinning equipment which is used to spin fibre. The study revealed that IPLCs of Eastern and Far-Western regions use fibre of *allo* as the primary source to prepare different types of products such as porter straps (*namlo*), ropes for domesticated animals (*damlo*), coats, pants, bags, shawls, purses and many more items. IPLCs have made their own groups and rules for harvesting of *allo* from the forest. People go together to the forest and collect *allo* bark from the mature plant, after the ripening of fruit during the months of October and November. They allow the ripened seeds to disperse around the ground for the regeneration of plants. They collect *allo* bark in groups from different forest areas and share the resources in equal amounts. While harvesting *allo* stem, they leave about four inches of stem above the ground so that new buds may arise easily from the root. Mostly women were involved in *allo* processing, thus it acts as a source of income for the women, and they utilize the income generated from *allo* for their daily use and also for the welfare of their children.

### 16.4.4. Loss of natural habitat

*G. diversifolia* generally grows from Eastern to Far-Western regions of Nepal between the altitudes of 1,000 to 3,000 m above sea level. It commonly grows in moist and shady areas. During the study, most of the respondents reported that the natural habitat of *allo* is decreasing year by year. This may be due to decrease in the traditional livestock domestication practices in barren lands which acts
as one of the major sources of organic fertiliser for *allo* germination and growth. Studies showed the community response on the present situation of natural habitat: 85% responded that they had observed a loss or decrease of natural habitat; 13% responded that there was increase in natural habitat; only 2% responded that they observed no change in the natural habitat (Figure 16.4).

About 38% of the respondents reported that the loss of natural habitat of *allo* was due to decrease in livestock domestication practices on barren land; 32% responded that the loss of habitat was due to decrease in sheep numbers and sheep herders, because sheep excreta acts as a good source of fertilizer for *allo* plants. *Allo* seeds also anchor on sheep wool which helps in seed dispersal in forest areas and barren lands. About 13% responded that the loss of *allo* habitat was due to the increase in cardamom cultivation in the same lands where *allo* grows; 8% responded that this was due to plucking out the whole plant to support fodder grass regeneration for domesticated animals; about 7% responded that there was no effect on the natural habitat and about 2% reported that the reason for loss of habitat was destruction by wild animals (Figure 16.5).
Collection, processing, spinning and weaving of \textit{G. diversifolia} is a tradition of IPLCs, and has long been used in the textile history of Nepal. Jackets, coat and caps made of \textit{allo} are popular in Nepal and abroad. The IPLCs have their own traditional practice of collection, processing, spinning and weaving, where they utilize locally available materials. These days, the traditional processing practices are altered by the use of caustic soda in place of ash. Though caustic soda removes non-fibrous parts faster than the ash, it pollutes water and affects fibre quality and health of \textit{allo} farmers. So, the indigenous and local knowledge adopted by Kulung Rais of Sankhuwasabha, Dhami and Thagunna communities of Darchula district is environmentally safe. Generations upon generations of people are harvesting \textit{allo} from the forest though very few people are aware of the availability and sustainability of raw material in the natural habitat. People have to travel long distances and spend a week in the forest to collect \textit{allo} bark. Another risk is the massive plantation of large cardamom \textit{Amomum subulatum}: people pluck out \textit{allo} plants and plant large cardamom in their place. Cultivation of \textit{A. subulatum} has been one of the income generation options which has had adverse impacts on the habitat of \textit{G. diversifolia}. So, on the one hand exploitation rate of \textit{allo} is high and on the other, destruction of natural habitat indicates the need for sustainable management. Thus, plantation, cultivation and conservation should be encouraged to preserve the natural habitat. Tax policy on transport of raw \textit{allo} bark and finished products from villages to national and international markets is unclear. Farmers and collectors have to pay taxes at several points while carrying both \textit{allo} bark as well as its products. Therefore, it is recommended that the government provides a clear policy of one-door taxation for raw material and finished products.

**Conclusion**

Indigenous and local communities of Nepal have their own traditional way of harvesting, processing and conservation of \textit{G. diversifolia}, which has cultural, economic and medicinal value among the people living in Api-Nampa Conservation Area of Kailash Sacred Landscape, in Far-Western Nepal and Makalu-Barun National Park in Eastern Nepal. Economic importance has led to higher demand for \textit{allo} products. Hence, the residents of Darchula and Sankhuwasabha districts have started to harvest it extensively. The study emphasizes the importance of sustainable harvesting, conservation of natural resources, preservation of the traditional knowledge and formulation of a one-door taxation policy on the use of \textit{G. diversifolia}.

**Acknowledgements**

This study has been undertaken jointly by the Ministry of Forests and Soil Conservation (MoFSC) Nepal, Research Centre for Applied Science and Technology (ReCAST) under Tribhuvan University, Nepal and the International Centre for Integrated Mountain Development (ICIMOD) under the Kailash Sacred Landscape Conservation and Development Initiative (KSLCDI). KSLCDI is a joint effort to promote transboundary cooperation on landscape conservation in China, India and Nepal through the respective governments and partners which is supported by the Ministry for Economic Cooperation and Development (BMZ), Germany, the DFID/UKAid, and core funds of ICIMOD contributed by the governments of Afghanistan, Australia, Austria, Bangladesh, Bhutan, China, India, Myanmar, Nepal, Norway, Pakistan, Switzerland, and the United Kingdom. The views and interpretations expressed in this publication, however, are those of the authors and are not to be ascribed to MoFSC, ICIMOD, RECAST or their donors.


ANNEX 1
Agenda of the ILK dialogue workshop – Chiang Mai, Thailand

Objectives

1. Bring together people from different knowledge systems in one place and conduct a shared learning dialogue (SLD) through exchange and sharing of different knowledge, perception and world views.

2. Support the process of participatory development between ILK and science for the chapters of the IPBES assessment.

3. Build relationships, respect, mutual understanding and trust between ILK holders, ILK experts, assessment authors, Taskforce Members and the TSU staff.

4. Build capacity and empowerment of ILK holders, ILK experts and assessment authors to meaningfully and effectively participate in the Regional Assessments.

5. Provide support to indigenous peoples and local communities’ contributions to conservation and sustainable use of biodiversity.

6. Provide access to relevant oral knowledge by publishing it as grey literature with prior informed consent.

7. Support the processes of prior informed consent for the documentation, promotion and strengthening of ILK.

Expected outputs

- Participatory models for the inclusion of ILK in each chapter.

- Discussion of the potential for an ILK reference group to guide the work of bringing ILK into the assessment.

- Identify further ILK holders and develop a list of ILK holders in each sub-region.

- Initiate the process of identifying and prioritizing ILK literature necessary for the regional assessment according to the needs identified at the regional dialogue.

- Develop a list of ILK resources as appropriate.
Day 1 – Sunday 26 June 2016

<table>
<thead>
<tr>
<th>Time</th>
<th>Activity</th>
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<tbody>
<tr>
<td>8:30</td>
<td>Coffee, tea, mingling</td>
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<tr>
<td>9:00</td>
<td>Welcome to Chiang Mai by local communities</td>
</tr>
<tr>
<td>9:30</td>
<td>Participants introducing themselves</td>
</tr>
<tr>
<td>10:00</td>
<td>People invited to introduce themselves and say what they would like to get out of the three days</td>
</tr>
<tr>
<td>10:30</td>
<td>Morning tea/coffee</td>
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<tr>
<td>11:00</td>
<td>Introduction to the agenda and World Café sessions for sharing information</td>
</tr>
</tbody>
</table>
| 11:30 | World Café where ILK-holders and ILK-experts present their stories to small groups  
      | What are the main lessons from these stories about ILK contributions to conservation and sustainable use of biodiversity? |
| 1:00  | Lunch                                                                    |
| 2:00  | World Café where ILK-holders and ILK-experts present their stories to small groups  
      | What are the main lessons from these stories about ILK contributions to conservation and sustainable use of biodiversity? |
| 3:30  | Afternoon tea                                                            |
| 4:00  | Each group clusters their ideas, shares their lessons (walk the room)    |
| 4:30  | Plenary sharing – discussion of the day and its outcomes                 |
| 5:00  | Close                                                                    |
| 6:00  | Leave Mercure Hotel (by van)                                             
      | Reception at Khaomao Khaofang restaurant                                |
## Day 2 – Monday 27 June 2016

<table>
<thead>
<tr>
<th>Time</th>
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<tbody>
<tr>
<td>8:30</td>
<td>Coffee and tea</td>
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<tr>
<td>8:45</td>
<td>Introduction to the second World Café (Session 2)</td>
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</table>
| 9:00  | **World Café Session 2, presentation by the chapters in 3 groups (1&2; 3&4; 5&6)**  
What ILK information is important to this chapter? |
<p>| 10:30 | Morning tea                                                              |
| 11:00 | Presentation of the ideas from chapters (walk the room)                  |
| 11:30 | <strong>Asia Pacific TSU – presentation of proposals for sub-regional workshops followed by questions and answers</strong> |
| 12:00 | Plenary discussion                                                       |
| 12:30 | Lunch                                                                    |
| 1:30  | Presentation to the participatory drawing and modelling session (building a picture of what ILK in each chapter will look like) (Ro) |
| 2:00  | Small group drawing and modelling – in 3 groups for the chapters. Facilitated by TF Members |
| 3:30  | Afternoon tea                                                            |
| 4:00  | Sharing of the drawings, models, pictures and models in plenary          |
| 5:00  | Close                                                                    |</p>
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<tr>
<th>Time</th>
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<tbody>
<tr>
<td>8:30</td>
<td>Coffee and tea</td>
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</table>
| 9:00  | **Co-Chair presentation of the content progress to date and remaining activities in the Asia-Pacific Assessment**  
• First Author’s meeting  
• First Order draft – current content  
• Subsequent 2nd and 3rd Authors’ meetings  
• Potential ILK Reference Groups  
Questions and discussion |
| 9:45  | Aligning ILK work with the future Asia-Pacific assessment work – small groups discussions |
| 10:15 | Sharing the outcomes of the discussions                             |
| 10:30 | Morning tea                                                          |
| 11:00 | Next steps – re-visit the opportunity through the Asia-Pacific sub-regional workshops |
| 11:30 | Small group discussions in sub-regions                              |
| 12:15 | Report-back from the small groups discussions                       |
| 12:30 | Lunch                                                                |
| 1:30  | Wrap up session                                                      |
| 3:30  | Opportunities for concluding comments from all groups  
Evaluation of the workshop |
| 4:30  | Close                                                                |
## ANNEX 2

Participants list for the ILK dialogue workshop

Indigenous and Local Knowledge (ILK) holders and experts

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Email 1</th>
<th>Email 2</th>
</tr>
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<tbody>
<tr>
<td>Danesto Bacdayan ANACIO</td>
<td>28 Agpaoa Drive, Camp 7, Baguio City, 2600, Philippines</td>
<td><a href="mailto:dbanacio@yahoo.com">dbanacio@yahoo.com</a></td>
<td></td>
</tr>
<tr>
<td>Gopla DAHIT</td>
<td>Rajapur Municipality, Ward no. 4 Jotpur, Bardiya district, Nepal</td>
<td><a href="mailto:gopaiddahit.nepal@gmail.com">gopaiddahit.nepal@gmail.com</a></td>
<td><a href="mailto:dahitgopal@yahoo.com">dahitgopal@yahoo.com</a></td>
</tr>
<tr>
<td>Rong DAI</td>
<td>No. 8, Jiang-wang-miao Street, Nanjing, Jiangsu Province, 210042, P. R. China</td>
<td><a href="mailto:dairong@nies.org">dairong@nies.org</a>; <a href="mailto:dai_rong2008@163.com">dai_rong2008@163.com</a></td>
<td></td>
</tr>
<tr>
<td>To Kien DANG</td>
<td>Program Coordinator of the Land Use Policy, Analysis for Poverty Alleviation (LUPAPA), Social Policy Ecology Research Institute (SPERI), 12C Pham Huy Thong Str, Ba Dinh Dist, Hanoi City, Vietnam</td>
<td><a href="mailto:dtkien@speri.org">dtkien@speri.org</a></td>
<td></td>
</tr>
<tr>
<td>Marzie GHAELINIA</td>
<td>c/o Unit 7, No. 214, Sani Zade Alley, Charbagh Bala Blvd, Esfahan 8163893359, Iran (Islamic Republic of)</td>
<td><a href="mailto:physic_1982@yahoo.com">physic_1982@yahoo.com</a></td>
<td></td>
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</tr>
<tr>
<td>Chels MARSHALL</td>
<td>Gumbaynggirr – Australia, Australian National University, Dolphin Place, Valla Beach NSW 2448, Australia</td>
<td><a href="mailto:h20critter@gmail.com">h20critter@gmail.com</a></td>
<td></td>
</tr>
<tr>
<td>Saeid NOURI NESHAT</td>
<td>c/o Unit 7, No. 214, Sani Zade Alley, Charbagh Bala Blvd, Esfahan 8163893359, Iran (Islamic Republic of)</td>
<td><a href="mailto:physic_1982@yahoo.com">physic_1982@yahoo.com</a></td>
<td></td>
</tr>
<tr>
<td>Robert PANIPILLA</td>
<td>Robert Panipilla (Naina), Kochuthope Vallakkadavu Post Office, 695008, Trivandrum, Kerala, South India</td>
<td><a href="mailto:johns79@gmail.com">johns79@gmail.com</a>, <a href="mailto:robert_potsplants@yahoo.com">robert_potsplants@yahoo.com</a></td>
<td></td>
</tr>
<tr>
<td>Jarunee PILUMWONG</td>
<td>Highland Research and Development Institute, (Public organization), 65 Suthep Road, Muang, Chiang Mai, Thailand 50200</td>
<td><a href="mailto:jp.foodbank@gmail.com">jp.foodbank@gmail.com</a></td>
<td></td>
</tr>
<tr>
<td>Ben RULI</td>
<td>Staff – Research Assistant, PNG Institute of Biological Research, P.O. Box 1550, Goroka, EHP, Papua New Guinea</td>
<td><a href="mailto:aisamakov@gmail.com">aisamakov@gmail.com</a></td>
<td></td>
</tr>
<tr>
<td>S Davidson SARGUNAM</td>
<td>23, Cave street, Duthie School Road, Nagercoil-629 001, Kanyakumari District, Tamil Nadu, India</td>
<td><a href="mailto:ssdavidson9@gmail.com">ssdavidson9@gmail.com</a></td>
<td></td>
</tr>
<tr>
<td>Tui SHORTLAND</td>
<td>Nga Tirairaka o Ngati Hine, Offices: 223 Kioreroa Road, Whangarei, Post: PO Box 3262, Onerahi, Whangarei, 0142, New Zealand</td>
<td><a href="mailto:tui.tirairaka@gmail.com">tui.tirairaka@gmail.com</a></td>
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<tr>
<td>Name</td>
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<tr>
<td>Sin Min VANG</td>
<td>c/o Social Policy Ecology Research Institute (SPERI), 12C Pham Huy Thong Str, Ba Dinh Dist, Hanoi City, Vietnam</td>
<td><a href="mailto:dtkien@speri.org">dtkien@speri.org</a></td>
<td></td>
</tr>
<tr>
<td>M. Cheusy YANG</td>
<td>c/o Social Policy Ecology Research Institute (SPERI), 12C Pham Huy Thong Str, Ba Dinh Dist, Hanoi City, Vietnam</td>
<td><a href="mailto:dtkien@speri.org">dtkien@speri.org</a></td>
<td></td>
</tr>
<tr>
<td>Lixin YANG</td>
<td>Associate Professor, Kunming Institute of Botany, Chinese Academy of Sciences, No.132, Lanhei Road, Kunming, 650201, China</td>
<td><a href="mailto:rattan@mail.kib.ac.cn">rattan@mail.kib.ac.cn</a></td>
<td></td>
</tr>
<tr>
<td>Asia assessment authors</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Haripriya GUNDIMEDA</td>
<td>Indian Institute of Technology Bombay, Powai, Mumbai, Maharashtra 400076, India</td>
<td><a href="mailto:gharipriya@gmail.com">gharipriya@gmail.com</a></td>
<td></td>
</tr>
<tr>
<td>Kohsaka RYO</td>
<td>Kanazawa University, Kakumamachi, Kanazawa, Ishikawa Prefecture 920–1192, Japan</td>
<td><a href="mailto:kikori36@gmail.com">kikori36@gmail.com</a></td>
<td></td>
</tr>
<tr>
<td>Amjad VIRK</td>
<td>Ministry of Climate Change, Islamabad, Pakistan</td>
<td><a href="mailto:atvirk@isb.comsats.net.pk">atvirk@isb.comsats.net.pk</a></td>
<td></td>
</tr>
<tr>
<td>Ning WU</td>
<td>The International Centre for Integrated, Mountain Development (ICIMOD), Khumaltar, Lalitpur, Kathmandu, Nepal</td>
<td><a href="mailto:Wu.Ning@icimod.org">Wu.Ning@icimod.org</a></td>
<td></td>
</tr>
</tbody>
</table>
## ILK-TF members and resource persons

<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wilfredo V. ALANGUI</td>
<td>University of the Philippines Baguio, Baguio City, Philippines</td>
<td><a href="mailto:wvalangu@gmail.com">WVvalangu@gmail.com</a></td>
</tr>
<tr>
<td>Joji CARIÑO</td>
<td>International Indigenous Forum on Biodiversity and Ecosystem Services (IIFBES), Forest Peoples Programme, UK</td>
<td><a href="mailto:joji@forestpeoples.org">joji@forestpeoples.org</a></td>
</tr>
<tr>
<td>Rosemary (Ro) HILL</td>
<td>Commonwealth Scientific and Industrial Research, Organization (CSIRO), Australia</td>
<td><a href="mailto:ro.hill@csiro.au">ro.hill@csiro.au</a></td>
</tr>
<tr>
<td>Kaoru ICHIKAWA</td>
<td>United Nations University – Institute for the Advanced Study of Sustainability (UNU-IAS), Japan</td>
<td><a href="mailto:ichikawa@unu.edu">ichikawa@unu.edu</a></td>
</tr>
<tr>
<td>Madhav B. KARKI</td>
<td>Commission on Ecosystem Management (CEM), IUCN, South Asia Region, GPO Box 6413, IDS–Nepal, Nepal</td>
<td><a href="mailto:karki.madhav@gmail.com">karki.madhav@gmail.com</a></td>
</tr>
<tr>
<td>Marie ROUE</td>
<td>Centre national de la recherché scientifique (CNRS), Laboratory of Eco-anthropology and Ethnobiology, National Museum of Natural History (MNHN), France</td>
<td><a href="mailto:roue@mnhn.fr">roue@mnhn.fr</a></td>
</tr>
<tr>
<td>Dayuan XUE</td>
<td>College of Life and Environmental Science, Minzu University of China, 27 Zhong-guan-cun South Ave, Beijing, 100081</td>
<td><a href="mailto:xuedayuan@hotmail.com">xuedayuan@hotmail.com</a></td>
</tr>
</tbody>
</table>
### ILK-TSU

**Douglas NAKASHIMA**  
*Chief & TSU TF-ILK (Head), Section for Small Islands and Indigenous Knowledge, Natural Sciences Sector, UNESCO Headquarters, Paris*  
Email: d.nakashima@unesco.org

**Jennifer RUBIS**  
*Programme Specialist, Section for Small Islands and Indigenous Knowledge, Natural Sciences Sector, UNESCO Headquarters, Paris*  
Email: j.rubis@unesco.org

### IPBES-TSU-AP

**Sana OKAYASU**  
*IPBES Technical Support Unit, Asia Pacific Regional Assessment, Shinbashi SY Bldg. 4th Floor, 1–14–2, Nishi-shinbashi, Minato-ku, Tokyo, Japan 105-0003*  
Email: okayasu@iges.or.jp

**Wataru SUZUKI**  
*IPBES Technical Support Unit, Asia Pacific Regional Assessment, Shinbashi SY Bldg. 4th Floor, 1–14–2, Nishi-shinbashi, Minato-ku, Tokyo, Japan 105-0003*  
Email: w.suzuki@iges.or.jp
### IPBES Secretariat

**Thomas KOETZ (PhD)**  
Programme Officer, Intergovernmental Platform on Biodiversity and Ecosystem Services (IPBES), IPBES Secretariat, UN Campus, Platz der Vereinten Nationen 1, D-53113 Bonn, Germany  
Email: thomas.koetz@ipbes.net

**Simone SCHIELE**  
Associate Programme Officer, Intergovernmental Platform on Biodiversity and Ecosystem Services (IPBES), IPBES Secretariat, UN Campus, Platz der Vereinten Nationen 1, D-53113 Bonn, Germany  
Email: simone.schiele@ipbes.net

### IGES-JBF capacity building team

**Tomoshi ICHIKAWA**  
IPBES Capacity Building Project, IGES, Tokyo, Japan  
Email: tomoshi.ichikawa@mx.iges.or.jp

**Yoichi SAKURAI**  
IPBES Capacity Building Project, IGES, Tokyo, Japan  
Email: sakurai@iges.or.jp
ANNEX 3
Author bionotes

Danesto B. Anacio is an indigenous person belonging to the northern Kankanaey and Ibaloi tribes of the Philippines. He is currently a candidate for a Ph.D. in Environmental Science at the University of the Philippines Los Banos, Los Banos, Philippines.

Govinda Basnet holds a Ph.D. in Environmental Anthropology from the University of Georgia, Athens, USA (2007). Currently, he is working as a freelance consultant in the fields of environmental conservation, water resources management, climate change and community development. His research focuses on the dynamics of water resource management and environmental conservation and he has won research grants from institutions, including the National Science Foundation and Wenner-Gren Foundation for Anthropological Research (USA), among others.

Ram Prasad Chaudhary is currently Emeritus Professor at the Research Centre for Applied Science and Technology (ReCAST), Tribhuvan University, Nepal where he was previously the Executive Director. Prof. Chaudhary has over 37 years of teaching and research experience and has published books and articles in reviewed journals in the areas of conservation biology, traditional knowledge and climate change and livelihoods. In the past, Prof. Chaudhary has served as a member of the National Planning Commission of Nepal and as Chairperson of Board of Governors, ICIMOD. Currently, Prof. Chaudhary is leading two transboundary landscape conservation and development programmes and is serving as a core member of academic programmes and policies in Nepal.

Gopal Dahit is an expert on Tharu indigenous culture and knowledge and the chairperson of the Tharu Indigenous NGO Federation. He has published more than half a dozen books on the subject and has authored many articles. He has served the Government of Nepal in many capacities, and is currently the Minister for Land Reform and Management. Dr Dahit holds a Ph.D. from the Lumbini Buddhist University as well as an MBA and an LLB.

Rong Dai is Assistant Researcher at the Nanjing Institute of Environmental Sciences at the Ministry of Environmental Protection, China. Her research interests focus on eco-farming, traditional knowledge and access and benefit-sharing of genetic resources for the ethnic minorities in China. She is one of the lead authors for the IPBES Asia-Pacific Regional Assessment.

Kien Dang has a Bachelor of Science, and a Master of Science (Forestry Science and Management, 2013) from the Australian National University. She has worked for the Social Policy Ecology Research Institute (SPERI) since 2006 and is responsible for research and publications as well as the Farmers’ Field School programme. Her action-research, training and advocacy are committed to strengthening local initiatives and traditional wisdom in forest use and governance, empowerment and skills enhancement for indigenous youth towards ecological farming, forest protection, and ecological and biodiversity conservation.

Shiva Devkota is currently a Ph.D. student in Ecology and Evolution at the Swiss Federal Research Institute WSL (Switzerland) and holds two Masters degrees: M.Sc. Botany (2003) and M.A. Sociology and Anthropology (2007) from Tribhuvan University, Nepal. He has been working on scientific and social aspects of wild mushrooms, lichens and the caterpillar fungus (Ophiocordyceps sinensis) since 2003.

Melboreen Dkhar is Assistant Professor at the Department of Botany, Union Christian College, Umiam, Ri Bhoi District, India. She received her Ph.D. in Botany from the North-Eastern Hill University, Shillong, India. Her areas of specialization include: microbial diversity, agroforestry systems and traditional ecological knowledge.
Tashi Dorji (Ph.D.) works as a Livelihood Specialist, Conservation and Development at the International Centre for Integrated Mountain Development (ICIMOD), Nepal. He leads the livelihood component of Transboundary Landscape Programmes in developing livelihood frameworks, identifying innovative livelihood options including value chains, responsible tourism and natural resources management.

Gyandra P. Ghimire acquired an M.Sc. in 2016 from the Central Department of Biotechnology, Tribhuvan University, Nepal. He is an enthusiastic professional working in the field of biotechnology with a keen interest in application of plant-derived extracts and compounds and their application in antioxidant, antimicrobial and anticancer research.

Mortaza Ashrafi Habibabadi is CEO of Behtash Pardaz Behrooz company, and leads the project on preparing and writing the management program of the Tang-e Sayad and Sabz Kouh Biosphere Reserves. He also heads the Working Group on Land Degradation.

Johnson Jament hails from the Trivandrum coastal community, Kerala, India. He holds a Ph.D. degree, and is a lecturer at the University of Northampton’s M.A. Education program in Bangalore, India. He is also the Programme Coordinator of Friends of Marine Life (FML), and one of the Contributing Authors of the IPBES Regional Assessment for Asia Pacific.

Krishna D. Manandhar is Head of the Central Department of Biotechnology (CDB), Tribhuvan University, Nepal since 2016. He conducts and supervises national and international research projects at CDB. His contributions on dengue, leishmania and hepatitis research have been published in reputed international journals. His research interests include applied aspects of phytochemicals for anticancer research, control of vector borne diseases and molecular and phylogenetic analyses.

Bahar Mohamadifar is CEO of Akhtar Sepehr Sepahan company and the project manager of the Tang Sayad and Sabz Kouh Biosphere reserves registration (2014). She is also the project secretary in charge of writing the management plan of the Tang Sayad and Sabz Kouh Biosphere Reserves (2015) and project manager for the ‘Documentation and Revitalization of Indigenous Knowledge of Nomads’ project.

Robert Panipilla is the founding president of Friends of Marine Life (FML) which aims to safeguard the marine biodiversity and coastal ecosystem services in South India. He spearheads FML’s seabed ecosystem studies in the Gulf of Mannar and three other districts. He has published and presented papers at international conferences and media-related platforms.

Yadu N. Paudel acquired an M.Sc. in 2016 from the Central Department of Botany, Tribhuvan University, Nepal. He is an enthusiastic botanist working in the fields of ethnobotany and biodiversity. His research interests include ethnobotanical explorations, resource identification in traditional use and phytochemical analyses.

Kittisak Rattanakrajangsri is a Mien from the north of Thailand. He has worked with indigenous communities and organisations since 1989. He is currently the Executive Director of the Indigenous Peoples’ Foundation for Education and Environment (IPF) based in Chiang Mai, Thailand.

S. Davidson Sargunam was an English teacher at the Government Higher Secondary School (Junior College), Nagercoil, India. Now aged 62 and retired, he works as a voluntary environmental educator. Presently, he is serving the Forest Department of Tamil Nadu State, India, as a resource person for environmental education as well as the Department of Wetland Bird Conservation and Olive Ridley Turtle Conservation. He also supports the Department by organizing expeditions on trekking and interpretation of the flora and fauna for eco-tourists. He won a National Award in 2000 for his innovative concept of imparting environmental awareness through the teaching of English. He is a member of the Tribal Foundation which works alongside the Forest Department and the indigenous Kaani community for sustainable forest conservation. He also works towards the educational, social, economic and cultural development of the Kaani indigenous community in the forests of Kanyakumari.

Muhammad Rizwan Shahid, holds an M.S. in Environmental Science (2016). His research was completed under the supervision of Dr Muhammad Ibrar Shinwari. He hails from Rahim Yar Khan District of Southern Punjab Province of Pakistan. As a local inhabitant, he worked as a field investigator.
Gam Angkang Shimray is a Naga from the Northeastern region of India. He has been working on human rights issues and with indigenous communities since 1993. His academic background is in history, development studies and political science and he is currently the Secretary General of the Asia Indigenous Peoples Pact (AIPP) based in Chiang Mai, Thailand.

Muhammad Ibrar Shinwari holds a Ph.D. in Biological Sciences (2004) and is currently Head of the Department of Environmental Science, International Islamic University, Islamabad, Pakistan. He completed his postdoctoral research at the Biodiversity Unit, National Institute of Agro-Environmental Science, Tsukuba, Japan (2007–2009). He has authored around 35 research publications including two books.

Anu Joshi Shrestha is a value chain and enterprise development specialist with expertise in marketing and human resources development. Ms Shrestha works at the International Centre for Integrated Mountain Development (ICIMOD). Ms Shrestha is focusing on high value product value chains, emphasizing the equitable promotion of pro-poor value chains and strengthening rural-urban linkages.

Krishna K. Shrestha is the Former Head of the Central Department of Botany, Tribhuvan University, Nepal with teaching and research experience of more than 37 years. He is the Founder President of the Ethnobotanical Society of Nepal (ESON) since 1997. He has published over 80 research articles, and his research interests include plant systematics, plant biodiversity and ethnobotany.

Sabina Shrestha is Director of the Himalayan Biome Research Institute. She works for the Ethnobotanical Society of Nepal as a life member of the society. She has published more than 40 research papers and has co-authored three books. Her research interests include isolation and characterization of bioactive phytochemicals and biodiversity conservation.

Mohamad Soltanolkotabi is an Iranian architect born in 1986. He is well known in the world of tourism, astronomy and photography and has been an active amateur astronomer and astrophotographer since 2005. As a tourism activist, he started his cooperation with the UNESCO in 2012 through Akhtar Sepehr Sepahan Company as a facilitator working with local communities living in biosphere reserves. He moved to the Canary Islands in 2016 to enjoy the clear skies and later obtained his Masters in Cultural Tourism from the University of Girona, Spain.

Bijay Raj Subedee is a researcher at the Research Centre for Applied Science and Technology (ReCAST), Tribhuvan University, Nepal. Mr Subedee is a Ph.D. Scholar. He works on the livelihood component of the Transboundary Landscape, Kailash Sacred Landscape and Kangchenjunga Landscape and is involved in research and development of natural fibres.

B. K. Tiwari is presently Professor at the Department of Environmental Studies, North-Eastern Hill University, Shillong, India. He has researched indigenous and local knowledge of tribal communities of north-eastern India for more than 30 years. He has particularly explored traditional knowledge-based forest management, freshwater fisheries, agriculture and herbal health care practices.

Hero Tynsong is Scientist-D, in the Ministry of Environment, Forests and Climate Change, Government of India. He belongs to the War Khasi tribe of South Meghalaya, India. He received his Ph.D. in Environmental Science from North-Eastern Hill University, Shillong, India. He has been studying local people’s traditional forest management and traditional agroforestry practices for more than a decade.

Dayuan Xue is a Professor at the School of Life and Environmental Science, Minzu University of China, focusing on biodiversity conservation and access and benefit-sharing of genetic resources and associated traditional knowledge for the ethnic minorities in China. He is a member of the IPBES Indigenous and Local Knowledge Task Force and a lead author for the IPBES global assessment.

Lixin Yang is Associate Professor at the Key Laboratory of Economic Plants and Biotechnology of Kunming Institute of Botany (KIB), Chinese Academy of Sciences (CAS) and Executive Director of the Centre for Biodiversity and Indigenous Knowledge (CBIK). She obtained an M.S. from the University of the Philippines in 2005 and a Ph.D. from Minzu University of China in 2015. She is also a visiting scholar in the USA from 2008 to 2009. From 1996 to 2017, her research has been in the areas of ethnobotany and ethnoecology.
Knowing our Lands and Resources is a compendium of knowledge, practices and worldviews of indigenous peoples and local communities across Asia. It demonstrates the essential contribution that indigenous and local knowledge holders make to assessments of biodiversity and ecosystem services.

The papers in this volume have been prepared for the Author team of the IPBES assessment of biodiversity and ecosystem services for Asia. The objective is to assist the Author team with their task of grounding the Asia assessment in both science and indigenous and local knowledge (ILK). The papers complement existing sources of ILK in the scientific and grey literature, and contribute relevant ILK that might not otherwise be available to the assessment process.

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